

Annexure

Index of features in DPM Version 6.7

Sr. No.	Feature
1	Facility to record Non Disposal Undertaking (NDU) under new module viz., 'Hold on Securities' in NSDL DPM system
2	Incorporation of New Client Type and Client Sub Types in DPM system under Re-categorization of demat accounts
3	Facility to capture Aadhaar field under remaining Types and Sub-types of Individual clients.

1. Facility to record Non-disposal agreements / undertaking (NDU) under new module viz., “Hold on Securities”:

SEBI vide circular No. CIR/MRD/DP/56/2017 dated June 14, 2017 (*informed to Participants vide NSDL circular no. NSDL/POLICY/2017/0042 dated July 12, 2017*) had issued guidelines to record NDU in the depository system. In this regard, a new module viz., ‘**Hold on Securities**’ has been incorporated in NSDL DPM system to facilitate Participants to create **hold** on securities of Clients for NDU in favour of another party based on the request received from its client.

The Hold on Securities shall be created in NSDL eDPM system in below mentioned manner. There are four different sub-modules available under Hold on Securities module as exhibited below:

- a) Hold Initiation
- b) Hold Confirmation
- c) Hold Release
- d) Hold Release confirmation



1.1. Functional Rights:

To access the aforesaid functionality Participants are required to assign the necessary functional rights related to “**Hold on Securities**” to the user from system security module.

1.2. Hold Initiation :

Hold on securities shall be initiated by Participant of source client through link viz., ‘Initiate Hold Instruction’ as exhibited below:

Hold on Securities → Initiate Hold Instruction →New



- Participant of the source client shall click on 'New' button to initiate Hold request. This will enable initiation screen for the user to capture the Hold instruction details.
- By default the instruction type will be selected as 'NDU'
- Participant of the source client shall capture all the relevant fields of NDU instruction alongwith details of demat accounts of both parties i.e. Source Client and Other Party as exhibited below:

- Participant will be mandatorily required to specify the following details at the time of Initiation of Hold instruction for NDU:
 - **Cooling period** i.e. (2 to 4 days) – No. of days after which the release of shares to be effected in the eDPM system from the date of Hold Release confirmation upon termination/ cancellation of NDU. During this cooling period Participant of other party will have option to reject the Hold Release instruction of NDU.
 - **Execution Date** – The date on which the NDU instruction is getting captured on the eDPM system
 - **Reference Number** – The relevant reference number (i.e. Agreement number) in respect of NDU.

- Participants may capture the details of Company and Promoters (optional fields) if they are part of the NDU.
- Upon capturing of NDU details on eDPM system, Participant shall save the instruction to which a 'BP Instruction ID' will be generated.

BP Instruction ID	DM Order No.	Hold Positions:	Lock In Reason	Release Date	* ISIN	ISIN Name
10000000000055	N/A	<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--	N/A	INE498T01026	NSDL sac
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			
		<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--			

- Upon saving the NDU instruction, the maker user will be able to verify the signature details of other party.
- Checker user of source client Participant will be able to search the NDU instruction by entering BP Instruction ID under **Hold on Securities → Initiate Hold Instruction → Search option.**
- Checker user shall verify and release the NDU instruction by clicking on 'Verify and Release' button.
- Once instruction is verify released by Participant of Source client, the instruction will be sent to Participant of Other Party for Confirmation of NDU request.

Hold Instruction Initiation

Instruction Type: NDU

Source Client Details
* Client ID: 10176169

Other Party Details
* Process Type: Inter DP Intra DP
* DP ID: IN300351
* Client ID: 10000004

Promoter Details
Company Name: ABC Limited
Sps. No. Promoter Name PAN
1 Mukesh Kumar AAAP9999A
2 Arvind Kumar AAAP9999B

Cooling Period(No. of Days): 2 * Execution Date: 22/ 01/ 2018
Remarks: NDU Agreement * Reference Number: Ref123456

BP Instruction ID	DM Order No.	Hold Positions:	Lock In Reason	Release Date	* ISIN	ISIN Na
100000000000200	N/A	<input checked="" type="radio"/> Free <input type="radio"/> Lock-In	--Please Select--	N/A	INE498T01026	NSDL sac

- The status of instruction shall appear as **“Pending for Counter Party Confirmation”**.

