



NSDL

ISO 27001 Certified



NSDL UPDATE

October 2012

NSDL - Trusted by more than One Crore account holders

Visit by delegates from Maldives Stock Exchange Pvt. Ltd. to NSDL during September 2012



Settlement fee on transactions in mutual fund units in the depository system

In order to encourage investors to hold mutual fund units in the depository system, NSDL has decided to continue not to charge any fee to Participants in respect of transactions in mutual fund units held in the depository system till March 31, 2013.

(Ref: Circular No. NSDL/POLICY/2012/0103 dated September 26, 2012)

Approval of Rajiv Gandhi Equity Saving Scheme (RGESS) for Retail Investors

The Union Finance Minister, Shri. P. Chidambaram approved a new tax saving scheme called “Rajiv Gandhi Equity Saving Scheme”(RGESS), exclusively for the first time retail investors in securities market. This Scheme would give tax benefits to new investors who invest up to ₹50,000 and whose annual income is below ₹10 lakh.

The Scheme not only encourages the flow of savings and improves the depth of domestic capital markets, but also aims to promote an ‘equity culture’ in India. This is also expected to widen the retail investor base in the Indian securities markets.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2012/0104 dated September 26, 2012)

Inside :

➤ Performance Summary

Investor Accounts	1,23,84,644
Participants	283
Participant Service Centres	14,336
Demat Custody	₹ 75,93,946 Cr.
Settlement	₹ 64,999 Cr.
Companies available for demat	10,219
Instruments	
❖ Debentures/Bonds	8,262
❖ Securitised Instruments	717
❖ Commercial Papers	1,503
❖ Certificates of Deposit	1,264

➤ List of New Participant Centres

➤ Corporate Action Statistics

➤ Companies Name Change

➤ Securities Admitted For Dematerialisation

➤ Calendar of Events

➤ Topic of Interest

For Private circulation only

Printing of Grievances Redressal Mechanism on Delivery Instruction Form (DIF) Book

SEBI vide its Circular No. CIR/MRD/DP/DA/25 /2012 dated September 21, 2012 has informed regarding revised contents pertaining to Grievances Redressal Mechanism to be printed in the Delivery Instruction Form (DIF) Book. In case where DIF Books have already been printed by Participants but are yet to be issued to the Clients, Participants shall at the time of issuance of such books to the Clients, provide a printed copy of the aforesaid information along with the DIF Book.

(Ref: Circular No. NSDL/POLICY/2012/0100 dated September 25, 2012)

Operational Guidelines for Basic Services Demat Account (BSDA)

SEBI vide Circular No. CIR/MRD/DP/22/2012 dated August 27, 2012 had advised that all Participants make available the facility for Basic Services Demat Account (BSDA) for retail investors. As per the aforementioned SEBI circular, Participant shall give option to open BSDA / convert existing account into BSDA to eligible individuals. If Participant wishes to incorporate such an option in Account Opening Form (AOF), it may do so. After conversion of regular account into BSDA and vice-versa, Participant should send a communication to such Client informing about the changes effected in the account of the Client.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2012/0101 dated September 26, 2012)

Change of name in depository account in case of amalgamation / scheme of arrangement

In case of amalgamation / scheme of arrangement of the entities who have depository accounts, Participants have been informed to follow the procedure for change of name of such corporate Clients in the depository account and obtain the required documents as mentioned in the circulars. Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2012/0090 dated September 5, 2012)

NCDO/NISM training / certification programmes for Participants

NSDL has made arrangements with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM. In September 2012, NSDL conducted 20 such training programmes at Ahmedabad, Bengaluru, Chandigarh, Chennai, Cochin, Gurgaon, Guwahati, Hyderabad, Indore, Jaipur, Kolkata, Lucknow, Mumbai, New Delhi and Pune which were attended by 491 persons nominated by various Participants.

