



NSDL

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# NSDL UPDATE

NOVEMBER 2012



**NATIONAL SECURITIES DEPOSITORY LIMITED**

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## From the Managing Director & CEO's Desk



Dear Reader,

I am pleased to inform you that NSDL, the first and the largest depository in India, has completed 16 years of operations in November this year. The total number of demat accounts in the NSDL system stood at over 1.24 crore (as on October 31, 2012). During last two years, about 25 lakh new accounts were opened. In keeping with its practice of reaching out to the investors to get their feedback and apprising them of the recent developments taking place in the depository system, NSDL has been conducting Investor Depository Meets (IDM) since its inception. During last year, NSDL organized 138 such investor meets in 135 cities/towns and interacted with more than 9,200 investors across the country. So far, NSDL has conducted more than 1,500 investor meets which have been attended by more than 1,62,000 investors.

As you are aware, Mutual Funds can also be held in demat accounts. In order to encourage investors to hold mutual fund units in the depository system, NSDL has decided not to charge any fee to its Depository Participants (DPs) till March 31, 2013, for transactions in mutual fund units held in the depository system. Moreover, mutual fund units can also be held in the depository accounts of Qualified Foreign Investors.

As a part of its commitment towards providing investor friendly facilities and increasing transparency, NSDL has been introducing various innovative features in its depository system. One such internet based initiative is **SPEED-e** & **IDeAS**. NSDL has now enhanced its **SPEED-e** facility to include the feature of **SPICE** (Submission of Power of attorney based Instructions for Clients Electronically) which enables Clearing Members (CMs) for submitting instructions (Auto Pay-in) to Participants. This facility enables CMs to submit digitally signed Auto Pay-in instructions to debit the depository accounts of the Clients through **SPEED-e** facility. NSDL has further enhanced its SPICE facility known as "**SPICE Direct**" wherein auto pay-in instructions (submitted by CMs using the feature of SPICE) can be directly transmitted by CMs to NSDL system, wherein instructions submitted by Users of **SPEED-e** (i.e., *Clients and CMs*) can be directly transmitted to NSDL.

NSDL has further enhanced its **IDeAS** facility wherein clients can now view the latest balances of their demat accounts on the internet along with the value based on price and NAV in respect of holdings of listed ISINs, E-series ICINs of National Spot Exchange Limited and mutual fund units respectively. **IDeAS** facility has been further enhanced to display price / face value and indicative value in respect of non-convertible debentures, bonds, ETFs, unlisted equity shares etc, held by Clients in their demat accounts.

As you are aware, SMS alert facility has proved to be an excellent risk mitigation measure, SMS alerts are now being sent for All Debit transfers, Credits for IPO, sub-division and bonus, Failed instructions, Overdue instructions, Change of mobile number, Change of address, Debit of Mutual Fund units, Invocation of pledged securities, Registration and De-registration of Power of Attorney & Modification / Cancellation of nominee name.

This NSDL Update, the 16<sup>th</sup> anniversary edition, gives details of the progress of NSDL on various fronts. NSDL holds about 88% of securities held in dematerialised mode in India indicating preference of investors to hold their financial assets in the NSDL Depository System. Our accountholders are serviced by Depository Participants (DPs) through more than 14,300 service centres across more than 1,500 cities/towns in the country. More than 10,300 companies have so far joined NSDL. Till October 2012, 63,070 crore securities worth more than ₹ 75 lakh crore (USD 1,500 billion) have been dematerialised with NSDL. This progress would not have been possible but for the market acceptance of our services and the support of investors in the capital market.

We are thankful to all those who stood by us and partnered with us to reach this far.

I look forward to your continued support and cooperation.

**Gagan Rai**  
Managing Director & CEO

## News

### **Guidelines on Uploading of Reports to FIU - IND through the FINnet Gateway**

SEBI has vide its letter no. MIRSD-2/FATF/OW/21543/2012/3 dated September 26, 2012 informed that Financial Intelligence Unit - India (FIU-IND) has developed a new reporting format for CTRs and STRs under the FINnet Project. The new formats are available under the 'Downloads' section on the FIU-IND website (<http://fiuindia.gov.in>).

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

**(Ref: Circular No. NSDL/POLICY/2012/0108 dated October 3, 2012)**

### **Change of Name in the Beneficial Owner (BO) Account**

In order to simplify the procedure for change of name in individual Beneficial Owner's (BO) account, SEBI has vide Circular No. CIR/MRD/DP/ 27 /2012 dated November 1, 2012 informed that an individual BO may be allowed to change his/ her name, subject to the submission of following documents at the time of change of name of the individual in the BO account.

1. In case of change in name on account of marriage following documents shall be submitted:
  - Marriage Certificate or copy of Passport showing husband's name or publication of name change in official gazette.
2. In case of change in name on account of reasons other than marriage
  - Publication of name change in official gazette.
3. In case of change in father's name
  - Publication of name change in official gazette.

