



Demat by NSDL
Towards a secure future

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38,35,312
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Rs. 6,34,972 Cr.
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private circulation only*

July 2003

Integrated Enterprises (India) Limited & Apollo Sindhoori Capital Investments Limited join SPEED-e

Integrated Enterprises (India) Limited (Integrated Enterprises), Depository Participant (DP) and Apollo Sindhoori Capital Investments Limited (Apollo Sindhoori), DP have subscribed to the SPEED-e facility of NSDL. Clients of Integrated Enterprises and Apollo Sindhoori can now avail the facility of submitting account transfer instructions through SPEED-e website.

NCFM Qualification For Employees of Branch/ Franchisee/ Service Centre

NSDL vide its Circular No. NSDL/PI/2003/0282 dated February 18, 2003 had advised DPs to obtain prior approval from NSDL for appointing franchisee/service centre, etc. In this regard, DPs had sought clarification about the meaning of franchisee/service centre, etc. and whether an NCFM qualified person is required to be appointed at all such places.

NSDL has clarified that any depository related services offered to the investors whereby they can interact with a person (who could be an employee of the DP or of an outside agency appointed by the DP) for submitting various documents and receiving acknowledgment thereof, will be treated as a Branch/franchisee/service centre. In such circumstances, a DP can offer services to its clients only if one employee of the DP or any other person providing the service has qualified in the NCFM (depository module) examination.

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* National Electronic Settlement and Transfer

NSDL has further clarified, that in case the services are offered to clients where there is no personal contact or interaction (no acknowledgment is given for documents submitted) e.g. drop box kept in a place in which clients can drop their documents, the requirement of NCFM qualified person is not necessary.

This has been communicated to the DPs vide NSDL Circular No. NSDL/PI/2003/1047 dated June 25, 2003.

Procedure For Change Of Address For Clients (Individuals)

NSDL vide its Circular No. NSDL/PI/2001/1442 dated September 21, 2001 had informed DPs about the procedure for processing requests for change of address received from their Clients. DPs have further been advised that after effecting the change of address in the DPM System, they should send a communication to the Client (Individuals), confirming the change of address, at the old and new addresses.

This has been communicated to DPs vide NSDL Circular No. NSDL/PI/2003/1051 dated June 26, 2003.

Performance Summary

Investors :

Table 1

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Jun-01	35.46
Jun-02	37.59
Jun-03	38.35

As on June 30, 2003, there are 38,35,312 Investor accounts from within the country and abroad. 2,318 clearing member accounts have been opened to facilitate trading and settlement of demat shares in the stock exchanges connected to NSDL. Table 1 shows the growth in investor accounts over a period of three years.

Dematerialisation :

As on June 30, 2003, 6,869 cr. securities having a value of Rs. 6,34,972 cr. were dematerialised. Table 2 shows the dematerialisation figures over the last three years.

Table 2

Month - Year	Demat Quantity (Cr.)	Demat Value (Rs. Cr.)
Jun-01	3,994	326,516
Jun-02	5,828	477,590
Jun-03	6,869	634,972





Settlement :

Table 3

Month - Year	Settlement Quantity (Cr.)	Settlement Value (Rs. Cr.)
Jun-01	68	7,495
Jun-02	188	11,659
Jun-03	204	16,353

In June 2003, a total quantity of 204 cr. shares having a value of Rs. 16,353 cr. were settled in demat form in the stock exchanges connected to NSDL. Table 3 shows the settlement figures over the past three years.

Companies :

EQUITY

As on June 30, 2003, 4,888 companies are available for demat. Table 4 indicates the increase in the number of companies joined NSDL over a period of three years.

Table 4

Month - Year	No. of Companies Operational
Jun-01	3,154
Jun-02	4,369
Jun-03	4,888

DEBENTURES / BONDS

Table 4A

Month - Year	No. of Issuers	No. of active Instruments	Demat Value (Rs. Cr.)
Apr-03	509	6,434	197,609
May-03	517	6,649	203,773
Jun-03	522	6,757	214,488

As on June 30, 2003, 522 issuers have issued 10,310 debentures/bonds in demat form. 3,553 instruments have been redeemed and 6,757 instruments are available for dematerialisation. Table 4 A shows the growth over A period of last three months.

COMMERCIAL PAPER

As on June 30, 2003, 323 issuers have issued 3,914 commercial papers in demat form. 3,449 instruments have been redeemed and 465 commercial papers are available for dematerialisation. Table 4 B shows the growth over a period of last three months.

Table 4B

Month - Year	No. of Issuers	No. of active Instruments
Apr-03	320	429
May-03	322	459
Jun-03	323	465



Depository Participants :

Table 5

Month - Year	No. of DPs
Jun-01	202
Jun-02	212
Jun-03	211

As on June 30, 2003, 211 Depository Participants are offering depository services. DP services are provided from 1717 locations across the length and breadth of the country. Table 5 shows the steady increase in the number of DPs.

