



# \*NEST UPDATE

**NSDL**

**May 2006**

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### Way2Wealth Brokers Private Limited joins NSDL as Depository Participant (DP)

Way2Wealth Brokers Private Limited has been made operational as a DP on April 5, 2006. It will conduct its depository operations from Bangalore. The DP Id allotted is IN303077.

### Madhuvan Securities Private Limited joins NSDL as DP

Madhuvan Securities Private Limited has been made operational as a DP on April 13, 2006. It will conduct its depository operations from Ahmedabad. The DP Id allotted is IN303085.

The above additions take the total number of operational DPs to 225 and the total number of DPM set-ups to 299.

### Closure of Gayatri Capital Limited DP Operations

Gayatri Capital Limited (DP Id IN302558), a DP of NSDL, has informed NSDL that it intends to close down its depository operations.

### Closure of Growth Avenues Limited DP Operations

Growth Avenues Limited (DP Id IN302599), a DP of NSDL has informed NSDL that it intends to close down its depository operations.

### Name change of DPs

Consequent to the change in name of the following DPs, the Securities and Exchange Board of India has granted certificates of registration to the following DPs as Participants of NSDL:

Sr. No	Old Name	New Name	DP Id
1.	J M Morgan Stanley Retail Services Private Limited	J M Morgan Stanley Financial Services Private Limited	IN302927
2.	Microsec India Limited	Microsec Capital Limited	IN302978
3.	Fortis Securities Limited	Religare Securities Limited	IN301774

*For  
private circulation only*

\* National Electronic Settlement and Transfer

## Performance Summary

### Investors :

Table 1

Month - Year	Client Accounts
	No. of Accounts (lakh)
Apr-04	52.90
Apr-05	64.13
Apr-06	76.28

As on April 30, 2006, there are 76,28,844 Investor accounts from within the country and abroad. 2,110 clearing member accounts have been opened to facilitate trading and settlement of demat shares in the stock exchanges connected to NSDL. Table 1 shows the growth in investor accounts over a period of three years.

### Dematerialisation :

As on April 30, 2006, 18,159 cr. securities having a value of Rs.26,27,209 cr. were dematerialised. Table 2 shows the dematerialisation figures over the last three years.

Table 2

Month - Year	Demat Quantity (Cr.)	Demat Value (Rs. Cr.)
Apr-04	8,463	1,005,636
Apr-05	13,045	1,418,056
Apr-06	18,159	2,627,209

### Settlement :

Table 3

Month - Year	Settlement Quantity (Cr.)	Settlement Value (Rs. Cr.)
Apr-04	194	29,507
Apr-05	261	31,835
Apr-06	431	87,422

In April 2006, a total quantity of 431 cr. shares having a value of Rs. 87,422 cr. were settled in demat form in the stock exchanges connected to NSDL. Table 3 shows the settlement figures over the past three years.

### Companies :

As on April 30, 2006, 6,052 companies are available for demat. Table 4 indicates the increase in the number of companies that have joined NSDL over a period of three years.

Table 4

Month - Year	No. of Companies Operational
Apr-04	5,254
Apr-05	5,582
Apr-06	6,052

## DEBENTURES / BONDS

Table 4A

Month - Year	No. of Issuers	No. of active Instruments	Demat Value (Rs. Cr.)
Feb-06	652	6,928	322,851
Mar-06	652	6,968	333,788
Apr-06	654	6,955	330,032

As on April 29, 2006, 654 issuers have issued 17,770 debentures/bonds in demat form. 10,815 instruments have been redeemed and 6,955 instruments are available for dematerialisation. Table 4A shows the growth over a period of last three months.

## COMMERCIAL PAPER

As on April 29, 2006, 380 issuers have issued 7,524 commercial papers in demat form. 7,108 instruments have been redeemed and 416 commercial papers are available for dematerialisation. Table 4B shows the growth over a period of last three months.

Table 4B

Month - Year	No. of Issuers	No. of active Instruments
Feb-06	379	406
Mar-06	379	344
Apr-06	380	416

## Depository Participants :

Table 5

Month - Year	No. of DPs
Apr-04	215
Apr-05	218
Apr-06	225

As on April 30, 2006, 225 Depository Participants are offering depository services. DP services are provided from 3029 locations across the length and breadth of the country.