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

(Ref: Circular No. NSDL/POLICY/2012/0125 dated November 2, 2012)

### Display of price, NAV and face value of unlisted ISINs on *IDeAS* facility

Clients availing *IDeAS* facility can view the latest balances of their demat accounts on the internet along with the value based on price and NAV in respect of holdings of listed ISINs, E-series ICINs of National Spot Exchange Limited and mutual fund units respectively. With effect from November 6, 2012, *IDeAS* facility has been further enhanced to display price / face value and indicative value in respect of non-convertible debentures, bonds, ETFs, unlisted equity shares etc, held by Clients in their demat accounts.

(Ref: Circular No. NSDL/POLICY/2012/0127 dated November 2, 2012)

### Capturing of Email address of Clients in DPM system

NSDL has advised its Participants to encourage their Clients to provide their email IDs for capturing the same in the DPM system. The benefits to the Client in registering the email ID in the DPM system are as follows:

- Participate in voting through e-voting platform of NSDL.
- Receive Transaction Statements and other communication from Participants in electronic mode.
- Intimating the Participant through email about change in its other demographic details such as Phone number, Fax number, Mobile number, Email ID and SMS facility as per the prescribed procedure.
- Receive balance sheets, notices etc. from companies through email in accordance with Companies Act, 1956.

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

(Ref: Circular No. NSDL/POLICY/2012/0131 dated November 9, 2012)

## SMS Alert Facility

SMS Alerts facility has been restricted & SMS alerts are now available for the following transactions:

- All Debit Transfers
- Credits for IPO, sub-division and bonus
- Failed instructions
- Overdue instructions
- Change of mobile number
- Change of address
- Debit of Mutual Fund units
- Invocation of pledged securities
- Registration and De-registration of Power of Attorney
- Modification / Cancellation of nominee name

(Ref: Circular No. NSDL/POLICY/2012/0133 dated November 16, 2012)

## Training Programmes for Participants:

### ➤ NCDO/NISM training / certification programmes for Participants

NSDL has made arrangements with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM. In October 2012, NSDL conducted three such training programmes at Hyderabad, Mumbai and New Delhi which were attended by 63 persons nominated by various Participants.

### ➤ KYC Training Programme for Participants

In light of the recent developments in the depository operations with respect to depository account opening viz., Introduction of KYC Registration Agency (KRA), Qualified Foreign Investors (QFI), Basic Services Demat Account (BSDA), Rajiv Gandhi Equity Savings Scheme (RGESS) etc., training programme on 'KYC requirements for Account Opening' was organized which focused on latest developments as well as other requirements for opening various Depository Accounts, to facilitate efficient functioning of depository operations of Participants. In October 2012, NSDL conducted four such training programmes in Mumbai which were attended by 200 officials of Participants.

## One more Participant became operational in NSDL during November 2012

In November 2012, following Participant became operational and established connectivity with NSDL as a Clearing Corporation:

Sr. No.	Participant Name	City
1	MCX-SX Clearing Corporation Limited (DP ID IN001141)	Mumbai

The above additions take the total number of operational Participants to 283 and the total number of DPM set-ups to 362.

## Performance Summary

### Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Oct-10	110.69
Oct-11	118.02
Oct-12	124.50

### Participants :

Month -Year	No. of Participants	Service Centres	Cities / Towns
Oct-10	290	12,228	1,430
Oct-11	298	13,752	1,500
Oct-12	283	14,361	1,558

### Dematerialisation :

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Oct-10	41,930	66,92,594
Oct-11	54,391	69,50,309
Oct-12	63,071	75,40,170

### Settlement :

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Oct-10	954	1,65,756
Oct-11	475	87,213
Oct-12	650	111,407

(Single Side)

### Companies

#### EQUITY

Month -Year	No. of Companies Operational
Oct-10	8,570
Oct-11	9,300
Oct-12	10,310

#### DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-10	568	6,802	8,09,415
Oct-11	592	7,189	9,14,129
Oct-12	671	8,439	11,52,991

#### SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-10	596	900	46,131
Oct-11	588	893	38,659
Oct-12	507	756	34,237

#### COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-10	225	1,384	1,50,067
Oct-11	243	1,817	1,73,441
Oct-12	244	1,629	2,02,927

#### CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-10	42	777	3,52,899
Oct-11	46	1,308	4,08,510
Oct-12	44	1,192	3,73,040



## NSDL Initiatives

During the last 12 months (i.e. November 2011 to October 2012), the following initiatives were taken by NSDL with an objective of spreading awareness about the depository system, its benefits and safety features and to provide new facilities amongst its users:

### New Facilities:

#### ➤ **Settlement fee on transactions in mutual fund units in the depository system**

In order to facilitate transactions in mutual fund units through stock exchange platform, NSDL has enabled holding of mutual fund units in dematerialised form. To encourage investors to hold mutual fund units in the depository system, NSDL has decided to continue not to charge any fee to Participants in respect of transactions in mutual fund units held in the depository system till March 31, 2013.

#### ➤ **KYC Registration Agency**

In the past, if a client intended to open accounts with different intermediaries for the purpose of trading / investment in the securities market, he had to undergo the process of Know Your Client (KYC) again and again. Therefore, to avoid duplication of KYC process with every intermediary, a mechanism for centralization of the KYC records in the securities market has been developed. As a result, once the client has carried out KYC with a SEBI registered intermediary, the client need not undergo the same process again with another intermediary. NSDL Database Management Ltd. which is a wholly owned subsidiary of NSDL is a KYC Registration Agency.