* DP ID:	IN302871	DP Name:	ABC SECURITIES PVT LIMITED	Signature			
* Client ID:	10000779	Client Name:	prafull Nimbalkar	Click here			
Promoter Details							
Company Name:	Sr. No.	Promoter Name	PAN				
	1						
	2						
Cooling Period(No. of Days):	2	* Execution Date:	18/ 01/ 2018				
Remarks:		* Reference Number:	Hold Initiation Ref				
In Reason	Release Date	* ISIN	ISIN Name	* Quantity	Status	Cancellation Status	Err Descrip
---	N/A	INE10DIO1FY4	Luke a	1	Pending for Counter Party Confir	N/A	N/A

1.3. Hold Confirmation:

- In order to create Hold on securities in respect of NDU request, Participant of Other Party will be required to confirm the NDU Hold instruction based on the instruction received from its Client (i.e. other party) through "Hold on Securities → Hold Confirmation" screen.
- Participant of Other Party will click on Search / New button to search NDU Hold request initiated by Participant of Source Client.

Client Maintenance	Demat/ Remat	Settlement	Pledge/ Hypothecation	Hold On Securities	System Security	Upload/Download	CC Interface
Home>Hold On Securities>Hold Confirmation>Search							
Hold Initiation Confirmation Search							
Search New							
Search							
Order Type:	<input type="radio"/> Hold Order	<input checked="" type="radio"/> Confirmation Order	Acceptance Status:				
BP Instruction ID:	<input type="text"/>		Target Client ID:	<input type="text"/>			
Source DP ID:	IN	<input type="text"/>	Execution Date:	<input type="text"/>			
Source Client ID:	<input type="text"/>		Status				
							Search
							Clear

- Participant of Other Party will be able to search the NDU Hold instruction by entering BP Instruction ID of NDU Hold request initiated by Participant of Source Client. Additionally, Participant will be able to search the NDU Hold request by entering demat account details of Other Party or Source Client.
- Once the instruction is displayed on screen, the maker user of Participant of Other Party shall click on '**Accept**' button to confirm the NDU Hold, only if the NDU Hold request details initiated by Participant of Source client are proper.
- Participant of Other Party can reject the request by clicking on 'Reject' button in case of any discrepancy in the NDU request received from Participant of Source Client.

Original Hold Quantity:	1	Cooling Period(No. of Days):	2
*Execution Date:	22/ 01/ 2018	Reference Number:	Ref123456
Remarks:	Ok	Rejected Reasons:	N/A
<input type="button" value="Accept"/> <input type="button" value="Reject"/> <input type="button" value="Clear"/> <input type="button" value="Back"/> <input type="button" value="New Search"/> <input type="button" value="First"/> <input type="button" value="Previous"/> <input type="button" value="Next"/> <input type="button" value="Last"/>			

- Checker user of Other Party will verify and release the NDU Hold Confirmation instruction by clicking on “**Verify and Release**” button to create and confirm the NDU Hold Request.
- Once instruction is verify released, the Hold on securities for NDU will be created.

Original Hold Quantity: 1	Cooling Period(No. of Days): 2
*Execution Date: 22/ 01/ 2018	Reference Number: Ref123456
Remarks: Ok	Rejected Reasons: N/A
<input type="button" value="Verify and Release"/> <input type="button" value="Save"/> <input type="button" value="Cancel"/> <input type="button" value="Accept"/> <input type="button" value="Reject"/> <input type="button" value="Back"/> <input type="button" value="New Search"/> <input type="button" value="First"/> <input type="button" value="Previous"/> <input type="button" value="Next"/> <input type="button" value="Last"/>	

1.4. Hold Release:

Upon receipt of request from the client and other party, Participant of source client is required to initiate the NDU Hold Release instruction using the below link and click on Search / New button. **Home→ Hold on Securities → Hold Release→ Search / New**. Participant shall enter original BP Instruction ID to initiate hold release request.

