



NSDL

ISO 27001 Certified



NSDL UPDATE

February 2009

NSDL - Trusted by more than 9 Million Investors

Six Participants made operational in NSDL during January 2009

In January 2009, six Participants were made operational in NSDL. These are:

Sl. No.	Participant Name	City
1	Bhargava Lodha Stock Brokers Private Limited (DP ID IN303606)	Mumbai
2	Orbis Financial Corporation Limited (DP ID IN303622)	Gurgaon
3	Quant Broking Private Limited (DP ID IN303614)	Mumbai
4	Ratnabali Capital Markets Limited (DP ID IN303639)	Kolkata
5	Sajag Securities Private Limited (DP ID IN303647)	Pune
6	SAM Global Securities Limited (DP ID IN303655)	New Delhi

The above additions take the total number of operational Participants to 274 and the total number of DPM set-ups to 352.

SEBI encourages demat account holders to nominate

Based on SEBI's advise, NSDL has made certain changes pertaining to nomination in the account opening form (AOF). Accordingly, for new accounts, the applicant has to mandatorily mention either the nomination details or state that "I/We do not wish to make a nomination". For existing accounts where nomination is not done, NSDL has advised its Participants to send a communication to their Clients to either provide nomination details or to submit a declaration not opting for nomination.

NSDL has informed its Participants that they may use the existing stock of AOFs provided a stamp with the words "I/We do not wish to make a nomination" is affixed at the relevant place to enable the Client to tick the appropriate option. Alternatively, Participants may obtain a separate letter from Client(s) stating that they do not wish to make a nomination, if the Client(s) so desires.

This has been informed to Participants vide Circular Nos. NSDL/POLICY/2009/0002 dated January 3, 2009 and NSDL/POLICY/2009/0004 dated January 9, 2009.

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For transmission cases, Participant to open new BO account based on existing documents

Based on SEBI's advise, NSDL has advised its Participants that in case of death of a joint account holder if the surviving Client(s) wish to open a new BO account with the same Participant, then the Participant can open the new BO account on the basis of the existing documents already in the possession of the Participant, provided that the said documents meet the prevailing requirements for opening an account.

This has been informed to Participants vide Circular No. NSDL/POLICY/2009/0002 dated January 3, 2009.

Exemption from sending Quarterly Transaction Statements to Clients in respect of demat accounts with no transactions and no security balances

NSDL has been receiving representations from Participants seeking exemption from sending Transaction Statements on quarterly basis to Clients holding demat accounts with no transactions and no security balances. Based on this, NSDL has informed its Participants that they may not send the quarterly Transaction Statements to such Clients subject to the following:

- 1) Client is informed in advance that it will not be receiving Transaction Statements for such accounts till there are any transactions or security holdings in the demat account.
- 2) KYC and PAN requirement in respect of all such demat accounts are complied.
- 3) No Annual Maintenance Charges are levied for such an account.
- 4) Client must have opted for the SMS alert facility as provided by NSDL.
- 5) Information which is required to be disseminated by Participants by way of a note in the Transaction Statements will be required to be communicated to such Clients separately.
- 6) The Internal Auditor of the Participant shall comment in its internal audit report on compliance of the aforesaid requirements.

In case there is any transaction i.e. credit or subsequent debit in the Client's demat account, the Participant must send Transaction Statements to the Client at stipulated intervals in accordance with Bye Laws & Business Rules of NSDL.

Further, furnishing of Transaction Statement is a requirement for those Clients who do not want to personally visit the Participant's office for various requests such as address change, Delivery Instruction Slips, etc. For the purpose of such requests, Clients will have to personally visit the Participant's office as they would not have received the latest Transaction Statement.

This has been informed to Participants vide Circular No. NSDL/POLICY/2009/0006 dated January 15, 2009.

NSDL certified personnel allowed to be appointed as eligible persons at service centres of Participants

In order to increase the availability of trained personnel for depository operations, NSDL certified personnel for depository operations will also be treated as eligible persons at service centres of Participants, in addition to NCFM (NSE's Certification in Financial Markets - NSDL - Depository Operations Module) certified personnel.

This has been informed to Participants vide Circular No NSDL/POLICY/2009/0012 dated February 11, 2009.

NCFM oriented training programmes for Participants

NSDL conducts NCFM oriented training programmes for Participants to facilitate them to appear for the NCFM examination. In January 2009, such programmes were conducted in Mumbai which were attended by 92 persons.

