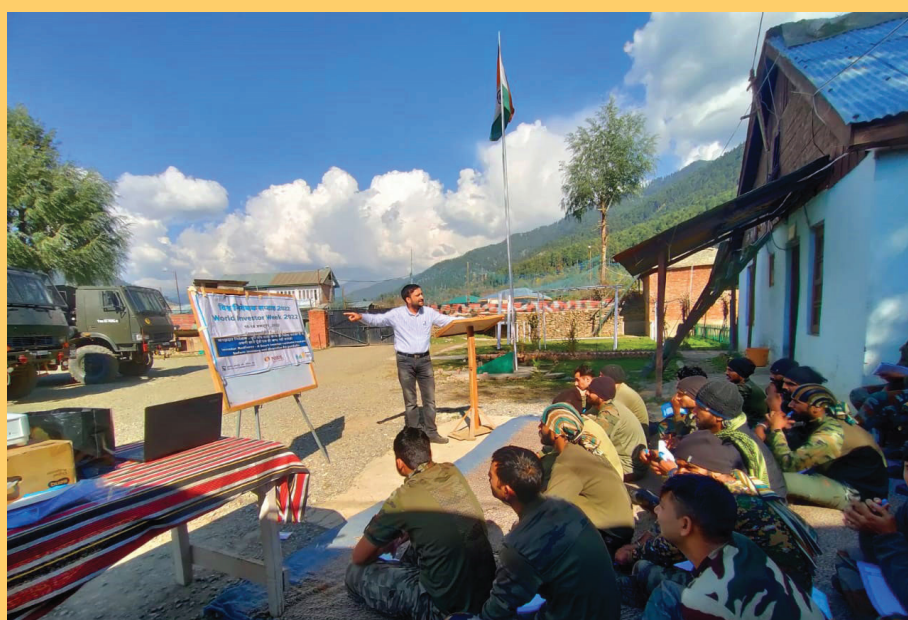


News

Investor Awareness Program for Indian Army at 5,600 ft above sea level in Anantnag, Jammu & Kashmir.



NSDL salutes their dedication and service to the Nation.

Sr. No.	Take a look
1	News
2	Corporate Action Statistics
3	Securities Admitted form Dematerialisation
4	Performance Summary
5	Companies Name Change
6	Forthcoming Compliance

Performance Summary	
Particulars	As on October 31, 2022
Investor Accounts	2,95,58,112
Participants	279
Participant service centres	58,519
Demat Custody	320.09 Lakh Crore
Settlement	₹ 3.86 Lakh Crore
Companies available for demat	39,494
Number of Instruments	
Certificates of Deposit	281
Commercial Paper	1,678
Debentures/Bonds	20,188
Securitized Instruments	3,706

News

1. Incorporation of new freeze reason code in DPM system

NSDL has introduced following new freeze reason code in DPM system.

Freeze Reason Code	Freeze Reason Description
40	SEBI SCN / Order Not Delivered/Acknowledged

The aforesaid freeze reason code can be executed only by NSDL and is for display /view for Participants. The aforesaid freeze reason code will not be displayed to Participants in list of freeze reason codes available under freeze execution module in depository system for executing the freezes. Participants are advised to make necessary changes in their static data code in respect of the back-office interface with DPM system.

Reference: Circular No. [NSDL/POLICY/2022/144](#) dated October 13, 2022 available on [NSDL website](#).

2. Discontinuation of NSDL letters to Registrar and Transfer Agents (RTAs) to seek clarification on complaints raised by clients in e-PASS system.

With effect from October 11, 2022, NSDL has discontinued sending digitally signed letters (in PDF) advising RTAs to provide clarification/response to the clients against their complaints through the e-PASS system.

NSDL comments/directions regarding the complaints are being updated in remarks column in e-PASS. There is no change in steps being followed by RTAs to update Action Taken Report (ATR) in e-PASS along with supporting documents/letters.

RTAs are requested to take note of the above change and continue to ensure compliance of submitting ATR along with supporting documents/letters.

Reference: Circular No. [NSDL/CIR/II/34/2022](#) dated October 10, 2022 available on [NSDL website](#).

3. SEBI clarification on widening the scope of 'Demat Debit and Pledge Instruction' (DDPI)

SEBI has issued a clarification on execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging / re-pledging of securities to widen the scope of DDPI to include the following:

- Mutual Fund transactions being executed on Stock Exchange order entry platforms; and
- Tendering shares in open offers through Stock Exchange platforms.

