

Circular No.: NSDL/POLICY/2019/0022

April 09, 2019

Subject: Amendments to Bye Laws and Business Rules of NSDL.

Participants are hereby informed that the following amendments have been made in the Bye Laws and Business Rules of NSDL:

- a) Amendment to Bye Law 6.3.24
- b) Deletion of Bye Law 6.3.25
- c) Amendment to existing Bye Law 6.3.26 and renumbering it as Bye Laws 6.3.25.
- d) Insertion of new Business Rule 23 in respect of transfer of depository business

The amended Bye Law and Business Rules (in track change mode) as mentioned above are enclosed herewith.

Participants are requested to take note of the aforesaid and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month.	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Charge Structure (Yearly)	April 30 th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.
Risk based supervision of Participants (October - March)	April 30 th every year.	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Internal/ Concurrent Audit Report (October - March)	May 15 th every year	Through e-PASS	Circular No. NSDL/POLICY/2019/0017 dated March 27, 2019

