

News

1. NSDL celebrated World Investor Week 2022 under the aegis of IOSCO & SEBI

NSDL celebrated 'World Investor Week' (WIW) from October 10 -16, 2022 under the aegis of IOSCO and SEBI. As part of the celebration, NSDL conducted various Investor Awareness programs for Indian Army, Railway officials, Fire officials, police personnel, employees of Corporates, students, investors, etc. to educate them about the about financial markets.

NSDL also released a series of educative videos, Did You Know & informative creatives, quiz contests on its Social Media platform and much more to make investors **"Surakshit, Samajhdar aur Atmanirbhar Niveshak"**.

2. Validation of Instructions for Pay-In of Securities from Client Demat account to Trading Member (TM) Pool Account against obligations received from the Clearing Corporations

In regard to regarding Block Mechanism in demat account of clients undertaking sale transactions, SEBI has issued directives on validation of instructions for Pay-In of securities from Client demat account to Trading Member (TM) Pool Account against obligations received from the Clearing Corporations.

Reference: Circular No. [NSDL/POLICY/2022/132](https://www.nsdl.co.in/Policy/2022/132) dated September 22, 2022 available on [NSDL website](https://www.nsdl.co.in/).

Performance Summary			
Particulars	As on July 31, 2022	As on August 31, 2022	As on September 30, 2022
Investor Accounts	2,84,31,848	2,88,59,976	2,92,55,378
Participants	278	278	278
Participant service centres	57,262	57,489	58,515
Demat Custody Value	₹ 306.72 Lakh Crore	₹ 319.65 Lakh Crore	₹ 312.57 Lakh Crore
Settlement Value	₹ 3.50 Lakh Crore	₹ 4.28 Lakh Crore	₹ 5.02 Lakh Crore
Companies available for demat	38,801	38,989	39,281
Number of Instruments			
Certificates of Deposit	274	265	272
Commercial Paper	1,649	1,738	1,670
Debentures/Bonds	19,593	19,747	20,001
Securitised Instruments	3,612	3,598	3,640

3. Charges to Clearing Members (CMs) in respect of NSDL SPICE facility

NSDL will levy the following charges to CMs in respect of SPICE functionalities viz., SPICE-POA, SPICE-eDIS, SPICE-Margin Pledge & SPICE-DDPI as per slabs mentioned below on a quarterly basis, applicable from **October 1, 2022**. The said charges will be levied on a Quarterly basis (in advance) and included under miscellaneous head in the monthly DP bill of **September, 2022** (for period viz., October 1, 2022 to December 31, 2022). Further, Participants are informed that a new billing head i.e. “**SF**” (*for SPICE Facility*) will be added in DP bill. The separate detailed bill file will be provided to DPs on NSDL i-Assist portal.

No. of instructions in a previous quarter	Amount (in ₹)
=1 and <= 4,999	₹2,000
>= 5,000 and <= 49,999	₹3,000
>= 50,000 and <= 99,999	₹4,000
>= 1,00,000 and <= 2,99,999	₹5,000
>= 3,00,000 and <= 9,99,999	₹7,500
>=10,00,000	₹10,000

Participants are requested to take note of the same and inform their Clients viz., TM and CM suitably.

Reference: Circular Nos. [NSDL/POLICY/2022/129](#) dated September 20, 2022 & [NSDL/POLICY/2022/118](#) dated August 25, 2022 available on [NSDL website](#).

4. Incorporation of new freeze reason code in DPM system

NSDL has introduced following new freeze reason code in DPM system.

Freeze Reason Code	Freeze Reason Description
38	Trading Window Closure Period

The aforesaid freeze reason code can be executed only by NSDL and is for display /view for Participants. The aforesaid freeze reason code will not be displayed to Participants in list of freeze reason codes available under freeze execution module in depository system for executing the freezes. Participants are advised to make necessary changes in their static data code in respect of the back-office interface with DPM system.

Reference: Circular No. [NSDL/POLICY/2022/125](#) dated September 7, 2022 available on [NSDL website](#).

5. Corrigendum to Master Circular for Depositories dated February 05, 2021 on Opening of demat account in case of HUF

SEBI has issued a circular regarding Corrigendum to Master Circular for Depositories dated February 05, 2021 on opening of demat account in case of HUF which shall be replaced with the following:

"In the event of death of Karta of HUF, the name of the deceased Karta in the Beneficial Owner (BO) account shall be replaced by the new Karta of the HUF who in such a case shall be eldest coparcener in the HUF or a coparcener who is appointed as Karta by an agreement reached amongst all the coparceners of the HUF"

Reference: Circular No. [NSDL/POLICY/2022/123](#) dated September 1, 2022 available on [NSDL website](#).

