



NSDL

ISO 27001 Certified



NSDL UPDATE

October 2011

NSDL - Trusted by more than One Crore account holders

Visit by Securities Commission of Zimbabwe to NSDL in September 2011



From L to R: Hon'ble Chairperson of Securities Commission of Zimbabwe, Ms. W. Bonyongwe with NSDL Managing Director & CEO, Mr. Gagan Rai during the visit by officials of Securities Commission of Zimbabwe to NSDL in September 2011.

Extension of deadline time for submission of IDT instructions

With effect from September 26, 2011, the time limit for submitting online inter-depository transfer (IDT) instructions has been extended from 7.00 p.m. to 8.00 p.m.

(Ref: Circular No. NSDL/POLICY/2011/0074 dated September 19, 2011, which is posted on NSDL website www.nsdl.co.in)

Settlement fee on transactions in mutual fund units in the depository system

In order to facilitate transactions in mutual funds units through stock exchange platform, NSDL has enabled holding of mutual fund units in dematerialised form. To encourage investors to hold mutual fund units in the depository system, NSDL has decided to continue not to charge any fee to Participants in respect of transactions in mutual fund units held in the depository system till March 31, 2012.

(Ref: Circular Nos. NSDL/POLICY/2010/0105 dated September 22, 2010, NSDL/POLICY/2011/0026 dated March 25, 2011 and NSDL/POLICY/2011/0076 dated September 23, 2011, which is posted on NSDL website www.nsdl.co.in)

NCDO training / certification programmes for Participants

NSDL conducts training and certification programmes for Participants to increase the availability of trained personnel for depository operations. In September 2011, such programmes were conducted in Aurangabad, Bangalore, Jalgaon, Kolkata, Mumbai and Srinagar. In all, 148 persons attended the training programmes conducted at these locations.

Inside :

➤ Performance Summary

Investor Accounts	1,19,10,398
Participants	297
Participant Service Centres	13,689
Demat Custody	₹ 66,87,807 Cr.
Settlement	₹ 90,612 Cr.
Companies available for demat	9,212
Instruments	
❖ Debentures/Bonds	7,127
❖ Securitised Instruments	904
❖ Commercial Papers	1,743
❖ Certificates of Deposit	1,339

➤ List of New Participant Centres

➤ Companies Name Change

➤ Securities Admitted For Dematerialisation

➤ Corporate Action Statistics

➤ Calendar of Events

➤ Topic of Interest

For Private circulation only

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Subscription to *SPEED-e*

During September 2011, one more Participant has subscribed to the *SPEED-e* facility viz.:

- The Thane Janata Sahakari Bank Ltd. (DP ID IN303964)

Clients of the above mentioned Participant can now avail of the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 111.

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Sep-09	101.39
Sep-10	109.50
Sep-11	119.10

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Sep-09	283	9,915	1,039
Sep-10	288	12,042	1,363
Sep-11	297	13,689	1,489

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Sep-09	30,950	50,48,439
Sep-10	40,772	65,48,532
Sep-11	53,601	66,87,807

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Sep-09	790	1,30,000
Sep-10	797	1,40,720
Sep-11	526	90,612

(Single Side)

Companies

EQUITY

Month - Year	No. of Companies Operational
Sep-09	7,871
Sep-10	8,514
Sep-11	9,212

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-09	512	6,556	6,44,349
Sep-10	571	6,874	8,06,569
Sep-11	591	7,127	9,13,635

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-09	606	968	58,304
Sep-10	577	862	45,205
Sep-11	585	904	39,593

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-09	178	770	85,796
Sep-10	231	1,345	1,19,692
Sep-11	244	1,743	1,55,728

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-09	46	629	2,33,937
Sep-10	43	735	3,50,630
Sep-11	45	1,339	4,05,521

