Annexure

Index of features in DPM Version 6.7

Sr. No.	Feature
1	Facility to record Non Disposal Undertaking (NDU) under new module viz., 'Hold on
	Securities' in NSDL DPM system
2	Incorporation of New Client Type and Client Sub Types in DPM system under Re-
	categorization of demat accounts
3	Facility to capture Aadhaar field under remaining Types and Sub-types of Individual clients.

1. Facility to record Non-disposal agreements / undertaking (NDU) under new module viz., "Hold on Securities":

SEBI vide circular No. CIR/MRD/DP/56/2017 dated June 14, 2017 (*informed to Participants vide NSDL circular no. NSDL/POLICY/2017/0042 dated July 12, 2017*) had issued guidelines to record NDU in the depository system. In this regard, a new module viz., '**Hold on Securities**' has been incorporated in NSDL DPM system to facilitate Participants to create **hold** on securities of Clients for NDU in favour of another party based on the request received from its client.

The Hold on Securities shall be created in NSDL eDPM system in below mentioned manner. There are four different sub-modules available under Hold on Securities module as exhibited below:

- a) Hold Initiation
- b) Hold Confirmation
- c) Hold Release
- d) Hold Release confirmation



1.1. Functional Rights:

To access the aforesaid functionality Participants are required to assign the necessary functional rights related to "**Hold on Securities**" to the user from system security module.

1.2. Hold Initiation :

Hold on securities shall be initiated by Participant of source client through link viz., 'Initiate Hold Instruction' as exhibited below:

Hold on Securities \rightarrow Initiate Hold Instruction \rightarrow New

eDPM Deposit	tory Participan	t Module		Switch	n BP ID Home Li	ocal DPM Change Pa	ssword L	NSDL
Client <u>M</u> aintenance	D <u>e</u> mat/ Remat	Settlement	Pledge/ Hypothecation	Hold On Securities	System Security	Upload/Download		
Home>Hold On Securitie	s>Initiate Hold Instr	ruction>New		Initiate <u>H</u> old Instructi	on			
Hold Instruction	Initiation			Hold <u>R</u> elease			SO	H/SOT Signature
Search New				Hold Confirmation				
100				Hold Release Confirm	ation	Savo	New	Clear

- Participant of the source client shall click on 'New' button to initiate Hold request. This will enable initiation screen for the user to capture the Hold instruction details.
- > By default the instruction type will be selected as 'NDU'
- Participant of the source client shall capture all the relevant fields of NDU instruction alongwith details of demat accounts of both parties i.e. Source Client and Other Party as exhibited below:

Hold Instruction Initiation					<u>SOH/SOT Signatu</u>
arch New					
New				Save	lew Clear
				* Ir	dicates Mandatory Fie
* Instruction Type: NDU 🗸					
Source Client Details					
* Client ID:		٩,			
Other Party Details					
* Process Type: Inter DP Inter	a DP				
* DP ID: IN		🔍 🔹 🔹 🔍			Q,
Promoter Details					
	Sr. No.	Promote	r Name	PAN	
Company Name:	1				
	2				
* Cooline Beried (No. of Deve)	Disease Coloret 🔤		* Eventing Date:		
Perceduce	Piedse Select V		* Execution Date:		
Kennarka.			Reference Number .		
BP Instruction DM Order No.	Hold Positions:	Lock In Reason	Release Date	* ISIN	ISIN Name
	Eree Olock-In	Please Select		Q	
	• Free O Lock-In	Please Select V		Q	
	Office Officer In				
	Eree O Lock-In	Please Select V		9	

- Participant will be mandatorily required to specify the following details at the time of Initiation of Hold instruction for NDU:
 - Cooling period i.e. (2 to 4 days) No. of days after which the release of shares to be effected in the eDPM system from the date of Hold Release confirmation upon termination/ cancellation of NDU. During this cooling period Participant of other party will have option to reject the Hold Release instruction of NDU.
 - Execution Date The date on which the NDU instruction is getting captured on the eDPM system
 - Reference Number The relevant reference number (i.e. Agreement number) in respect of NDU.