Closure of depository operations of Apeejay Securities Private Limited

NSDL has informed all its Participants that pursuant to the closure of depository operations by Apeejay Securities Private Limited (ASPL), its certificate of registration as a Participant of NSDL has been cancelled by SEBI. Accordingly, name of ASPL has been deleted from the Depository System.

This has been informed to Participants vide Circular No. NSDL/PI/2009/0036 dated January 6, 2009.

Performance Summary

Investors :

As on January 31, 2009, there are 96,29,961 Investor accounts from within the country and abroad. 2,287 clearing member accounts have been opened to facilitate trading and settlement of demat shares in the stock exchanges connected to NSDL. Table 1 shows the growth in investor accounts over a period of last three years.

Table 1	
Month - Year	Client Accounts
	No. of Accounts (lakh)
Jan-07	77.59
Jan-08	90.55
Jan-09	96.30

Dematerialisation :

As on January 31, 2009, 27,728 cr. securities having a value of Rs. 29,87,515 cr. were dematerialised. Table 2 shows the dematerialisation figures over a period of last three years.

Table 2		
Month - Year	Demat Quantity (Cr.)	Demat Value (Rs. Cr.)
Jan-07	19,598	3,223,741
Jan-08	23,805	4,773,670
Jan-09	27,728	2,987,515

Settlement :

In January 2009, a total quantity of 466 cr. shares having a value of Rs. 63,281 cr. were settled in demat form in the stock exchanges connected to NSDL. Table 3 shows the settlement figures over a period of last three years.

Table 3		
Month - Year	Settlement Quantity (Cr.)	Settlement Value (Rs.Cr.)
Jan-07	485	76,600
Jan-08	891	182,167
Jan-09	466	63,281

Companies :

EQUITY

As on January 31, 2009, 7,786 companies are available for demat. Table 4 indicates the increase in the number of companies joined NSDL over a period of last three years.

Table 4	
Month - Year	No. of Companies Operational
Jan-07	6,404
Jan-08	7,197
Jan-09	7,786

DEBENTURES/BONDS

As on January 31, 2009, 487 issuers have issued 26,684 debentures/bonds in demat form. 19,960 instruments have been redeemed and 6,724 instruments are available for dematerialisation. Table 4A shows the growth over a period of last three months.

Table 4A			
Month - Year	No. of Issuers	No. of active instruments	Demat Value (Rs. Cr.)
Nov-08	465	6,807	514,009
Dec-08	480	6,765	533,940
Jan-09	487	6,724	559,052

COMMERCIAL PAPER

As on January 31, 2009, 132 issuers have issued 11,774 commercial papers in demat form. 11,154 instruments have been redeemed and 620 commercial papers are available for dematerialisation. Table 4B shows the growth over a period of last three months.

Table 4B		
Month - Year	No. of Issuers	No. of active instruments
Nov-08	115	580
Dec-08	126	551
Jan-09	132	620

Participants :

As on January 31, 2009, 274 Participants are offering depository services from 8,620 locations across 931 cities/towns in the country.

Table 5	
Month - Year	No. of DPs
Jan-07	239
Jan-08	251
Jan-09	274

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List of New Participant Centres

