

**NATIONAL SECURITIES DEPOSITORY LIMITED**

# **LOCAL DPM CMA UPLOADS**

## **File Formats**

***This document specifies file format of Client Creation Upload -I for LOCAL DPM System.***

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## Version History

Sr. No.	Version	Description	Upload Type	Place of Field
1	2.7	Mode of Operation	.PRI File	1035
2	2.7	Communications to be sent to	.PRI File	1036
3	2.7	'Beneficial owner account of PMS' Flag	.PRI File	1263
4	2.6	ISD code for Mobile no of first Holder	.PRI File	1334-1339
5	2.6	ISD code for Mobile no of second Holder	.PRI File	1422-1427
6	2.6	ISD code for Mobile no of third Holder	.PRI File	1509-1515
7	2.6	Gross Annual Income Range	.PRI File	974-975
8	2.6	Net Worth	.PRI File	976-993
9	2.6	Net Worth Date	.PRI File	994-1001
10	2.6	LEI No.	.PRI File	1043-1062
11	2.5	Demat Gateway	.PRI File	1275
12	2.4	Incorporation of Country Code in the client address field	.ADD File	For Non Individual and CM: 214-216 For Individual: 318-320
13	2.4	Incorporation of State Code in the client address field	.ADD File	For Non Individual and CM: 217-218 For Individual: 321-322
14	2.3	Virtual ID (VID) Of Karta	.PRI File	554-570
15	2.3	Virtual ID (VID) Of First Holder	.PRI File	708-724
16	2.3	Virtual ID (VID) Of Second Holder	.PRI File	738-754
17	2.3	Virtual ID (VID) Of Third Holder	.PRI File	768-784
18	2.3	Virtual ID (VID) Of Nominee	.PRI File	301-317
19	2.3	One Time Declaration Flag for GSEC IDT	PRI File	1276
20	2.2	Aadhaar Authenticated with UIDAI for First Holder	.PRI File	1545
21	2.2	Aadhaar Authenticated with UIDAI for Second Holder	.PRI File	1561
22	2.2	Aadhaar Authenticated with UIDAI for Third Holder	.PRI File	1577
23	2.2	Reason for Non-Updation of Aadhaar of First Holder	.PRI File	1546-1547
24	2.2	Reason for Non-Updation of Aadhaar of Second Holder	.PRI File	1562-1563
25	2.2	Reason for Non-Updation of Aadhaar of Third Holder	.PRI File	1578-1579
26	2.2	UPI ID	.PRI File	1576-1844
27	2.2	Number of Coparceners / Members	.PRI File	375-376
28	2.2	Date of Formation	.PRI File	377 - 384
29	2.2	Ancestral Flag	.PRI File	385
30	2.2	Name of Karta	.PRI File	386 - 430

31	2.2	Fathers Name of Karta	.PRI File	431 - 475
32	2.2	Gender of Karta	.PRI File	476
33	2.2	DOB of Karta	.PRI File	477 - 484
34	2.2	PAN of Karta	.PRI File	485 - 494
35	2.2	AADHAAR of Karta	.PRI File	495 - 506
36	2.2	COPARCENER CLIENT MAPPING DETAILS	.CPR File	001-109
37	2.1	For Client Type 18 = 'QIB' and subtype 6 = "Insurance Company Registered with IRDA", "IRDA Ref. No." has been introduced.	.PRI File	1063-1112
38	2.1	For Client Type 18 = 'QIB' and subtype 6 = "Insurance Company Registered with IRDA", "IRDA Approval Date" has been introduced.	.PRI File	1113-1120
39	2.0	Custodian Flag	.PRI File	33-34/79-80/32-33
40	2.0	Alternate email ID for first holder	.PRI File	169-219/80-130/33-88
41	2.0	Alternate email ID for second holder	.PRI File	219-269/130-180/88-138
42	2.0	Alternate email ID for third holder	.PRI File	269-319/180-230/138-188
43	2.0	Second Nominee Name	.PRI File	374-419
44	2.0	Second Minor Nominee's Guardian Name	.PRI File	419-464
45	2.0	Third Nominee Name	.PRI File	554-599
46	2.0	Third Minor Nominee's Guardian Name	.PRI File	599-644
47	2.0	Nominee Minor Indicator	.PRI File	835
48	2.0	Date of Birth of second Nominee	.PRI File	981
49	2.0	Date of Birth of third Nominee	.PRI File	1273
50	2.0	Additional Details of Nominee	.ADD File	223-301

## 1 CLIENT MASTER CREATION UPLOAD

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### 1.1 OVERVIEW

The upload facilitates DPs and CCs to upload Client details generated in their back-offices into the DPM system. The process is achieved through the use of following flat-files

- PRI file containing the primary information of the Client.
- ADD file containing the address information of the Client.
- ATH file containing the authorized signatory details.
- POA file containing the authorized signatory details.
- SGN files containing the signature details.
- FTXT file containing the Reference & description details.

While uploading the files, the DP or CC needs to take care of the following salient features:

The .PRI, .ADD, .ATH & .POA files must all be present at the time of the upload. The .SGN files must be present if the signature information is given in the .PRI file. The .SGN files are expected in TIFF 4 format. The FTXT file must be present if Reference & description details client is provided.

In the record Header, the Batch number and Branch Code combination must be unique. All the files should have the same Batch Number, Branch code and DP ID. The Business Partner (BP) Role is expected to be '01' always. The total number of records must correspond to the total number of detail records. Further, after the file is uploaded, the DPM system will generate response file, if no error is found. Otherwise, the DPM system would generate .err file in case of error in the file uploaded.

### 1.2 INSTRUCTIONS

1. There should not be repetition of line number.
2. For a particular Client there should be only one record for primary and address details, and but there can be multiple records for authorized signatory details and POA details.
3. In creation all files are mandatory (.pri , .ath , .Poa , .Add and .sgn ) file should be present if the signature length file is specified in the .pri file. The size of each .sgn file should not exceed 64 K. Zip file containing those files should be uploaded to the system. The FTXT file must be present if Reference & description details client is provided.
4. Multiple occurrences of any file except signature file are not allowed.
5. Any file other than the mentioned files are not allowed.
6. The uploaded zip file should not contain any other folder.

### 1.3 SORTING

Field	Ascending
Line number	Yes

## 1.4 PRIMARY DETAILS (.PRI FILE)

### 1.4.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	53	One (First) record per file
Detail	02	1593	Second record onwards, multiple per file.