- Participants may capture the details of Company and Promoters (optional fields) if they are part of the NDU.
- Upon capturing of NDU details on eDPM system, Participant shall save the instruction to which a 'BP Instruction ID' will be generated.

IS	IN Details							
	BP Instruction ID	DM Order No.	Hold Positions:	Lock In Reason	Release Date	* ISIN	ISIN Name	*
	1000000000055	N/A	● Free ○ Lock-In	Please Select	N/A	INE498T01026 🔍	NSDL sac	100
			● Free ○ Lock-In	Please Select		٩,		
			● Free ○ Lock-In	Please Select V		٩,		
			● Free ○ Lock-In	Please Select		٩,		
			● Free ○ Lock-In	Please Select		٩,		
			● Free ○ Lock-In	Please Select		٩,		
			● Free ○ Lock-In	Please Select V		٩,		
			● Free ○ Lock-In	Please Select		٩,		
			● Free ○ Lock-In	Please Select		Q,		
			● Free ○ Lock-In	Please Select		Q,		
								\sim
<								>
						Save	New	Clear

- Upon saving the NDU instruction, the maker user will be able to verify the signature details of other party.
- ➤ Checker user of source client Participant will be able to search the NDU instruction by entering BP Instruction ID under Hold on Securities → Initiate Hold Instruction →Search option.
- Checker user shall verify and release the NDU instruction by clicking on 'Verify and Release' button.
- Once instruction is verify released by Participant of Source client, the instruction will be sent to Participant of Other Party for Confirmation of NDU request.

Hold Instruction	1 Initiation									SOH Signal
irch New										
Verify and Relea	350 Sav	•	Cancol	New	Back	New Search	First	Previous	Next	Last
New										
Instruction Type:	NDU		_							
Source Client Deta	ails .		Message fro	om webpage			×			
* Client ID:	10176169									
Other Party Detail	ls									
* Process Type:	🔍 Inter DP 💌 1	ntra DP		The instruction	n has been Rel	eased successfu	ily.			
* DP ID:	IN300351								Signature	
* Client ID:	1000004								Click here	-
Promotor Dotails										
Tromoter betuns			Sr.						וו	
Company Name:	ABC Limited		No.	,	romoter Nar	ne		PAN	J	
			1 Mukes 2 Arvind	h Kumar Kumar				19999A		
									_	
Cooling Period(No.	of Days): 2					* Execu	tion Date:	22/01/20	18	
Remarks:	NDU	Agreemer	it			* Refer	ence Number:	Ret123456		
ISIN Details										
ID	No.	Hold	Positions:	Lock	In Reason	Rele	ease Date		ISIN	ISIN Na
10000000000	00 N/A	Free	e 🔍 Lock-In	Please Sele	ct	✓ N/A		INE498T010	026	NSDL sac

> The status of instruction shall appear as "Pending for Counter Party Confirmation".

* DP ID: * Client ID:	IN302871 10000779		DP Name: Client Name:	ABC SECURITIES F prafull Nimbalkar	PVT LIMITED		Signature Click here	
Promoter Det	ails							
Company Na	me:	Sr. No. 1 2	Promoter Name		PAN			
Cooling Period	(No. of Days): 2			* Exe * Ref	cution Date: erence Number:	18/ 01/ 2018 Hold Initiation	Ref	
Remarks:								
Remarks:	Release Date	* ISIN	ISIN Name	* Quantity	Sta	atus	Cancellation Status	Err Descrij
Remarks:	Release Date	* ISIN INE10DI01FY4	ISIN Name Luke a	* Quantity	Sta Pending for Count	atus ter Party Confirr	Cancellation Status N/A	Err Descri N/A

1.3. Hold Confirmation:

- ➤ In order to create Hold on securities in respect of NDU request, Participant of Other Party will be required to confirm the NDU Hold instruction based on the instruction received from its Client (i.e. other party) through "Hold on Securities → Hold Confirmation" screen.
- Participant of Other Party will click on Search / New button to search NDU Hold request initiated by Participant of Source Client.

