

Circular No.: NSDL/POLICY/2018/0034

Date: June 26, 2018

**Subject: Amendment to Business Rules – Mutual Funds Conversion.**

All Participants are hereby informed that Rules 11.7, 11.8 and FORM 2 (Conversion Request Form for Mutual Fund Units represented by Statement of Account) of Business Rules of NSDL have been amended. The amended Rules 11.7 and 11.8 are enclosed as Annexure A with track changes mode and as Annexure B without track changes mode alongwith amended FORM 2.

The required changes to the DPM system to implement the same are under development. Accordingly, the amendments to Business Rules will be effective from a date to be notified separately.

Participants are requested to take note of the above.

For and on behalf of  
**National Securities Depository Limited**

**Ravindra Sahu**  
**Asst. Vice President**

Enclosed: Three

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 and Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018.