AHMEDABAD IDBI Bank Ltd Ph.: 079-25433251/252/253	HOOGLY Sharekhan Ltd Ph.: 033-26634743/26639605	MATHURA ICICI Bank Ltd. Ph.: 0565-6454462	NIPANI The Cosmos Co-Operative Bank Ltd. Ph.: 08338-220210
AJMER HDFC Bank Ltd. Ph.: 9875097730	HOSPET ICICI Bank Ltd. Ph.: 08394-645612/3	MUMBAI Bhargava Lodha Stock Brokers Private Limited Ph.: 022-22671585/86	PATNA Canara Bank Ph.: 0612-2541151
ALIBAG ICICI Bank Ltd. Ph.: 02141-282441	HYDERABAD Sharekhan Ltd Ph.: 040-24574114 / 24514610 / 55710065	HDFC Bank Ltd. Ph.: 022-22634501	PONDICHERRY Sharekhan Ltd Ph.: 04368-221288
AMRAVATI Religare Securities Limited Ph.: 0721-2560794-99	JAMMU Kotak Securities Ltd Ph.: 0191-2470312	HDFC Bank Ltd. Ph.: 022-28549715	PUNE Sajag Securities Private Limited Ph.: 020-66014737
AMRITSAR ICICI Bank Ltd. Ph.: 0183-5018428	KALYAN Kotak Mahindra Bank Ph.: 0251-6694851	HDFC Bank Ltd. Ph.: 022-24445578	The Cosmos Co-Operative Bank Ltd. Ph.: 020-24455273 / 24491713
ASANSOL Kotak Securities Ltd Ph.: 0341-2315911	KANNUR IL&FS Securities Services Limited Ph.: 0497-3240080/81/82/83	IL&FS Securities Services Limited Ph.: 022-25922121	RAICHUR Religare Securities Limited Ph.: 08532-231483-88
AURANGABAD Sharekhan Ltd Ph.: 0240-2471469	KARUR ICICI Bank Ltd. Ph.: 04324-263210	Kotak Mahindra Bank Ph.: 022-67413240	SIKAR HDFC Bank Ltd. Ph.: 01572-270568
BALRAMPUR ICICI Bank Ltd. Ph.: 05263-233727	KARWAR ICICI Bank Ltd. Ph.: 08382-223734	Quant Broking Private Limited Ph.: 022-40880100	Religare Securities Limited Ph.: 01572-512540-42
BANGALORE Sharekhan Ltd Ph.: 080-23461990	KHURJA ICICI Bank Ltd. Ph.: 05738-231777	The Mahanagar Co-Operative Bank limited Ph.: 022-22612795	SINDHANOOR ICICI Bank Ltd. Ph.: 08535-220816
BHUBANESHWAR Canara Bank Ph.: 0674-2531670	KODUNGALLUR Sharekhan Ltd Ph.: 0480-2810147	The Mahanagar Co-Operative Bank limited Ph.: 022-25681951	SURAT IDBI Bank Ltd Ph.: 0261-2556257-97259-99556/557/558
CHANDAN NAGAR HDFC Bank Ltd. Ph.: 033-26856433-35	KOLKATA Ashika Stock Broking Limited Ph.: 033-22839952	MUZAFFARNAGAR ICICI Bank Ltd. Ph.: 0131-2600157	SURENDRANAGAR IDBI Bank Ltd Ph.: 2752-220841 / 2 / 3
CHANDIGARH ICICI Bank Ltd. Ph.: 0172-5017634	Kotak Securities Ltd Ph.: 033-25329946	NAGPUR HDFC Bank Ltd. Ph.: 0712-6633650	TIRUPUR ICICI Bank Ltd. Ph.: 0421-4522094
CHENNAI Barclays Securities (India) Private Limited Ph.: 044-42218162	Ratnabali Capital Markets Limited Ph.: 033-22874744	NAVI MUMBAI Sharekhan Ltd Ph.: 022-27571572	TIRUVALLUR Tamilnad Mercantile Bank Limited Ph.: 044-27663855
Computer Age Management Services Private Limited Ph.: 044-28285561/ 62	Sharekhan Ltd Ph.: 033-30521456/30520535	The Mahanagar Co-Operative Bank limited Ph.: 022-27849498/27841298	TRICHUR ICICI Bank Ltd. Ph.: 0487-2322487
DAMAN Religare Securities Limited Ph.: 0260-2250258/59	KRISHNAGIRI ICICI Bank Ltd. Ph.: 04343-232110	NELLORE Kotak Securities Ltd Ph.: 0861-2300224/2300334/2340749	UJJAIN HDFC Bank Ltd. Ph.: 0734-4060505
DAMANJODI HDFC Bank Ltd. Ph.: 6853-253644	LATUR Sharekhan Ltd Ph.: 023820-251053	NEW DELHI Barclays Securities (India) Private Limited Ph.: 011-47535500	VIJAYAWADA Sharekhan Ltd Ph.: 0866-2540590/9440180390
ERODE ICICI Bank Ltd. Ph.: 04204-225300	LUDHIANA IDBI Bank Ltd Ph.: 0161-5092601 / 608	HDFC Bank Ltd. Ph.: 011-9873252811	YAMUNA NAGAR Anagram Stockbroking Limited Ph.: 01732-645235
GURGAON Orbis Financial Corporation Limited Ph.: 0124-4546565	MAHUDHA Sharekhan Ltd Ph.: 0268-2572213/9427855281	HDFC Bank Ltd. Ph.: 011-32558489/9350688367	ZIRAKPUR ICICI Bank Ltd. Ph.: 01762-526026
	MANGALORE ICICI Bank Ltd. Ph.: 0824-4288222	Kotak Mahindra Bank Ph.: 011-23535700	
		Sam Global Securities Limited Ph.: 011-30111333	