Client <u>M</u> aintenance	D <u>e</u> mat/ Remat <u>S</u> ettlement <u>P</u> ledge,	/ Hypothecation	Hold On Securities System Security Upload/Downl	oad <u>C</u> C Interface
Iome>Hold On Securitie	s>Hold Confirmation>Search		Initiate <u>H</u> old Instruction	
Hold Intitaion Co	nfirmation Search		Hold <u>R</u> elease	Signature
Gearch <u>New</u>		ſ	Hold <u>C</u> onfirmation	
Search			Hold Release Confirmation	
Order Type:	O Hold Order O Confirmation Order	r	Acceptance Status:	
BP Instruction ID:			Target Client ID:	Q,
Source DP ID:	IN	٩	Execution Date: / /	
		Q	Statue	

- Participant of Other Party will be able to search the NDU Hold instruction by entering BP Instruction ID of NDU Hold request initiated by Participant of Source Client. Additionally, Participant will be able to search the NDU Hold request by entering demat account details of Other Party or Source Client.
- Once the instruction is displayed on screen, the maker user of Participant of Other Party shall click on 'Accept' button to confirm the NDU Hold, only if the NDU Hold request details initiated by Participant of Source client are proper.
- Participant of Other Party can reject the request by clicking on 'Reject' button in case of any discrepancy in the NDU request received from Participant of Source Client.

Original Hold Quantity:	1	Cooling Period(No. of Days):	2
*Execution Date:	22/01/2018	Reference Number:	Ref123456
Remarks:	Ok	Rejected Reasons:	N/A
	Accept Reject Clear	Back New Search First	Previous Next Last

- Checker user of Other Party will verify and release the NDU Hold Confirmation instruction by clicking on "Verify and Release" button to create and confirm the NDU Hold Request.
- > Once instruction is verify released, the Hold on securities for NDU will be created.

Original Hold Quantity: *Execution Date: Remarks:	1 22/ 0 0k	01/ 2018			Cooling Days): Referen Rejecte	Period(No. of ice Number: d Reasons:	2 Ref123456 N/A			
Verify and Release	Save	Cancel	Accept	Reject	Back	New Search	First	Previous	Next	Last

1.4. Hold Release:

Upon receipt of request from the client and other party, Participant of source client is required to initiate the NDU Hold Release instruction using the below link and click on Search / New button. Home-> Hold on Securities -> Hold Release-> Search / New. Participant shall enter original BP Instruction ID to initiate hold release request.

ient <u>M</u> aintenance	D <u>e</u> mat/ Remat <u>S</u> et	tlement Pledge/ Hypothecation	Hold On Securities	System Security	<u>U</u> pload/Download	<u>C</u> C Interface
ne>Hold On Securiti	es>Hold Release>New					
Hold Release Ini	iation					Signati
arch New						
New						
BP Instruction ID:		Target DP ID :	IN		٩,	
					Se	arch Clear

Maker user of Participant (Source Client) shall enter the execution date along with quantity to be released and click on '**save'** button.

Hold Release Initia	tion							<u>Signature</u>
<u>ch</u> New								
				Save	Cancel	Clear	Back	New Search
lew								•
							* Indicates	Mandatory Fiel
Instruction Details								
BP Instruction 100 ID	0000000087	DM Orde	r Number 10004	50413	Status Ho	ld Confirmed	🗌 Priorit	ŧy
Source Details								
Client ID	10176144	Client Name	First Holder Na	me				
Target Details								
Client ID	1000004	Client Name	STATE BANK OF	INDIA				
DP ID	IN300351	DP Name	STATE BANK OF	INDIA				
Promoter Details								
		Sr. No.	Pron	noter Name		PAN		
Company Name:	ABC Limited	1 Mukes 2 Arvind	h Kumar Kumar			PA9999A PA9999B		
ock In Details								
Hold Positions:	Free							
Lock In Reason:	N/A	Release Date:	N/A					
ISIN Details								
ISIN:	INE498T01026	ISIN Name:	NSDL sac					
STreet Hard Dates	22/01/2018		-	Cooling Period(No.	of			
*Execution Date:	227 017 2010			Days) :	2			
Remarker	ok.			Reference Number	: Ref	123456		
Netherks;	UK			Pending hold Quar	tity 5			
						~		