Client Maintenance	Demat/ Remat	Settlement	Pledge/ Hypothecation	Hold On Securities	System Security	Upload/Download	CC Interface																
Home>Hold On Securities>Hold Release>New																							
Hold Release Initiation							Signature																
Search New																							
<table border="1"> <tr> <td colspan="8">New</td> </tr> <tr> <td>BP Instruction ID:</td> <td><input type="text"/></td> <td>Target DP ID :</td> <td><input type="text" value="IN"/></td> <td><input type="text"/></td> <td><input type="button" value="Search"/></td> <td><input type="button" value="Clear"/></td> <td></td> </tr> </table>								New								BP Instruction ID:	<input type="text"/>	Target DP ID :	<input type="text" value="IN"/>	<input type="text"/>	<input type="button" value="Search"/>	<input type="button" value="Clear"/>	
New																							
BP Instruction ID:	<input type="text"/>	Target DP ID :	<input type="text" value="IN"/>	<input type="text"/>	<input type="button" value="Search"/>	<input type="button" value="Clear"/>																	

Maker user of Participant (Source Client) shall enter the execution date along with quantity to be released and click on ‘**save**’ button.

Hold Release Initiation [Signature](#)

[Search](#) | [New](#)

New

* Indicates Mandatory Fields

Instruction Details

BP Instruction ID	10000000000087	DM Order Number	1000450413	Status	Hold Confirmed	<input type="checkbox"/> Priority
-------------------	----------------	-----------------	------------	--------	----------------	-----------------------------------

Source Details

Client ID	10176144	Client Name	First Holder Name
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Target Details

Client ID	10000004	Client Name	STATE BANK OF INDIA
DP ID	IN300351	DP Name	STATE BANK OF INDIA

Promoter Details

Company Name:	ABC Limited	Sr. No.	Promoter Name	PAN
		1	Mukesh Kumar	AAAPA9999A
		2	Arvind Kumar	AAAPA9999B

Lock In Details

Hold Positions:	Free	Release Date:	N/A
Lock In Reason:	N/A		

ISIN Details

ISIN:	INE498T01026	ISIN Name:	NSDL sac
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*Execution Date: 22 / 01 / 2018
 *Release Quantity: 5
 Remarks: Ok

Cooling Period(No. of Days) : 2
 Reference Number : Ref123456
 Pending hold Quantity 5

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- Checker user of Participant (Source Client) shall verify and release the NDU Hold Release initiation instruction under the Hold Release module by clicking on **“Verify and Release”** button.
- Once the NDU hold release request is verify released by Participant of source client, the instruction would be sent to Participant of Other Party for confirmation of the NDU Hold Release request and status of the same shall appear as **‘Pending for Counter Party Confirmation’** .

*Execution Date: 22 / 01 / 2018
 *Release Quantity: 5
 Remarks: Ok

Cooling Period(No. of Days) : 2
 Reference Number : Ref123456
 Pending hold Quantity 5

1.5. Hold Release confirmation

Participant of the other party shall confirm the NDU Hold Release request through Hold Release Confirmation module upon receipt of instruction from its client (i.e. other party). Maker user of Participant of other party shall search the request using the BP Instruction ID, Status, Instruction ID, etc. and shall click on **“Accept”** button only if the Hold Release instruction is required to be accepted as per request from other party. Participant shall click on ‘Reject’ if the NDU hold release request is required to be rejected.

Client Maintenance	Demat/ Remat	Settlement	Pledge/ Hypothecation	Hold On Securities	System Security	Upload/Download
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Home>Hold On Securities>Hold Release Confirmation>New

Release Confirmation [Signature](#)

[Search](#) | New

Accept Reject Clear Back New Search First Previous Next Last

Search

*Indicates Mandatory Fields:

<input type="checkbox"/> Priority		BP Instruction ID	1000000000087	DM Order Number:	1000450413
Status: Pending for Counter Party Confirmation		Acceptance Status:	N/A	ACA Remarks:	N/A

Source Client Details			
Client ID	10176144	Client Name	First Holder Name
DP ID	IN300351	DP Name	STATE BANK OF INDIA

Target Client Details			
Client ID	10000004	Client Name	STATE BANK OF INDIA

Promoter Details		
Company Name:	ABC Limited	
	Sr. No.	Promoter Name
	1	Mukesh Kumar
	2	Arvind Kumar
		PAN
		AAAPA9999A
		AAAPA9999B

Lock In Details			
Hold Positions:	Free	Release Date	N/A
Lock In Reason:	N/A		

ISIN Details			
ISIN:	INE498T01026	ISIN Name:	NSDL sac

*Execution Date: 22/01/2018 Cooling Period(No. of Days): 2

Release Quantity: 5 Pending hold Quantity: 5

Remarks: Ok Rejected Reasons: N/A

Accept Reject Clear Back New Search First Previous Next Last

- Checker user of Participant of other party can access the said request through Hold Release confirmation module and shall click on **“Verify and Release”** button to confirm the NDU Hold Release instruction.