### 1.4.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	01 - Header
Branch Code	Character	6	16	M	
DP ID	Character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Detail Records	Integer	7	33	M	
Sender Date	Date	8	41	O	
User ID (Upload)	Character	8	49	O	
Filler	Character	4	53	O	

### 1.4.3 Detail Record

**Non House Clients (Client Type: FI, Body Corporate, Mutual fund, Trust, Bank, Foreign Portfolio Investor (Corporate), Alternate Investment Funds , QIB, HUF.**

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Primary Detail Record (02)
Line Number	Integer	7	9	M	Starts from 0000001 unique and serial for each client
Transaction status	Character	1	10	M	Always 'A'
Beneficiary Account Category	Integer	2	12	M	Always '02'
Beneficiary Type	Integer	2	14	M	
Beneficiary Sub Type	Integer	2	16	O	Mandatory when Sub Type exists.
Beneficiary Short name	Character	16	32	M	
Filler	Character	1	33	O	Must be spaces
Custodian Flag	Character	1	34	O	Y - Yes, N/Space
Beneficiary First Holder Name	Character	135	169	M	
Alternate Email ID for First	Character	50	219	O	

Holder					
Alternate Email ID for Second Holder	Character	50	269	0	
Alternate Email ID for Third Holder	Character	50	319	0	
Fillers	Character	10	329	0	Must be spaces
Beneficiary Second Holder Name	Character	45	374	0	
Number of Coparceners / Members	Integer	2	376	O/M	Mandatory for Client Type 'HUF'
Date of Formation	Date	8	384	O/M	Should be YYYYMMDD format. Mandatory for Client type HUF else Ancestral Flag should be Y (i.e. 1).
Ancestral Flag	Integer	1	385	O/M	0 – No/1 – Yes. Applicable for Client type HUF if Date of Formation is not known.
Name Of Karta	Character	45	430	O/M	Mandatory for Client Type 'HUF'
Fathers Name Of Karta	Character	45	475	0	
Gender Of Karta	Integer	1	476	O/M	1 – Male / 2 – Female Mandatory for Client Type 'HUF'
DOB Of Karta	Date	8	484	O/M	Should be YYYYMMDD format Mandatory for Client Type 'HUF'
PAN Of Karta	Character	10	494	O/M	Income Tax PAN. Must be Alphanumeric. Mandatory for Client Type 'HUF'
AADHAAR Of Karta	Integer	12	506	0	In case of <b>HUF &amp; HUF Promoter</b> , It's a Karta's Aadhaar
Fillers	Character	3	509	0	Must be spaces
Beneficiary Third Holder Name	Character	45	554	0	
Virtual ID (VID) Of Karta	Character	16	570	0	In case of <b>HUF &amp; HUF Promoter</b> , It's a Karta's Virtual ID generated against Aadhaar.
Fillers	Character	127	697	0	Must be spaces

Address preference flag	Character	1	698	M	<b>For Local client :</b> Y means Local Address N means correspondence Address  <b>For Foreign Client :</b> Y means Local Address N means Foreign Address
First Holder PAN	Character	10	708	M	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	20	728	O	Must be spaces
Second Holder PAN	Character	10	738	O	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	20	758	O	Must be spaces
Third Holder PAN	Character	10	768	O	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	128	896	O	Must be spaces
Standing Instruction Indicator	Character	1	897	M	Y - Yes, N - Otherwise
Beneficiary Bank Account No.	Character	30	927	M	
Beneficiary Bank Name	Character	35	962	M	
IFSC	Character	11	973	O	Alpha Numeric.
Gross Annual Income Range	Character	2	975	M	<b>Select Value from range Non -Individual – I OR Non -Individual- II</b>  For value refer Static Data code sheet.
Net Worth	Number	18	993	O	16+2 digits without decimal e.g. for Rs. <b>1000</b> it should be entered as <b>0000000000100000</b> for Rs. <b>1000.23</b> it should be entered as <b>00000000000100023</b>
Net Worth Date	Date	8	1001	O/M	It Should be in YYYYMMDD format. It will be Mandatory, if Net Worth is provided.
Fillers	Character	41	1042	O	Must be spaces
LEI No.	Character	20	1062	O	Alpha Numeric.
RBI Reference No. / IRDA Ref. No.	Character	50	1112	O	<b>“RBI Reference No”</b> Mandatory for Client Type ‘FII’, ‘Body Corporate’, with Sub Types ‘OCB’, ‘Foreign Bodies’, ‘Foreign Government’, ‘Overseas Depository – Underlying DR’,



					<p>Mandatory for Client Type viz. 'QIB' with sub types viz., 'Multilateral Development Financial Institution', 'Bilateral Development Financial Institution'.</p> <p><b>"IRDA Ref. No."</b> Mandatory for Client Type 'QIB' with Sub Type viz., 'Insurance Company Registered with IRDA'</p>
RBI Approval date / IRDA Approval Date	Date	8	1120	0	<p><b>"RBI Approval date"</b> Mandatory for Client Type 'FII', 'Body Corporate', with Sub Types 'OCB', 'Foreign Bodies', 'Foreign Government', 'Overseas Depository – Underlying DR',</p> <p>Mandatory for Client Type viz. 'QIB' with sub types viz., 'Multilateral Development Financial Institution', 'Bilateral Development Financial Institution', and combination (DATE FORMAT: YYYYMMDD)</p> <p><b>"IRDA Approval Date"</b> Mandatory for Client Type 'QIB' with Sub Types 'Insurance Company Registered with IRDA' combination (DATE FORMAT :YYYYMMDD)</p>
SEBI / FPI Registration No.	Character	24	1144	0	<p>Mandatory for Client Type 'FII', 'FPI', 'AIF', Length Validation for client type viz., AIF with 14 digit Registration Number</p>
Beneficiary Tax Deduction Status	Character	20	1164	0	
Local address present	Character	1	1165	M	<p>Always 'Y'. Can be 'N' in case of Type QFI, FPI, Client Type viz., Body</p>

					Corporate with Sub Type viz., Foreign Government & Overseas Depository – Underlying DR, Client Type viz., QIB with Sub type viz., Multilateral Development Financial Institution & Bilateral Development Financial Institution.
Bank address present	Character	1	1166	M	Always 'Y'.
Nominee/Guardian address present	Character	1	1167	M	Always 'N'.
Minor Nominee's Guardian Address present	Character	1	1168	M	Always 'N'
Foreign/Correspondence address present	Character	1	1169	M	<p>If Address preference flag is Y then 'Y' or 'N'</p> <p>If Address preference flag is N then only 'Y'</p> <p>If flag is 'Y' then address must be present in .add file.</p> <p>Foreign Address mandatory for Client Type QFI,FPI, Client Type viz., Body Corporate with Sub Type viz., LLP, LLP(DR), Foreign Government &amp; Overseas Depository – Underlying DR, Client Type viz., QIB with Sub type viz., Multilateral Development Financial Institution &amp; Bilateral Development Financial Institution.</p>
No. of first holder authorized signatories	Integer	2	1171	0	
No. of sec holder authorized signatories	Integer	2	1173	0	
No. of third holder authorized signatories	Integer	2	1175	0	
Size of signature	Integer	5	1180		
Sender reference number 1	Character	35	1215	0	Not stored
Sender reference number 2	Character	35	1250	0	Not stored
MICR Code	Character	9	1259	0	
Bank Acct Type	Integer	2	1261	M	10,11,13 valid values