- Checker user of Participant (Source Client) shall verify and release the NDU Hold Release initiation instruction under the Hold Release module by clicking on "Verify and Release" button.
- Once the NDU hold release request is verify released by Participant of source client, the instruction would be sent to Participant of Other Party for confirmation of the NDU Hold Release request and status of the same shall appear as 'Pending for Counter Party Confirmation'.

*Execution Date: *Release Quantity: Remarks:	22/ 01/ 2018 Ok	5		C E F F	Cooling Period(No. of Days) : Reference Number : Pending hold Quantity		2 Ref123456 5			
	Venify and Release	Save	Cancel	Back	New Search	First	Previous	Next	Last	

1.5. Hold Release confirmation

Participant of the other party shall confirm the NDU Hold Release request through Hold Release Confirmation module upon receipt of instruction from its client (i.e. other party). Maker user of Participant of other party shall search the request using the BP Instruction ID, Status, Instruction ID, etc. and shall click on **"Accept"** button only if the Hold Release instruction is required to be accepted as per request from other party. Participant shall click on **'Reject'** if the NDU hold release request is required to be rejected.

ent <u>M</u> aintenance	D <u>e</u> mat/ Remat	<u>S</u> ettlement	<u>P</u> ledge/ Hypoth	ecation	<u>H</u> old On S	ecurities S	System Security	Upload/Dov	vnload	
e>Hold On Securities	>Hold Release Confi	rmation>New								
										-
Release Confirma	tion									Signatu
ch New										
	Accept	Rejo	ct Clear		Back	New Search	First	Previous	Next	Last
learch										
									*Indicates	Mandatory Fi
nstruction Details										
Priority			BP Instruction	ID 1	.000000000	00087	DM Order	Number: :	1000450413	
Status	Pending for Cou	nter Party	Acceptance Sta	tus: N	1/A		ACA Rem	arke:	N/A	
Status	Confirmation		Acceptance on		.,				.,	
Source Client Detail	ls									
Client ID	10176144	Clien	t Name Firs	Holder N	Name					
DP ID	IN300351	DP N	ame STA	TE BANK	OF INDIA					
arget Client Detail	s									
Client ID	10000004	Clien	t Name STA	TE BANK	OF INDIA					
Promoter Details										
		5	Sr.	De	omotor Nar			DAN	1	
Company Name:	ABC Limited	N	D.		onoter Nai	ne		FAI	J	
,		1	Mukesh Kum	ar			AAAPA	9999A		
		2	Arvind Kuma	r .			00050	99990		
ock In Details										
Hold Positions:	Free									
Lock In Reason:	N/A	Relea	ase Date N/A							
SIN Details										
ISIN:	INE498T01026	ISIN	Name: NSD	L sac						
*Execution Data:	22/01/3	018			Cooli	na Period(Na	o, of Days): 2			
Release Quantity	5				Pend	ing hold Qua	intity 5			
Remarks:	Ok				Reje	ted Reasons	s: N/A			
	Accest	Bring	Clear		Back	New Search	First	Previous	Next	Land

Checker user of Participant of other party can access the said request through Hold Release confirmation module and shall click on "Verify and Release" button to confirm the NDU Hold Release instruction.