Release Confirmation [Signature](#)

Search | New

Verify and Release Save Cancel Accept Reject Back New Search First Previous Next Last

Search

*Indicates Mandatory Fields:

<input type="checkbox"/> Priority		BP Instruction ID	1000000000087	DM Order Number:	1000450413
Status: Captured		Acceptance Status:	Accepted		

Source Client Details			
DP ID	IN300351	DP Name	STATE BANK OF INDIA
Client ID	10176144	Client Name	First Holder Name

Target Client Details			
Client ID	10000004	Client Name	STATE BANK OF INDIA

Promoter Details		
Company Name:	ABC Limited	
	Sr. No.	Promoter Name
	1	Mukesh Kumar
	2	Arvind Kumar
		PAN
		AAAPA9999A
		AAAPA9999B

Lock In Details			
Hold Positions:	Free	Release Date	N/A
Lock In Reason:	N/A		

ISIN Details			
ISIN:	INE498T01026	ISIN Name:	NSDL sac

*Execution Date: 22/01/2018 Cooling Period(No. of Days): 2

Release Quantity: 5 Pending hold Quantity: 5

Remarks: Ok Rejected Reasons: N/A

Verify and Release Save Cancel Accept Reject Back New Search First Previous Next Last

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- Once the instruction is verify released by Checker user of Participant of other party , the instruction would remain under cooling period (securities will remain under hold) as agreed between source client and other party at the time on Hold Initiation request with status of instruction as **“Hold Release is under Cooling Period”** as exhibited below:

Release Confirmation [Signature](#)

Search | **New**

Cancel Back **New Search** First Previous Next Last

Search

Instruction Details

Priority
 Cancellation Status: **N/A**
 Error
 Description

BP Instruction ID: **1000000000200**
 Status: **Hold Release is under Cooling period**
 ACA Remarks: **N/A**

DM Order Number: **1000450752**
 Acceptance Status: **Accepted**

- Once the cooling period gets completed, the hold created on securities will get released with status of instruction changing to **“Closed, Hold Released”**.

Release Confirmation [Signature](#)

Search | **New**

Back **New Search** First Previous Next Last

Search

Instruction Details

Priority
 Cancellation Status: **N/A**
 Error
 Description

BP Instruction ID: **1000000000070**
 Status: **Closed, Hold Released**
 ACA Remarks: **N/A**

DM Order Number: **1000450375**
 Acceptance Status: **Accepted**

1.6. Statement of Account:

The NDU Hold created on securities and NDU Hold released shall be reflected under Statement of Holding (SOH) as well as Statement of Transaction (SOT) as exhibited below:

Statement of Holding (SOH)

 Business Date: 25-Jan-2018 **STATEMENT OF HOLDINGS** Print Date: 25-Jan-2018 06:04 PM
National Securities Depository Limited
DP: _____

Statement of Holdings as on : 25-Jan-2018 06:04 PM

Client Id		Status	Active		
Category	Non House Beneficiary	Type	Resident	Sub Type	Ordinary
Name(s)	First Holder Name				
Address	Block NoQK12345678901234567890123456 Building Silver SkyIQQ 123456789012 GQKden Star GalaQK 12345678901234567 Mumbai Navi Mumbai QKd Mumbai 123456				
Pin Code	367127	Mobile Number			

ISIN	ISIN Description	ISIN Status	Account Description	Quantity	Total Balance
INE001A01762	HOUSING DEVELOPMENT FINANCE CORPORATION LTD		Beneficiary	816	
			Unconfirmed Hold/NDU	15	
			Hold/NDU	29	
			Hold/NDU - Preferential Quota / 01 Jan 2019	15	
					2,000

Statement of Transaction (SOT)

Client Id		Status	Active
Category	Non House Beneficiary	Type	Body Corporate
Name	First Holder Name, SECOND HOLDER DETAILS, THIRD HOLDER DETAILS		
Address	Block NoQK12345678901234567890123456 Building Silver SkylinQK123456789012 GQKden Star GalaQK 12345678901234567 Mumbai Navi Mumbai QKd Mumbai 123456		
Pin Code	367127	Mobile Number	9111111111

You can now hold your mutual fund investments in this demat account. Contact your Depository Participant or your stock broker for more information.