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Sep-10	109.50
Sep-11	119.10
Sep-12	123.84

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Sep-10	288	12,042	1,363
Sep-11	297	13,689	1,489
Sep-12	283	14,336	1,559

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Sep-10	40,772	65,48,532
Sep-11	53,601	66,87,807
Sep-12	62,156	75,93,946

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Sep-10	797	1,40,720
Sep-11	526	90,612
Sep-12	340	64,999

(Single Side)

Companies

EQUITY

Month - Year	No. of Companies Operational
Sep-10	8,514
Sep-11	9,212
Sep-12	10,219

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-10	571	6,874	8,06,569
Sep-11	591	7,127	9,13,635
Sep-12	663	8,262	11,30,924

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-10	577	862	45,205
Sep-11	589	904	39,593
Sep-12	479	717	32,349

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-10	231	1,345	1,19,692
Sep-11	244	1,743	1,55,728
Sep-12	223	1,503	1,72,867

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-10	43	735	3,50,630
Sep-11	45	1,339	4,05,521
Sep-12	44	1,264	3,80,738

New Participant Centres

ANDHRA PRADESH	Patparganj Industrial Area, Ph.: 011-08595314487	KERALA	THANE
ANANTAPUR	Intouch Securities Limited Netaji Subhash Place Pitampura, Ph.: 011-45121795	THIRUVANANTHAPURAM	Axis Bank Limited Shanti Park Mira Road (E), Ph.: 022-28111276
IDBI Bank Limited Rly. Station Road Guntakal, Ph.: 09247057175	PUG Securities Private Limited 17, Barakhamba Road, Ph.: 011-43728020-21	Indbank Merchant Banking Services Limited M G Road, Ph.: 0471-2474762	PUDUCHERRY
MAHABUBNAGAR	S.S.A.T Consultants Private Limited D. B. Gupta Road Karol Bagh, Ph.: 011-43231000	MADHYA PRADESH	PUDUCHERRY
HDFC Bank Limited Signal Gadda Road, Ph.: 08542-235005	GOA	BHOPAL	HDFC Bank Limited 360, M.G. Road, Ph.: 09345303515
NARSAPUR	PANAJI	HDFC Bank Limited J K Road, Ph.: 0755-6533524	PUNJAB
HDFC Bank Limited S N R Complex Main Road, Ph.: 08814-273411	HDFC Bank Limited Goa Velha, Ph.: 0832-2278066	INDORE	AMRITSAR
RAYACHOTI	GUJARAT	Marwadi Shares & Finance Limited Mahesh Nagar, Antim Chouraha, Ph.: 0731-2413920	HDFC Bank Limited C/O Dera Baba Jaimal Singh Beas, Ph.: 01853-271686
HDFC Bank Limited Sundupalli Road, Ph.: 08561-255900	AHMEDABAD	NAGDA	RAJASTHAN
TIRUPATHI	ICICI Bank Limited Opp. to SBI Regional Office, Ph.: 079-40020591	HDFC Bank Limited Kota Fatak, Jawahar Marg, Ph.: 07366-242711	BARAN
HDFC Bank Limited K T Road, Ph.: 0877-6450002	SURAT	MAHARASHTRA	HDFC Bank Limited Kota Road, Ph.: 07453-230031
