

Circular No.: NSDL/CIR/II/13/2021

June 18, 2021

Subject: Release of DPM-SHR System Version 7.7.10 - Enhanced Due Diligence for Dematerialisation of Physical Securities

This is further to NSDL Circular No. NSDL/CIR/II/7/2021 dated May 03, 2021 providing to Issuers/R&T Agents the revised file format in respect of the back-office interface for Dematerialisation Request confirmation upload for dematerialisation requests for Enhanced Due Diligence as per SEBI Circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2019/122 dated November 05, 2019.

All Issuers/R&T Agents are hereby informed that DPM-SHR System version 7.5.22 is scheduled to be released at EOD of June 18, 2021. The following changes in the 'Reason for Acceptance' while confirmation of Dematerialisation Request by the Issuers/R&T Agents, will be effective in NSDL DPM-SHR System.

Added / Included		Removed / Discontinued	
Reason Code	Reason Description	Reason Code	Reason Description
8	Minor name mismatch	99	Others (Please specify)

The new/revised list of 'Reason for Acceptance' are listed in the table below:

New/ Revised Reason for Acceptance	
Reason Code	Reason Description
1	Name change by Gazette Notification
2	Name change due to marriage
3	Aadhar Card
4	Passport
5	**ROM Changes after upload of static database – Transmission
6	**ROM Changes after upload of static database – Transposition
7	**ROM Changes after upload of static database – Transfer
8	Minor name mismatch

Issuers/R&T Agents are advised to obtain/ verify the additional documents provided by the demat account holder(s) for confirmation of dematerialisation request as per the guidelines issued vide abovementioned SEBI Circular dated November 05, 2019 and provide the 'Reason for Acceptance' by selecting/mentioning appropriate reason as given in the table above.

All Issuers/R&T Agents are requested to take note of the above and inform your clients suitably. For any information/clarifications, Issuers/R&T Agents are requested to contact NSDL Help Desk at (022) 2499 4600 or by email at helpdesk@nsdl.co.in.

For and on behalf of

National Securities Depository Limited

Prathmesh Mungle
Senior Manager