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New Participant Centres

ANDHRA PRADESH	NIZAMABAD	WARANGAL	NOIDA
AMBAJIPETA Aditya Birla Money Limited Near SBI, Ph.: 09885013620	Aditya Birla Money Limited Devi Road, Ph.: 09396422779	Aditya Birla Money Limited Station Road, Ph.: 09618862333 Vijaya Talkies Road, Hanamkonda, Ph.: 09397647080	Aditya Birla Money Limited Sector 16, Near Metro Station, Ph.: 09312004292
ANAKAPALLE Aditya Birla Money Limited KVR Complex, Ph.: 09347006659	NUZVID Aditya Birla Money Limited Main Road, Ph.: 09885615577	YANAM Aditya Birla Money Limited Golgabathula Street, Ph.: 09347884804	GOA SALCETE HDFC Bank Limited Near Cuncoim Bus Stand, Voddy, Cuncoim, Ph.: 0832-2865410
BODHAN Aditya Birla Money Limited Beside Vishnu Bhavan, Ph.: 09396422779	ONGOLE Aditya Birla Money Limited Kurnool Road, Ph.: 09390119300/09391494333	YEMMIGANUR Aditya Birla Money Limited Park Road, Opp SBI, Ph.: 09441011433	GUJARAT AHMEDABAD ASE Capital Markets Limited Ambawadi, Ph.: 079-26301714/09898301342 Goldmine Stocks Private Limited Sarangpur, Ph.: 079-30264600/30264601 ICICI Bank Limited Opp. Rajasthan Hospital, Shahibaug, Ph.: 079-22861546
CHERUKUPALLI Aditya Birla Money Limited Raghav Complex, Ph.: 09949025115	PALAKOLLU Aditya Birla Money Limited Bank Street, Ph.: 09392411201	ASSAM BISWANATH CHARILAI HDFC Bank Limited NH-52, Kochgaon, Ph.: 03715-222056	DISA Sharekhan Limited Opp 3 Hanuman Temple, Ph.: 09879681312
CHILAKALURIPET Aditya Birla Money Limited Panduripuram, Ph.: 09397606045	PALAKONDA Aditya Birla Money Limited Opp Police Station Main Road, Ph.: 09491568345	DHUBRI HDFC Bank Limited Kalibari Road, Ph.: 03662-3662232533	DAHOD Axis Bank Limited Devgad Baria, Ph.: 02678-220092/93
CHITTOOR Aditya Birla Money Limited Behind Reddy Colony, Ph.: 09440007212 SV Deluxe Road, Ph.: 09346916948	PALAMANER Aditya Birla Money Limited Mariamagudi Street, Ph.: 093747322456	GOALPARA HDFC Bank Limited Boc Agia Road, Ph.: 03663-240086	KARJAN HDFC Bank Limited Nava Bazar, Ph.: 02666-233401
CUDDAPAH Aditya Birla Money Limited Mastavali Street, Ph.: 09393228883	PEDDAPURAM Aditya Birla Money Limited Beside Sowkya Residency, Ph.: 09247790179	GUWAHATI HDFC Bank Limited A.T Road, Near Maligaon Chariyali, Ph.: 0361-2673312	KUTCH IDBI Bank Limited Shivam Park, Adani Port Road, Mundra, Ph.: 02838-654301-308
ELURU Aditya Birla Money Limited Swaraj Hotel Road, Ph.: 09398310033	PIDUGURALLA Aditya Birla Money Limited Main Road, Ph.: 09396847260	KOKRAJHAR HDFC Bank Limited Near Assam Gramin Vikash Bank, Ph.: 03661 270154	MEHSANA Sharekhan Limited Station Road Unjha, Ph.: 02767-317387/09879681312
GAJUWAKA IDBI Bank Limited Sramik Nagar, Ph.: 0891-2700660	PONNUR Aditya Birla Money Limited Main Road, Nidubrolu, Ph.: 09390610433	BANKA HDFC Bank Limited Katoria Road, Ph.: 06424-222525	VADODARA J K Securities Private Limited Nizampura, Ph.: 0265-9099811000
GUDIVADA Aditya Birla Money Limited Eluru Road, Ph.: 09347002928	PRODDATUR Kotak Securities Limited Gandhi Road, Ph.: 08564-254496	KATIHRAR Sharekhan Limited Back Hanuman Mandir, Po Jute Mill, Ph.: 06452-224613/8877582625	VERAVAL HDFC Bank Limited Veraval Main Road, Ph.: 02728-254240
GUDUR Aditya Birla Money Limited Raja Street, Ph.: 09399917689	RAILPETREPALLE Aditya Birla Money Limited Opp. RTC Bus Stand, Ph.: 09490913233	MUZAFFARPUR Kotak Securities Limited Saraiyaganj, Ph.: 0621-2224542	HARYANA AMBALA HDFC Bank Limited Railway Road, Barara, Ph.: 01731-283373
GUNTUR Aditya Birla Money Limited Broker Bazaar, Ph.: 09394151968	RAJAHMUNDRY Aditya Birla Money Limited Dr. Gangiah Colony, Ph.: 09347028000	RAJGIR HDFC Bank Limited Dharamshala Road, Opp Devi Sthan, Ph.: 06112-255151	GURGAON Corporation Bank Delhi Alwar Road, Sohna, Ph.: 0124-2362049
HANAMKONDA Aditya Birla Money Limited Nakkalagutta, Ph.: 09346161726	RAVULAPALLE Aditya Birla Money Limited NH - 5 Road, Ph.: 09397011060	SAMASTIPUR Axis Bank Limited Old Post Office Road, Ph.: 06274-225437/38	JAGADHARI RBC Financial Services Private Limited Near Town Hall, Ph.: 01732-242668
HYDERABAD Aditya Birla Money Limited Malkajgiri RTC Bus Stand, Ph.: 09397012400 S. P. Road, Begumpet, Ph.: 09393365577 Beside PNB Malakpet, Ph.: 09346734801 Chandha Nagar, Ph.: 09346734801 Dilsukh Nagar, Ph.: 09390036828 Habsiguda, Ph.: 09948894688 KPHB Colony, Ph.: 09177370579 Jagdish Market Abids, Ph.: 09394157666 Opp Jyothi Photo Centre, Near Lal Bungalow, Ph.: 09346201245 Prashanth Nagar, Miyapur, Ph.: 09885723544 Corporation Bank Filmnagar, Ph.: 040-23421835	RAYACHOTI Aditya Birla Money Limited Kamsala Street, Ph.: 09290863313/09290285547	CHANDIGARH Corporation Bank Sector 35-C, Ph.: 0172-2621790	CHHATTISGARH MUNGELI HDFC Bank Limited Krishi Ujaj Mandi, Ph.: 07755-264049
KARIMNAGAR Aditya Birla Money Limited Gandhi Chowk, Sircilla, Ph.: 09951094717 Geetha Bhavan Circle, Ph.: 09848716760 HDFC Bank Limited Sultanabad, Ph.: 08728-227036	SECUNDERABAD Aditya Birla Money Limited Begumpet, Ph.: 09393365577 Munusamy Arcade Sithalpalmandi, Ph.: 09291344166 SP Road, Ph.: 09390145007	CHANDIGARH HDFC Bank Limited Opposite State Bank Of India, Ph.: 07775-266106	SURAJPUR HDFC Bank Limited Opposite State Bank Of India, Ph.: 07775-266106
KHAMMAM Aditya Birla Money Limited Surya Complex, Ph.: 09885130244 Wyra Road, Ph.: 09866677566	SRIKAKULAM Aditya Birla Money Limited Palakonda Road, Ph.: 09396490730	TILDA HDFC Bank Limited Tilda-Kharora Main Road, Ph.: 07721-234197	DELHI DELHI Aditya Birla Money Limited Patel Nagar, Ph.: 09871377733 Jaina Tower, Ph.: 09990008980 Ex Tower Pitampura, Ph.: 09312004293 Above ICICI Bank Ltd, Sec-5, Dwarka, Ph.: 09650607244 Barakhamba Road, Connaught Place, Ph.: 09990473030 Bindapur Uttar Nagar, Ph.: 09213257111 Chandni Chowk, Ph.: 09873361080 East Of Kailash, Ph.: 09958485673 Janakpuri, Ph.: 09873928686 Pandav Nagar, Ph.: 09988500011 Krishna Park, Puri, Ph.: 011-45187740 School Block Street, Shakarpur, Ph.: 09212765905 Vikas Puri, Ph.: 09999740274 Yamuna Nagar, Ph.: 09431862242
LOWER DIBANG VALLEY HDFC Bank Limited Upper Market. Sindu Complex, Ph.: 0913803-222349	SULURPET Aditya Birla Money Limited IMS Complex, Ph.: 09247193680	DELHI HDFC Bank Limited Sadar Bazar, Ph.: 011-23544532	NEW DELHI Aditya Birla Money Limited Community Centre Preet Vihar, Ph.: 09810446196 Defence Colony, Ph.: 09350306108 Axis Bank Limited LSC Mayur Vihar, Ph.: 011-41531120-22/24 Corporation Bank Phase I, Lodhi Road, Ph.: 011-24361469 ICICI Bank Limited Dhaulua Kuan, Ph.: 011-64643728 IDBI Capital Market Services Limited Opp. Khalsa College, Karol Bagh, Ph.: 011-32047162 RBC Financial Services Private Limited Dist. Centre, Janakpuri, Ph.: 011-25506256 Sharekhan Limited Greater Kailash Part-2, Ph.: 09811788000/09711774366 Moti Nagar, Ph.: 09891164642
MADHIRA Aditya Birla Money Limited Near Vasavi Chit Funds, Ph.: 09347533177	SURYPATRI Aditya Birla Money Limited Near New Bus Stand, Ph.: 09396985004	DELHI Aditya Birla Money Limited Main Road, Ph.: 09448427575	BALESHNUR Aditya Birla Money Limited Railway Station Road, Ph.: 09986884212
MAHABUBABAD Aditya Birla Money Limited Ram Mandir Road, Ph.: 09396385582	TADPATRI Aditya Birla Money Limited Yellanur Road, Ph.: 09346217548	BALESHNUR Aditya Birla Money Limited Main Road, Ph.: 09448817682	BANGALORE Aditya Birla Money Limited 80 Feet Road, Kattreguppe Banashankari, Ph.: 09342405929 Avenue Road, Ph.: 09845524227 Mosque Road, Frazer Town, Ph.: 09986718282 Checkpoint Maruti Plaza, Ph.: 09844028702 Ring Road Second Stage, Ph.: 09342405929
MANCHERIAL Aditya Birla Money Limited Ganga Reddy Road, Ph.: 09394577667	TANUKU Aditya Birla Money Limited Rastrapathi Road, Ph.: 09391523440	ARKATKA Aditya Birla Money Limited Main Road, Ph.: 09448817682	BEHALGAUM Aditya Birla Money Limited 15T Main Road, Kengeri Satellite Town, Ph.: 080-28488816
MARKAPUR Aditya Birla Money Limited Nehru Bazar, Ph.: 09390119474	TIRUPATHI Aditya Birla Money Limited Balaji Colony, Ph.: 09393627627	ARKALGUD Aditya Birla Money Limited Main Road, Ph.: 09448427575	BANTWAL Aditya Birla Money Limited BC Road, NH - 48, Ph.: 09448902442
MEDAK Sharekhan Limited Siddpet, Ph.: 09908812774	VIJAYAWADA Aditya Birla Money Limited High School Road, Patamata, Ph.: 09985564645	BEHALGAUM Aditya Birla Money Limited Palankar Tower, Shahapur Khade Bazar, Ph.: 09448194649 BgSE Financials Limited Opp. Adarsh Palace, College Road	BHATKAL Aditya Birla Money Limited Near Jali Cross, National Highway 17, Ph.: 09343410200
METPALLY HDFC Bank Limited Ram Nagar Main Road, Ph.: 08725-225161	VISAKHAPATNAM Aditya Birla Money Limited C.B.M. Compound Siripuram, Ph.: 09390337648 VIP Road, C.B.M. Compound Siripuram, Ph.: 09393100774 Yelamanchali - Appur Road Junction, Ph.: 09394646596	BEHALGAUM Aditya Birla Money Limited Palankar Tower, Shahapur Khade Bazar, Ph.: 09448194649 BgSE Financials Limited Opp. Adarsh Palace, College Road	CHALLAKERE Aditya Birla Money Limited Pavagada Road, Ph.: 09845032292
NANDYAL Aditya Birla Money Limited Nagulakunta Road, Ph.: 09395196181	VIZAG Aditya Birla Money Limited Ramatakies Road, Ph.: 09247492503	BEHALGAUM Aditya Birla Money Limited Palankar Tower, Shahapur Khade Bazar, Ph.: 09448194649 BgSE Financials Limited Opp. Adarsh Palace, College Road	
	VIZIANAGARAM Aditya Birla Money Limited Railway Station Road, Ph.: 09347078891		
	VUYURU Aditya Birla Money Limited First Ward Main Road, Ph.: 09346375999		