RGESS Flag	Character	1	1262	0	Y for RGESS Client or N for Non-RGESS Client. (If nothing is entered it will be considered as 'N').
'Beneficial owner account of PMS' Flag	Character	1	1263	0	PMS Flag Yes: Y PMS Flag No: N / Space
Auto Pledge Indicator	Character	1	1264	0	Y or N (If nothing is entered it will be considered as 'N').
'Client Option to receive e-Statement' Flag	Character	1	1265	0	Y: Electronic Statement and If Y, Email id of first holder must be present. N or Space: Physical Statement
Fillers	Character	9	1274	0	Must be Spaces.
Demat Gateway	Character	1	1275	0	Y- Yes, N - No  In case Demat Gateway is 'Y' then SMS Flag as 'Y' and First Holder Mobile no. are Mandatory.
One Time Declaration Flag for GSEC IDT	Character	1	1276	0	Y- Yes, N- No
Number of Poa mapping for first holder	Integer	5	1281	0	
Email ID of first holder	Character	50	1331	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for first holder	Character	1	1332	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of first holder should be present.
Filler	Character	1	1333	0	Must be spaces
ISD code for Mobile no of First Holder	Numeric	6	1339	0	Refer Static Code sheet for details
Mobile No of First holder	Numeric	13	1352	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for first holder	Character	1	1353	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present

Auth Flag for first holder	Character	1	1354	O	'Y' or 'N' Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for first holder	Character	1	1355	M	Refer Static Code sheet for details
Filler	Character	5	1360	O	Must be spaces
Number of Poa mapping for second holder	Integer	5	1365	O	
Filler	Character	4	1369	O	Must be spaces
Email ID of second holder	Character	50	1419	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for first holder for Second Holder	Character	1	1420	O	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of Second holder should be present.
Filler	Character	1	1421	O	Must be spaces
ISD code for Mobile no of Second Holder	Numeric	6	1427	O	Refer Static Code sheet for details
Mobile no of Second Holder	Numeric	13	1440	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for second holder	Character	1	1441	O	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for Second holder	Character	1	1442	O	'Y' else 'N' or spaces  Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Second Holder	Character	1	1443	M	Refer Static Code sheet for details
Filler	Character	5	1448	O	Must be spaces
Number of Poa mapping for third holder	Integer	5	1453	O	
Filler	Character	4	1457	O	Must be spaces
Email ID of Third Holder	Character	50	1507	M	

Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for first holder for Third Holder	Character	1	1508	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of Third holder should be present.
Filler	Character	1	1509	0	Must be spaces
ISD code for Mobile no of Third Holder	Numeric	6	1515	0	Refer Static Code sheet for details
Mobile no of Third Holder	Numeric	13	1528	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for third holder	Character	1	1529	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for Third holder	Character	1	1530	0	'Y' else 'N' or spaces Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Third holder	Character	1	1531	M	Refer Static Code sheet for details
Account for holding and dealing in electronic warehouse receipts	Character	1	1532	0	'Y' for Commodity Client and 'N' or space for Non Commodity Client.
Aadhaar for first holder	Integer	12	1544	0	
Filler	Character	4	1548	0	Must be spaces
Aadhaar for second holder	Integer	12	1560	0	
Filler	Character	4	1564	0	Must be spaces
Aadhaar for third holder	Integer	12	1576	0	
Filler	Character	12	1588	0	Must be spaces
UPI ID	Character	256	1844	0	Alpha Numeric. "First Holder Mobile no." and "SMS Flag" as 'Y' is mandatory.

**Non House Clients (Individual) Client Type: Resident, NRI, Foreign National & Foreign Portfolio Investor (Individual).**

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Primary Detail Record (02)

Line Number	Integer	7	9	M	Starts from 0000001 unique and serial for each client
Transaction status	Character	1	10	M	Always 'A'
Beneficiary Account Category	Integer	2	12	M	Always '02'
Beneficiary Type	Integer	2	14	M	
Beneficiary Sub Type	Integer	2	16	O	If Sub Type exists then mandatory.
Beneficiary Short name	Character	16	32	M	
Beneficiary Occupation	Integer	2	34	M	
Beneficiary First Holder Name	Character	45	79	M	
Custodian Flag	Character	1	80	M	Y - Yes, N - Otherwise
Alternate Email ID for First Holder	Character	50	130	O	
Alternate Email ID for Second Holder	Character	50	180	O	
Alternate Email ID for third Holder	Character	50	230	O	
Fillers	Character	54	284	O	Must be spaces
First Holder Father/Husband Name	Character	45	329	O	
Beneficiary Second Holder Name	Character	45	374	O	
Second Nominee Name	Character	45	419	O	
Second Minor Nominee's Guardian Name	Character	45	464	O	Mandatory If Nominee Minor Indicator field has value 2,4,6,7
Second Holder Father/Husband Name	Character	45	509	O	
Beneficiary Third Holder Name	Character	45	554	O	
Third Nominee Name	Character	45	599	O	
Third Minor Nominee's Guardian Name	Character	45	644	O	Mandatory If Nominee Minor Indicator field has value 3,5,6,7
Third Holder Father/Husband Name	Character	45	689	O	
Fillers	Character	8	697	O	Must be spaces
Address preference flag	Character	1	698	M	<b>For Resident client :</b> Y means Local N means correspondence  <b>For NRI &amp; Foreign National:</b> Y means Local N means Foreign
First Holder I.T. P.A.N.	Character	10	708	M	Income Tax PAN
Virtual ID (VID) Of First Holder	Character	16	724	O	As generated against

					Aadhaar
Filler	Character	4	728	0	Must be spaces
Second Holder P.A.N.	Character	10	738	0	
Virtual ID (VID) Of Second Holder	Character	16	754	0	As generated against Aadhaar
Filler	Character	4	758	0	Must be spaces
Third Holder P.A.N.	Character	10	768	0	
Virtual ID (VID) Of Third Holder	Character	16	784	0	As generated against Aadhaar
Filler	Character	4	788	0	Must be spaces
Nominee/Guardian Indicator	Character	1	789	0	N – Nominee, G – Guardian, Space otherwise
Nominee/Guardian Name	Character	45	834	0/M	If Nominee/Guardian Indicator is 'G' or 'N' and Nominee identifier is: 1 in case of Nominee i.e. 'N' then it is mandatory.
Nominee Minor Indicator	Character	1	835	0	For Old Cases Y-Yes if Nominee is minor and Nominee/Guardian Indicator is 'N'. Else space. For New Cases 1 If 1 <sup>st</sup> Nominee is minor 2 If 2 <sup>nd</sup> Nominee is minor 3 If 3 <sup>rd</sup> Nominee is minor 4 if 1 <sup>st</sup> and 2 <sup>nd</sup> Nominees are minor 5 if 1 <sup>st</sup> and 3 <sup>rd</sup> Nominees are minor 6 if 2 <sup>nd</sup> and 3 <sup>rd</sup> Nominees are minor 7 if all Nominees are minor Else N or Space
First Minor Nominee's Guardian Name	Character	45	880	0	Mandatory If Nominee Minor Indicator field has value 1,4,5,7