Booking Date	Transaction No.	Description	Credit	Debit	Balance
ISIN	INE318R11011				
Beneficiary					Opening Balance :
12-Jan-2018	100000000000051	To Hold /NDU Request, STATE BANK OF INDIA / 10000029		4	100 96
Unconfirmed Hold /NDU					Opening Balance :
12-Jan-2018	100000000000051	By Hold /NDU Request	4		0 4
12-Jan-2018	100000000000051	To Hold /NDU, STATE BANK OF INDIA / 10000029		4	0
Hold /NDU					Opening Balance :
12-Jan-2018	100000000000051	By Hold /NDU, STATE BANK OF INDIA / 10000029	4		0 4

Client Id		Status	Active
Category	Non House Beneficiary	Type	Body Corporate
Name	First Holder Name, SECOND HOLDER DETAILS, THIRD HOLDER DETAILS		
Address	Block NoQK12345678901234567890123456 Building Silver SkylinQK123456789012 GQKden Star GalaQK 12345678901234567 Mumbai Navi Mumbai QKd Mumbai 123456		
Pin Code	367127	Mobile Number	9111111111

You can now hold your mutual fund investments in this demat account. Contact your Depository Participant or your stock broker for more information.

Booking Date	Transaction No.	Description	Credit	Debit	Balance
ISIN	INE318R11011				
Beneficiary					Opening Balance :
19-Jan-2018	100000000000051	By Hold /NDU Release	2		0 76
Hold /NDU					Opening Balance :
19-Jan-2018	100000000000051	To Hold /NDU request Release		2	0 2
					Closing Balance :
					2

1.7. Hold Master Report

Participants of both parties shall be able to view the hold created on the securities of source client or in favour of Other Party using the Hold Master Report as exhibited below:

Business Date: 08-Feb-2018		Hold Master Report		Print Date: 08-Feb-2018 05:35 PM	
National Securities Depository Limited					
DP:					
Selection Criteria		Date From :	All	To :	All
Client Id :	40619012	ISIN :	All	Channel Indicator:	All
Other Party DP Id:	All	Other Party Client Id:	All	Status :	All
				Reference No :	All
1) Hold Instr.	1) ISIN	1) Hold Quantity	1) Status:	1) Lock In Release Date	
2) Hold Type	2) ISIN Description	2) Hold Released Quantity	2) Rejection Reasons:	2) Lock In Reason	
3) Unique Id	3) Execution Date		3) Remarks:	3) Hold Release Date	
	4) Cooling Period (No. of Days)			4) Reference Number	
Other Party DP	ISIN: 25302871				
Other Party Client ID	40618930	Sanket Sunil Sawant, Beneficiary SEcond Holder Name, Beneficiary Third Holder Name			
Source Client ID	40619012	Sagar Sonaba Patkar, Beneficiary SEcond Holder Name, Chetan Ashok Angane			
1) 50000000000495	1) INE111A01025	1) 10.000	1) Cancelled	1)	
2) NDU	2) CONTAINER CORPN NSDTEST	2)	2) Open order cancellation	2)	
3) 50000000000495	3) 28/12/2017		3) Hold Master Report	3)	
	4) 3			4) Testing	

Back Office Impact: Yes.

Informed to Participants vide NSDL circular NSDL/POLICY/2017/0073 dated December 20, 2017.

2. Incorporation of New Client Type and Client Sub Types in DPM system:

Following new client types and client sub-types will be incorporated in the Client Maintenance Module of DPM System:

New Client type				
New Client Type	Code of Client Type	New Client sub-type	Code of Client sub-type	Remark
QIB	18	Public Financial Institution – Sec.2(72) of Companies Act 2013	1	For opening account of a public financial institution as defined in section 4A of the Companies Act, 1956 (correspondent section of Companies Act, 2013).
		Venture Capital Fund Registered with SEBI	2	For opening of account of Venture Capital Funds registered with SEBI.