#### ➤ **Display of price and value for E-series ICINs of National Spot Exchange Limited on *IDeAS* facility**

Clients can view the latest balances of their demat accounts on the internet along with the value based on price/NAV through *IDeAS* facility. NSDL has further enhanced its *IDeAS* facility whereby clients can view the price and value in respect of E-series ICINs (Commodity Identifier) of National Spot Exchange Limited for the previous business date held by them in their depository accounts.

#### ➤ **Investment by Qualified Foreign Investors (QFI) in Indian equity shares**

In order to widen the class of investors, attract more foreign funds, reduce market volatility and to deepen the Indian capital market, SEBI has in consultation with Government and RBI informed that QFIs can directly invest in Indian equity market. Further, SEBI vide its Circular No. CIR/IMD/FII&C/4/2012 dated January 25, 2012 has also revised the eligibility criteria to act as Qualified Depository Participants.

#### ➤ **Mutual Fund units - security type code '13' can be held in depository accounts of Qualified Foreign Investors (QFI)**

Now, Mutual Fund Units (security type '13') can also be held in the depository accounts of Qualified Foreign Investors and the validations mentioned for mutual fund units (security type '20') will also be applicable for security type '13'.



## ➤ NSDL authorised as an agency for e-Voting System

In pursuance of the Green Initiative in the Corporate Governance of the Ministry of Corporate Affairs, NSDL has been authorised as an agency for providing electronic platform for electronic voting under the Companies Act, 1956. Accordingly, NSDL has developed an e-Voting system to enable shareholders to cast their votes electronically for resolutions placed by Issuers through NSDL system. Issuers have commenced using NSDL's e-Voting system.

## ➤ Rajiv Gandhi Equity Savings Scheme (RGESS) for Retail Investors

The Union Finance Minister, Shri. P. Chidambaram approved a new tax saving scheme called "Rajiv Gandhi Equity Savings Scheme" (RGESS), exclusively for the first time retail investors in securities market. This Scheme would give tax benefits to new investors who invest up to ₹ 50,000 and whose annual income is below ₹10 lakh.

The Scheme not only encourages the flow of savings and improves the depth of domestic capital markets, but also aims to promote an 'equity culture' in India. This is also expected to widen the retail investor base in the Indian securities markets.

## ➤ SMS Alert facility

Since the launch of SMS Alert facility in September 2007, NSDL has been restructuring the facility for demat accountholders from time to time.

SMS alerts are now sent by NSDL to demat accountholders for the following:

- (1) All Debits (transfers)
- (2) Credits for IPO, bonus & sub-division
- (3) Failed instructions
- (4) Overdue instructions
- (5) Change of mobile number
- (6) Change of address
- (7) Debit of Mutual Fund Units
- (8) Alerts upon invocation of pledged securities to Pledgor Clients
- (9) Registration and De-registration of Power of Attorney
- (10) Modification / Cancellation of nominee name

NSDL offers this facility free of cost for the benefit of investors. The SMS alert facility is available to the investors provided they have given their mobile numbers to their Participants and made a request for this facility.

## ➤ **Fee for Rematerialisation amended in Business Rules of NSDL**

The fee for rematerialisation of securities has been revised as mentioned below:

- A fee of ₹ 10 for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000; or
- A flat fee of ₹10 per certificate, whichever is higher.

Provided that, no rematerialisation fee shall be charged for Government Securities.

## ➤ **Proof for capturing bank details**

In addition to Specimen copy of cheque, investors can now submit to Participant any one or more of the below mentioned documents as proof to capture bank details in the depository account:

1. Cancelled cheque
2. Copy of Bank Statement
3. Copy of Bank Passbook
4. Letter from Bank

The aforesaid documents must contain Bank Name, Branch Address, IFSC/MICR code, Name of account holder and Account Number to be acceptable as proof for bank details.

## ➤ **Facility for a Basic Services Demat Account (BSDA)**

In order to extend the reach of IPOs for the benefit of retail investors so as to achieve wider financial inclusion, encourage holding of demat accounts & to reduce the cost of maintaining securities in demat accounts for retail individual investors, SEBI has advised that all Depository Participants (DPs) shall make available a “Basic Services Demat Account” (BSDA) with limited services. SEBI has specified that individuals having only one demat account where they are the sole or first holder are eligible to opt for BSDA. Such individual shall have only one BSDA in his/her name across all depositories and value of securities held in such demat account shall not exceed ₹ Two Lakhs at any point of time.

SEBI has mandated that the charge structure may be on slab basis as indicated below:

- (i) No Annual Maintenance Charges (AMC) shall be levied, if the value of holding is up to ₹ 50,000.
- (ii) For the value of holding from ₹ 50,001 to ₹ 2 lakh, AMC not exceeding ₹100 may be charged.

## ➤ **Aadhaar Letter as Proof of Address for Know Your Client (KYC) norms**

SEBI has informed that Aadhaar Letter can now be accepted as Proof of Address for satisfying the Know Your Client (KYC) norms. SEBI in consultation with Unique Identification Authority of India (UIDAI), Government of India, has decided that the Aadhaar Letter issued by UIDAI shall be admissible as Proof of Address in addition to its presently being recognized as Proof of Identity.