Date of Birth (In case of Minor/First Holder)	Date	8	888	M	If Nominee/Guardian Indicator is 'G' then Date of Birth will be considered as Minor. (DATE FORMAT ::YYYYMMDD) If Nominee/Guardian Indicator is 'N/Space', then DOB will not be considered as Minor. Mandatory effective September 1, 2015
Date of Birth of 1 <sup>st</sup> Minor Nominee	Date	8	896	M	If Nominee/Guardian Indicator is 'N' and Nominee Minor Indicator is 'Y'/1/4/5/7 then Mandatory. (DATE FORMAT ::YYYYMMDD)
Standing Instruction Indicator	Character	1	897	M	Y – Yes, N – Otherwise
Beneficiary Bank Account No.	Character	30	927	M	
Beneficiary Bank Name	Character	35	962	M	
IFSC	Character	11	973	O	Alpha Numeric.
Date of Birth of 2 <sup>nd</sup> Minor Nominee	Date	8	981	M	If Nominee/Guardian Indicator is 'N' and Nominee Minor Indicator is 'Y'/2/4/6/7 then Mandatory. (DATE FORMAT ::YYYYMMDD)
Date of Birth of Second Holder	Integer	8	989	M	(DATE FORMAT: YYYYMMDD). Mandatory effective <b>September 1, 2015</b>
Date of Birth of Third Holder	Integer	8	997	M	(DATE FORMAT: YYYYMMDD). Mandatory effective <b>September 1, 2015</b>
Family Flag for Email ID of First Holder	Character	1	998	O	Can be Y or 'N/space'. If 'Y' Email ID should be present for first holder
Family Flag for Mobile Number of First Holder	Character	1	999	O	Can be Y or 'N/space'. If 'Y' Mobile number should be present for first holder
Family Flag for Email ID of Second Holder	Character	1	1000	O	Can be Y or 'N/space'. If 'Y' Email ID should be present for second holder



Family Flag for Mobile Number of Second Holder	Character	1	1001	0	Can be Y or 'N/space'. If 'Y' Mobile number should be present for second holder
Family Flag for Email ID of Third Holder	Character	1	1002	0	Can be Y or 'N/space'. If 'Y' Email ID should be present for third holder
Family Flag for Mobile Number of Third Holder	Character	1	1003	0	Can be Y or 'N/space'. If 'Y' Mobile number should be present for third holder
SARAL	Character	1	1004	0	Can be Y or 'N/space. If Flag is Y, 'Applicable only for Resident - Ordinary and Second and Third Holder fields will be disabled.
Proof of Residence / Correspondence Address not Submitted	Character	1	1005	0	
Positive Confirmation #	Character	1	1006	0	Must be spaces
Gross Annual Income Range	Character	2	1008	M	For value refer Static Data code sheet.
Net Worth	Number	18	1026	0	16+2 digits without decimal e.g. for Rs. <b>1000</b> it should be entered as <b>0000000000100000</b> for Rs. <b>1000.23</b> it should be entered as <b>000000000000100023</b>
Net Worth Date	Date	8	1034	O/M	It Should be in YYYYMMDD format. It will be Mandatory, if Net Worth is provided.
Mode of Operation	Number	1	1035	O/M	For value refer Static Data code sheet. Applicable only in case of Individual Joint holders.
Communications to be sent to	Number	1	1036	O/M	For value refer Static Data code sheet. Applicable only in case of Individual Joint holders.
Fillers	Character	26	1062	0	Must be spaces
Beneficiary RBI Reference No.	Character	50	1112	0	Mandatory for Client Type NRI & Foreign National.
Beneficiary RBI Approval date	Date	8	1120	0	Mandatory for Client Type NRI & Foreign National. (DATE FORMAT

					::YYYYMMDD)
Beneficiary SEBI Registration No.	Character	24	1144	O	Mandatory for Client Type 'FPI'.
Beneficiary Tax Deduction Status	Character	20	1164	O	
Local address present	Character	1	1165	M	Always 'Y'. Can be 'N' in case of Type QFI and FPI
Bank address present	Character	1	1166	M	Always 'Y'.
Nominee/Guardian address present	Character	1	1167	M	Y – present in .add file N-not present in .add file
Minor Nominee's Guardian Address present	Character	1	1168	M	Y – present in .add file N-not present in .add file
Foreign/Correspondence address present	Character	1	1169	M	If Address preference flag is Y then 'Y' or 'N' If Address preference flag is N then only 'Y' If flag is 'Y' then address must be present in .add file.
No. of first holder authorized signatories	Integer	2	1171	O	
No. of sec holder authorized signatories	Integer	2	1173	O	
No. of third holder authorized signatories	Integer	2	1175	O	
Size of signature	Integer	5	1180	O	Size in bytes
Sender reference number 1	Character	35	1215	O	Not stored
Sender reference number 2	Character	35	1250	O	Not stored
MICR Code	Character	9	1259	O	
Bank Acct Type	Integer	2	1261	M	10,11,13 valid values
RGESS Flag	Character	1	1262	O	Y for RGESS Client or N for Non-RGESS Client. (If nothing is entered it will be considered as 'N').
BSDA Flag	Character	1	1263	O	Y for BSDA Client or N for Non-BSDA Client. (If nothing is entered it will be considered as 'N').
Auto Pledge Indicator	Character	1	1264	O	Y or N (If nothing is entered it will be considered as 'N').

'Client Option to receive e-Statement' Flag	Character	1	1265	0	Y: Electronic Statement and If Y, Email id of first holder must be present. N or Space: Physical Statement
Date of Birth of 3 <sup>rd</sup> Minor Nominee	Date	8	1273	M	If Nominee/Guardian Indicator is 'N' and Nominee Minor Indicator is 'Y'/3/6/7 then Mandatory. (DATE FORMAT ::YYYYMMDD)
Filler	Character	1	1274	0	Must be Spaces.
Demat Gateway	Character	1	1275	0	Y- Yes, N - No  In case Demat Gateway is 'Y' then SMS Flag as 'Y' and First Holder Mobile no. are Mandatory.
One Time Declaration Flag for GSEC IDT	Character	1	1276	0	Y- Yes, N- No
Number of Poa mapping for first holder	Integer	5	1281	0	
Email ID of First Holder	Character	50	1331	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for First Holder	Character	1	1332	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of first holder should be present.
Filler	Character	1	1333	0	Must be spaces
ISD code for Mobile no of First Holder	Numeric	6	1339	0	Refer Static Code sheet for details
Mobile no of First Holder	Numeric	13	1352	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for first holder	Character	1	1353	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present

