

Circular No.: NSDL/POLICY/2018/0060

October 23, 2018

**Subject: Changes in file format on account of capturing payment details in case of off-market transfers made for the reason 'off-market sale' in DPM system.**

Attention of Participants is invited to NSDL circular no. NSDL/POLICY/2018/0048 dated September 12, 2018 regarding changes in file format on account of capturing payment details in case of off-market transfers made for the reason 'off-market sale' in DPM system.

Participants are requested to take note that the aforesaid feature will be released in the DPM system on October 26, 2018.

For and on behalf of  
**National Securities Depository Limited**

**Chirag Shah**  
**Senior Manager**

| FORTHCOMING COMPLIANCE                                 |   |   |  |
|--|---|---|--|
| Particulars  | Deadline                                    | Manner of sending                                 | Reference  |
| Investor Grievance Report (Monthly)                    | By 10 <sup>th</sup> of the following month. | Through e-PASS                                    | Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015  |
| Risk Assessment Template                               | October 31st, 2018                          | Through e-PASS                                    | Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018  |
| Networth Certificate and Audited Financial Statements. | October 30th for financial year 2017-18.    | By hard copy to Participant Interface Department. | 1. Circular No. NSDL/PI/98/414 dated September 1, 1998.<br>2. Circular No. NSDL/POLICY/2018/0055 dated October 1, 2018 |
| Internal/ Concurrent Audit Report (April - September)  | November 15th every year                    | Through e-PASS                                    | Circular No. NSDL/POLICY/2018/0042 dated August 3, 2018  |

