

Circular No.: NSDL/POLICY/2019/0037

July 4, 2019

Subject: The Financial Action Task Force (FATF) public statement dated June 21, 2019 regarding FATF identified jurisdictions that have strategic Anti Money Laundering and Combating the Financing of Terrorism (AML / CFT) deficiencies and Improving Global AML/CFT Compliance: On-going Process – June 21, 2019.

Participants are hereby informed that FATF in its on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies, has released fresh statements titled 'FATF Public Statement – June 2019' and 'Improving Global AML/CFT Compliance: On-going Process - 21 June, 2019'. The aforesaid FATF statements are available at <http://www.fatf-gafi.org>, and is also attached for ready reference.

Participants are requested to take note of the above and ensure compliance. Further, Participants are requested to take note that this does not preclude regulated entities from legitimate trade and business transactions with these countries and jurisdictions.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.