Auth Flag for first holder	Character	1	1354	0	'Y' or 'N' Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for First holder	Character	1	1355	M	Refer Static Code sheet for details
No Nomination Flag For first holder	Character	1	1356	0	'Y' if Yes. Or N or spaces
Filler	Character	4	1360	0	Must be spaces
Number of Poa mapping for second holder	Integer	5	1365	0	
Filler	Character	1	1366	0	
Flag for Share Percentage Equality	Integer	1	1367	0	1 for Yes 0/Space for No
Second Nominee Guardian details same as First Nominee Guardian	Integer	1	1368	0	Either 0 or 1
Third Nominee Guardian details same as First Nominee Guardian	Integer	1	1369	0	Either 0 or 1
Email ID of Second Holder	Character	50	1419	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for second Holder	Character	1	1420	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of second holder should be present.
Filler	Character	1	1421	0	Must be spaces
ISD code for Mobile no of Second Holder	Numeric	6	1427	0	Refer Static Code sheet for details
Mobile no of Second Holder	Numeric	13	1440	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for second holder	Character	1	1441	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for Second holder	Character	1	1442	0	'Y' else 'N' or spaces Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Second holder	Charact0er	1	1443	M	Refer Static Code sheet

					for details
Filler	Character	5	1448	0	Must be spaces
Number of Poa mapping for third holder	Integer	5	1453	0	
Filler	Character	5	1457	0	Must be spaces
Email ID of Third Holder	Character	50	1507	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for third Holder	Character	1	1508	0	If email flag is 'N' or space, email –id is to be provided to SHRs. If email flag is 'Y', email –id not to be provided to SHRs. Also if email id Flag is 'Y' email id of third holder should be present.
Filler	Character	1	1509	0	Must be spaces
ISD code for Mobile no of Third Holder	Numeric	6	1515	0	Refer Static Code sheet for details
Mobile no of Third Holder	Numeric	13	1528	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for third holder	Character	1	1529	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for Third holder	Character	1	1530	0	'Y' else 'N' or spaces Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Third holder	Character	1	1531	M	Refer Static Code sheet for details
Account for holding and dealing in electronic warehouse receipts	Character	1	1532	0	'Y' for Commodity Client and 'N' or space for Non Commodity Client.
Aadhaar for first holder	Integer	12	1544	0	
Aadhaar Authenticated with UIDAI for First Holder	Character	1	1545	0	Applicable if First Holder Aadhaar is Present. 'Y' if verified with UIDAI or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of First Holder	Integer	2	1547	0	Applicable only for Client Type: <b>'Resident'</b> . Applicable, if Aadhaar is not updated. For value refer Static

					Data code sheet
Filler	Character	1	1548	0	Must be spaces
Aadhaar for second holder	Integer	12	1560	0	
Aadhaar Authenticated with UIDAI for Second Holder	Character	1	1561	0	Applicable if second Holder Aadhaar is Present. 'Y' if verified with UIDAI or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of Second Holder	Integer	2	1563	0	Applicable only for Client Type: <b>'Resident'</b> . Applicable, if Aadhaar is not updated. For value refer Static Data code sheet
Filler	Character	1	1564	0	Must be spaces
Aadhaar for third holder	Integer	12	1576	0	
Aadhaar Authenticated with UIDAI for Third Holder	Character	1	1577	0	Applicable if third Holder Aadhaar is Present. 'Y' if verified with UIDAI. Or 'N' if not verified with UIDAI or spaces
Reason for Non-Update of Aadhaar of Third Holder	Integer	2	1579	0	Applicable only for Client Type: <b>'Resident'</b> . Applicable, if Aadhaar is not updated. For value refer Static Data code sheet
Filler	Character	1	1580	0	Must be spaces
Filler	Character	8	1588	0	Must be spaces
UPI ID	Character	256	1844	0	Alpha Numeric. "First Holder Mobile no." and "SMS Flag" as 'Y' is mandatory.

### Clearing Member

Description	Data Type	Size	End	Stat	Remarks
Record Type	Integer	2	2	M	Primary Detail Record (02)
Line Number	Integer	7	9	M	Starts from 0000001 unique and serial for each client
Transaction status	Character	1	10	M	Always 'A'
Beneficiary Account Category	Integer	2	12	M	Always '03'
Beneficiary Type	Integer	2	14	M	Always '6'

Fillers	Character	2	16	O	Must be space.
Beneficiary Short name	Character	16	32	M	
Fillers	Character	657	689	O	Must be space.
Corresponding BP Id	Character	8	697	M	
PAN Flag	Character	1		M	Refer Static Code sheet for details
P.A.N. No.	Character	10	708	M	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	188	896	O	Must be space.
Standing Instruction Indicator	Character	1	897	M	Y - Yes, N - Otherwise
Beneficiary Bank Account No.	Character	30	927	M	
Beneficiary Bank Name	Character	35	962	M	
IFSC	Character	11	973	O	Alpha Numeric.
Gross Annual Income Range	Character	2	975	M	For value refer Static Data code sheet.
Net Worth	Number	18	993	O	16+2 digits without decimal e.g. for Rs. <b>1000</b> it should be entered as <b>0000000000100000</b> for Rs. <b>1000.23</b> it should be entered as <b>000000000000100023</b>
Net Worth Date	Date	8	1001	O/M	It Should be in YYYYMMDD format. It will be Mandatory, if Net Worth is provided.
Fillers	Character	41	1042	O	Must be Spaces
LEI No.	Character	20	1062	O	Alpha Numeric.
Fillers	Character	102	1164	O	Must be Spaces
Local address present?	Character	1	1165	M	Always 'Y'.
Bank address present?	Character	1	1166	M	Always 'Y'.
Nominee/Guardian address present?	Character	1	1167	M	Always 'N'.
Minor Nominee's Guardian Address present?	Character	1	1168	M	Always 'N'
Foreign/Correspondence address present?	Character	1	1169	M	Always 'N'.
No. of first holder authorized signatories	Integer	2	1171	O	
No. of sec holder authorized signatories	Integer	2	1173	M	Always '00'.
No. of third holder authorized signatories	Integer	2	1175	M	Always '00'.
Size of signature	Integer	5	1180	M	Size in bytes
Sender reference number 1	Character	35	1215	O	Not stored
Sender reference number 2	Character	35	1250	O	Not stored
MICR Code	Character	9	1259	O	
Bank Acct Type	Integer	2	1261	M	10,11,13 valid values
Fillers	Character	1	1262	M	Must be spaces
Auto-Pledge Confirmation Flag	Character	1	1263	O	Y for yes, N for No. (If

					nothing is entered it will be considered as 'N').
Fillers	Character	12	1275	0	Must be Spaces
One Time Declaration Flag for GSEC IDT	Character	1	1276	0	Y- Yes, N- No
Fillers	Character	5	1281	0	Must be Spaces
Email ID	Character	50	1331	M	
Fillers	Character	8	1339		Must be Spaces
Mobile no.	Numeric	13	1352	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
Fillers	Character	179	1531	0	Must be Spaces
Auth flag	Character	1	1532	0	'Y' else 'N'. Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
Fillers	Character	61	1593	0	Must be space.