Reference: Circular No. [NSDL/POLICY/2022/141](#) dated October 7, 2022 available on [NSDL website](#).

News

4. **Participation of SEBI registered Foreign Portfolio Investors (FPIs) in Exchange Traded Commodity Derivatives in India**

SEBI has decided to allow foreign investors to participate in Indian ETCs through the FPI route, subject to the following conditions with immediate effect.

- a) To begin with, FPIs will be allowed to participate in cash settled non-agricultural commodity derivative contracts and indices comprising such nonagricultural commodities.
- b) FPIs desirous of participating in ETCs shall be subject to risk management measures applicable, from time to time.
- c) Position Limits:
 - FPIs other than individuals, family offices and corporates may participate in eligible commodity derivatives products as 'Clients' and shall be subject to all rules, regulations and instructions, position limit norms as may be applicable to clients, issued by SEBI and stock exchanges, from time to time.
 - FPIs belonging to categories viz. individuals, family offices and corporates will be allowed position limit of 20 percent of the client level position limit in a particular commodity derivative contract.
- d) The participation of FPIs including individuals, family offices and corporates shall be subject to compliance with the provisions of SEBI (Foreign Portfolio Investors) Regulations, 2019, SEBI (Custodian) Regulations, 1996 and other applicable SEBI circulars on ETCs.
- e) Stock Exchanges/Clearing Corporations may specify additional safeguards/conditions, as deemed fit, to manage risk and ensure orderly trading in ETCs.

Reference: Circular No. [NSDL/POLICY/DDP/2022/0005](https://www.nsdl.com/Policy/DDP/2022/0005) dated October 6, 2022 available on [NSDL website](https://www.nsdl.com).

5. **Modification in the Operational Guidelines for FPIs, DDPs and EFIs pertaining to FPIs registered under Multiple Investment Managers (MIM) structure**

SEBI has issued a circular regarding modification in the existing clause (i) of Para 4 of Part A of the Operational Guidelines which is replaced with the following:

"Where an entity engages multiple investment managers (MIM) for managing its investments, the entity can obtain multiple FPI registrations mentioning name of Investment Manager for each such registration. Such applicants can appoint different DDPs for each such registration. Investments made under such multiple registrations shall be clubbed for the purposes of monitoring of investment limits"

DDPs and Custodians are requested to take note of the same and ensure compliance.

Reference: Circular No. [NSDL/POLICY/DDP/2022/0004](https://www.nsdl.com/Policy/DDP/2022/0004) dated October 6, 2022 available on [NSDL website](https://www.nsdl.com).

News

6. **Amendments to Bye Laws and Business Rules of NSDL - Operational Guidelines for Demat of securities received for processing investor service requests.**

Participants are requested to note that amendments have been made in the Bye Laws and Business Rules of NSDL to facilitate acceptance by the Participant of letter of confirmation issued by the Issuer/RTA in lieu of original security certificates w.r.t below:

- a) Amendment to Bye-law 9.2 DEMATERIALISATION
- b) Inserted new Business Rule 11.1.18 under rule 11 WITHDRAWAL AND DEPOSIT OF SECURITIES TO/ FROM A CLIENT ACCOUNT

Reference: Circular No. [NSDL/POLICY/2022/138](#) dated October 4, 2022 available on [NSDL website](#).

7. **Internal and Concurrent Audit of depository operations**

Participants are requested to note the modifications done in the guidelines related to scope of the audit and format of the audit report as provided in Circular no. NSDL/POLICY/2022/049 dated April 01, 2022 for Internal and Concurrent Audit of depository operations

- Audit should cover all facets of the depository operations. Auditor may expand the scope of audit / add more audit points to achieve the objectives as prescribed. Participants are advised to extend full co-operation to their auditors to enable them to perform an effective audit. All circulars / guidelines issued by NSDL / SEBI from time to time and other information / records desired by the auditors should be made available to them within a reasonable time.
- Participants are required to forward the audit report as per schedule given below to NSDL.

Audit Period	Due date for submission of report to NSDL
April 1 to September 30	November 15
October 1 to March 31	May 15

- Participants which remain operational for less than three months in an audit period can submit audit report for that audit period along with the audit report for next audit period. For example, if a Participant is made operational by NSDL on January 01, 2022, then it can submit first audit report for period January 01, 2022 – September 30, 2022.

Reference: Further details about this is mentioned in Circular No. [NSDL/POLICY/2022/136](#) dated October 3, 2022 available on [NSDL website](#).