## Towards Investor Awareness:

- **Investor Depository Meets (IDMs)**- NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs were organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment.

During November 1, 2011 to October 31, 2012, NSDL organised 138 IDMs in 135 different cities/towns across the country, which were attended by more than 9,200 persons. The zone-wise details of these seminars organised during the period are given below:

Zone	No. of IDMs
East	21
West	44
North	39
South	34
<b>Total</b>	<b>138</b>

- **Investor's Guide** - NSDL publishes an "Investor's Guide to Depositories" for promoting investor's understanding of the depository system. This guide attempts to answer frequently asked questions raised by the investors in relation to depository operations. Apart from English and Hindi, this guide is also available in some other regional languages. Investors may obtain a copy of this publication free of cost from NSDL, Mumbai and its branches at New Delhi, Kolkata, Chennai and Ahmedabad. It can also be downloaded from NSDL's website.
- **Posters** - NSDL has been undertaking various steps to educate the investors and keep them apprised of the developments taking place in the depository system. As a part of our investor awareness initiatives, NSDL distributes posters to Participant service centres to help Clients know about the features and facilities in the NSDL depository system and precautions that they need to take while opening and operating their depository accounts.
- **NSDL Website** - In order to provide easy navigation to the visitor of NSDL website, NSDL has revamped its Corporate website in November 2012. NSDL website hosts exhaustive information for investors, companies and Participants. Details available on the website include list of securities available for demat, Participant locations and contact details, dates & venues of forthcoming IDMs, various search options, important circulars, Bye Laws & Business Rules of NSDL etc. The website also facilitates registration of grievance and displays list of companies with poor demat confirmation record.

## Towards education of Business Partners etc.:

- **NSDL launches training programmes at NISM (National Institute of Securities Markets) centre**  
NSDL has made arrangement with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM.

- **NCDO training programmes for Participants:** NSDL conducts NSDL Certification in Depository Operations (NCDO) for Participants of NSDL. NCDO oriented training programme covers various aspects of depository operations followed by a written test. Certificate is given to the Participant on successful passing of the test which is valid for a period of five years. The persons holding the certificate are considered as qualified personnel in relation to the requirement for service centres of the Participant.

During November 2011 to October 2012, 63 such programmes were organised in 19 cities/towns, which were attended by more than 2,000 representatives representing various Participants.

- **Other training programmes for Business Partners:** NSDL periodically conducts two-day training programmes for Participants and Issuers / R & T Agents. Details of such programmes conducted from November 2011 to October 2012 are as follows:

Sr. No.	Category	No. of Business Partner entities trained	Total Attendees
1	Participants	11	31
2	Issuers / R & T Agents	10	21
Total		21	52

- **System Oriented Training Programme (SOTP) for Participants:** In order to disseminate knowledge about various functionalities and information about NSDL's depository system such as features, reports, utilities, back office interface, system security, system performance, frequently asked questions at Help Desk, business continuity plan, etc., NSDL conducted 11 such programmes in Ahmedabad, Bangalore, Chennai, Delhi, Hyderabad, Kochi, Kolkata and Mumbai which were attended by 481 officials of Participants.
- **KYC Training Programme for Participants:** In light of the recent developments in the depository operations with respect to depository account opening viz., Introduction of KYC Registration Agency (KRA), Qualified Foreign Investors (QFI), Basic Services Demat Account (BSDA), Rajiv Gandhi Equity Savings Scheme (RGESS) etc., training programmes on 'KYC requirements for Account Opening' were organised which focused on latest developments as well as other requirements for opening various Depository Accounts to facilitate efficient functioning of depository operations of Participants. NSDL conducted eight such training programmes in Ahmedabad, Bangalore, Chennai, Kolkata and Mumbai which were attended by about 400 officials of Participants.
- **Training programmes for internal auditors of the Participants:** NSDL conducted training programmes for internal auditors of the Participants. Areas covered during these programmes were Account Opening, Dematerialisation / Rematerialisation, Settlement, Back office operations, critical aspects of Participant Operations, Guidelines on internal audit, Compliance, Participant Inspection; findings and perspectives, Anti Money Laundering Requirement and Systems overview. During the period from November 2011 to October 2012, these programmes were organised in five cities which were attended by 316 representatives from various audit firms.