**House Clients -Client Type: FI, Body Corporate, Bank and QIB with subtype Public Financial Institution – Sec.2(72) of Companies Act 2013.**

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Primary Detail Record (02)
Line Number	Integer	7	9	M	Starts from 0000001 unique and serial for each client
Transaction status	Character	1	10	M	Always 'A'
Beneficiary Account Category	Integer	2	12	M	Always '01' house clients
Beneficiary Type	Integer	2	14	M	
Beneficiary Sub Type	Integer	2	16	O	If Sub Type exists then mandatory.
Beneficiary Short name	Character	16	32	M	
Custodian Flag	Character	1	33	M	Y – Yes, N – Otherwise
Alternate Email ID for First Holder	Character	50	83	O/M	Mandatory if custodian flag is provided
Alternate Email ID for Second Holder	Character	50	133	O	
Alternate Email ID for Third holder	Character	50	183	O	
Fillers	Character	146	329	O	Must be space.
Beneficiary Second Holder Name	Character	45	374	O	
Fillers	Character	135	509	O	Must be space.



Beneficiary Third Holder Name	Character	45	554	0	
Fillers	Character	143	697	0	Must be space.
Address preference flag	Character	1	698	M	<b>For Local client :</b> Y means Local N means correspondence  <b>For Foreign client :</b> Y means Local N means Foreign
First Holder PAN	Character	10	708	M	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	20	728	0	Must be space.
Second Holder PAN	Character	10	738	0	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	20	758	0	Must be spaces
Third Holder PAN	Character	10	768	0	Income Tax PAN. Must be Alphanumeric.
Fillers	Character	128	896	0	Must be spaces
Standing Instruction Indicator	Character	1	897	M	Y – Yes, N – Otherwise
Beneficiary Bank Account No.	Character	30	927	M	
Beneficiary Bank Name	Character	35	962	M	
IFSC	Character	11	973	0	Alpha Numeric.
Gross Annual Income Range	Character	2	975	M	For value refer Static Data code sheet.
Net Worth	Number	18	993	0	16+2 digits without decimal e.g. for Rs. <b>1000</b> it should be entered as <b>0000000000100000</b> for Rs. <b>1000.23</b> it should be entered as <b>000000000000100023</b>
Net Worth Date	Date	8	1001	O/M	It Should be in YYYYMMDD format. It will be Mandatory, if Net Worth is provided.
Fillers	Character	41	1042	0	Must be Spaces
LEI No.	Character	20	1062	0	Alpha Numeric
RBI Reference No.	Character	50	1112	0	<b>“RBI Reference No”</b> Mandatory for Client Type ‘FII’ , ‘Body Corporate’ with Sub Types ‘OCB’ , ‘ Foreign

					Bodies'
RBI Approval date	Date	8	1120	0	<b>"RBI Approval date"</b> Mandatory for Client Type 'FII' , 'Body Corporate' with Sub Types 'OCB' , ' Foreign Bodies' and combination (DATE FORMAT :YYYYMMDD)
SEBI / FPI Registration No.	Character	24	1144	0	Mandatory for Client Type 'FII','FPI' 'AIF'. Length Validation for client type viz., AIF with 14 digit Registration Number
Beneficiary Tax Deduction Status	Character	20	1164	0	
Local address present	Character	1	1165	M	Always 'Y'. Can be 'N' in case of Type QFI and FPI
Bank address present	Character	1	1166	M	Always 'Y'.
Nominee/Guardian address present	Character	1	1167	M	Always 'N'.
Minor Nominee's Guardian Address present	Character	1	1168	M	Always 'N'
Foreign/Correspondence address present	Character	1	1169	M	If Address preference flag is Y then 'Y' or 'N' If Address preference flag is N then only 'Y' If flag is 'Y' then address must be present in .add file.
No. of first holder authorized signatories.	Integer	2	1171	0	
No. of second holder authorized signatories	Integer	2	1173	0	
No. of third holder authorized signatories	Integer	2	1175	0	
Size of signature	Integer	5	1180	M	Size in bytes
Sender reference number 1	Character	35	1215	0	Not stored
Sender reference number 2	Character	35	1250	0	Not stored
MICR Code	Character	9	1259	0	
Bank Acct Type	Integer	2	1261	M	10,11,13 valid values

RGESS Flag	Character	1	1262	0	Y for RGESS Client or N for Non-RGESS Client. (If nothing is entered it will be considered as 'N').
'Beneficial owner account of PMS' Flag	Character	1	1263	0	PMS Flag Yes: Y PMS Flag No: N / Space
Auto Pledge Indicator	Character	1	1264	0	Y or N (If nothing is entered it will be considered as 'N').
'Client Option to receive e-Statement' Flag	Character	1	1265	0	Y : Electronic Statement And If Y, Email id of first holder must be present. N or Space: Physical Statement
Fillers	Character	9	1274	0	Must be Spaces.
Demat Gateway	Character	1	1275	0	Y- Yes, N - No  In case Demat Gateway is 'Y' then SMS Flag as 'Y' and First Holder Mobile no. are Mandatory.
One Time Declaration Flag for GSEC IDT	Character	1	1276	0	Y- Yes, N- No
Number of POA mapping for first holder	Integer	5	1281	0	
Email ID of First Holder	Character	50	1331	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for First Holder	Character	1	1332	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of first holder should be present.
Filler	Character	1	1333	0	Must be spaces
ISD code for Mobile no of first Holder	Numeric	6	1339	0	Refer Static Code sheet for details
Mobile no of first holder	Numeric	13	1352	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for first holder	Character	1	1353	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for first holder	Character	1	1354	0	'Y' or 'N' Use of this field, client signature becomes

					optional if Auth flag for all holders is 'Y'
PAN Flag for First Holder	Character	1	1355	M	Refer Static Code sheet for details
Filler	Character	5	1360	0	Must be spaces
Number of Poa mapping for Second holder	Integer	5	1365	0	
Filler	Character	4	1369	0	Must be spaces
Email ID of Second Holder	Character	50	1419	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form for second Holder	Character	1	1420	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -id not to be provided to SHRs. Also if email id Flag is 'Y' email id of second holder should be present.
Filler	Character	1	1421	0	Must be spaces
ISD code for Mobile no of Second Holder	Numeric	6	1427	0	Refer Static Code sheet for details
Mobile no of Second Holder	Numeric	13	1440	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for second holder	Character	1	1441	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for Second holder	Character	1	1442	0	'Y' else 'N' or spaces Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Second Holder	Character	1	1443	M	Refer Static Code sheet for details
Filler	Character	5	1448	0	Must be spaces
Number of Poa mapping for third holder	Integer	5	1453	0	
Filler	Character	4	1457	0	Must be spaces
Email ID of Third Holder	Character	50	1507	M	
Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in	Character	1	1508	0	If email flag is 'N' or space, email -id is to be provided to SHRs. If email flag is 'Y', email -