Release Confirmati	on									Signatur
Verily and Release	Bave	Cancel	Accept	Reject	Beck	New Search	First	Previous	Next	Lest
earch										
									* Indicates M	andatory Fie
Instruction Details										
Priority	BP Instructi	on ID 1000000	0000087		DM Order Nur	nber: 1000	450413			
Status Captured	Acceptance Status:	Accepted	·							
Source Client Details										
DP ID Client ID	IN300351 10176144	DP Na Client	me S' Name Fi	TATE BANK O rst Holder Na	F INDIA me					
Farget Client Details										
Client ID	1000004	Client	Name S	TATE BANK O	F INDIA					
Promoter Details										
Company Name:	ABC Limited	Sr No. 1 2	r. Mukesh Ku Arvind Ku	Pror umar mar	noter Name		AAAPA999 AAAPA999	99A 99B		
ock In Details										
Hold Positions:	Free									
Lock In Reason:	N/A	Releas	e Date N	/A						
SIN Details										
ISIN:	INE498T01026	ISIN N	lame: N	SDL sac						
*Execution Date:	22/ 01/ 2	2018			Cooling P	eriod(No. of Day	(s): 2			
Release Quantity:	5				Pending I	hold Quantity	5			
Remarks:	Ok				Rejected	Reasons:	N/A			
Verity and Release	Bave ©2010 National	Concel Securities Depos	Accept sitory Limited.	Reject All rights reserve	Beck ed. Best viewed	New Search in Internet Explor	First er 8.0, 1024 x	Previous 768 resolution	Next	Last

Once the instruction is verify released by Checker user of Participant of other party , the instruction would remain under cooling period (securities will remain under hold) as agreed between source client and other party at the time on Hold Initiation request with status of instruction as "Hold Release is under Cooling Period" as exhibited below:

Release Confirmation	n							Signature
arch New								
		Cancel	Back	New Search	First	Previous	Next	Last
Search								
Instruction Details								
Priority	BP Instruction ID	1000000000200	DM Ord	der Number:	1000450752	2		
Cancellation N/A Status	Status	Hold Release is under C period	ooling Accept	ance Status:	Accepted			
Error Description	ACA Remarks:	N/A						

Once the cooling period gets completed, the hold created on securities will get released with status of instruction changing to "Closed, Hold Released".

Release Confirmation	n							Signature
Search New								
			Back	New Search	First	Previous	Next	Last
Search								
Instruction Details								
Priority	BP Instruction ID	1000000000070	DM Or	der Number:	100045037	5		
Cancellation N/A Status	Status	Closed, Hold Released	Accept	tance Status:	Accepted			
Error Description	ACA Remarks:	N/A						

1.6. Statement of Account:

The NDU Hold created on securities and NDU Hold released shall be reflected under Statement of Holding (SOH) as well as Statement of Transaction (SOT) as exhibited below: <u>Statement of Holding (SOH)</u>

6	Business :	Date:25-Jan-2018	STAT Nation DP:	EMEN al Securi	T OF HOLDI ities Depository L	NGS Prir Jimited	at Date: 25-Jan-2018	06:04 PM
Statement of F	ioldings as	on : 25-Jan-2018	06:04 PM		1			
Client Id			Status		Active			
Category	Non H	louse Beneficiary	Туре		Resident	Sub Type	Ordinary	
Name(s)	Firs	t Holder Name						
Address	Block Buildi GQKd Mumb	NoQK123456789012345 ng Silver SkyliQK 12345 len Star GalaQK 1234567 vai Navi Mumbai QKd Mr	67890123456 6789012 78901234567 umbai 123456					
Pin Code	36712	1	Mobile Number					
ISIN		ISIN Description	ISIN Status	Accoun	t Description	Quant	ity	Total Balance
NE001A01762	HO DH FI CO	DUSING EVELOPMENT NANCE DRPORATION LTD		Benefic	iary		816	
				Unconfi	rmed Hold/NDU		15	
				Hold/NI	UU		29	
				Hold/NI Quota /	DU - Preferential 01 Jan 2019		15	

Statement of Transaction (SOT)