Indbank Merchant Banking Services Limited Gandhi Road, Ph.: 0877-2259199	Axis Bank Limited Ichchhapore, Ph.: 0261-2841555- 655-755	BULDHANA	CHITTORGARH
VIJAYAWADA	HARYANA	ICICI Bank Limited Near LIC Office, Chaitanyawadi, Ph.: 07262-245611	Kotak Mahindra Bank Limited Bhilwara Road, Ph.: 01472-245737
Optimus Share Broking Limited M. G. Road, Ph.: 0866-6677788 / 6677752	FATEHABAD	MUMBAI	PHALODI
ASSAM	Kotak Mahindra Bank Limited G. T. Road, Ph.: 0166-7300500	Axis Bank Limited Zaveri Bazar Memom Street, Ph.: 022-23461079-81	HDFC Bank Limited Nai Sarak
MORANHAT	JAMMU AND KASHMIR	BNP Paribas Bandra Kurla Complex, Bandra (E), Ph.: 022-61964570	TAMIL NADU
HDFC Bank Limited A T Road, Ph.: 03754-229460	JAMMU	ICICI Bank Limited Gorai Ruby Chs Gorai 2, Ph.: 022-65992676	CHENNAI
BIHAR	HDFC Bank Limited B. C. Road, Ph.: 0191-2520691	Poonam Nagar Mahakali Caves Road, Andheri (E), Ph.: 022-65992290-92	ICICI Bank Limited Santhome High Road, Ph.: 044-52062023-29
MUZAFFARPUR	JHARKHAND	NASHIK	TENKASI
HDFC Bank Limited Kalambagh Chowk, Ph.: 0621- 2242079	DHANBAD	The Saraswat Co-Operative Bank Limited Gangapur Road, Ph.: 0253-2314539	HDFC Bank Limited 241, Samba Street, Ph.: 04633-226450
CHANDIGARH	Edelweiss Stock Broking Limited Shree Ram Plaza, Bank Morh, Ph.: 0326-6450433	NAVI MUMBAI	UTTAR PRADESH
CHANDIGARH	LOHARDAGA	The Saraswat Co-Operative Bank Limited Sector 7 Airoli, Ph.: 022-27602655-56	GREATER NOIDA
HDFC Bank Limited Sector 20 D, Ph.: 0172-5016506	HDFC Bank Limited Upper Bazar Main Road, Ph.: 06526-222080	PUNE	HDFC Bank Limited Sector Omega-1/P2, Opp. AWHO, Ph.: 0120-8595305212
CHHATTISGARH	KARNATAKA	HDFC Bank Limited Nr. Mahaganapati Temple, Nagar Road, Ph.: 09325082483	KANPUR
BHILAI	BANGALORE	IL&FS Securities Services Limited Near Nagnath Par Chowk, Opp Canara Bank ATM Lane, Sadashiv Peth, Ph.: 020-65004367	ICICI Bank Limited Govind Nagar, Ph.: 0512-2653972
Kotak Mahindra Bank Limited G. E. Road, Ph.: 0788-4340105	MANGALORE	PUSAD	NOIDA
RAIPUR	ICICI Bank Limited Kuloor Ferry Road, Ph.: 0924-3601013	HDFC Bank Limited Karala Road Pusad, Ph.: 07233-245171	HDFC Bank Limited Sector-48, Ph.: 0120-8595204424
HDFC Bank Limited Jaistambh Chowk, Ph.: 0771-4099201	UDUPI	WEST BENGAL	Religare Securities Limited Sector 125, Ph.: 0120-3391200
Ring Rd. No: 02, Bhanpuri, Ph.: 0771-4225269	Indbank Merchant Banking Services Limited G B Panth Road, Ph.: 0820-2526187	KOLKATA	Indbank Merchant Banking Services Limited R N Mukharjee Road, Ph.: 033-22624958
DELHI			
NEW DELHI			
HDFC Bank Limited Arya Samaj Road, Karol Bagh, Ph.: 011-45795915			

