



# NEST UPDATE

Demat by NSDL

*Towards a secure future*

**May 2004**

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52,90,201

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private circulation only*

## Guinness Securities Limited joins NSDL as Depository Participant (DP)

Guinness Securities Limited has been made operational as a DP on April 23, 2004. It will conduct its depository operations from Kolkata. The DP Id allotted is IN302898. This takes the total number of DPs to 215.

## Subscription to SPEED-e

The following DPs have subscribed to the SPEED-e facility of NSDL.

- Infrastructure Leasing & Financial Services Ltd.
- Pravin Ratilal Share and Stock Brokers Ltd.

Clients of the abovementioned DPs can now avail of the facility of submitting various instructions through SPEED-e website.

This takes the total number of DPs who have subscribed to SPEED-e to 29.

## Subscription to IDeAS

The following DPs have subscribed to the IDeAS facility of NSDL in the month of April 2004:

- Jhaveri Securities Pvt. Ltd.
- Maheshwari Technical & Fin Pvt Ltd.
- UTI Securities Ltd.
- Corporation Bank
- Integrated Master Securities Pvt. Ltd.
- ASE Capital Markets Ltd.
- Gold Mine Stocks Pvt. Ltd.
- State Bank of Indore
- SPFL Securities Ltd.

This takes the total number of DPs who have subscribed to IDeAS to 25. In addition to these DPs, 29 DPs who have subscribed to SPEED-e can also avail of IDeAS.

\* National Electronic Settlement and Transfer

## Performance Summary

### Investors :

Table 1

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Apr-02	37.02
Apr-03	37.89
Apr-04	52.90

As on April 30, 2004, there are 52,90,201 investor accounts from within the country and abroad. 2,159 clearing member accounts have been opened to facilitate trading and settlement of demat shares in the stock exchanges connected to NSDL. Table 1 shows the growth in investor accounts over a period of three years.

### Dematerialisation :

As on April 30, 2004, 8,463 cr. securities having a value of Rs.10,05,636 cr. were dematerialised. Table 2 shows the dematerialisation figures over the last three years.

Table 2

Month - Year	Demat Quantity (Cr.)	Demat Value (Rs. Cr.)
Apr-02	5,454	4,54,135
Apr-03	6,924	5,51,102
Apr-04	8,463	10,05,636

### Settlement :

Table 3

Month - Year	Settlement Quantity (Cr.)	Settlement Value (Rs. Cr.)
Apr-02	127	14,111
Apr-03	110	10,840
Apr-04	194	29,507

In April 2004, a total quantity of 194 cr. shares having a value of Rs.29,507 cr. were settled in demat form in the stock exchanges connected to NSDL. Table 3 shows the settlement figures over the past three years.

### Companies :

#### EQUITY

As on April 30, 2004, 5,254 companies are available for demat. Table 4 indicates the increase in the number of companies joined NSDL over a period of three years.

Table 4

Month - Year	No. of Companies Operational
Apr-02	4,252
Apr-03	4,806
Apr-04	5,254

## DEBENTURES / BONDS

Table 4A

Month - Year	No. of Issuers	No. of active Instruments	Demat Value (Rs. Cr.)
Feb-04	566	7,383	241,359
Mar-04	568	7,503	247,268
Apr-04	574	6,978	253,605

In April 2004, 574 issuers have issued 12,955 debentures/bonds in demat form. 5,977 instruments have been redeemed and 6,978 instruments are available for dematerialisation. Table 4A shows the growth over a period of last three months.

## COMMERCIAL PAPER

In April 2004, 359 issuers have issued 5,077 commercial papers in demat form. 4,633 instruments have been redeemed and 444 commercial papers are available for dematerialisation. Table 4B shows the growth over a period of last three months.

Table 4B

Month - Year	No. of Issuers	No. of active Instruments
Feb-04	353	487
Mar-04	356	436
Apr-04	359	444

## Depository Participants :

Table 5

Month - Year	No. of DPs
Apr-02	213
Apr-03	213
Apr-04	215

As on April 30, 2004, 215 Depository Participants (DPs) are offering depository services. DP services are provided from 1720 locations across the length and breadth of the country.

