

April 2024

News

1. Operational guidelines in respect of Beta version of T+0 rolling settlement cycle on optional basis in Equity Cash Markets

SEBI has issued guidelines in respect of a framework for introduction of the Beta version of T+0 settlement cycle on optional basis in addition to the existing T+1 settlement cycle in equity cash market w.e.f. **March 28, 2024**.

In this regard, the operational guidelines is enclosed as Annexure to this circular for necessary implementation of the aforesaid circular.

The necessary system changes in respect of the aforesaid guidelines have been implemented in NSDL depository system on March 27, 2024.

Participants are requested to inform their clients, trading members and clearing members about the same and ensure compliance.

Reference: Circular No. [NSDL/POLICY/2024/0039](#) dated March 22, 2024 available on [NSDL website](#).

2. Standardization of File Formats

Participants were informed that the new standardized file formats such as the list of fields, standard values and ISO tags were defined, along with the corresponding sample files in respect of “DIS Master Download” and “ISIN Rate Master Export” shall be published and implemented in phased manner.

In this regard, as part of the fifth and final phase, the file format for **Account Maintenance Uploads (Uploads), Client Master Export, Change Order of the day (COD) Export, Change Order of the day (COD) Export and Margin Pledge Transaction Uploads** are given in Annexures to this circular. The aforementioned file formats have been implemented from EOD of **March 30, 2024**.

The annexure contains the original field names along with the corresponding ISO tags and the standardized data types and data lengths. As this format is common across both the depositories, some fields may not be applicable for NSDL currently. However, these fields may be used in future on the need basis.

Further, the existing file formats shall continue to be available in parallel with the new standardized file formats for a defined period. Thereafter, as informed to Participants vide NSDL Circular ref. no NSDL/POLICY/2024/0035 dated March 21, 2024, the existing file formats shall be discontinued **after May 15, 2024**, and only new file formats will be applicable.

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All Participants are advised to note the aforesaid points and make necessary changes in their back-office system.

Reference: Circular No. [NSDL/POLICY/2024/0041](#) dated April 1, 2024 available on [NSDL website](#).

3. Safeguards to address the concerns of the investors on transfer of securities in dematerialized mode

"Para 1.12 of SEBI Master circular for Depositories dated October 06, 2023 prescribed guidelines to address the concerns arising out of transfer of securities from the Beneficial Owner (BO) Accounts without proper authorization by the concerned investor."

SEBI has amended Para 1.12 to harmonize the classification of inactive/dormant accounts across Stock Exchanges & Depositories and to strengthen the measures to prevent fraud/misappropriation for inoperative demat accounts, based on consultation with depositories and the recommendations of Secondary Market Advisory Committee (SMAC).

Reference: Circular No. [NSDL/POLICY/2024/0036](#) dated March 21, 2024 available on [NSDL website](#).

Key Information for Investors

Investor Grievance Redressal Mechanism

1. SEBI Master Circular on the redressal of investor grievances through the SEBI Complaints Redress System (SCORES) platform dated November 7, 2022. Investors can access the master circular with the below link.

[SEBI | Master Circular on the redressal of investor grievances through the SEBI Complaints Redress System \(SCORES\) platform](#)

2. We encourage investors to Read '**Investor Charter**' at https://nsdl.co.in/publications/investor_charter.php

3. **Online web-based complaints redressal system** of NSDL can be accessed via link <https://nsdl.co.in/nsdlnews/investors.php> (Post your complaints/queries to NSDL)

4. Lodge your complaint with SEBI at <https://scores.gov.in/scores/Welcome.html> or SEBI Mobile App - SCORES

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- SEBI Toll free helpline - 18002667575 / 1800227575
- NSDL email for grievance - relations@nsdl.com
- NSDL helpline - 022-48867000
- NSDL email for other information - info@nsdl.com

Resolve your disputes in Securities Market online using **SMART ODR Portal**. Visit <https://smartodr.in/login>

5. SEBI Master Circular on Online Resolution of Disputes in the Indian Securities Market

SEBI has issued Master Circular on Online Resolution of Disputes in the Indian Securities Market vide its Circular No. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/145 dated July 31, 2023.

For more information, Investors may refer the SEBI Circular

https://www.sebi.gov.in/legal/master-circulars/aug-2023/online-resolution-of-disputes-in-the-indian-securities-market_75220.html

Visit **SMART ODR website** <https://smartodr.in/login>

6. SEBI Circular on Comprehensive guidelines for Investor Protection Fund and Investor Services Fund

SEBI has issued revised Comprehensive guidelines for Investor Protection Fund and Investor Services Fund at Stock Exchanges and Depositories vide its Circular No. SEBI/HO/MRD/MRD-PoD-3/P/CIR/2023/81 dated May 30, 2023.

For more information, Investors may refer the SEBI Circular in the following link:

https://www.sebi.gov.in/legal/circulars/may-2023/comprehensive-guidelines-for-investor-protection-fund-and-investor-services-fund-at-stock-exchanges-and-depositories_71925.html

7. Claiming Unclaimed Shares and Dividends

All dividends and shares which remain unpaid or unclaimed for seven consecutive years, are transferred by respective companies to Investor Education and Protection Fund Authority.