NSDL UPDATE

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Corporate Action Statistics

Sr. No.	Description	During September 2012		For F.Y. 2012 - 2013 (Upto September 2012)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	225,868	3,082,061,410	816,349	21,558,976,046
2	Preference Shares	23	45,273,209	325	1,761,325,493
3	Bonds	11,625	101,374,911	200,223	414,022,145
4	Commercial Papers	624	1,386,410	3,493	7,065,557
5	Certificates of Deposit	809	10,909,300	3,636	37,835,511
6	Securitised Instruments	10	413,101	139	28,060,887
7	Mutual Fund Units	14,708	281,222,423	97,618	2,214,493,815
8	Warrants	0	0	2	16,500,000
9	Commodities	90	163,838	1,693	4,272,947
10	Mutual Fund Units (Trase)	8,555	23,873,639	49,546	335,972,746
	Total	262,312	3,546,678,241	1,173,024	26,378,525,147

List of Companies that have changed Names

(During September 2012)

Sr. No.	Old Name	New Name
1	Financial Inclusion Network & Operations Limited	FINO PayTech Limited
2	Jagannathpur Steel Limited	Abhijeet Integrated Steel limited
3	Panasonic Home Appliances India Company Limited	Panasonic Appliances India Company Limited
4	Trendy Knitwear Limited	Vandana Knitwear Limited
5	Vetnex Animal Health Limited	Pfizer Animal Health India Limited
6	Kale Consultants Limited	Accelya Kale Solutions Limited
7	Viva Highways Private Limited	Viva Highways Limited
8	S. Chand & Company Limited	S Chand & Company Private Limited
9	Roman Tarmat Limited	Tarmat Limited
10	Max New York Life Insurance Company Limited	Max Life Insurance Company Limited
11	Viva Infrastructure Private Limited	Viva Infrastructure Limited
12	Mangal Keshav Holdings Limited	Mangal Keshav Securities Limited
13	Pharma Com (India) Limited	Count N Denier (India) Limited
14	Kalki Communication Technologies Limited	Kalki Communication Technologies Private Limited
15	Kaleidoscope Films Limited	Divine Multimedia (India) Limited
16	Igarashi Brush Cards Private Limited	Agile Electric Sub Assembly Private Limited
17	TRN Energy Private Limited	TRN Energy Limited
18	Sudar Garments Limited	Sudar Industries Limited
19	L&T Plastics Machinery Limited	L&T Plastics Machinery Private Limited
20	Zuari Industries Limited	Zuari Global Limited
21	Roselabs Bioscience Private Limited	Roselabs Bioscience Limited

Securities admitted for Dematerialisation during September 2012

COMPANY	ISIN	COMPANY	ISIN
Aaren Educare Private Limited	INE906N01013	Living Media India Limited	INE881N01018
Amrit Petroleums Private Limited	INE900N01016	Malti Textile Mills Limited	INE907N01011
Anax Com Trade Limited	INE862N01018	Manaj Tollway Private Limited	INE916N01012
Babhari Properties Private Limited	INE903N01010	Manipal Acunova Limited	INE925N01013
Babji Realtors Private Limited	INE909N01017	Microqual Techno Limited	INE934N01015
Balavati Properties Private Limited	INE902N01012	Monotype India Limited	INE811D01016
Beverly Park Maintenance Services Limited	INE865N01011	Neotia Healthcare Initiative Limited	INE870N01011
Boxco Logistics India Private Limited	INE759N01016	Paintex Chemicals (Bombay) Limited	INE856N01010
Bronze Infra-Tech Limited	INE830N01015	Parag Milk Foods Private Limited	INE883N01014
Chandrajyoti Estate Developers Private Limited	INE847N01019	Pfizer Pharmaceutical India Private Limited	INE886N01017
Classic Infrsolutions Private Limited	INE915N01014	Pioneer Gas Power Limited	INE976M01018
Cobol Technologies Private Limited	INE905N01015	Prawas Leasing & Finance Private Limited	INE908N01019
Cyberoam Technologies Private Limited	INE842N01010	Raigarh Champa Rail Infrastructure Private Limited	INE850N01013
Decillion Finance Limited	INE848N01017	Ramakrishna Eyecare & Research Private Limited	INE845N01013
Dixon Technologies (India) Private Limited	INE935N01012	Ras Infraport Private Limited	INE913N01019
DLF City Centre Limited	INE863N01016	Ratnabali Leasings Private Limited	INE914N01017
DLF Info City Developers (Chennai) Limited	INE864N01014	Regency Park Property Management Services Limited	INE866N01019
Enrich Hair & Skin Solutions Private Limited	INE912N01011	Runwal Homes Private Limited	INE939N01014
Fantasy Buildwell Private Limited	INE887N01015	Rustomjee Realty Private Limited	INE802N01014
Fineworthy Software Solutions Private Limited	INE942N01018	S Chand Digital Private Limited	INE896N01016
Fort Mahodadhinivas Palace Private Limited	INE895N01018	Sepset Properties Limited	INE885N01019
Gopal Traders Private Limited	INE871N01019	Shaara Hospitalities Private Limited	INE736N01014
Hirise Infracon Limited	INE725N01017	Shree Renuka Energy Limited	INE179M01019
IL&FS Infrastructure Development Corporation Limited	INE851N01011	Shriram Financial Ventures (Chennai) Private Limited	INE946N01019
IL&FS Township & Urban Assets Limited	INE872N01017	Simhadri Power Limited	INE846N01011
Isha Steel Treatment Private Limited	INE945N01011	Skylark Arcadia Private Limited	INE882N01016
Kachchh Steels Private Limited	INE926N01011	Skylark Mansions Private Limited	INE884N01012
Karnataka State Financial Corporation	INE549F01017	Starwings Fashion Trading Limited	INE724N01010
Khambhat Port Limited	INE894N01011	Sudarshan Securities Private Limited	INE899N01010
KSK Water Infrastructures Private Limited	INE852N01019	Thrissur Expressway Limited	INE868N01015
Lanco Power Limited	INE869N01013	Undercarriage And Tractor Parts Private Limited	INE901N01014
Lifeline Drugs & Pharma Limited	INE776N01010	Wadhwa Power Private Limited	INE665N01015