Corporate Action Statistics

Sr.No.	Description	During January 2009		For the F.Y. 2008-09 (upto January 2009)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	51,121	2,004,057,476	5,492,114	28,802,351,720
2	Preference Shares	345	5,721,701	39,215	838,997,691
3	Bonds	931	83,500,448	38,389	806,854,427
4	Commercial Papers	155	335,740	1,416	2,153,704
5	Certificate Of Deposits	320	3,335,186	2,356	19,969,206
6	Securitized Instruments	33	4,770,491	1,561	36,740,241
7	Mutual Fund Units	2,174	773,197	27,475	93,250,434
8	Warrants	332	17,383	32,890	134,055,582
9	Postal Savings Certificates	1	100,000*	330	67,325,000*
10	Commodities	45	633	1,662	46,665
	Total	55,457	2,102,612,255	5,637,408	30,801,744,670

* Value In Rupees

List of Companies that have changed Names

(During January 2009)

Sr. No	Old Name	New Name
1	Gateway Systems (India) Limited	Reliance WiMax Limited
2	India Gypsum Limited	Saint-Gobain Gyproc India Limited
3	Bluemoon Investments Private Limited	Grandhi Enterprises Private Limited
4	Roshan Investments Private Limited	Rajam Enterprises Private Limited
5	Controls & Switchgear Company Ltd.	C&S Electric Limited
6	Champagne Indage Limited	Indage Vintners Limited
7	HTMT Global Solutions Limited	Hinduja Global Solutions Limited
8	Jhunjhunwala Vanaspati Limited	JVL Agro Industries Limited
9	SRS Entertainment Limited	SRS Entertainment & Retail Limited
10	Sugal & Damani Finlease Limited	Sugal & Damani Share Brokers Limited
11	Carnation Nutra - Analogue Foods Limited	Zyduz Wellness Limited
12	Kitchen Appliances India Limited	KAIL Limited

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Securities admitted for Dematerialisation during January 2009

COMPANY	ISIN	COMPANY	ISIN
Ace Mouldings Ltd.	INE107K01014	Limavali Power Private Limited	INE062K01011
Adani Agro Private Limited	INE060K01015	Mac Charles (India) Limited	INE435D01014
Adventure Realtors And Properties Pvt. Ltd.	INE090K03018	Marck Biosciences Limited	INE084K01015
Ample Realtors Private Limited	INE088K03012	Midfield Industries Limited	INE091K01010
Bellwether Microfinance Fund Private Ltd.	INE059K01017	Navnirman Real Estate Private Ltd.	INE087K03014
Bengal & Assam Company Limited	INE083K01017	Nexus Electro Steel Limited	INE119K01019
Bhagavathi Enterprises Limited	INE068K01018	Passari Cellulose Ltd.	INE008F01014
Binny Limited	INE118K01011	Prasha Technologies Limited	INE121K01015
CSJ Infrastructure Private Limited	INE116K01015	Prashant Realtors Private Limited	INE086K03016
DB Power Electronics Private Limited	INE074K01016	Reid & Taylor (India) Limited	INE069K01016
Dhruvam Realtors Private Limited	INE089K03010	Samruddha Realtors Private Limited	INE085K03018
Esveear Distilleries Private Limited	INE106K01016	Shree Surgovind Tradelink Limited	INE117K01013
Flsmidth Designs Private Limited	INE072K01010	Shriram Holdings (Madras) Private Ltd.	INE061K01013
Flsmidth Minerals Private Limited	INE071K01012	Sona Okegawa Precision Forgings Ltd.	INE073K01018
Gujarat Wedge Wire Screens Limited	INE090J01014	South Asian Agro Industries Limited	INE115K01017
India SME Asset Reconstruction Company Ltd.	INE067K01010	Spear Logistics Private Limited	INE095K01011
Infrastructure Ventures India Limited	INE045K01016	Speedy Multimodes Limited	INE063K01019
J. Taparia Projects Limited	INE075K01013	STI Phoenix Wear Private Limited	INE066K01012
L&T Arun Excello Commercial Projects Private Limited	INE094K01014	The Loot (India) Private Limited	INE108K01012
Lahari Power & Steels Limited	INE231I01016	Virgo Polymers (India) Limited	INE464H01015

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NSDL Calendar of Events

Investor Depository Meets

March 5, 2009	Kanchipuram	March 19, 2009	Solapur
March 6, 2009	Neyveli	March 20, 2009	Latur
March 7, 2009	Pondicherry	March 21, 2009	Nanded

Business Partner Training

March 3-5, 2009	Depository Participant Training
March 7, 2009	NCDO (NSDL Certification for Depository Operations) oriented Training
March 17-18, 2009	Issuer / Share Registrar Training

NSDL Offices

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Investor Relationship Cell

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NSDL Certification Programme

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