## Corporate Action Statistics

Sr. No.	Description	During October 2012		For F.Y. 2012 - 13 (Upto October 2012)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	181,761	6,740,950,244	998,110	28,299,926,290
2	Preference Shares	136	26,081,136	461	1,787,406,629
3	Bonds	35,779	167,147,377	236,002	581,169,522
4	Commercial Papers	502	1,167,508	3,995	8,233,065
5	Certificates of Deposit	212	1,964,800	3,848	39,800,311
6	Securitised Instruments	61	677,201	200	28,738,088
7	Mutual Fund Units	16,835	38,298,776	114,453	2,252,792,591
9	Warrants	0	0	2	16,500,000
11	Commodities	109	7,210,075	1,802	11,483,021
12	Mutual Fund Units (Trase)	9,676	52,933,906	59,222	388,906,652
	<b>Total</b>	<b>245,071</b>	<b>7,036,431,022</b>	<b>1,418,095</b>	<b>33,414,956,169</b>

## List of Companies that have changed Names

(During October 2012)

Sr. No.	Old Name	New Name
1	Wire And Wireless (India) Limited	Siti Cable Network Limited
2	Zuari Holdings Limited	Zuari Agro Chemicals Limited
3	L&T Arun Excello Commercial Projects Private Limited	L&T Commercial Projects Private Limited
4	Starwings Fashion Trading Private Limited	Starwings Fashion Trading Limited
5	Hirise Infracon Private Limited	Hirise Infracon Limited
6	Infomedia 18 Limited	Infomedia Press Limited
7	Piramal Healthcare Limited	Piramal Enterprises Limited
8	Mahindra Castings Private Limited	Mahindra Hinoday Industries Limited
9	Arshiya Northern Domestic Distripark Limited	Arshiya Industrial & Distribution Hub Limited
10	Ashoka Sambalpur Baragarh Tollway Private Limited	Ashoka Sambalpur Baragarh Tollway Limited
11	21st Century Infra Tele Limited	Viom Infra Networks (Maharashtra) Limited
12	Arrow Securities Limited	Safal Securities Limited
13	AKL Soft And Infosys (India) Limited	SMS Techsoft (India) Limited
14	Selllaid Publications (India) Limited	Stellant Securities (India) Limited
15	Axis Securities And Sales Limited	Axis Capital Limited
16	The Hingir Rampur Coal Company Limited	Dhenu Buildcon India Limited
17	Purolator India Limited	Mahle Filter Systems (India) Limited
18	Lowe Realty Private Limited	Lowe Infra And Wellness Private Limited
19	Hyderabad Industries Limited	HIL Limited

## New Participant Centres

<b>ANDAMAN &amp; NICOBAR ISLANDS</b>	<b>DAHOD</b>	<b>PUNE</b>	<b>UTTAR PRADESH</b>
<b>SOUTH ANDAMANS</b>	IDBI Bank Limited	HDFC Bank Limited	<b>GHAZIABAD</b>
Axis Bank Limited Opp. Hotel Karpagam Garacharma, Ph.: 03192-250097 / 98	Gadi Road, Ph.: 0267-3220744 / 651038	Ghotawade Phata Chowk, Ph.: 020-22922, 09803921569	IDBI Bank Limited Lohia Nagar, Ph.: 09818232996
<b>ANDHRA PRADESH</b>	<b>RAJKOT</b>	Pimple Saudagar, Ph.: 020-27270059	<b>KANPUR</b>
<b>RAJAHMUNDRY</b>	IFCI Financial Services Limited	NDA Pashan Road, Bawdhan, Ph.: 020-22951142	HDFC Bank Limited Ph.: 0512-3937427
IDBI Capital Market Services Limited Opp. Surya Theater, Ph.: 09966890365	Yagnik Road, Ph.: 0281-3911300 -301	Kotak Mahindra Bank Limited Pune-Satara Road, Ph.: 09923752600	<b>LUCKNOW</b>
<b>BIHAR</b>	<b>JHARKHAND</b>	<b>SHAHADA</b>	HDFC Bank Limited Ph.: 0522-2721981
<b>RAJAHMUNDRY</b>	<b>RANCHI</b>	HDFC Bank Limited Behind Vikas Highschool, Ph.: 02565-223295	<b>MUZAFFARNAGAR</b>
IDBI Capital Market Services Limited Opp. Surya Theater, Ph.: 09966890365	HDFC Bank Limited Near Cmpdi, Kanke Road	<b>THANE</b>	Axis Bank Limited Ph.: 01396-270110110 / 30 / 140
<b>BIHAR</b>	HDFC Bank Limited Crosswindz, Kutchery Road	Axis Bank Limited	<b>NOIDA</b>
<b>BHABHUA</b>	<b>KARNATAKA</b>	Sector 11, Juinagar Vashi, Navi Mumbai, Ph.: 022-27750993-96	IDBI Capital Market Services Limited Ph.: 09818011246
Axis Bank Limited Bhabhua Mohania Road, Ph.: 06189-223010 / 11	<b>BANGALORE</b>	Sector 19 D Vashi Navi Mumbai, Ph.: 022-27841227-30	<b>UTTARAKHAND</b>
<b>CHHATTISGARH</b>	IDBI Bank Limited	<b>ORISSA</b>	<b>RISHIKESH</b>
<b>BAIKUNTHPUR</b>	10th Cross, HSR Layout Ph.: 080-65725026	<b>JATNI</b>	IDBI Capital Market Services Limited Ph.: 09634747877
HDFC Bank Limited Manendragarh Road, Ph.: 07836-232364	IFCI Financial Services Limited	HDFC Bank Limited Near SBI Main Road, Ph.: 0674-2490562	<b>WEST BENGAL</b>
<b>BILASPUR</b>	Cubbonpet Main Road Next to Halasurgate Police Station, Ph.: 080-41306403	<b>PARLAKHEMUNDI</b>	Axis Bank Limited 134B Akshay Kumar Dutta Sarani, Ph.: 033-25336749 / 6726 / 7455
HDFC Bank Limited Opp. Sheffer'S School, Mungeli Road, Ph.: 07752-403022	<b>MADHYA PRADESH</b>	HDFC Bank Limited Market Square Aman Plaza, Ph.: 06815-211116	HDFC Bank Limited D-87/2, Block-D, Ph.: 033-40031214
<b>RAIPUR</b>	<b>MORENA</b>	<b>PURI</b>	84A, A.J.C Bose Road, Ph.: 033-40031468
HDFC Bank Limited Opp. Sheffer'S School, Mungeli Road, Ph.: 07752-403022	Axis Bank Limited	IDBI Capital Market Services Limited Gopinathpur, Ph.: 09861072342	IDBI Bank Limited Ph.: 09830323549
<b>RAIPUR</b>	M.S. Road, Ph.: 07532-234501 / 02	<b>RAYAGADA</b>	<b>MURSHIDABAD</b>
<b>DELHI</b>	<b>SAGAR</b>	HDFC Bank Limited New Colony, Ph.: 06856-224748	Axis Bank Limited Western Front of Kandi Main Road, Ph.: 03484-255593 / 94
HDFC Bank Limited Shankar Nagar Chowk, Ph.: 0771-2443222	HDFC Bank Limited	HDFC Bank Limited Sonepur, Ph.: 06654-220330 / 31	<b>NORTH 24, PARGANAS</b>
IDBI Bank Limited G. E. Road, Ph.: 09893125245	Jabalpur Road, Ph.: 0755-6533524	<b>SUNDERGARH</b>	Axis Bank Limited 348 Barasat Road P.S. Ghola, Ph.: 033-25956788 / 91-93
<b>NEW DELHI</b>	<b>SHEOPUR</b>	HDFC Bank Limited	<b>RAIGANJ</b>
HDFC Bank Limited Pamposh Enclave, GK 1, Ph.: 011-26414576	HDFC Bank Limited	HDFC Bank Limited Mission Road, Ph.: 06622-273615	HDFC Bank Limited N.S Road, Ph.: 03523-252176
<b>GUJARAT</b>	Ward No 8, Shivpuri Road, Ph.: 07530-220110	<b>RAJASTHAN</b>	
<b>AHMEDABAD</b>	<b>SUSARI</b>	IDBI Capital Market Services Limited Hiren Magri, Ph.: 09414263561	
Axis Bank Limited Nr. Vijay Cross Road, Ph.: 079-40092531 -33 / 35	HDFC Bank Limited		
<b>ANKLESHWAR</b>	Kukshi Barwani Road, Ph.: 07297-232210		
HDFC Bank Limited Near V-Trans I Ltd., Ph.: 09327606932	<b>MAHARASHTRA</b>		
	<b>BHANDARA</b>		
	HDFC Bank Limited		
	Nr. IOC Petrol Pump, Ph.: 07184-260003		
	<b>ISLAMPUR</b>		
	HDFC Bank Limited		
	Gandhi Chowk, Ph.: 02342-222095		