6. Investor Grievance Redressal mechanism - Escalation Matrix

To facilitate investor awareness about various activities, SEBI, in consultation with the market participants, has prepared an Investor Charter for Depository Participants (DPs) inter-alia detailing the services provided to Investors, rights of investors, various activities of Participants with timelines, DOs and DON'Ts for Investors and Grievance Redressal Mechanism. Participants were advised to publish Investor Charter and disclose the Investor Complaints on their respective websites. SEBI has advised to take the prescribed measures to further strengthen the process of handling Investors Grievances.

Reference: Circular No. [NSDL/POLICY/2022/122](#) dated September 1, 2022 available on [NSDL website](#).

7. Charges in respect of Margin Trading Funding Pledges

Participants are requested to note that as clarified by SEBI, *"No re-pledge shall be permitted from*

CSMA account, however, depositories may use the same OTP mechanism for validating margin funding transaction by client as used for margin pledge."

The aforesaid revised charges will be incorporated in the monthly DP billing in respect of MTF pledges effective from **October 1, 2022**.

Margin Trading Funding	Charges
Margin Trading Funding Pledges (For creation of Margin trading Funding pledge. No fee for closing/invoking pledge)	₹ 25/- per instruction

Reference: Circular No. [NSDL/POLICY/2022/121](#) dated August 31, 2022 available on [NSDL website](#).

8. Block Mechanism in demat account of clients undertaking sale transactions

SEBI has introduced block mechanism in the demat account of clients undertaking sale transactions, for ease of operations in Early Pay-in mechanism. The facility of block mechanism shall be mandatory for all Early Pay-In transactions with effect from **November 14, 2022**. Participants are requested to ensure compliance.

Reference: Circular No. [NSDL/POLICY/2022/117](https://www.nsdl.co.in/Policy/2022/117) dated August 24, 2022 available on [NSDL website](https://www.nsdl.co.in).

9. KYC reconfirmation of Sikkim based demat accounts

As informed by SEBI, Participants are advised to follow below mentioned procedure for KYC reconfirmation of existing and new Sikkim based demat accounts.

a) Process to be followed w. r. t. existing Sikkim based demat accounts opened till June 30, 2022:

Participants are advised to carry out KYC re-confirmation (i.e., verification of existing KYC documents available in Participants record with the details captured by Participants in the depository system) for all the existing Sikkim based demat accounts [active/suspended] as on June 30, 2022, having correspondence or permanent address of Sikkim based on first holder's address (irrespective of whether PAN has been submitted by account holder or not) either by the existing appointed Concurrent Auditor or by an Auditor appointed by the Participants, which could be any qualified Chartered Accountant or a Company Secretary or a Cost and Management Accountant holding a Certificate of Practice and submit the Auditor's certification confirming the completeness (as per the guidelines) of accepted/available KYC details to NSDL in the prescribed format and shall be submitted by Participants to NSDL at NSDL-BP-INSPECTION@nsdl.co.in with 'Report for KYC reconfirmation for the Sikkim based clients (DP ID. INXXXXXX)', as the subject of the email communication not later than September 15, 2022.

b) Process to be followed w. r. t. Newly onboarded Sikkim based demat accounts:

With respect to newly onboarded Sikkim based demat accounts (i.e. demat account opened on or after July 1, 2022); Participants will have to get all the demat accounts audited by their Auditor. In case of any adverse observations by the Auditors, Participants shall freeze the Demat account/s (suspended for debit) of such clients under freeze reason code (08) 'KYC non-compliant' and inform the client to rectify the discrepancy. Such demat account will be activated by Participant only after KYC compliance by client and get it audited from Auditor. In case the discrepancies/ error is on the part of Participant, then it will have to be rectified by the Participant at the earliest.

Reference: Circular No. [NSDL/POLICY/2022/114](https://www.nsdl.co.in/Policy/2022/114) dated August 11, 2022 available on [NSDL website](https://www.nsdl.co.in).

10. Procedure for opening 'Suspense Escrow Demat Account' by Issuer Company

Issuer Companies are required to open a separate demat account for dealing with unclaimed securities i.e. securities which could not be allotted to the rightful holder(s) due to insufficient/incorrect information or any other reason.

In this context, for dealing with such unclaimed securities, Issuer is required:-

- a) For securities in demat form, the unclaimed securities shall be credited to a demat suspense account of the Company opened by the Issuer for this purpose.
- b) For securities in physical form, the issuer shall dematerialize the unclaimed securities in the Unclaimed Suspense Account of the Company.
- c) In case the securities holder/claimant fails to submit the demat request within the prescribed period of receiving the Letter of Confirmation on processing of Investor Service Requests, Issuer Companies shall credit the securities to the Suspense Escrow Demat Account of the Company.
- d) For issue and credit of Right Entitlements (REs) in dematerialized mode, if the demat account of a shareholder is frozen or demat account details are not available, including shares held in unclaimed suspense account or in the account of IEPF Authority, then REs shall be credited in a Suspense Escrow Demat Account of the Company.
- e) For securities issued pursuant to the scheme of arrangement, physical or otherwise, which remain unclaimed, Company shall credit the securities in Escrow/Suspense demat account.
- f) Issuers who are unable to allocate securities to the shareholders in dematerialized form where such shareholders are holding shares in physical form and their demat details are not available.