## Corporate Action Statistics

Sr. No.	Description	During April 2004		For the F.Y.2004-2005	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	641,989	730,422,412	641,989	730,422,412
2	Preference Shares	10,596	6,687,612	10,596	6,687,612
3	Bonds	837	8,557,443	837	8,557,443
4	Commercial Papers	102	38,534	102	38,534
5	Certificate of Deposits	11	28,068	11	28,068
6	Securitized Instruments	58	15,340	58	15,340
7	Mutual Fund Units	421	34,815	421	34,815
8	Postal Savings Certificates	634	25,858,700*	634	25,858,700*
<b>Total</b>		<b>654,648</b>	<b>745,784,224</b>	<b>654,648</b>	<b>745,784,224</b>

\*Value in Rupees

## List of Companies that have changed Names

*(During April 2004)*

Sr. No.	Old Name	New Name
1	Ficom Industries Limited	P. H. Capital Limited
2	Karuturi.com Limited	Karuturi Networks Limited
3	Associates India Financial Services Private Limited	Citifinancial Consumer Finance India Limited
4	First India Asset Management (P) Limited	Sahara Asset Management Company Private Limited
5	Gautami Power Private Limited	Gautami Power Limited
6	Bhoruka Gases Limited	Bhuruka Gases Limited
7	Millennium Infocom Technologies Limited	Azimuth Investments Limited
8	Orbis Securities Private Limited	Indiabulls Securities Limited

## Securities admitted for Dematerialisation during April 2004

COMPANY	ISIN
Gujarat Adani Energy Limited	INE421G01017
Dinesh Allorga Limited	INE422G01015
Shyam ACeS (India) Pvt. Ltd.	INE424G01011
Maharashtra Polybutenes Ltd.	INE488E01011
HPL Cogeneration Limited	INE425G04012
Livingroom Lifestyle Limited	INE426G01016
Dhanuka Pesticides Limited	INE435G01017
B2C India Limited	INE434G01010
Sacheta Metals Limited	INE433G01012
Dhanada Portfolio Management Limited	INE432G01014
Shivalik Agro Poly Products Ltd	INE431G01016
Jhunjhunwala Vanaspati Limited	INE430G01018
Triton Valves Ltd	INE440G01017
Enterprise International Ltd	INE439G01019
Interkiln Ceramics Limited	INE451G01014
Maxwell Industries Limited	INE450G01016
Ashika Venture Capital Pvt. Ltd.	INE452G01012
AgriTech India Ltd	INE449G01018
Nath BioGenes India Ltd	INE448G01010
DQ Entertainment Limited	INE456G01013

## Topic of Interest

### Account Management - Special Features and Precautions

In the last seven years of its operations, NSDL has witnessed significant progress in depository operations. More than fifty-two lakh Depository accounts are open today among 215 Depository Participants (DPs) of NSDL. DP services are provided from 1720 locations across the length and breadth of the country.

## Special Features

It has been a constant endeavour of NSDL to provide quality service to its investors. NSDL has been continuously developing features that add value to depository services. Some of the important features are mentioned below:

- **Future Dated Instruction**

It is a facility to submit delivery instructions to your DP today with an instruction to debit your account on a 'future date'. This ensures that the instructions have been accepted by the system well in time although securities remain in your account, until the day they are due to be delivered.

- **Automatic Credit of Securities**

If your original holding is in demat form, then bonus shares, shares under the scheme of split, amalgamation, etc., can be credited to your account automatically. This facility saves a lot of effort that an investor may have to otherwise put in for following up with several companies separately.

- **Pledge facility**

The Depositories Act permits the creation of pledge against securities. Securities held in a depository account can be pledged against a loan, credit, or such other facility availed by the beneficial owner of such securities. Therefore, lending and borrowing against securities as collateral has become smoother and less risky in demat form.

- **Transposition cum Demat**

It is a facility whereby shares held in the joint names of say "A" & "B" can also be dematerialised in an account opened in the names of "B" & "A". The investor may fill in the "Transposition Form" along with the demat request form at the time of dematerialisation, for this purpose. This means that as long as the combination of names in the demat account matches with that on the certificates, securities can be dematerialized in to that account.

- **Nomination**

The nomination facility fulfils one of the long-felt needs of the investors. A demat account holder can nominate a person, who in the event of the death of the original account holder, can get the securities transferred in his name by completing certain simple formalities. Any account holder can avail of this facility whether he is a resident Indian or a non-resident Indian. However, one can nominate only one person per demat account and the same has to be registered with his DP.