## List of Participants offering *SPEED-e*

Sr. No.	Participant Name
1	Abhipra Capital Limited
2	Acumen Capital Markets (India) Limited
3	Aditya Birla Money Limited
4	Adroit Financial Services Private Limited
5	Alankit Assignments Limited
6	ASE Capital Markets Limited
7	Ashika Stock Broking Limited
8	Ashlar Securities Private Limited
9	Axis Bank Limited
10	Bajaj Capital Investor Services Limited
11	Bank of Baroda
12	Barclays Securities (India) Private Limited
13	Bharat Bhushan Equity Traders Limited
14	Bhargava Lodha Stock Brokers Private Limited
15	BMA Wealth Creators Limited
16	BOI Shareholding Limited
17	Bonanza Portfolio Limited
18	Canara Bank
19	Canon Capital and Finance Limited
20	CFS Financial Services Private Limited
21	Cholamandalam Securities Limited
22	Citizen Credit Co-operative Bank Limited
23	Composite Securities Limited
24	Consortium Capital Private Limited
25	Dalmia Securities Private Limited
26	Dayco Securities Private Limited
27	DSN Securities Limited
28	DSP Merrill Lynch Limited
29	Dynamic Equities Private Limited
30	East India Securities Limited
31	Eastern Financiers Limited
32	Edelweiss Broking Limited
33	Edelweiss Financial Advisors Limited
34	Elbee Securities Private Limited
35	Elite Wealth Advisors Limited
36	Eureka Stock & Share Broking Services Limited
37	Farsight Securities Limited



