

Circular No.: NSDL/POLICY/2019/0036

June 24, 2019

Subject: SEBI Circular on Handling of Clients' Securities by Trading Members/Clearing Members.

Attention of Participants is invited to SEBI Circular no. CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019 regarding Handling of Clients' Securities by Trading Members/Clearing Members. Copy of the same is enclosed.

Participants are requested to take note of the same and ensure compliance. The systems enhancements and operational procedure related to the above will be notified separately.

For and on behalf of
National Securities Depository Limited

**Chirag Shah
Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.