Forthcoming Investor Awareness Programs

NSDL conducts Investor Awareness Programs (IAPs) to help investors to be aware of different aspects of investing. These programs are conducted on different topics of interest to investors and in different languages. During October 2022, NSDL organized / participated in 134 programs. More than 11500 investors attended these programs.

We shall be happy to conduct awareness program for your employees, staff, students or members. Please write to us at info@nsdl.co.in if you want any such program to be conducted.

More the education, more the prudence.

News

NSDL newsletter – The Financial Kaleidoscope

NSDL publishes a newsletter 'The Financial Kaleidoscope' in Hindi (हिंदी) and English for investor community. It aims to provide basic, yet useful information on different aspects of investment and various services related to demat account. This newsletter is published online and also sent through email. You may subscribe to this newsletter at <https://nsdl.co.in/e-newsletter.php> and read all previous editions of newsletter at <https://nsdl.co.in/publications/nest.php>.

NSDL on Social Media

NSDL is now present on LinkedIn, Twitter, Facebook, YouTube and Instagram. We invite all our esteemed readers to join us. Here are the links -



- LinkedIn - <https://www.linkedin.com/company/national-securities-depository-limited>
- Twitter - https://twitter.com/NSDL_Depository
- Facebook - <https://www.facebook.com/NSDL-National-Securities-Depository-Limited-101492279013266>
- You Tube - <https://www.youtube.com/channel/UCsPugSFDh7OWh7Lvm5SR7kA>
- Instagram - https://www.instagram.com/nsdl_india

Corporate Action Statistics during October 2022

Sr. No.	Description	During October 2022		For F.Y. 2022 - 23 (Upto October 2022)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	8,08,259	18,52,53,62,926	87,63,260	2,31,75,72,58,858
2	Preference Shares	135	7,35,97,05,629	5,154	48,29,18,26,432
3	Bonds	28,126	3,02,54,15,412	84,757	13,13,76,10,857
4	Commercial Papers	518	14,65,440	4,893	1,60,09,177
5	Certificates of Deposit	259	10,91,400	1,868	70,31,250
6	Securitized Instruments	90	19,10,35,20,065	768	1,28,37,66,44,684
7	Mutual Fund Units	4,45,311	63,70,20,293	24,16,809	6,07,78,81,763
8	Government Securities	2,186	7,24,47,059	62,890	27,99,58,861
9	Warrants	96	28,05,99,239	311	71,99,02,785
10	Mutual Fund Units (Trase)	88,083	56,06,07,415	6,33,495	5,08,78,77,911
11	Infrastructure Investment Trust	33	26,39,30,400	57	82,42,69,685
12	Alternative Investment Fund	2	28,06,978	6	33,76,103
13	Rights Entitlements	6,86,074	2,10,55,82,025	8,58,628	3,24,88,61,573
	Total	20,59,172	51,93,95,54,281	1,28,32,896	4,37,82,85,09,939

Performance Summary during October 2022

Investors	
Month - Year	Client Accounts
	No. of Accounts (Lakh)
October - 2020	205.85
October - 2021	242.04
October - 2022	295.58

Participants			
Month-Year	No. of Participants	Service Centres	Cities / Towns
October - 2020	278	31,241	1,956
October - 2021	277	36,114	1,977
October - 2022	279	58,519	2,035

Dematerialisation		
Month-Year	No. of Dematerialised Securities (Crore)	Value of Dematerialised Securities (₹ Crore)
October - 2020	2,37,143	1,99,89,148
October - 2021	2,68,138	2,94,81,979
October - 2022	3,10,540	3,20,09,992

Settlement		
Month-Year	Quantity of Shares settled in Dematerialized Form (Crore)	Value of Shares settled in Dematerialized Form (₹ Crore)
October - 2020	1,086	2,78,207
October - 2021	1,810	5,32,336
October - 2022	1,293	3,86,451

COMPANIES

Equity	
Month - Year	No. of Companies Operational
October - 2020	32,285
October - 2021	36,081
October - 2022	39,494

Debentures/Bonds			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
October - 2020	2,544	14,868	33,40,443
October - 2021	3,398	18,977	38,67,420
October - 2022	3,693	20,188	39,56,568

Securitised Instruments			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
October - 2020	2,382	2,932	2,75,704
October - 2021	2,871	3,545	3,03,130
October - 2022	2,979	3,706	3,09,553

Commercial Paper			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
October - 2020	250	1,124	3,79,586
October - 2021	328	1,814	5,16,360
October - 2022	294	1,678	4,89,845

Certificates of Deposit			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
October - 2020	24	171	1,03,840
October - 2021	21	130	77,225
October - 2022	31	281	3,06,673