Sr. No.	Participant Name
38	Geojit BNP Paribas Financial Services Limited
39	Ghalla Bhansali Stock Brokers Private Limited
40	Globe Capital Market Limited
41	Gogia International Securities Limited
42	Guinness Securities Limited
43	HDFC Bank Limited
44	HSBC InvestDirect Securities (India) Limited
45	ICICI Bank Limited
46	IDBI Bank Limited
47	IDBI Capital Market Services Limited
48	IFCI Financial Services Limited
49	IL&FS Securities Services Limited
50	Indbank Merchant Banking Services Limited
51	Indsec Securities and Finance Limited
52	Indus Portfolio Private Limited
53	IndusInd Bank Limited
54	Integrated Enterprises (India) Limited
55	InvestMentor Securities Limited
56	J K Securities Private Limited
57	Janata Sahakari Bank Limited, Pune
58	Jhaveri Securities Limited
59	JM Financial Services Private Limited
60	JRG Securities Limited
61	Jyoti Portfolio Limited
62	Karvy Stock Broking Limited
63	KIFS Securities Limited
64	Kotak Mahindra Bank Limited
65	Kotak Securities Limited
66	Maheshwari Technical and Financial Services Limited
67	Mansukh Securities and Finance Limited
68	Marck Securities Private Limited
69	Marwadi Shares & Finance Limited
70	Master Capital Services Limited
71	MF Global Sify Securities India Private Limited
72	Microsec India Limited
73	Monarch Project & Finmarkets Limited
74	NDA Securities Limited
75	Nirmal Bang Securities Private Limited
76	O.J.Financial Services Limited

Sr. No.	Participant Name
77	Oriental Bank Of Commerce
78	Peerless Securities Limited
79	Pelf Finstock Limited
80	Pravin Ratilal Share and Stock Brokers Limited
81	Ratnakar Securities Private Limited
82	RBC Financial Services Private Limited
83	Reliance Capital Limited
84	Religare Securities Limited
85	Sajag Securities Private Limited
86	Sam Global Securities Limited
87	SBI-SG Global Securities Services Private Limited
88	Sharekhan Limited
89	Shree Bahubali International Limited
90	Shri Parasram Holdings Private Limited
91	Shriram Insight Share Brokers Limited
92	Smart Equity Brokers Private Limited
93	South Gujarat Shares & Sharebrokers Limited
94	SS Corporate Securities Limited
95	SSD Securities Private Limited
96	Standard Chartered Securities (India) Limited
97	Star Finvest Private Limited
98	State Bank of India
99	Stock Holding Corporation of India Limited
100	Tamilnad Mercantile Bank Limited
101	The Calcutta Stock Exchange Limited
102	The Cosmos Cooperative Bank Limited
103	The Federal Bank Limited
104	The Jammu and Kashmir Bank Limited
105	The Kapol Co-Operative Bank Limited
106	The Saraswat Co-Operative Bank Limited
107	The Surat People's Co-Operative Bank Limited
108	TJSB Sahakari Bank Limited
109	Trans Scan Securities (P) Limited
110	Trustline Securities Limited
111	Union Bank of India
112	Ventura Securities Limited
113	Vivek Financial Focus Limited
114	Vogue Commercial Company Limited
115	Zuari Investments Limited

## Securities admitted for Dematerialisation during October 2012

COMPANY	ISIN	COMPANY	ISIN
ADA Cellworks Wireless Engineering Private Limited	INE960N01010	Mathura Nagar Waste Processing Company Limited	INE020001011
Agila Specialties Private Limited	INE981N01016	Matri Chaya Investments & Traders Private Limited	INE954N01013
Allahabad Waste Processing Company Limited	INE027001016	Multi Sun Power Limited	INE948N01015
Arohi Infrastructure Private Limited	INE961N01018	Nirulas Hospitality Services Private Limited	INE992N01013
Arshi Infotech Private Limited	INE958N01014	Northgate Com Tech Limited	INE970N01019
ASK Pravi Capital Advisors Private Limited	INE952N01017	NRB Industrial Bearings Limited	INE047001014
Associated Road Carriers Limited	INE978N01012	Olive Bar & Kitchen Private Limited	INE661N01014
AVI Polymers Limited	INE897N01014	Orient Cement Limited	INE876N01018
Axis Capital Limited	INE950N01011	Parthasarathi Financial Advisory Services Private Limited	INE007001018
Centum Learning Limited	INE030001010	Pebble Bay Developers Private Limited	INE984N01010
Cessna Garden Developers Private Limited	INE122I01017	PGC Corporation Private Limited	INE959N01012
Core Hotels Ventures Private Limited	INE931N01011	PHF Leasing Limited	INE405N01016
Crescent Digital Technologies Limited	INE993N01011	Prestige Garden Resorts Private Limited	INE016001019
Cura Healthcare Private Limited	INE006001010	Prismo ( India ) Limited	INE983N01012
Darshan Realtors Private Limited	INE832N01011	Quest Global Manufacturing Private Limited	INE947N01017
Delta Leasing And Finance Limited	INE874N01013	R.S. Estate Developers Private Limited	INE953N01015
Dera Paints & Chemicals Limited	INE844D01017	Radiant Life Care (Delhi) Private Limited	INE965N01019
DIH Project Management Private Limited	INE995N01016	Raj Rajendra Textile Exports Limited	INE777N01018
DLF Emporio Restaurants Limited	INE949N01013	Ranisati Properties Limited	INE650E01016
Dodla Dairy Limited	INE021001019	Ratnabali Exports Private Limited	INE932N01019
Doon Valley Waste Management Private Limited	INE018001015	Religare Trustee Company Limited	INE955N01010
Eastern International Hotels Limited	INE026001018	Richmond Park Property Management Services Limited	INE996N01014
Escorts Construction Equipment Limited	INE980N01018	Robinsons Cargo & Logistics Private Limited	INE028001014
Exemplarr Worldwide Limited	INE933N01017	Ruby Macons Limited	INE976N01016
Fonroche Raajhans Energy Private Limited	INE005001012	S Chand Properties Private Limited	INE928N01017
Fonroche Saaras Energy Private Limited	INE004001015	S. Chand Edutech Private Limited	INE929N01015
Godrej Hershey Limited	INE971N01017	S. J. Green Park Energy Private Limited	INE969N01011
Gokak Power & Energy Limited	INE973N01013	Sanjog Estates Private Limited	INE898N01012
Green Infra Solar Farms Limited	INE049001010	Sudha Bio Power Private Limited	INE963N01014
Green Infra Solar Projects Limited	INE048001012	Sundaram Investment Limited	INE943N01016
Him Kailash Hydro Power Private Limited	INE022001017	Suzlon Engitech Limited	INE015001011
HKR Roadways Limited	INE982N01014	The Gopalpur Tea Company Limited	INE528N01015
Jyoti Envirotech Private Limited	INE977N01014	Transways (Agents) Limited	INE653E01010
K.P.R. Fertilisers Limited	INE024001013	Unitech Builders And Projects Limited	INE013001016
Krona Realties Private Limited	INE938N01016	Uniwold Edutech Private Limited	INE974N01011
L&T Mutual Fund Trustee Limited	INE014001014	Vardhman Chemtech Limited	INE979N01010
L&T Seawoods Private Limited	INE968N01013	Vikash Smelters & Alloys Limited	INE951N01019
Madhya Pradesh Jaypee Minerals Limited	INE962N01016	Visa Infrastructure Limited	INE975N01018
Maini Precision Products Private Limited	INE017001017	Warasgaon Lake View Hotels Limited	INE964N01012
Mandakini Coal Company Limited	INE972N01015	Western Refrigeration Private Limited	INE937N01018

