

Circular No.: NSDL/POLICY/2019/0005

January 18, 2019

Subject: Amendment to Business Rules.

Participants are hereby informed that the following amendments have been made in the Business Rules of NSDL:

1. Amendment of Business Rule 12.6.1
2. Insertion of New Form 41- NAME DELETION IN JOINT ACCOUNT UPON DEATH

The amended Business Rules (in track change mode), alongwith Form 41 as mentioned above are enclosed herewith. The required changes to the DPM system to implement the same are under development. Accordingly, the amendments to Business Rules will be effective from a date to be notified separately.

Participants are requested to take note of the above.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: Two

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018.