Investors can submit claim to IEPF Authority to receive unpaid dividend and/or unclaimed shares by visiting <https://www.iepf.gov.in>

8. Facility to investors of voluntary freezing/de-freezing of Demat Accounts through Depository Participants (DP) or SPEED-e facility

If you wish to temporarily freeze/de-freeze your Demat Account for any reason, you can avail the facility of freezing your demat account by choosing Account level, ISIN level or Quantity level freeze anytime, anywhere by submitting a written request in the prescribed form to your Depository Participant (DP) or by subscribing to **SPEED-e** facility as e-Token User. You can freeze your account for 'Suspending for debit' or 'Suspending for debit and credit'. Freeze request executed by you through SPEED-e cannot be unfrozen by your DP. If your demat account is 'Suspending for debit and credit', no transactions are permitted in the demat account till the account is de-frozen. A frozen account can be de-frozen or re-activated on submission of written instruction by the demat account holder, in prescribed form to the DP or through NSDL's SPEED-e facility.

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9. Awareness on careful preservation of Delivery Instruction Slip (DIS)

Beneficial Owners (BOs) should accept the Delivery Instruction Slip (DIS) from the Depository Participants (DPs) only if serial number is pre printed and Client ID is pre stamped or pre printed. BOs should keep the DIS in safe custody and should not to leave it "blank or signed" with the Depository Participants (DPs) or any other person/entity when not in use.

10. Visit the SEBI investor website at <https://investor.sebi.gov.in/>



SEBI हर निवेशक की ताकत
Har Investor Ki Taaqat

<https://investor.sebi.gov.in>

UNLOCK THE **WEALTH OF KNOWLEDGE**
AT THE SEBI INVESTOR WEBSITE
Empower yourself in the world of investing

- Dive into Money Matters to grasp Personal Finance concepts.
- Educational Resources, related to investments, including securities market
- Access a range of Financial Tools and Calculators.
- Evaluate your Financial Health with the easy check.

Join on a journey of informed decision-making and confident participation in the securities market.

National Financial Literacy Quiz (NFLQ) 2024 organized by SEBI in collaboration with NISM

Don't miss the chance to participate in the SEBI & NISM National Financial Literacy Quiz 2024 and win amazing prizes worth ₹10 lakhs*

Register yourself and your college at <https://nflq.nism.ac.in>.



NFLQ
#NFLQ

PRIZES **₹10 LAKHS*** TO BE WORTH LAKHS* WON
ONLINE QUIZ FOLLOWED BY MEGA EVENT AT NISM CAMPUS

SEBI भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India | **NISM** राष्ट्रीय प्रतिभूति बाजार संस्थान
National Institute of Securities Markets
(An Educational Initiative of SEBI)

NATIONAL FINANCIAL LITERACY QUIZ

2024

+91-7065937707 | nflq@nism.ac.in

SCAN TO REGISTER

SEPARATE ROUNDS FOR UG AND PG STUDENTS

For information, visit <https://investor.sebi.gov.in>

Download **Saa'thi** App

QUIZ LIVE NOW

<https://nflq.nism.ac.in>

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Hurry!! Nominate Your Loved Ones by June 30, 2024 to avoid your Demat account becoming inactive

**Nominate Karo - Surakshit, Samajhdaar,
aur Atmanirbhar Niveshak Bano !**



**Hurry!! Last date is
June 30, 2024**

NOMINATE YOUR LOVED ONES TODAY!!
Opting-in/Opting-out of nomination is mandatory in your
Demat Account to avoid your account becoming inactive




SCAN TO
KNOW
MORE

SCAN TO
NOMINATE
ONLINE*

*Applicable to single demat account holder (Resident Individual) who
have not opted-in/opted-out for Nomination in their demat account.


www.nsdl.co.in Follow us on: 

Know more about SMART ODR




What is SMARTODR Portal?

An online platform created by
exchanges and depositories



for easy filing and resolution of
securities market disputes.



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Update your email ID & income range
in your demat account Today!!

Discover the importance of
Mutual Funds in investing

Be a Surakshit, Samajhdar aur Atmanirbhar Niveshak



Update your email ID & income range
in your demat account

Dear Investor,

If your demat account has been frozen due to non-update of email ID and income range, kindly update the details in **3 easy steps**

Step 1 - Visit <https://eservices.nsd.com/kyc-attributes/#/login>, Enter DP ID, Client ID & PAN. Submit OTP

Step 2 - Update email ID / income range

Step 3 - e-Sign



Scan to know the procedure



Or

Download NSDL Speede Mobile App to update your email ID & income range



Play Store



App Store

Or

Visit your Depository Participant (DP) to update email ID and income range

www.nsd.com

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ಕನ್ನಡ (Kannada)



தமிழ் (Tamil)



Be Vigilant! Invest through a SEBI registered Intermediary



Investors are advised to stay away from fraudulent schemes/Apps promising unrealistic returns. Beware of impersonators !!

For more information on NSDL Depository Participants registered with SEBI, visit https://nsdl.co.in/direct_search.php on NSDL website.

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Unlocking the Benefits of Basic Services Demat Account (BSDA)

BASIC SERVICES DEMAT ACCOUNT



Scan to watch the video



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Branch Offices

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