## List of Publications

Name	Content
Investor's Guide to Depositories	This booklet is in the form of an 'FAQ'. It describes the facilities, benefits and precautions in using the NSDL depository system. The guide is available in English, Hindi and other regional languages.
Handbook for NSDL Depository Operations Module	It covers the depository operations in detail and can be used as a reference book for NCFM - Depository Operations module.
Compliance Manual for Depository Participants	A compilation of provisions of Depositories Act, SEBI Regulations, SEBI Circulars, NSDL Bye Laws and Business Rules and circulars with subject index. It is updated upto May 2012.
Business Rules and Bye Laws of NSDL	A handy compilation of the Business Rules and Bye Laws of NSDL. It is updated upto November 2011.
Master Circular On Account Opening	It gives guidelines in respect of account opening in case of individuals (Non - Body Corporate), Body Corporate etc with NSDL. It is updated upto September 2012.
Master Circular On Delivery Instruction Slips	It gives guidelines in respect of benefits and safety features available in Delivery Instruction Slips (DIS) of NSDL. It is updated upto August 2011.
<b>SPEED-e</b> Brochures & FAQ	It gives the overview of services, benefits and safety features available in <b>SPEED-e</b> facility of NSDL.
<b>IDeAS</b> Brochures	It gives the overview of services, benefits and safety features available in <b>IDeAS</b> facility of NSDL.

Contact NSDL at the following email address [info@nsdl.co.in](mailto:info@nsdl.co.in) for details.

## NSDL Offices

Head Office	Branch Offices	
<p><b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351</p>	<p><b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375</p>	<p><b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593</p>
	<p><b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945</p>	<p><b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756</p>

### Investor Relationship Cell

**Officer-In-Charge,**  
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### NSDL Certification Programme

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## TOPIC OF INTEREST

### Know more about National Pension System (NPS)

*(Continued from October 2012 issue)*

**1. Can a NRI open a NPS account?**

Ans. Yes, an NRI can open a NPS account provided he has a valid correspondence address and a bank account in India.

**2. How will I contribute to NPS?**

Ans. To contribute in Tier I and Tier II account, the subscriber needs to deposit the contribution amount along with duly filled NCIS (NPS Contribution Instruction Slip) to any POP-SP.

**3. How much does a subscriber need to contribute?**

Ans. A subscriber is required to make his / her first contribution at the time of applying for registration at any POP-SP with NCIS (NPS Contribution Instruction Slip) form.

Subscriber is required to make contributions subject to the following conditions:

- Minimum amount at the time of Account opening - ₹ 500
- Minimum amount per contribution - ₹ 500
- Minimum contribution per year - ₹ 6,000
- Minimum number of contributions in a year - one

Over and above the mandated limit of a minimum of one contribution, a subscriber may decide on the frequency of the contributions across the year as per his / her convenience. No maximum limit has been mandated.

For Tier II, minimum contribution requirements

- Minimum contribution at the time of account opening - ₹ 1000
- Minimum amount per contribution - ₹ 250
- Minimum number of contributions in a year - one
- Maintain minimum balance of ₹ 2000 at the end of each financial year.

*to be concluded in next issue...*