physical form for third Holder					id not to be provided to SHRs. Also if email id Flag is 'Y' email id of third holder should be present.
Filler	Character	1	1509	0	Must be spaces
ISD code for Mobile no of Third Holder	Numeric	6	1515	0	Refer Static Code sheet for details
Mobile no of Third Holder	Numeric	13	1528	M	If ISD code is '91' (i.e. India) then Mobile No. must be 10 digits.
SMS facility for third holder	Character	1	1529	0	If mobile number present, flag can be 'Y' or 'N'. Else Space. If SMS flag is 'Y', then mobile number must be present
Auth Flag for third holder	Character	1	1530	0	'Y' else 'N' or spaces Use of this field, client signature becomes optional if Auth flag for all holders is 'Y'
PAN Flag for Third Holder	Character	1	1531	M	Refer Static Code sheet for details
Account for holding and dealing in electronic warehouse receipts	Character	1	1532	0	'Y' for Commodity Client and 'N' or space for Non Commodity Client.
Aadhaar for first holder	Integer	12	1544	0	
Filler	Character	4	1548	0	Must be spaces
Aadhaar for second holder	Integer	12	1560	0	
Filler	Character	4	1564	0	Must be spaces
Aadhaar for third holder	Integer	12	1576	0	
Filler	Character	12	1588	0	Must be spaces
UPI ID	Character	256	1844	0	Alpha Numeric. "First Holder Mobile no." and "SMS Flag" as 'Y' is mandatory.

## 1.5 ADDRESS DETAILS (.ADD FILE)

### 1.5.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	03	53	One (First) record per file
Detail	04	218	For Non House/House, Second record onwards, multiple per file.

Detail	04	322	For Individual, Second record onwards, multiple per file.
Detail	04	218	For Clearing Member, Second record onwards, multiple per file.

### 1.5.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	03 – Header of address
Branch Code	character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always
Total No of address Records	Integer	7	33	M	
Sender Date	Date	8	41	O	
User ID (Upload)	character	8	49	O	
Filler	character	4	53	O	

### 1.5.3 Detail Record

**(Non House) Client Type: FI, Body Corporate, Mutual Fund, Trust & Bank**

**(Non House and House) Client Type: FI, Body Corporate, Mutual fund, Trust , Bank and QIB.**

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Address Detail Record (04)
Line Number	Integer	7	9	M	Same as that in primary file.
Address type code	Integer	2	11	M	01-local, 02-bank, 04-foreign/correspondence. Local & Bank addresses are always mandatory Foreign Address mandatory for Client Type QFI,FPI, Client Type viz., Body Corporate with Sub Type viz., LLP Foreign, LLP Foreign (DR), Foreign Government & Overseas Depository – Underlying DR, Client Type viz., QIB with Sub type viz., Multilateral Development Financial Institution & Bilateral Development Financial Institution.
Address 1	Character	36	47	M	
Address 2	Character	36	83	O	
Address 3	Character	36	119	O	
Address 4	Character	36	155	O	
Pin code	Character	10	165	M/O	Mandatory for 01 (Local) and 04 (Correspondence)
Phone No	Character	24	189	O	
Fax No	Character	24	213	O	
Country Code	Integer	3	216	M	Applicable only for 01-local, and

Description	Data Type	Size	End	Status	Remarks
					04-foreign/correspondence address
State Code	Integer	2	218	M/O	Applicable only for 01-local, and 04-foreign/correspondence address  Mandatory: If Country Code is selected as "India (356)"

**(Non House) Client Type: Resident, NRI & Foreign National**

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Address Detail Record (04)
Line Number	Integer	7	9	M	Same as that in primary file. Will remain same for multiple nominee
Address type code	Integer	2	11	M	01-local, 02-bank, 03-First Nominee/Guardian, 04-foreign/correspondence. 06- First minor nominee's guardian address Local & Bank addresses are always mandatory. 07 for 2nd Nominee Address. 08 for 2nd Minor nominee address. 09 for 3rd Nominee address. 10 for 3rd Minor nominee address.  Foreign Address mandatory for Client Type QFI,FPI, Client Type viz., Body Corporate with Sub Type viz., LLP Foreign, LLP Foreign (DR), Foreign Government & Overseas Depository – Underlying DR, Client Type viz., QIB with Sub type viz., Multilateral Development Financial Institution & Bilateral Development Financial Institution.
Address 1	Character	36	47	M	
Address 2	Character	36	83	O	
Address 3	Character	36	119	O	
Address 4	Character	36	155	O	
Pin code	Character	10	165	M/O	Mandatory for 01 (Local) and 04 (Correspondence)
Phone No	Character	24	189	O	
Fax No	Character	24	213	O	
Mobile No	Integer	13	226	O	
Email ID	Character	50	276	O	Email ID of Nominee or Guardian
PAN	Character	10	286	O	
Aadhaar	Integer	12	298	O	

Description	Data Type	Size	End	Status	Remarks
Percentage of share	Integer	3	301	O/M	
Virtual ID (VID) of Nominee	Character	16	317	O	As generated against Aadhaar
Country Code	Integer	3	320	M	Applicable only for 01-local, and 04-foreign/correspondence address
State Code	Integer	2	322	M/O	Applicable only for 01-local, and 04-foreign/correspondence address  Mandatory: If Country Code is selected as "India (356)"

### Clearing Member

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Address Detail Record (04)
Line Number	Integer	7	9	M	Same as that in primary file.
Address type code	Integer	2	11	M	01 -local, 02 bank
Address 1	Character	36	47	M	
Address 2	Character	36	83	O	
Address 3	Character	36	119	O	
Address 4	Character	36	155	O	
Pin code	Character	10	165	M	Mandatory for 01(local)
Phone No	Character	24	189	O	
Fax No	Character	24	213	O	
Country Code	Integer	3	216	M	Applicable only for 01-local address
State Code	Integer	2	218	M/O	Applicable only for 01-local address  Mandatory: If Country Code is selected as "India (356)"

### Pin Code

Address Type	No. Of characters allowed in Pin Code
01	6
02	7
03	7
04	10 – If it is foreign address 6 – If it is correspondence address.
06	7



## 1.6 AUTHORIZED SIGNATORY DETAILS (.ATH FILE)

### 1.6.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	05	53	One (First) record per file
Detail	06	20	Second record onwards, multiple per file.