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<p>CHANNARAYAPATNA Aditya Birla Money Limited B.M Road, Near S.B.M, Ph.: 09448392698</p> <p>DARBE Aditya Birla Money Limited Vimesh Complex, Ph.: 09343335821</p> <p>DAVANGERE Aditya Birla Money Limited P J Extension, Ph.: 09342105033</p> <p>DHARWAD Aditya Birla Money Limited Poona - Bangalore Road, Ph.: 09341215778</p> <p>HASSAN Aditya Birla Money Limited Telugara Street, Main Road Cross, Holenarasipura, Ph.: 09242884222</p> <p>HIRIYUR Aditya Birla Money Limited Main Road, Ph.: 09880808097</p> <p>KADUR Aditya Birla Money Limited K R A Building, Station Road, Ph.: 09448340972</p> <p>KARKALA Aditya Birla Money Limited A.S.Road, Ph.: 09342334669</p> <p>KOPPA Aditya Birla Money Limited K.M.Road, Mudigere, Ph.: 09880488725 T. M Road, Ph.: 09448394215</p> <p>KUNDAPUR Aditya Birla Money Limited B.V.S Road, Shubhanidhi Complex, Ph.: 09341035708 ICICI Bank Limited TMC Complex, Main Road, Ph.: 091-7760976941</p> <p>MANGALORE Aditya Birla Money Limited Near Sales Tax Check Post, Thokkottu, Ph.: 09342598437 Sharekhan Limited Padavinangady, Dakshina Kannada, Ph.: 0824-2484947/09886727201</p> <p>MOODBIDRI Aditya Birla Money Limited Hospital Road, Opp To M.C.C Bank, Ph.: 08258-261114</p> <p>MYSORE Aditya Birla Money Limited Saraswathipuram, Ph.: 09886098466 Gokulam, Ph.: 09448031964 B.M.Road, Hunsur, Ph.: 09880173330 Chamaraja Nagar, Ph.: 09886242830 Thyagaraja Road, KR Mohalla, Ph.: 09880897264</p> <p>RANEENNUR Aditya Birla Money Limited M. G. Road, Ph.: 09342146797</p> <p>ROHTAK Aditya Birla Money Limited BM Road, Ph.: 09448217345</p> <p>SEDAW Axis Bank Limited Main Road, Ph.: 08441-276401-03</p> <p>SHIKARIPURA Aditya Birla Money Limited Behind Rajashera Talkies, Ph.: 09945545822</p> <p>SHIMOGA Aditya Birla Money Limited New Bridge Road, Bhadravathi, Ph.: 09343511750</p> <p>SRINGERI Aditya Birla Money Limited Near Mamcos, Bharati Street, Ph.: 09449651966</p> <p>SULLIA Aditya Birla Money Limited Near KSRTC Bus Stand, Ph.: 09343633343</p> <p>UDUPI Aditya Birla Money Limited Brahmavar, Ph.: 09343162420 Santhekatte, Kalyanpur, Ph.: 09845770725</p> <p>KERALA ADOOR Aditya Birla Money Limited Near Pvt Bus Stand, Ph.: 09895247040</p> <p>ALWAYE Aditya Birla Money Limited Market Road Bank Junction, Ph.: 09847293807</p> <p>CALICUT Aditya Birla Money Limited Ram Mohan Road, Ph.: 09447148788</p> <p>CHANGANACHERRY Aditya Birla Money Limited Market Road, Kavala, Ph.: 09387602888</p> <p>CHENGANNUR Aditya Birla Money Limited Bin Tower, Ph.: 09349311011</p> <p>CHERIKKODE Aditya Birla Money Limited Manjeri Road, Ph.: 09447846002</p> <p>COCHIN Aditya Birla Money Limited M.G Road, Opp Kavitha Movie, Ph.: 09388605622</p>	<p>Aditya Birla Money Limited MKK Nagar, Palarivattom, Ph.: 09349901982</p> <p>EDATHUA Aditya Birla Money Limited Thakazhy-Thiruvall Road, Ph.: 09288167683</p> <p>ERATTUPETTA Aditya Birla Money Limited Near SBT, Ph.: 09447177528</p> <p>ERNAKULAM Aditya Birla Money Limited Temple Road, Muvattupuzha, Ph.: 09495841235 Kavumthazham, Puthencruz, Ph.: 09895319989 Axis Bank Limited Srikandath Road, Ravipuram, Ph.: 0484-2352513</p> <p>KAYAMKULAM Aditya Birla Money Limited Main Road, Ph.: 09349217320</p> <p>KOCHI Aditya Birla Money Limited Pranam Chandrathil Road, Edapally, Ph.: 09387113342 Padivattom, Ph.: 09539068502</p> <p>KODUNGALLUR Aditya Birla Money Limited Thiruvanchikulam, Ph.: 09745002334</p> <p>KOLENCHERY Aditya Birla Money Limited Ernakulam District, Ph.: 09447577160</p> <p>KOLLAM Aditya Birla Money Limited Anchal College Junction, Ph.: 09495965655 Karunagappally, Ph.: 09388064652 Kotak Securities Limited Beach Road, Ph.: 0474-2767381</p> <p>KOTTARAKARA Aditya Birla Money Limited Pulamon Road, Ph.: 09847629155</p> <p>KOTTAYAM Aditya Birla Money Limited Adam Tower, Ph.: 09447289175 Temple Road, Ph.: 09995824179</p> <p>MALAPPURAM Aditya Birla Money Limited Makkha Tower Main Road, Edakkara Aditya Birla Money Limited Moikkal Complex, Kadampuzha, Ph.: 09387463142</p> <p>MULLERIA Aditya Birla Money Limited G G Road, Ph.: 09495645833</p> <p>PALA Aditya Birla Money Limited Ponkunnam Road, Ph.: 09447193687</p> <p>PALAKKAD Aditya Birla Money Limited Opp Town Bus Stand, Ph.: 09942428885 Pathiripala, Ph.: 09744959477</p> <p>PATHANAMTHITTA Aditya Birla Money Limited Nannuvakkadu, Ph.: 09388313000</p> <p>PATTAMBI Aditya Birla Money Limited City Arcade, Ph.: 