

Circular No.: NSDL/CIR/II/11/2024

June 14, 2024

Subject: Updation of Auditor details on the NSDL ePASS portal

Attention of RTAs/Issuers having direct connectivity with NSDL, is invited to NSDL circular no. NSDL/CIR/II/04/2024 dated April 05, 2024, wherein it is informed that, any one person conducting the internal audit should obtain certification from the National Institute of Securities Markets (NISM) by passing the NISM Series II A: RTAs (corporate) certificate exam and NISM Series IIB: RTAs (Mutual Fund) certificate exam (applicable only for Mutual Fund processing RTAs. Alternatively, such persons, if eligible, may undergo Continuing Professional Education (CPE) program for depository operations (details available on www.nism.ac.in).

In this regard, RTAs/Issuers having direct connectivity are hereby informed that, NSDL has enhanced the module of 'Auditor Master' on NSDL e-PASS (<https://www.epass.nsd.com/epass/>), whereby the fields to capture the NISM Certificate details of the auditor (i.e. certificate number and validity date) and address of the audit firm is now made **mandatory**.

RTAs/Issuers having direct connectivity are requested to update the above-mentioned details in the 'Auditor Master' module for existing auditors and for new auditors appointed hereafter before submission of Internal Report (IAR) through e-PASS. The procedure for addition/modification/deactivation of Auditor login ID is enclosed at **Annexure A**.

RTAs/Issuers directly connected to NSDL, are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Rakesh Mehta
Vice President

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