Corporate Action Statistics

Sr. No.	Security Type	During June 2003		For the F.Y. 2003-2004 (upto June 2003)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1.	Equity Shares	59,518	848,555,246	186,865	1,137,491,675
2.	Preference Shares	9,855	166,957,164	17,409	485,894,280
3.	Bonds	16,937	209,256,657	29,461	253,146,580
4.	Commercial Papers	75	25,440	336	108,660
5.	Certificate of Deposits	44	13,099	176	97,992
6.	Pass Through Certificates	21	512	110	2,932
7.	Mutual Fund Units	326	5,205,707	1,805	20,484,107
8.	Government Securities	6	53,400	356	12,931,826
9.	Warrants	—	—	—	—
	Total	86,782	1,230,067,225	236,518	1,910,158,052

List of Companies That Have Changed Names

(During June 2003)

Sr. No.	Old Name	New Name
1.	Benchmark Asset Management Company Limited	Benchmark Asset Management Company Pvt. Limited
2.	Gujarat Powergen Energy Corporation Limited (formerly known as Gujarat Torrent Energy Corporation Limited)	Gujarat Paguthan Energy Corporation Pvt. Limited
3.	Hero Briggs & Stratton Auto Pvt Limited	Hero Auto Pvt. Limited
4.	ICICI Securities & Finance Company Limited	ICICI Securities Limited
5.	IL and FS Venture Corporation Limited	IL&FS Investment Managers Limited
6.	La Farge India Limited.	La Farge India Pvt. Limited
7.	Lokhandwala Hotels Pvt. Limited	Taj Lands End Limited
8.	Mascot Systems Limited	iGate Global Solutions Limited
9.	Netaxis Software Services Limited	Shapre Infotech India Limited
10.	Sharon Pharma Chem Limited (formerly known as Sharon Synthochem Private Limited and Sharon Pharma Chem Private Limited)	Sharon Bio-Medicine Limited






Securities admitted for Dematerialisation during June 2003

COMPANY	ISIN
ABCI Construction Co. Limited	INE058G01017
APR Packaging Limited	INE019G01019
Biotech Consortium India Limited	INE079G01013
Continental Credit & Investments Ltd	INE080G01011
Continental Petroleums Limited	INE369D01015
Corporate Infrastructure Services Private Limited	INE050G01014
Denis Chem Lab Limited	INE051G01012
Dishnet DSL Limited	INE052G01010
Escorts Heart Institute Research Centre Limited	INE045G01014
Hanjer Fibres Limited	INE053G01018
Hindustan Platinum Private Limited	INE054G01016
Indo Rama Textiles Limited	INE059G01015
Janpath Investments & Holding Limited	INE076G01019
Kanchi Karpooram Limited	INE081G01019
Maithon Power Limited	INE082G01017
Maruti Udyog Limited	INE585B01010
Narmada Infrastructure Construction Enterprise Limited	INE043G01019
Polyolefins Rubber Chemicals Limited	INE048G01018
PSL Financial Services Limited	INE068G01016
RVK Energy Private Limited	INE683F01014
Suchak Trading Limited	INE035G01015
Swanston Multiplex Cinemas Private Ltd	INE065G01012
Tatia Intimate Exports Limited	INE083G01015
Tenet Technologies Private Limited	INE069G01014
Vasavi Securities Limited	INE055G01013
Vision Healthsource India Private Limited	INE056G01011
Vyapar Holdings Limited	INE070G01012

Things you should know about your Demat Account

Precautions you should take

- Deface your share certificates by writing "Surrendered for Dematerialisation" before submitting them to your DP for dematerialisation.
- Keep the Delivery Instructions book (DI book) safely just like a cheque book. 
- Accept the DI book from the DP only if it has a pre-printed serial number and your account number (Client ID) is stamped on each slip like in a cheque book.
- When writing an instruction on the DI slip, strike-out the empty space.
- Do not sign on the DI slip until it is completely filled-up.
- You are entitled to receive a Transaction Statement every 15 days if you have done any transaction(s) and once a quarter, if you have done no transaction.
- Insist on a Transaction Statement and not a holding statement. A Transaction Statement will show an opening balance, debit/credit and closing balance for each different share/ debenture held by you. 
- Verify whether all debits were authorised by you and all credits belong to you. If you find any unauthorised debits or credits in your account, report the matter to your DP. In case you are not satisfied, complain to NSDL.
- If your DP offers the internet facility to check your account, check it frequently. Change your login password at regular intervals. 

Services you should receive



Future Dated Instruction

A facility to submit delivery instructions to your DP today with an instruction to debit your account on a 'future date'. This helps in giving advance instructions and keeping securities with you until the day they are due to be delivered.



Automatic Credit

If your original holding is in demat form, then bonus shares, shares under scheme of split, amalgamation, etc., will be credited to your account automatically.



Transaction Statement

It shows the opening balance, securities pending for demat, transfers made by you, credits received by you, quantity pledged or lent by you and the closing balance for each security with appropriate description.



Pledge Facility

A facility to get a loan against shares in your demat account by pledging the shares (for example, in favour of a bank).



Transposition cum Demat

Shares in the name of husband and wife (for example) can be dematerialised in the account held in the name of wife and husband.



Nomination

An individual account holder can nominate one person per demat account. Register nomination for your account with your DP.



Update of changes

NSDL electronically sends changes in your address or bank account details to all the companies in which you hold shares in demat form once you furnish the details of the changes to your DP. You need not communicate such changes to all companies individually.



Transmission

Your DP will effect transmission of securities upon receiving the application in case of death of the account holder.



SPEED-e

A facility to submit delivery instructions through the internet. Contact your DP or visit the NSDL website: www.nsdl.co.in

For any kind of clarifications, complaints or suggestions, contact



National Securities Depository Limited

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NSDL Calendar of Events

Investor Depository Meets

July 3, 2003	Hoshiarpur	July 19, 2003	Jhansi
July 4, 2003	Moga	July 24, 2003	Ranchi
July 5, 2003	Bhatinda	July 25, 2003	Bokaro
July 10, 2003	Ratlam	July 25, 2003	Nasik
July 11, 2003	Dewas	July 26, 2003	Dhanbad
July 12, 2003	Indore	July 26, 2003	Jalgaon
July 18, 2003	Gwalior		

Business Partner Training

July 22 - 23, 2003	Share Registrar Training
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Branch Offices

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NSDL Certification Program

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