09387463142</p> <p>PAYYANNUR Aditya Birla Money Limited Near CSI Church, Ph.: 09388212556</p> <p>PERINTHALMANNA Aditya Birla Money Limited Near Taluk Hospital, Ph.: 09387474130</p> <p>PERUMBAVOOR Aditya Birla Money Limited AM Road, Ph.: 09388187116</p> <p>PULAMANTHOLE Aditya Birla Money Limited Bus Stand Complex, Ph.: 09495202265 Bustand Complex 1st Floor, Ph.: 09447335525</p> <p>RANDATHANI Aditya Birla Money Limited Malapuram, Ph.: 09745124269</p> <p>THRISSUR Sharekhan Limited Nattika, Ph.: 0480-2843919/09995242717</p> <p>TIRUR Aditya Birla Money Limited Thazepalem, Ph.: 09746230230</p> <p>TIRUVALLA Aditya Birla Money Limited M.C. Road, Ph.: 09387267872</p> <p>TRICHUR Aditya Birla Money Limited Kuttanellur College Road, Ph.: 09895083163 Muthuvara Puzhakkal, Ph.: 09895111016 Guruvayur Road, Ph.: 09388291060 Shakthan Thampuran Nagar, Ph.: 09387113342</p> <p>TRIKARIPUR Aditya Birla Money Limited Trikaripur Road, Ph.: 09895695954 Kasaragod North, Ph.: 08089701992</p>	<p>TRIPUNITHURA Aditya Birla Money Limited Cochin Palace, Ph.: 09745406676/0949551443/0989593312</p> <p>TRIVANDRUM Aditya Birla Money Limited Chempakasserm Junction, Ph.: 09495340281</p> <p>VATAKARA Aditya Birla Money Limited Near New Bus Stand, Ph.: 09446778774</p> <p>PALGHAT Aditya Birla Money Limited Pattambi Via, Ph.: 09496838116</p> <p>MADHYA PRADESH ASTHA HDFC Bank Limited Goswami Market, Ph.: 07560-240999</p> <p>BHIND Sharekhan Limited Itawa Road, Ph.: 09039104465</p> <p>SEONI HDFC Bank Limited Hoshangabad - Harda Road, Malwa, Ph.: 07570-224046</p> <p>SIRONJ HDFC Bank Limited Bypass Road, Ph.: 07591-252910</p> <p>TIKAMGARH Axis Bank Limited Jhansi Road, Ph.: 07683-240112</p> <p>MAHARASHTRA AKOLA Aditya Birla Money Limited Akot, Ph.: 09370329418</p> <p>AMRAVATI Aditya Birla Money Limited Jaistamb Square, Ph.: 09325007549 Near Shyam Talkies, Ph.: 09422855886</p> <p>AURANGABAD Aditya Birla Money Limited Jalna Road, Ph.: 09370639518 HDFC Bank Limited MG Road, Ph.: 06186-222001</p> <p>BALLARPUR Aditya Birla Money Limited Balaji Ward, Main Road, Ph.: 08805002670</p> <p>BARAMATI HDFC Bank Limited Jalochi, Ph.: 02112-220151-53</p> <p>BHADRAWATI Aditya Birla Money Limited Chandrapur Highway, Ph.: 09922412466</p> <p>BHUSAWAL Aditya Birla Money Limited Near Brahmin Sangh, Ph.: 09373081120</p> <p>CHANDRAPUR Aditya Birla Money Limited Near Jatpura Gate, Ph.: 09370320696</p> <p>GADHINGLAJ HDFC Bank Limited Dasara Chowk, Ph.: 02327-223143</p> <p>GONDIA Aditya Birla Money Limited Hanuman Chowk, Civil Lines, Ph.: 09923527630</p> <p>HINGANGHAT HDFC Bank Limited New Shivaji Ward, Ph.: 07153-249322</p> <p>HINGOLI HDFC Bank Limited Naik Nagar, Ph.: 02456-222121</p> <p>JALGAON Aditya Birla Money Limited Dhake Colony, Ph.: 09371912850</p> <p>KALYAN IDBI Capital Market Services Limited Kala Talao, Ph.: 09821404767 The Saraswat Co-Operative Bank Limited Khadakpada, Ph.: 0251-2302208</p> <p>MIRAJ Sharekhan Limited Shivneri Chowk, Bhraman Pura, Ph.: 09960866569</p> <p>MUMBAI Aditya Birla Money Limited Dadar (W), Ph.: 09320038401 Fort, Ph.: 09930236126 Ghatkopar (E), Ph.: 09320142610 Goregaon (W), Ph.: 09223302348 Kharghar, Ph.: 09320203704 Mulund (W), Ph.: 09320142610 Santacruz (E), Ph.: 09867457160 Bank of India M A Road, Crawford Market, Ph.: 022-2340251 Corporation Bank Ghatkopar (E), Ph.: 022-25010074 IDBI Bank Limited Cuffe Parade, Colaba, Ph.: 022-66263668 Sharekhan Limited Sakinaka Andheri, Ph.: 09561504441</p>	<p>NAGPUR Aditya Birla Money Limited Behind Dande Hospital, Ramnagar, Ph.: 09371122100 Bhandara Road, Ph.: 0712-2765775 Congress Nagar, Ph.: 09326574447 Girad Road, Ph.: 09326056910 Govind Nagar, Jariapatka, Ph.: 09049901242 New Shukrawari, Ph.: 09226582059 Thirumurthinagar, Ph.: 09890664661 Nt 1 Layout, Trimurthinagar, Ph.: 09326458385/09371923945</p> <p>NANDED Aditya Birla Money Limited Guru Govind Singhji Road, Ph.: 09370479149</p> <p>NASHIK Aditya Birla Money Limited College Road, Ph.: 09373900314 Sharangpur Road, Ph.: 09370660645 HDFC Bank Limited Near Panchavati Circle, Panchavati, Ph.: 0253-2629690 Sharekhan Limited Peth Road, Ph.: 08796003955</p> <p>NAVI MUMBAI Aditya Birla Money Limited Vashi, Sector-17, Thane, Ph.: 09320203704</p> <p>PUNE Aditya Birla Money Limited J.M. Road, Ph.: 09311760423 JM Road, Shivaji Nagar, Ph.: 09372801995 Dhankawdi, Ph.: 09371000293 Tilak Road, Ph.: 09028521011 Axis Bank Limited Mundhwa