



Procedure for obtaining validation for the foreign portfolio investors from NSDL

1. RTAs shall provide the following details in an Excel Format at email id viz., fpimonitor@nsdl.co.in to NSDL in respect of Foreign Portfolio Investors (FPIs) which they intend to validate:

Name of the Company				
ISIN				
Type of Issue/offering				
Issue size (in Rs)				
DP ID	Client ID	PAN	SEBI – FPI Registration No.	Name of the FPI Applicant

- RTAs should mandatorily provide DP ID-Client ID or PAN or SEBI FPI Registration number of the FPI applicant in order to validate the information.
 - Name of the FPI applicant may be additionally provided, if available.
2. NSDL will validate the aforesaid information requested by RTAs with FPI Grouping Information as available with NSDL.
 3. The response to the requested information will be provided to the RTAs in the below mentioned format :

Input Criteria entered by Issuer/RTA					NSDL Response	
DP ID	Client ID	PAN	SEBI – FPI Registration No.	Name of the FPI Applicant	Whether forming part of FPI – Same Investor Group (Yes or No)	If Yes, NSDL assigned FPI Group ID



4. A fee will be levied to Issuers/R&T Agents on issue size of the primary issuance as under plus applicable taxes:

Issue Size (In Rs.)	Amount (Rs.)
Upto 10 crore	7,500
Above 10 crore and upto 100 crore	18,750
Above 100 crore and upto 1000	37,500
Above 1000 crore	50,000