## Corporate Action Statistics

Sr. No.	Description	During April 2006		For the F.Y. 2006-2007	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	478,933	6,874,237,752	478,933	6,874,237,752
2	Preference Shares	56	133,392,439	56	133,392,439
3	Bonds	515	11,157,753	515	11,157,753
4	Commercial Papers	149	120,982	149	120,982
5	Certificate Of Deposits	111	662,850	111	662,850
6	Securitized Instruments	18	1,136	18	1,136
7	Mutual Fund Units	937	509,660	937	509,660
8	Warrants	2	2,000,000	2	2,000,000
9	Postal Savings Certificates	1,791	55,371,300*	1,791	55 371 300*
10	Commodities	319	44,247	319	44,247
<b>Total</b>		<b>482,831</b>	<b>7,077,498,120</b>	<b>482,831</b>	<b>7,077,498,120</b>

\* Value in Rupees

## List of Companies that have changed Names

(During April 2006)

Sr. No.	Old Name	New Name
1	Skil Sez Infrastructure Holdings Private Limited	Urban Infrastructure Holdings Private Limited
2	Nucent Finance Limited	Nucent Estates Limited
3	Lakshmi Overseas Industries Limited	Lakshmi Energy And Foods Limited
4	Swil Limited	Jhagadia Copper Limited
5	Adani Energy (Gujarat) Limited	Adani Energy Limited
6	Bhushan Limited	Bhushan Power & Steel Limited
7	Jaypee DSC Ventures Limited	Delhi-Gurgaon Super Connectivity Limited
8	Koatex Infrastructure Limited	Krosslink Infrastructure Limited
9	Morarjee Realities Limited	Peninsula Land Limited
10	OM Metals Limited	OM Metals Infraprojects Limited
11	Flair Investment And Finance Limited	7Seas Technologies Limited
12	Pee Jay International Limited	Kuvam International Fashions Limited
13	Monnet Ispat Limited	Monnet Ispat And Energy Limited
14	Cholamandalam Investment And Finance Company Limited	Cholamandalam DBS Finance Limited
15	Seax Leather Exports Limited	Seax Global Ventures Limited
16	Sundaram Asset Management Company Limited	Sundaram BNP Paribas Asset Management Company Limited
17	Innosoft Technologies Limited	Innocorp Limited

## Securities admitted for Dematerialisation during April 2006

COMPANY	ISIN
Advanta India Limited	INE517H01010
American Devices India Private Limited	INE546H01019
Arch Pharmed Labs Limited	INE182F01017
Ashim Investment Company Limited	INE511H01013
BCL Forgings Limited	INE528H01017
Beardsell Limited	INE520H01014
Champagne Vineyards Limited	INE525H01013
Dharti Dredging & Construction Limited	INE521H01012, IN9521H01010 & IN9521H01028
Dynamic Transmission Limited	INE547H01017
Empire Industries Limited	INE515H01014
Flender Limited	INE543H01016
Gyan Traders Limited	INE534H01015
JHS Svendgaard Laboratories Limited	INE544H01014
Kailash Paper Products Limited	INE524H01016
Kamarhatty Company Limited	INE176F01019
Mahindra Automotive Steels Limited	INE536H01010
Manoj Surgical Industries Limited	INE548H01015
Matrix Financial Services Limited	INE519H01016
Natraj Commercial Enterprises Limited	INE510H01015
Procter & Gamble Home Products Limited	INE539H01014
Rajendra Electrical Industries Limited	INE512H01011
Remi Process Plant And Machinery Limited	INE513H01019
Richa Knits Limited	INE516H01012
Ruttonsha International Rectifier Limited	INE302D01016
Shree Ashtavinayak Cine Vision Limited	INE538H01016
Simplex Trading And Agencies Limited	INE518H01018
Subhash Yurim Textiles Limited	INE206F01014
Technocraft Industries (India) Limited	INE545H01011
Tycoon Trades & Investments Limited	INE404F01015
Valuable Media Private Limited	INE527H01019
Vigneshwara Exports Limited	INE514H01017
Vinyoflex Limited	INE526H01011
Voltamp Transformers Limited	INE540H01012

## Topic of Interest

### FAQs on Nomination

In respect of a demat account, the Beneficial Owner (s) (“Nominating Person (s)”) may nominate any person (“Nominee”) to whom his/her securities shall vest in the event of his/her death. The securities held in such account shall be transferred in the name of the Nominee, upon the death of the Nominating Person, subject to submission of prescribed documents to the Depository Participant (DP).

The Beneficial Owners can avail of this facility by furnishing duly filled ‘Form for Nomination/Cancellation of Nomination’ available with their DPs. This form contains photograph and other details of the nominee, which help the DP to identify the nominee and give effect to the nomination given by the clients.

#### FAQs on Nomination:

**1. Who can nominate?**

**Ans.** Nomination can be made only by individuals holding beneficiary accounts either singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family or holder of power of attorney cannot nominate.

**2. Can joint holders nominate?**

**Ans.** Yes. Nomination is permitted for accounts with joint holders. But, in case of death of any of the joint holder(s), the securities will be transmitted to the surviving holder(s). Only in the event of death of all the joint holders, the securities may be transmitted to the nominee.

