

Circular No.: NSDL/CIR/II/12/2021

June 18, 2021

**Subject: Submission of Compliance Certificate by RTAs/Issuers having direct electronic connectivity with NSDL.**

Attention of RTAs/ Issuers having direct electronic connectivity with NSDL is invited to Circular No. NSDL/CIR/II/25/2020 dated July 06, 2020 and Circular No. NSDL/CIR/II/51/2020 dated December 24, 2020 regarding submission of half yearly Compliance Certificate by RTAs/ Issuers having direct electronic connectivity in prescribed format by January 31 and July 31 of every year.

In this context, the Compliance Certificate format has been modified as enclosed as **Annexure 1** in track change mode and **Annexure 2** (without highlighting the changes).

The Compliance Officer will be required to submit the digitally signed Compliance Certificate online through e-PASS platform using login credentials.

For further information / assistance, RTAs/Issuers connected to NSDL are requested to contact Mr. Vikram Guntuka, Tel: (022) 2499 [4857/vikramg@nsdl.co.in](mailto:4857/vikramg@nsdl.co.in) and for technical queries, RTAs/Issuers connected to NSDL are requested to contact Ms. Harshada Pansare, Tel: (022) 2499 4119 [/harshadap@nsdl.co.in](mailto:/harshadap@nsdl.co.in) or Ms. Snehal Patil, Tel: (022) 4216 5572.

RTAs/Issuers connected to NSDL are advised to take note of the above and ensure compliance.

For and on behalf of

**National Securities Depository Limited**

**Prathmesh Mungle  
Senior Manager**

Encl.: a/a