		Multilateral Development Financial Institution	3	For opening account of multilateral development financial institution
		Bilateral Development Financial Institution	4	For opening account of bilateral development financial institution
		State Industrial Development Corporation	5	For opening account of a state industrial development corporation
		Insurance Company Registered with IRDA	6	For opening account of insurance company registered with the Insurance Regulatory and Development Authority. Date and Reference number of approval received from IRDA shall be mandatory
		Provident Fund - Corpus Rs. 25 crore & more	7	For opening account of a provident fund with minimum corpus of twenty five crore rupees;
		Pension Fund - Corpus Rs. 25 crore & more	8	For opening account of a pension fund with minimum corpus of twenty five crore rupees;
		National Investment Fund – Govt. of India	9	For opening account of National Investment Fund set up by resolution no. F. No. 2/3/2005-DDII dated November 23, 2005 of the Government of India published in the Gazette of India
		Insurance Funds – Armed Forces – Govt. of India	10	For opening account of Insurance funds set up and managed by army, navy or air force of the Union of India
		Insurance Funds – Department of Post India	11	For opening account of Insurance funds set up and managed by Department of Post India
New Client sub-type				
Client Type	Code of Type	New Client sub-type	Code of sub-type	Remark
Body Corporate	5	Foreign Government	35	For opening account of Foreign Government can open this account to hold shares which are not coming under FPI
		Overseas Depository –	36	For opening account to hold the

		Underlying DR		Shares held by DR Holders (which don't fulfill the conditions laid down in Rule 2 (e) of Securities Contracts (Regulation) Rules 1957). Rule 2(e) "public shareholding" means equity shares of the company held by public and shall exclude shares which are held by custodian against depository receipts issued overseas.
Trust	9	Employee Stock Option	1	For opening account for the Employee Stock Option scheme. Employee stock option scheme is a scheme under which a company grants employee stock option directly or through a trust in accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		Employee Stock Purchase	2	Employee Stock Purchase scheme is a scheme under which a company offers shares to employees, as part of public issue or otherwise, or through a trust where the trust may undertake secondary acquisition for the purposes of the scheme. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		Stock Appreciation Right	3	For opening account for the Stock Appreciation Rights. Stock Appreciation Rights (SAR) scheme is a scheme under which a company grants SAR to employees. SAR means a right given entitling him to receive appreciation for a specified number of shares of the company where the settlement of such appreciation may be made by way of cash payment

				or shares of the company. An SAR settled by way of shares of the company shall be referred to as equity settled SAR. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		General Employee Benefit Scheme	4	For opening account for the General employee benefits scheme. General employee benefits scheme is any scheme of a company framed in accordance with these regulations, dealing in shares of the company or the shares of its listed holding company, for the purpose of employee welfare including healthcare benefits, hospital care or benefits, or benefits in the event of sickness, accident, disability, death or scholarship funds, or such other benefit as specified by such company. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		Retirement Benefit Scheme	5	For opening account for the Retirement benefit schemes. Retirement benefit schemes means a scheme of a company, framed in accordance with these regulations, dealing in shares of the company or the shares of its listed holding company, for providing retirement benefits to the employees subject to 5 compliance with existing rules and regulations as applicable under laws relevant to retirement benefits in India. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		Other - Employee Benefit Trust (Old Scheme)	6	Other employees benefit trust – not covered under SEBI (Share Based Employee Benefits)

				Regulations, 2014
		Others	7	Trust accounts other than above

Back office impact: Yes

Changes in static data in respect of new client type and client sub types were informed vide NSDL Circular No. NSDL/POLICY/2018/0011 dated February 8, 2018.

3. Incorporation of Aadhaar field under Individual Client Types and Client sub-types.

Currently Aadhaar field is enabled in NSDL DPM system for the client type viz., Resident and Client sub type viz., Ordinary. Participants are hereby informed that Aadhaar field (optional) is now enabled in below mentioned Individual Client type and Client sub types:

Client Type Description	Client Sub type description
Resident	Stock Broker – Client
Resident	HUF
Resident	Promoter
Resident	HUF Promoter
Resident	Stock Broker - Proprietary
Resident	Stock Broker - Collateral
Resident	Ordinary – DR
NRI	NRI – Repatriable
NRI	NRI Non-Repatriable
NRI	DR
NRI	NRI-Repatriable-Promoter
NRI	NRI NonRepatriable –Promoter
Foreign National	DR
Foreign National	FN
Foreign National	FN-Promoter

Back office impact: No