**3. Can an NRI nominate?**

**Ans.** Yes, an NRI can nominate directly. But, the power of attorney holder cannot nominate on behalf of NRI.

**4. Who can be a nominee?**

**Ans.** Only an individual can be a nominee. A nominee shall not be a society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family or a power of attorney holder.

**5. Can a minor nominate?**

**Ans.** No, a minor cannot nominate either directly or through its guardian.

**6. Can a minor be a nominee?**

**Ans.** Yes, a minor can be a nominee. In such a case, the guardian will sign on behalf of the nominee and in addition to the name and photograph of the nominee, the name, address and the photograph of the guardian must be affixed on the nomination form to be submitted to the DP.

**7. Can there be more than one nominee?**

**Ans.** No, only one nomination can be made for one depository account.

**8. Can separate nominations be made for each security held in a depository account?**

**Ans.** No. Nomination can only be made account wise and not security wise.

**9. Can an NRI be a nominee?**

**Ans.** Yes, an NRI can be a nominee subject to the exchange control regulations in force, from time to time.

**10. What is the procedure for nomination?**

**Ans.** The nomination form duly filled-in should be submitted to the DP either at the time of account opening or later. The account holder(s), nominee and two witnesses must sign this form and the name, address and photograph of the nominee must be submitted. If nomination was not made at the time of account opening, it can be made subsequently by submitting the nomination form.

**11. Can the nominee be changed / cancelled?**

**Ans.** Yes, the nomination can be changed / cancelled anytime by the account holder(s) by submitting duly filled up nomination form to the DP.

**12. What is the procedure for transmission in case of death of nominating account holder?**

**Ans.** Upon the death of the sole client (in case of securities held singly) or the death of all the account holders (in case of securities held jointly), the nominee will have to request the DP in writing alongwith transmission form and a certified true copy of the death certificate(s) (or a duplicate copy of the death certificate if original is lost or misplaced) issued by the competent authority to transmit the securities covered by the nomination to the account of the nominee. The DP will ensure the completeness of the form and validity of the signature of the nominee and then execute the transmission request. Thus, transmission of securities where nomination has been made eliminates the need of legal documents such as will, succession certificate etc.



**13. Where can an investor get a nomination form?**

**Ans.** Nomination form can be obtained from the DP. A specimen of Annexure JA (Form for Nomination / Cancellation of Nomination) is given below:

**Annexure JA**  
**FORM FOR NOMINATION / CANCELLATION OF NOMINATION**  
 ( To be filled in by individual applying singly or jointly)

I/We \_\_\_\_\_ and \_\_\_\_\_ the holders of beneficiary owner account bearing Client Id No. \_\_\_\_\_ held with M/s \_\_\_\_\_ a NSDL Depository Participant bearing DP Id No; \_\_\_\_\_ wish to **\*make a nomination / cancel the nomination dated \_\_\_\_\_** and do hereby **\*nominate / cancel the nomination made by me/ us on the \_\_\_\_\_ day of \_\_\_\_\_** the following person in whom all rights of transfer and / or amount payable in respect of securities held in the Depository by me/ us in the said beneficiary owner account shall vest in the event of my/our death /\* and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me/ us in the said account shall vest in me/us.  
 (\* strike out whichever is not applicable)

Photograph  
of the  
Nominee

**Name and Address of Nominee**

Name: .....  
 Address:.....  
 Date of Birth\* : .....  
 ( to be furnished in case the Nominee is a minor)  
**Signature of the Nominee :** .....  
**\*\*The Nominee is a minor whose guardian is :**.....  
 Address of the Guardian .....  
 .....  
**Signature of the guardian:**.....  
 (in case of guardian his photograph should be affixed) (to be deleted if not applicable)

**Beneficial Owner**

1) Signature:.....  
 Name:.....  
 Address:.....  
 Date:.....

2) Signature:.....  
 Name:.....  
 Address:.....  
 Date:.....

**Signature of two Witnesses**

Name and Address	Signature with date
1.	
2.	

**Instructions:**

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

## NSDL Calendar of Events

### Investor Depository Meets/ Depository Promotion Programs

June 1, 2006	Baramati	June 17, 2006	Meerut
June 2, 2006	Phaltan	June 22, 2006	Cannanore
June 3, 2006	Pune	June 23, 2006	Kunnamkulam
June 8, 2006	Rourkela	June 24, 2006	Perumbavoor
June 9, 2006	Bokaro	June 29, 2006	Hospet
June 10, 2006	Jamshedpur	June 30, 2006	Karwar
June 15, 2006	Moradabad	July 1, 2006	Hubli
June 16, 2006	Muzaffarnagar		

### Business Partner Training

June 6-8, 2006

Depository Participant Training

## NSDL Offices

### Head Office

#### Mumbai

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### Investor Relationship Cell

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### NSDL Certification Program

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