

Circular No.: NSDL/POLICY/2018/0061

October 26, 2018

**Subject: Changes in file formats on account of capturing payment details in case of off-market transfers made for the reason 'off-market sale' in DPM system.**

Attention of Participants is invited to NSDL circular no. NSDL/POLICY/2018/0060 dated October 23, 2018 informing the release of the aforesaid feature in the DPM system on **October 26, 2018**.

Participants are requested to take note that the aforesaid feature will be released as per the mentioned schedule. This release has capability to accept both the old file formats and new file formats. Considering that Participants have requested for an extension, if the Participant is not ready with the new file formats, Participants may upload the account transfer instructions in the old file formats. However, this feature to upload account transfer instructions in old formats will be available only till **Friday, November 16, 2018**. Participants are requested to ensure that they are ready with the new file formats before Friday, November 16, 2018. Participants who are directly executing off-market transaction through front end on eDPM / SPEED-e would be mandatorily required to capture the payment details for off-market transfers with reason as 'off-market sale'.

Participants are requested to take note of the above and ensure that account transfer instructions are uploaded only in the new file formats with effect from **Saturday, November 17, 2018**.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Risk Assessment Template	October 31st, 2018	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Networth Certificate and Audited Financial Statements.	October 30th for financial year 2017-18.	By hard copy to Participant Interface Department.	1. Circular No. NSDL/PI/98/414 dated September 1, 1998. 2. Circular No. NSDL/POLICY/2018/0055 dated October 1, 2018
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No. NSDL/POLICY/2018/0042 dated August 3, 2018