List of Companies which changed Name during October 2022

Sr. No.	Old Name	New Name
1	Advanta Enterprises Private Limited	Advanta Enterprises Limited
2	AI Pharmed Consultancy India Private Limited	Cohance Lifesciences Limited
3	Amani Trading and Exports Limited	EFC (I) Limited
4	Avenues Payments India Private Limited	Fable Fintech Private Limited
5	Birla Management Centre Services Limited	Birla Management Centre Services Private Limited
6	Debock Sales and Marketing Limited	Debock Industries Limited
7	Dynamic Industries Limited	Dynamic Industries Private Limited
8	Edelweiss Broking Limited	Nuvama Wealth and Investment Limited
9	Geeyes Family Holdings Private Limited	TVS Investments Private Limited
10	GMR Infrastructure Limited	GMR Airports Infrastructure Limited
11	HH Interior & Auto Components Limited	HH Interior & Auto Components Private Limited
12	Impactum Land and Development Private Limited	Lodha Impactum Land and Development Private Limited
13	Innokaiz India Private Limited	Innokaiz India Limited
14	JSG Leasing Limited	Colab Cloud Platforms Limited
15	Kidderpore Holdings Limited	Supremus Lower Parel Premises Limited
16	Malik Polychem Limited	Malik Polychem Private Limited
17	Medics International Lifesciences Limited	Apollomedics International Lifesciences Limited
18	Omkar Realtors Buildwell Private Limited	Techwin Realtors Buildwell Private Limited
19	Organic Recycling Systems Private Limited	Organic Recycling Systems Limited
20	Rajkumar Forge Limited	Krishanveer Forge Limited
21	Robust Hotels Private Limited	Robust Hotels Limited
22	SBFC Finance Private Limited	SBFC Finance Limited
23	Shapoorji Pallonji Construction Private Limited	Shapoorji Pallonji Real Estate Private Limited
24	Shapoorji Pallonji Forbes Shipping Limited	Shapoorji Pallonji Forbes Shipping Private Limited
25	Skael Enterprise Limited	Skael Enterprise Private Limited
26	SVP Global Ventures Limited	SVP Global Textiles Limited
27	Usha Financial Services Private Limited	Usha Financial Services Limited

Forthcoming Compliance

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By the 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15 th every year	Through e-PASS	Circular No.: NSDL/POLICY/2022/136 dated October 03, 2022



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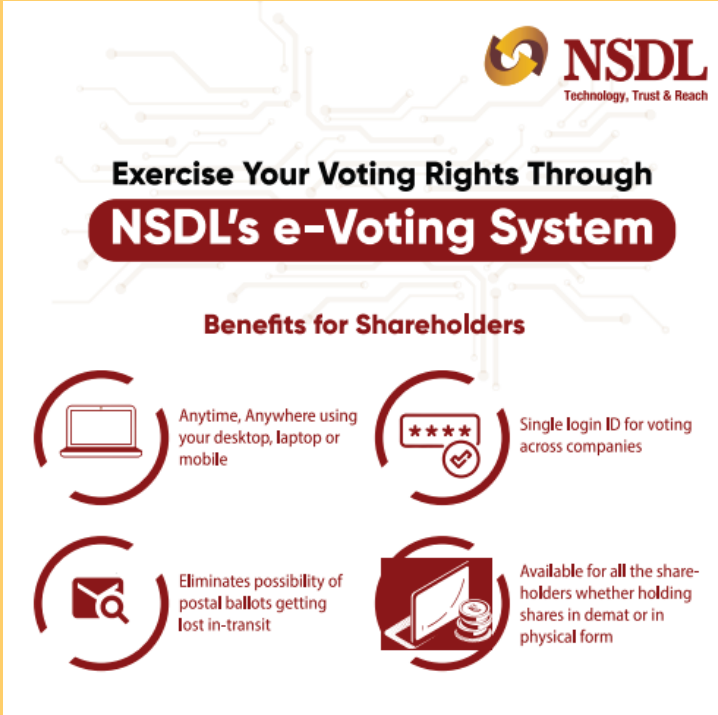
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-  Available for all the shareholders whether holding shares in demat or in physical form

NSDL Head Office

Trade World, A wing, 4th Floor, Kamala Mills Compound, Lower Parel, Mumbai - 400013
1800 1020 990 / 1800 224 430

Branch Offices

● Ahmedabad ● Bengaluru ● Chennai ● Hyderabad ● Jaipur ● Kochi ● Kolkata ● Lucknow ● New Delhi

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