

Circular No.: NSDL/POLICY/2019/0006

January 18, 2019

Subject: The Financial Action Task Force (FATF) public statement dated October 19, 2018 regarding FATF identified jurisdictions that have strategic Anti Money Laundering and Combating the Financing of Terrorism (AML / CFT) deficiencies and FATF guidance for a risk based approach for securities sector published on October 26, 2018.

Participants are hereby informed that FATF in its on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies, has released fresh statements titled 'FATF Public Statement – October 19, 2018' and 'Guidance for a Risk Based Approach for Securities Sector – October 26, 2018'. The aforesaid FATF statements are available at <http://www.fatf-gafi.org>, and is also attached for ready reference.

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018.