	Business Date: 2	0-Jan-2018	TRANSACT	TION STATEMEN	T Print	Date & Time: 22-Jan	-2018 1:20:17PM
0			National S DP:	Securities Depository L	imited		
Selection Crit	teria: Transaction	From Date:	01/01/2018	Transaction To Date: 20	01/2018	ISIN : ALL	
Client Id			Status	Active			
Category	Non House Ber	neficiary	Туре	Body Corporate	Sub Type	Foreign Bodie	s - DR
Name	First Holder N	Jame, SECON	D HOLDER DETAILS, T	HIRD HOLDER DETAILS			
Address	Block NoQK1 Building Silve GQKden Star	23456789012 rr SkylinQK12 GalaQK 1234 Mumbai OK	234567890123456 23456789012 45678901234567 d Mumbai 123456				
	INTRODUCIONE INSIVE						
Pin Code	367127		Mobile Number	911111111			
Pin Code You can nov Participant	367127 w hold your mut	ual fund in toker for m	Mobile Number avestments in this der tore information.	911111111 mat account. Contact yo	our Depositor	ry	
Pin Code You can nov Participant Booking Date	367127 w hold your mut or your stock br	ual fund in roker for m	Mobile Number avestments in this der tore information.	911111111 mat account. Contact yo Credit	our Depositor De	ry bit	Balance
Pin Code You can nov Participant tooking Date ISIN	w hold your mut or your stock br Transaction No. INE318R11011	ual fund ir roker for m Description	Mobile Number avestments in this den tore information.	911111111 mat account. Contact yo Credit	our Depositor	ry bit	Balance
Pin Code You can nov Participant Booking Date ISIN Beneficiary	367127 whold your mut or your stock br Transaction No.	ual fund in roker for m Description	Mobile Number avestments in this den	911111111 mat account. Contact ye	our Depositoi De Openiir	ry bit g Balance :	Balance
Pin Code You can nov Participant looking Date ISIN Beneficiary 2-Jan-2018	3631031 (344 3631031 (344) or your stock br Transaction No. INE318R11011	To Hold /ND BANK OF D	Mobile Number avestments in this der sore information.	911111111 mat account. Contact yo Credit	our Depositor	ry bir g Balance :	Balance 100 96
Pin Code You can nov Participant looking Date ISIN Beneficiary 2-Jan-2018 Unconfirmed F	Addition (Val) 367127 w hold your mut or your stock br Transaction No. INE 318R11011 1000000000051 Bold /NDU	To Hold (ND BANK OF D	Mobile Number avestments in this der fore information.	911111111 mat account. Contact yo Credit	Depositor	ry g Balance :	Balance 100 96
Pin Code You can now Participant tooking Date ISIN Beneficiary 2-Jan-2018 Unconfirmed E 12-Jan-2018	Internet Notified 367127 whold your mut or your stock br Transaction No. INE 318R11011 1000000000051 Hold /NDU 1000000000051	To Hold /ND Bay Hold /ND	Mobile Number avestments in this der nore information.	911111111 mat account. Contact ye Credit 4	Openia Openia	ig Balance :	Balance 100 96 0 4
Pin Code You can nov Participant looking Date ISIN Beneficiary 2-Jan-2018 Unconfirmed F 12-Jan-2018	Internet (Not) 367127 w hold your mut or your stock bi Transaction No. INE318R11011 10000000000051 Hold (NDU 1000000000051 1000000000051	To Hold /ND By Hold /ND To Hold /ND BANK OF D	Mobile Number avestments in this dem nore information.	911111111 mat account. Contact ye Credit	Der Depositor	ig Balance :	Balance 100 96 0 4 0
Pin Code You can nov Participant Sooking Date ISIN Beneficiary [2-Jan-2018 Unconfirmed F [2-Jan-2018 Hold /NDU	Internet (of the second seco	To Hold /ND By Hold /ND To Hold /ND DDIA / 100	Mobile Number avestments in this der nore information.	911111111 mat account. Contact ye Credit	Openin Openin Openin Openin Openin	ry ag Balance :	Balance 100 96 0 4 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