NSDL UPDATE

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NSDL Calendar of Events

Compliance Requirement

Sr.No.	Particulars	Reference	Due date	Mode of sending
1	Internal/ Concurrent Audit Report (April - September)	Circular No. NSDL/ POLICY/2008/0077 dated November 3, 2008.	November 15th every year	By hard copy to Business Partner Inspection Department

NSDL Offices

Head Office

Mumbai

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Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
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40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad

Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd.,
C. G. Road, Near Panchvati Circle,
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Tel: (079) 26461376, Fax: (079) 26461375

Kolkata

5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
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Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai

6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

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409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
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Investor Relationship Cell

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TOPIC OF INTEREST

Know more about National Pension System (NPS)

(Continued from September 2012 issue)

1. What is Swavalamban Yojana?

Ans. Swavalamban Yojana is a scheme announced by the Government of India under which “Government will contribute ₹1000 per year to each NPS account opened in the year 2010-11 and for the next three years, that is, 2011-12, 2012-13 and 2013-14. As a special case and in recognition of their faith in the NPS, all NPS accounts opened in 2009-10 was entitled to the benefit of Government contribution under this scheme as if they were opened as new accounts in 2010-11 subject to the condition that they fulfill all the eligibility criteria prescribed under these guidelines.”

Government of India has decided to extend the benefit of Swavalamban scheme for all NPS/Swavalamban accounts opened in the year 2012-13 and subsequent years upto 2016-17. The benefit of Swavalamban scheme for accounts opened in financial year 2012-13 and subsequent year will be limited till financial year 2016-17.

Accordingly, the basic eligibility criteria for joining the Swavalamban Yojana for a subscriber is given below:

- Permanent Retirement Account should be opened.
- Minimum contribution should be ₹1,000 per annum (Financial year) in Tier I account and maximum contribution should be ₹12,000 per annum (Financial year) in both Tier I as well as Tier II account together.

2. What is the procedure for registration of Subscribers in the CRA system?

Ans. Any Individual who wants to get registered as a subscriber and wants to open a Permanent Retirement Account (PRA) (Tier I and/or Tier II) in NPS would submit the duly filled form (Composite application form for subscriber registration) with other supporting KYC documents to POP-SP. For only Tier II account, an individual with an active Tier I account needs to approach the associated POP-SP and submit a copy of the PRAN Card along with Tier II activation form (UOS-S10). A subscriber is required to make the first contribution at the time of applying for registration. (Minimum contribution ₹500 for Tier I and ₹1000 for Tier II) with duly filled NCIS (NPS Contribution Instruction Slip). POP-SP will validate the form and provide a Receipt no. (as acknowledgement) to the Subscriber.

to be continued in next issue...