### 1.6.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	05 – Header of auth sig file
Branch Code	Character	6	16	M	
DP ID	Character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of authorized signatory Records	Integer	7	33	M	
Sender Date	Date	8	41	O	
User ID (Upload)	Character	8	49	O	
Filler	Character	4	53	O	

### 1.6.3 Detail Record

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Authorized signatory Detail Record (06)
Line Number	Integer	7	9	M	Same as that in primary file
Holder indicator	Integer	2	11	M	11 for first holder's, 12 for second 13 for third holders authorized signatory.
Signatory ID	Integer	9	20	M	

## 1.7 POWER OF ATTORNEY DETAILS (.POA FILE)

### 1.7.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	07	53	One (First) record per file
Detail	08	40	Second record onwards, multiple per file.

### 1.7.2 Header Record

Description	Data Type	Size	End	Status	Remarks
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Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	07 – Header
Branch Code	character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Detail Records	Integer	7	33	M	
Sender Date	Date	8	41	O	
User ID (Upload)	character	8	49	O	
Filler	character	4	53	O	

### 1.7.3 Detail Record

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Detail Record (08)
Line Number	Integer	7	9	M	Same as that in primary file
Holder Indicator	Integer	2	11	M	11 for first, 12 for second and 13 for third holder respectively.
POA Type	Character	1	12	M	C for Corporate POA and H for Individual POA Holder.
POA ID	Integer	8	20	M	
Fillers	Character	20	40	O	Must be space.

## 1.8 SIGNATURE DETAILS (.SGN FILE)

One signature file will be present for the client. Properties of the signature file will be as follow:

The format will be TIFF 4 format.

Not exceeding 64K size.

File name will be of format [DPID]\_[Batch number]\_[Line number] of .pri file.

Where

DPID = Unique ID of a particular DP

Batch number = the batch number present in the .pri file.

Line number = the line number of the record present in the .pri file.

If the batch no and line no are less than 8 and 7 digits respectively , then batch number and line number needs to be preceded by leading zeros.

e. g. If the batch no is 1001 and line number is 1, then the signature file for the first record in the batch should be represented as IN301436\_00001001\_0000001.sgn.

All the signature files to be uploaded must adhere to this naming convention or else batch would be rejected.

## 1.9 RESPONSE (.OUT FILE)

### 1.9.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	66	One (First) record per file

Detail	02	105	Second record onwards, multiple per file.
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### 1.9.2 Header Record

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Header Record (07)
Branch Code	Character	6	16	M	
DP ID	Character	8	24	M	
DP Role	Integer	2	26	M	Always 01
Batch Acceptance Status	Character	1	27	M	A-Accepted, R- Rejected
Total number of Client Records	Integer	7	34	M	
Total number of accepted records	Integer	7	41	M	
File Creation Date	Date	8	49	M	YYYYMMDD
Sender User Id	Character	8	57	O	
Filler	Character	9	66	O	Spaces

### 1.9.3 Detail Record

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Detail Record (08)
Line Number	Integer	7	17	M	
Address Type Code	Char	2	19	O	Must be spaces
Signatory Number	Char	2	21	O	Must be spaces
Poa number	Char	5	26	O	Must be spaces
Transaction Flag	Character	1	27	M	It will be 'A' or R
Client id generated by main DPM/Error code in case of error	Integer	8	35	M	
Sender Reference Number 1	Character	35	70	O	
Sender Reference Number 2	Character	35	105	O	

## 1.10 VERIFY RELEASE RESPONSE (.VR FILE)

### 1.10.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	66	One (First) record per file
Detail	02	134	Second record onwards, multiple per file.

### 1.10.2 Header Records

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	

Description	Data type	Size	End	Type	Remarks
Record Type	Integer	2	10	M	Header Record (07)
Branch Code	Character	6	16	M	
DP Id	Character	8	24	M	
DP Role	Integer	2	26	M	'01' always
Batch Acceptance Status	Character	1	27	M	A-Accepted, R- Rejected
Total number of detail Records	Integer	7	34	M	
Total no of accepted detail records	Integer	7	41	M	
File creation date	Date	8	49	M	YYYYMMDD
Filler	Character	8	57	O	Must be spaces
Filler	Character	9	66	O	Must be spaces

### 1.10.3 Detail Record

Description	Data type	Size	End	Type	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	Detail Record (08)
Line Number	Integer	7	17	M	
Filler	Character	2	19	O	Must be Spaces
Filler	Character	2	21	O	Must be Spaces
Transaction Flag	Character	1	22	M	It will be 'A' or 'R'
Client Id	Integer	10	32	M	
Instruction id	Integer	14	46	M	
Error Code	Integer	7	53	M	
Sender Reference number 1	Character	35	88	O	
Sender Reference number 2	Character	35	123	O	
Instruction status	Integer	2	125	M	30 in case of 'A' 00 in case of 'R'
Filler	Character	9	134	O	Must be Spaces

## 1.11 REFERENCE AND DISCRIPTION (FREE TEXT) (.FTXT FILE)

The extension of the file will be .ftxt. It will be mandatory to upload 'pri' file also at the time of uploading 'Reference and Description' file.

### 1.11.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	66	One (First) record per file
Detail	02	134	Second record onwards, multiple per file.

### 1.11.2 Header Record

Description	Data Type	Size	End	Status	Remarks
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Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	08 – Header
Branch Code	character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Detail Records	Integer	7	33	M	
Sender Date	Date	8	41	O	
User ID (Upload)	character	8	49	O	
Filler	character	4	53	O	

### 1.11.3 Detail Record

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Detail Record (10)
Line Number	Integer	7	9	M	Same as that in primary file
Holder Indicator	Integer	2	11	M	11 for first holder, 12 for second holder 13 for third holders authorized signatory.
Line Number of Description	Integer	5	16	M	Incremental line number
Reference Number	Character	10	26	M	Reference Number of signatory
Signatory Name	Character	135	161	M	Name of signatory

## 1.12 COPARCENER CLIENT MAPPING DETAILS (.CPR FILE)

### 1.12.1 Record Types

Record Type	Record Identifier	Record length	Remarks
Header	01	53	One (First) record per file
Detail	02	109	Second record onwards, Single per file.

### 1.12.2 Header Record

Description	Data Type	Size	End	Status	Remarks
Batch Number	Integer	8	8	M	
Record Type	Integer	2	10	M	01 – Header
Branch Code	Character	6	16	M	
DP ID	character	8	24	M	
BP Role	Integer	2	26	M	Always 01
Total No of Detail Records	Integer	7	33	M	
Sender Date	Date	8	41	O	'00000000'(YYYYMMDD)
User ID (Upload)	character	8	49	O	Must be Spaces
Filler	character	4	53	O	Must be Spaces

### 1.12.3 Detail Records

Description	Data Type	Size	End	Status	Remarks
Record Type	Integer	2	2	M	Detail Record (02)
Line Number	Integer	7	9	M	Line number of Primary file
Name Of Coparcener/Member	Character	45	54	M	
Gender	Integer	1	55	M	1 – Male / 2 – Female
DOB	Date	8	63	M	Should be YYYYMMDD format
Relation with Karta	Character	45	108	M	
Whether Coparcener/member	Integer	1	109	M	1 for Coparcener / 2 for Member