Bypass Road, Ph.: 020-27014059/60 Sadashiv Peth, Ph.: 020-24339699 HDFC Bank Limited Koregaon Park, Ph.: 020-41207501/02 Sharekhan Limited Near Dinanath Mangeshkar Hospital, Ph.: 020-32501015/25457070/09881145307 Bund Barden Road, Ph.: 020-66004016/20/09822011048 The Cosmos Co-operative Bank Limited Banergaon, Baner, Ph.: 020-27293839</p> <p>RAHATA Aditya Birla Money Limited Chittalli Road, Ph.: 0942222590</p> <p>SATARA HDFC Bank Limited Phaltan, Ph.: 02166-220318</p> <p>THANE Aditya Birla Money Limited Ghantali Road, Ph.: 09820188186 Nallasopara (W), Ph.: 09422482149 IDBI Capital Market Services Limited Ambedkar Road, Ph.: 022-25444441/32058120</p> <p>VITA SANGLI HDFC Bank Limited Vita Karad Road, Ph.: 02347-274422</p> <p>WAI HDFC Bank Limited Wai-Pachgani Road, Ph.: 02167-222152</p> <p>WARUD HDFC Bank Limited Gayatri Nagar Kedar Square, Ph.: 07729-233799</p> <p>YEOTMAL Aditya Birla Money Limited Jaju Chowk, Ph.: 09326726079</p> <p>NAGPUR Aditya Birla Money Limited Bajaj Nagar, Ph.: 0712-2231099</p> <p>MANIPUR THOUBAL HDFC Bank Limited Near DC Office, Ph.: 03848-222044/88</p> <p>MEGHALAYA SHILLONG HDFC Bank Limited Mawkhair Main Road, East Khasi Hills District, Ph.: 0364-2545314</p> <p>ORISSA ANGUL Aditya Birla Money Limited Amalpada, Ph.: 09337011585</p> <p>BARBIL Aditya Birla Money Limited Madhusudan Chalk, Ph.: 09331081545</p> <p>BHUBANESHWAR Aditya Birla Money Limited Cutback Road, Bomikhil, Ph.: 09337104482 Pandava Nagar, Ph.: 09338209856 Sahid Nagar, Ph.: 09337070208 Sabaranri Rrl Post, Ph.: 09338213221 IDBI Bank Limited Lewiss Road, Ph.: 06782-268173</p> <p>BIRAMITRAPUR HDFC Bank Limited Main Road, Ph.: 09583455915</p>
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<p>CHANDIKHOL</p> <p>HDFC Bank Limited Neulpur, Ph.: 06725-220975</p> <p>DEOGHAR</p> <p>HDFC Bank Limited Gopabandhu Chowk, Ph.: 06641-226020</p> <p>JAGATSingHPUR</p> <p>HDFC Bank Limited Behind Trishul Automobiles, Ph.: 06724-223352</p> <p>KENDRAPARA</p> <p>HDFC Bank Limited Mahipal, Ph.: 06727-221559</p> <p>KHURDA</p> <p>Aditya Birla Money Limited Unitvi, Ph.: 09437004400</p> <p>HDFC Bank Limited Pallahata, Ph.: 06755-220067</p> <p>ROURKELA</p> <p>Aditya Birla Money Limited Triveni Complex, Ph.: 09337337735</p> <p>SAMBALPUR</p> <p>Aditya Birla Money Limited Farm Road, Ph.: 09338077137</p> <p>Kotak Securities Limited Opp. Bazar Kolkata, Ph.: 0663-2400958</p> <p>TALCHER</p> <p>Aditya Birla Money Limited Hatatota Road, Ph.: 09337007190</p> <p>PUNJAB</p> <p>AMRITSAR</p> <p>Aditya Birla Money Limited Patti, Ph.: 09815031248/09815544385</p> <p>BATALA</p> <p>Aditya Birla Money Limited Jalandhar Road, Ph.: 09356002257</p> <p>BHATINDA</p> <p>Aditya Birla Money Limited AB Mall Road, Ph.: 09316074797/09356124798</p> <p>HOSHIARPUR</p> <p>Aditya Birla Money Limited Sutehri Road, Ph.: 09356002753</p> <p>JALANDHAR</p> <p>Aditya Birla Money Limited Hoshiarpur, Ph.: 09872832088</p> <p>KAPURTHALA</p> <p>Aditya Birla Money Limited The Mall Road, Ph.: 09357158130</p> <p>LUDHIANA</p> <p>HDFC Bank Limited Dugri, Ph.: 0161-5033421</p> <p>PATHANKOT</p> <p>Aditya Birla Money Limited Mamun, Ph.: 09872396786</p> <p>PATIALA</p> <p>Corporation Bank Leela Bhavan Market, Ph.: 0175-2209137</p> <p>PHAGWARA</p> <p>Aditya Birla Money Limited Near Elite Cinema, Ph.: 09356399948</p> <p>ZIRAKPUR</p> <p>Aditya Birla Money Limited Baitana, Ph.: 09872281584</p>	<p>RAJASTHAN</p> <p>BHIWADI</p> <p>HDFC Bank Limited Alwar Bye Pass Road, Ph.: 01493-230719</p> <p>DAUSA</p> <p>Sharekhan Limited Sikaray, Ph.: 01420-246911/09828404562</p> <p>JAIPUR</p> <p>IDBI Bank Limited Govind Nagar, Ph.: 0141-2609869</p> <p>KOTA</p> <p>HDFC Bank Limited Gandhi Chowk, Rampura Bazar, Ph.: 0744-2380090</p> <p>KOTPUTLI</p> <p>HDFC Bank Limited Near SBI Bank, Ph.: 09667441091</p> <p>UDAIPUR</p> <p>Jhaveri Securities Limited Chetak Circle, Ph.: 0294-2419700 / 09828142002</p> <p>TAMIL NADU</p> <p>CHENNAI</p> <p>Axis Bank Limited Anna Salai, Teynampet, Ph.: 044-24314112-115</p> <p>ICICI Bank Limited Anna Nagar, Ph.: 044-64510045</p> <p>MADURAI</p> <p>IDBI Bank Limited Bye Pass Road, Ph.: 0452-6464333</p> <p>VIRUDHUNAGAR</p> <p>Coimbatore Capital Limited Ramamurthy Road, Ph.: 04562-268696</p> <p>TRIPURA</p> <p>UDAIPUR</p> <p>HDFC Bank Limited Central Road, Ph.: 03821-222161</p> <p>UTTAR PRADESH</p> <p>AGRA</p> <p>Aditya Birla Money Limited Sanjay Place, Ph.: 09319780406</p> <p>HDFC