- **Updation of changes**

NSDL electronically forwards changes in your address or bank account details to all the companies in which you hold shares in demat form, once you furnish the details of changes to the DP. You need not convey such changes to all the companies in which you hold securities.

- **SPEED-e**

SPEED-e is a secured internet based facility for demat account holders (including brokers) that enables the account holders to submit instructions to their DPs through internet. SPEED-e also allows account holders to view the status of execution of various instructions submitted. The facility eliminates the time and geographical barriers thus giving a 'anywhere, anytime' convenience to the investors.

- **IDeAS**

IDeAS is Internet based facility for viewing balances and transactions in your demat account. Transactions pertaining to last 5 days can be viewed using this facility.

### Precautions a Client Must Take

NSDL has taken a number of measures to ensure the safety of the NSDL depository system. As a client, you are also requested to exercise diligence and follow good practices to prevent any other person from misusing your account. Some of the precautions are mentioned below.

- You must deface the share certificates by writing "Surrendered for Dematerialisation" before submitting it to your DP for dematerialisation. You must also check the demat performance of the company prior to submitting your securities for dematerialisation. The list of companies who have very poor track record in dematerialisation is published on the website of NSDL, [www.nsdl.co.in](http://www.nsdl.co.in).
- Keep the Delivery Instructions Book (DI Book) under safe custody, just like a cheque book.
- Accept the DI Book from the DP only if it has pre-printed serial number and your account no (Client ID) is stamped on each slip, just like a cheque book.
- While writing an instruction on the DI slip, strike out the empty spaces.
- Do not sign on the DI slip until it is completely filled-up.
- Do not leave blank DI slips signed with third parties.
- You are entitled to receive a Transaction Statement every month if you have done any transaction(s) and once a quarter, if you have not done a transaction during that period. A Transaction Statement will show the opening balance, debit/credit and closing balance for each security held by you.
- Verify whether all debits were authorised by you and all credits belong to you. If you find any unauthorised debit or credit in your account, report the matter to your DP. If your grievance is not settled, you can take up the matter with NSDL.
- If your DP offers Internet facility to check your account, check it frequently. You should change your login password at regular intervals.

## Investor Depository Meets

May 13, 2004	Himatnagar	May 20, 2004	Tinsukia
May 14, 2004	Vishnagar	May 21, 2004	Sibsagar
May 15, 2004	Patan	May 22, 2004	Jorhat

## NSDL Calendar of Events

### Business Partner Training

May 5-6, 2004	SHR Training
May 18-20, 2004	DP Training

## NSDL Offices

### Head Office

#### Mumbai

Trade World, A wing, 4<sup>th</sup> & 5<sup>th</sup> Floors,  
Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400013.  
Phone: 022-24994200 (60 lines)  
Fax: 022-24972993; 24976351  
Email: [info@nsdl.co.in](mailto:info@nsdl.co.in)

### Branch Offices

#### Chennai

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#1 Ramkrishna Street,  
North Usman Road,  
T. Nagar, Chennai -17  
Tel: (044) 28143917/18  
Fax: (044) 28144593  
Email: [vijayg@nsdl.co.in](mailto:vijayg@nsdl.co.in)

#### Kolkata

5<sup>th</sup> Floor, The Millenium  
Flat No. 5W, 235/2A,  
Acharya Jagdish Chandra Bose  
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Tel: (033) 22814661/22814662  
Fax: (033) 2287 3706  
Email: [anshumanc@nsdl.co.in](mailto:anshumanc@nsdl.co.in)

#### New Delhi

409/410, Ashoka Estate Building,  
4<sup>th</sup> floor, Barakhamba Road,  
Connaught Place,  
New Delhi 110 001.  
Tel: (011) 23353815/23353817  
Fax: (011) 23353756  
Email: [harpinders@nsdl.co.in](mailto:harpinders@nsdl.co.in)

### Investor Relationship Cell

Officer-In-Charge,  
**National Securities Depository Ltd.**  
Trade World, A Wing, 5<sup>th</sup> floor,  
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Senapati Bapat Marg, Lower Parel,  
Mumbai -400013  
**Phone:** 022-24994200  
**Fax:** 022-24976351  
**Email:** [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Program

Officer-In-Charge,  
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