6	Business Date: 2	20-Jan-2018	TRANSAC National DP:	TION STATEMEN Securities Depository L	T Print	Date & Time: 22-J.	an-2018 1:20:17PM
Selection Crit	<u>teria:</u> Transaction	From Date:	01/01/2018	Transaction To Date: 20	/01/2018	ISIN : ALL	,
Client Id			Status	Active			
Category	Non House Be	neficiary	Туре	Body Corporate	Sub Type	Foreign Bodi	es - DR
Name	First Holder N	Name, SECON	D HOLDER DETAILS,	THIRD HOLDER DETAILS			
Address	Block NoQK Building Silv GQKden Star Mumbai Navi	123456789012 er SkylinQK12 GalaQK 1234 i Mumbai QK6	134567890123456 23456789012 15678901234567 1 Mumbai 123456				
Pin Code	367127		Mobile Number	911111111			
You can no Participant ^{300king Date}	w hold your mut t or your stock by Transaction No.	tual fund in roker for m Description	vestments in this d	emat account. Contact ye Credit	our Deposito	ry ebit	Balance
ISIN	INE318R11011				-		
Beneficiary					Openin	ng Balance :	0
19-Jan-2018	1000000000051	By Hold /NE	U Release	2			76
Hold /NDU	1	1			Openin	ng Balance :	0
	1000000000051	To Hold /ND	U request Release			2	2
19-Jan-2018							

1.7. Hold Master Report

Participants of both parties shall be able to view the hold created on the securities of source client or in favour of Other Party using the Hold Master Report as exhibited below:

Busine	ss Dare: 08-Feb-3018		H National S DP:	old Master Securities De	Report pository Limited	Peer D	ana: 08-Feb-2018 05:33 PM
ction Criteria at Id : 406 er Party DP Id: All	19012 I C	Date From : SIN : Mher Party Client Id:	All All All	To	All	Channel Indicator: 4 Status : Reference No : 4	A11 A11
1) Hold Instr. 2) Hold Type 3) Unique Id	1) ISIN 2) ISIN Descript 3) Execution Da 4) Cooling Perio	tion te ed (No. of Days)	1) Hold Quan 2) Hold Relea:	ity ed Quantity	1) Status 2) Rejection Res 3) Remarks	15083	1) Lock In Release Date 2) Lock In Reason 3) Hold Release Date 4) Reference Number
Party DP	IN302871	-					
Party Client ID	40618930	Sanket Sunil Sawant, H	Benificiary SEcond Hold	er Name, Benifiti	ry Third Holder Name		
Client ID	40619012	Sagar Sonaba Patekar,	Benificiary SEcond Hol	der Name, Chetan	Athok Angane		
1) 50000000049 2) NDU	5 1) DVE111A01025 2) CONTAINER C 5 3) 38/12/2017	ORPN NSDTEST	1) 10.000 2)		 Cancelled Open order c Hold Master 	ncellation Report	1) 2) 3)

Back Office Impact: Yes.

Informed to Participants vide NSDL circular NSDL/POLICY/2017/0073 dated December 20, 2017.

2. Incorporation of New Client Type and Client Sub Types in DPM system:

Following new client types and client sub-types will be incorporated in the Client Maintenance Module of DPM System:

		New Client	type	
New Client Type	Code of Client Type	New Client sub-type	Code of Client sub- type	Remark
QIB	18	Public Financial Institution – Sec.2(72) of Companies Act 2013	1	For opening account of a public financial institution as defined in section 4A of the Companies Act, 1956 (correspondent section of Companies Act, 2013).
		Venture Capital Fund Registered with SEBI	2	For opening of account of Venture Capital Funds registered with SEBI.

		Financial Institution	3	multilateral development
	-			financial institution
		Bilateral Development		For opening account of bilateral
		Financial Institution	4	development financial
	_			institution
		State Industrial		For opening account of a state
		Development Corporation	5	industrial development
	_			corporation
				For opening account of
				insurance company registered
		Insurance Company		with the Insurance Regulatory
		Registered with IRDA	6	and Development Authority.
		Registered with high		Date and Reference number of
				approval received from IRDA
				shall be mandatory
				For opening account of a
		Provident Fund - Corpus Rs.	7	provident fund with minimum
		25 crore & more	,	corpus of twenty five crore
	-			rupees;
				For opening account of a
		Pension Fund - Corpus Rs.	8	pension fund with minimum
		25 crore & more		corpus of twenty five crore
				rupees;
				For opening account of National
				Investment Fund set up by
		National Investment Fund –	9	resolution no. F. No. 2/3/2005-
		Govt. of India		DDII dated November 23, 2005
				of the Government of India
	-			published in the Gazette of India
		La companya a Francis America		For opening account of
		Insurance Funds – Armed	10	Insurance funds set up and
		Forces – Govt. of India		force of the Union of India
	-			For opening account of
		Incurance Funds		For opening account of
		Department of Past India	11	monored by Doportmont of Post
				India
l				
		New Client su	ıb-type	
Client	Code of	Now Client sub tune	Code of	Pomark
Туре	Туре	New Chefit Sub-type	sub-type	
				For opening account of Foreign
Body		Foreign Government	35	Government can open this
Corporate	5			account to hold shares which
				are not coming under FPI
1		Overseas Depository –	36	For opening account to hold the