Bank Limited Shamshabad Road, Ph.: 0562-4057753</p> <p>ALIGARH</p> <p>Aditya Birla Money Limited Malviya Market, G.T Road, Ph.: 09927004616</p> <p>ALLAHABAD</p> <p>Aditya Birla Money Limited Nawab Yusuf Road, Ph.: 09335632548</p> <p>BAREILLY</p> <p>Aditya Birla Money Limited Station Road, Ph.: 09319603223</p> <p>BILARI</p> <p>HDFC Bank Limited Station Road, Ph.: 05921-221222</p> <p>BULANDSHAHR</p> <p>Aditya Birla Money Limited Kala Aam, Ph.: 09412892430</p> <p>GORAKHPUR</p> <p>HDFC Bank Limited Khalilabad, Sant Kabir Nagar, Ph.: 05547-226116</p> <p>Nautanwa, Ph.: 05522-234133</p>	<p>JHANSI</p> <p>Aditya Birla Money Limited Jain Complex, Sadar Bazar, Ph.: 09415401475</p> <p>KANPUR</p> <p>Corporation Bank Kidwai Nagar, Ph.: 0512-1235290</p> <p>Swaroop Nagar, Ph.: 0512-2555952</p> <p>KOSIKALAN</p> <p>HDFC Bank Limited Shergadh Road, Ph.: 05662-235625</p> <p>LALITPUR</p> <p>HDFC Bank Limited Jhansi Road, Ph.: 0517-272057</p> <p>LUCKNOW</p> <p>Aditya Birla Money Limited Vikas Nagar, Ph.: 09839096272</p> <p>Corporation Bank Alam Bagh, Ph.: 0522-2432381</p> <p>Faizabad Road, Ph.: 05278-6050040</p> <p>Gomti Nagar, Ph.: 0522-1235356</p> <p>Qaiserbagh, Ph.: 0522-2613294</p> <p>Sharekhan Limited Vikram Khand Gomti Nagar, Ph.: 08765346515</p> <p>MEERUT</p> <p>Kotak Securities Limited Begum Bridge Road</p> <p>MUZAFFARNAGAR</p> <p>Aditya Birla Money Limited Arya Samaj Road, Ph.: 09897026687</p> <p>HDFC Bank Limited Near Ghantaghar Main Road, Khatuli, Ph.: 01396-270118</p> <p>RBC Financial Services Private Limited First Floor, Sadar Bazar, Ph.: 0131-2400704 / 2400705</p> <p>SALEMPUR</p> <p>HDFC Bank Limited Lohianagar, Ph.: 05566-220151</p> <p>VARANASI</p> <p>Aditya Birla Money Limited Sigra, Ph.: 09335566044</p> <p>UTTARAKHAND</p> <p>DEHRADUN</p> <p>RBC Financial Services Private Limited Mahant Lakshman Dass Marg, Ph.: 0135-2714778</p> <p>WEST BENGAL</p> <p>ASANSOL</p> <p>Aditya Birla Money Limited G.T Road, Ph.: 09333112979</p> <p>Axis Bank Limited G.T. Road, Ph.: 0341-2221671-73</p> <p>BAGNAN</p> <p>HDFC Bank Limited OT Road, Ph.: 03214-266168</p> <p>BARRACKPORE</p> <p>Aditya Birla Money Limited New Barrackpore, Ph.: 09330909230</p> <p>Feeder Road, Ph.: 09331009703</p> <p>BETHUADHARI</p> <p>Aditya Birla Money Limited Chaw Patti, Ph.: 09332928516</p>	<p>BIRBHUM</p> <p>Aditya Birla Money Limited Santiniketan Road, Ph.: 09233179258/09233181559</p> <p>BONGAON</p> <p>Aditya Birla Money Limited Court Road North 24 Pgs, Ph.: 09434503086</p> <p>BURDWAN</p> <p>Aditya Birla Money Limited No.57, B.C Road, Ph.: 09332230669</p> <p>DURGAPUR</p> <p>Aditya Birla Money Limited Marconi Sector Market, Ph.: 09474112522</p> <p>GANGNAPUR</p> <p>Aditya Birla Money Limited Gangnapur, Ph.: 09332451291</p> <p>HABRA</p> <p>Aditya Birla Money Limited Jshore Road, Ph.: 09333161669</p> <p>HOWRAH</p> <p>HDFC Bank Limited 14/6 Maceknzie Lane, Ph.: 033-26664433</p> <p>JAIGAON</p> <p>HDFC Bank Limited N S Rd, Ph.: 05366-265738</p> <p>KALIMPONG</p> <p>HDFC Bank Limited Main Road, Ph.: 03552-255120</p> <p>KOLKATA</p> <p>Aditya Birla Money Limited Bidhannagar, Ph.: 09830353438</p> <p>RTC Depo Road, Vinukonda, Ph.: 09395387460</p> <p>Fakir Dey Lane, Ph.: 09331060297</p> <p>Belghoria, Ph.: 09874033292</p> <p>Indira Gandhi Road, Ph.: 09331858375</p> <p>Jawaharlal Nehru Road, Ph.: 09330959201</p> <p>Post Office Road, Pubra Putiary, Ph.: 09830063939</p> <p>Subhas Nagar, Ph.: 09339842306</p> <p>Shibpur Road, Ph.: 09339146641</p> <p>Shova Bazaar, Ph.: 09339469500</p> <p>ICICI Bank Limited NSC Bose Road, Ph.: 033-64559146</p> <p>B T Road, Ph.: 033-64550048</p> <p>254, Barasat Road, Ph.: 08509032845</p> <p>KRISHNANAGAR</p> <p>Aditya Birla Money Limited MM Ghosh Street, Ph.: 09333211678</p> <p>MALDA</p> <p>Aditya Birla Money Limited B.G.Road, Mokdampur, Ph.: 09339146641</p> <p>NADIA</p> <p>Aditya Birla Money Limited Uttarlalpole, Ph.: 09332110997</p> <p>Rabindra Sarani, Ranaghat, Ph.: 09332299329</p> <p>North 24, Parganas</p> <p>Aditya Birla Money Limited Maslandapur, Ph.: 09333743657</p> <p>IDBI Capital Market Services Limited Basirhat, Ph.: 08293880964/08293880321</p> <p>Ramkanai Goswami Street</p> <p>Aditya Birla Money Limited Dist-Nidia, Ph.: 09434452172</p> <p>Sitampur Aditya Birla Money Limited Village Road</p>
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List of Companies that have changed Names (During September 2011)

Sr. No.	Old Name	New Name
1	Indian Ropeways & Engineering Co. Limited	Damodar Ropeways & Infra Limited
2	Wabco - TVS (India) Limited	WABCO India Limited
3	Core Project and Technologies Limited	CORE Education & Technologies Limited
4	Wellworth Overseas Limited	Sun Techno Overseas Limited
5	Zenu Infotec Limited	Choice Infra Ventures Limited
6	Allcargo Global Logistics Limited	Allcargo Logistics Limited
7	Camlin Fine Chemicals Limited	Camlin Fine Sciences Limited
8	Shopper's Stop Limited	Shoppers Stop Limited
9	Bhagavathi Enterprises Limited	Smiths & Founders (India) Limited
10	Supreme Holdings Limited	Supreme Holdings & Hospitality (India)Limited
11	V and K Softech Limited	USG Tech Solutions Limited
12	Robinson Worldwide Trade Limited	Sun and Shine worldwide Limited
13	BLS Global Education Limited	Virtual Global Education Limited

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Securities admitted for Dematerialisation during September 2011

COMPANY	ISIN	COMPANY	ISIN
A S Realbuild Private Limited	INE564M01012	Nana Layja Power Company Limited	INE506M01013
A2Z Infrastructure Limited	INE539M01014	Neptune Ventures And Developers Private Limited	INE608M01017
Aakash Tiles Private Limited	INE501M01014	Nimbus Industries Limited	INE470M01012
Adroit Industries (India) Limited	INE505M01015	NSL Wind Power Company (Phoolwadi) Private Limited	INE572M01015
Ambuthirtha Power Private Limited	INE510M01015	Paridhi Properties Limited	INE513M01019
Arun Excello Homes Private Limited	INE538M01016	Pentokey Organy (India) Limited	INE702E01015
Automark Industries (India) Limited	INE534M01015	Polyplast Agencies (India) Private Limited	INE519M01016
Bajaj Power Ventures Private Limited	INE609M01015	Poly-Resin Agencies (India) Limited	INE571M01017
Bhootnath Vintrade Private Limited	INE520M01014	Polysil Irrigation Systems Private Limited	INE517M01010
Eaton Power Quality Private Limited	INE555M01010	Rajesh Hospitality Private Limited	INE518M01018
ECA Infrastructure India Private Limited	INE562M01016	Resin Distributors Limited	INE523M01018
Elite Leasings Limited	INE450M01014	Rungta Projects Limited	INE515M01014
E-Zest Solutions Limited	INE504M01018	S A Promtech Private Limited	INE565M01019
Gayatri Infra Ventures Limited	INE558M01014	Samruddha Resources Limited	INE451M01012
Goodwill Hospital & Research Centre Limited	INE487M01016	SDFC Finance Limited	INE592M01013
High Ground Enterprise Limited	INE361M01013	Shriniwas Electro Steel Limited	INE570M01019
Hotel Horizon Private Limited	INE622M01018	Silvassa Polyplast (India) Private Limited	INE516M01012
ICICI Prudential Pension Funds Management Company Limited	INE524M01016	Smart Tourism Private Limited	INE557M01016
IFCI Factors Limited	INE494M01012	Sonata Finance Private Limited	INE509M01017
Ind Eco Ventures Limited	INE456M01011	Techfab (India) Industries Limited	INE595M01016
Jiji Industries Limited	INE482M01017	Tractor Engineers Limited	INE578M01012
Khagaria Purnea Highway Project Limited	INE611M01011	Tufropes Private Limited	INE556M01018
L&T Plastics Machinery Limited	INE502M01012	UIC Udyog Limited	INE544M01014
Lambodar Nimit Limited	INE490M01010	Videocon Energy Limited	INE512M01011
MAS Financial Services Limited	INE348L01012	Videocon Oil Ventures Limited	INE511M01013
Mphasis Software And Services (India) Private Limited	INE508M01019	Vinayak Polycon International Limited	INE581M01016
Msource (India) Private Limited	INE507M01011	VNR Infrastructures Limited	INE514M01017
MT Educare Limited	INE472M01018	Wyn Aqua Exports Limited	INE393M01016
		Zuari Fertilisers And Chemicals Limited	INE569M01011