				(which don't fulfill the conditions laid down in Rule 2 (e) of Securities Contracts (Regulation) Rules 1957). Rule 2(e) "public shareholding" means equity shares of the company held by public and shall exclude shares which are held by custodian against depository receipts issued overseas.
		Employee Stock Option	1	For opening account for the Employee Stock Option scheme. Employee stock option scheme is a scheme under which a company grants employee stock option directly or through a trust in accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
Trust	9	Employee Stock Purchase	2	Employee Stock Purchase scheme is a scheme under which a company offers shares to employees, as part of public issue or otherwise, or through a trust where the trust may undertake secondary acquisition for the purposes of the scheme. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
		Stock Appreciation Right	3	For opening account for the Stock Appreciation Rights. Stock Appreciation Rights (SAR) scheme is a scheme under which a company grants SAR to employees. SAR means a right given entitling him to receive appreciation for a specified number of shares of the company where the settlement of such appreciation may be made by way of cash payment

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General Employee Benefit Scheme	4	or shares of the company. An SAR settled by way of shares of the company shall be referred to as equity settled SAR. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014 For opening account for the General employee benefits scheme. General employee benefits scheme is any scheme of a company framed in accordance with these regulations, dealing in shares of the company or the shares of its listed holding company, for the purpose of employee welfare including healthcare benefits, hospital care or benefits, or benefits in the event of sickness, accident, disability, death or scholarship funds, or such other benefit as specified by such company. In accordance with SEBI (Share Based Employee Benefits)
Retirement Benefit Scheme	5	Regulations, 2014 For opening account for the Retirement benefit schemes. Retirement benefit schemes means a scheme of a company, framed in accordance with these regulations, dealing in shares of the company or the shares of its listed holding company, for providing retirement benefits to the employees subject to 5 compliance with existing rules and regulations as applicable under laws relevant to retirement benefits in India. In accordance with SEBI (Share Based Employee Benefits) Regulations, 2014
Other - Employee Benefit Trust (Old Scheme)	6	Other employees benefit trust – not covered under SEBI (Share Based Employee Benefits)

			Regulations, 2014
	Others	7	Trust accounts other than above

Back office impact: Yes

Changes in static data in respect of new client type and client sub types were informed vide NSDL Circular No. NSDL/POLICY/2018/0011 dated February 8, 2018.

3. Incorporation of Aadhaar field under Individual Client Types and Client sub-types.

Currently Aadhaar field is enabled in NSDL DPM system for the client type viz., Resident and Client sub type viz., Ordinary. Participants are hereby informed that Aadhaar field (optional) is now enabled in below mentioned Individual Client type and Client sub types:

Client Type Description	Client Sub type description	
Resident	Stock Broker – Client	
Resident	HUF	
Resident	Promoter	
Resident	HUF Promoter	
Resident	Stock Broker - Proprietary	
Resident	Stock Broker - Collateral	
Resident	Ordinary – DR	
NRI	NRI – Repatriable	
NRI	NRI Non-Repatriable	
NRI	DR	
NRI	NRI-Repatriable-Promoter	
NRI	NRI NonRepatriable – Promoter	
Foreign National	DR	
Foreign National	FN	
Foreign National	FN-Promoter	

Back office impact: No