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Corporate Action Statistics

Sr. No.	Description	During September 2011		For F.Y. 2011-2012 (Upto September 2011)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	20,449	10,194,640,581	1,972,298	31,781,065,330
2	Preference Shares	13	697,321,206	8,274	1,167,888,147
3	Bonds	57,474	22,197,879	207,026	533,871,038
4	Commercial Papers	673	1,337,310	2,817	4,997,938
5	Certificates of Deposit	1,326	14,464,580	4,177	45,317,436
6	Securitized Instruments	26	7,603,796	46,993	52,705,893
7	Mutual Fund Units	9,717	224,264,162	59,035	1,787,213,281
8	Warrants	0	0	0	0
9	Commodities	270	1,703,058	2,002	10,901,255
10	Mutual Fund Units (Trase)	5,831	89,521,758	20,581	186,693,509
	Total	95,779	11,253,054,330	2,323,203	35,570,653,826

NSDL Calendar of Events

Business Partner Training

Three Day Comprehensive Training Programme for Participants

November 15 - 17, 2011 Mumbai

NSDL Offices

Head Office

Mumbai
4th Floor, A Wing,
Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
Tel: (022) 24994200 /
40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad
Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd.,
C. G. Road, Near Panchvati Circle,
Ahmedabad - 380006
Tel: (079) 26461376, Fax: (079) 26461375

Kolkata
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
Acharya Jagdish Chandra Bose Road,
Kolkata - 700020
Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai
6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

New Delhi
409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, A Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, A Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

TOPIC OF INTEREST

Know more about Transmission

(Continued from September 2011 issue)

3. What would happen if no nomination is made for the account?

Ans: In case nomination is not made by the sole account holder, the securities would be transmitted to the account of legal heir(s), as may be determined by an order of the competent court. However in cases where the value of securities to be transmitted is less than Rs.1,00,000, the DP may process the request based on submission of certain documents including letter of indemnity, surety, affidavits etc.

4. What is the procedure for transmission in case of Joint Accounts?

Ans: In case the deceased was one of the joint holders, to transmit the securities lying in the account of the deceased to the account of the surviving holders, the surviving holder(s) must have a depository account which can be with the same DP or with a different DP.

e.g. If the account is in the joint names of Mr. A, Mr. B & Mr. C, then in the event of the death of Mr. B, the survivors viz, Mr. A & Mr. C must have a joint account.

The names appearing in the account of the survivors should have the same sequence of names as that of the joint account to be closed. The surviving holder(s) will have to submit the following documents to the DP:

- Transmission Form ('Annexure O' to the NSDL Business Rules); &
- Copy of the death certificate of the deceased duly attested by a Notary Public or by a Gazetted Officer.

After verifying the above documents and satisfying itself, the DP will transmit the securities to the surviving holder(s) account and will close the account where deceased was a joint holder.

Concluded