Reference: Circular No. [NSDL/POLICY/2022/113](#) dated August 11, 2022 available on [NSDL website](#).

11. Naming/Tagging of demat accounts maintained by Stock Brokers

Participants are advised to open the demat account of Stock Broker in the following categories only as mentioned in NSDL Circular dated June 29, 2022:

Sr. No.	Demat Account Category	Purpose of Demat Account	Permitted type-subtype in NSDL system	
			Type	Sub-type
1.	Proprietary Account	Hold Own Securities	Resident/ Body Corporate	Stock Broker - Proprietary
2.	Pool account	Settlement Purpose	CM	-
3.	Client Unpaid Securities Account	Hold Unpaid Securities of Clients	CM	-
4.	Client Securities Margin Pledge Account	For Margin obligations to be given by way of Pledge/ Re-pledge	Stock Broker (Corporate/ Individual) /PCM	TM – Client Securities Margin Pledge Account CM – Client Securities Margin Pledge Account TM/CM – Client Securities Margin Pledge Account
5.	Client Securities under Margin Funding Account	Hold funded securities in respect of margin funding	Stock Broker (Corporate)	TM - Client Securities under Margin Funding Account

Further, please note that the above circular shall not be applicable for the demat accounts which are used exclusively for banking activities by stock brokers which are also banks. However, Participants are advised to open those demat accounts under Bank category.

Reference: Circular No. [NSDL/POLICY/2022/112](#) dated August 11, 2022 available on [NSDL website](#).

12. Framework for restricting trading by Designated Persons (“DPs”) by freezing PAN at security level

As informed by SEBI, all Issuers/R&T Agents are advised to ensure compliance on the Framework for restricting trading by Designated Persons (“DPs”) by freezing PAN at security level.

Reference: Circular No. [NSDL/CIR/II/25/2022](#) dated August 10, 2022 available on [NSDL website](#).

13. Framework for automated deactivation of trading and demat accounts in cases of inadequate KYCs

Participants are requested to ensure compliance with the framework for automated deactivation of trading and demat accounts in cases of inadequate KYCs. Further, Participants are advised to ensure updation of KYC records at regular intervals as per the extant norms and this framework shall be in addition to and not in derogation of any Circular issued by SEBI or NSDL with respect to KYC requirements.

Further, in case of the Show Cause Notice (SCN) / Order issued by SEBI remains unserved, Depositories and Participants will have to follow a prescribed framework to deliver it to the Noticee / Addressee.

Reference: Circular Nos. [NSDL/POLICY/2022/126](#) dated September 9, 2022 and [NSDL/POLICY/2022/110](#) dated August 4, 2022 available on [NSDL website](#).

14. Implementation of SEBI Circular on 'Guidelines in pursuance of amendment to SEBI KYC (Know Your client) Registration Agency (KRA) Regulations, 2011.

Considering the KRA's request for extension of the timeline for applicability of the said clauses, it has been decided that:

- KYC records of all existing clients (who have used Aadhaar as an OVD) shall be validated within a period of 180 days from November 01, 2022.
- The validation of all KYC records (new and existing) shall commence from November 01, 2022

Reference: Circular No. [NSDL/POLICY/2022/106](#) dated July 28, 2022 available on [NSDL website](#).

15. CERT-IN Advisory on Preventing Data Breaches / Data leaks

Participants are hereby advised to maintain adherence to CERT-IN advisory CIAD-2021-0004 and to have an approved Data Leak Policy (DLP) and solution to monitor and prevent data leakage.

Also, as part of Participants Systems Audit, the auditor shall review the following:

- Whether comprehensive Data Leak Policy is in place.
 - Whether Data Leak solution is in place.
 - Exception reporting and escalation mechanism is in place.

- Whether incidents related to data breaches / data leaks are reported in timely manner to CERT-IN, SEBI and NSDL.

Reference: Circular No. [NSDL/POLICY/2022/105](#) dated July 28, 2022 available on [NSDL website](#).

16. NSDL's various investor centric initiatives - Dos and Don'ts for investors / demat account holders

For the safety of investors and make them prudent, NSDL has regularly launched various investor centric initiatives viz.; NSDL SPEED-e Mobile App, eServices- SPEED-e, IDeAS; SMS facility, Online update of opt-in / opt-out nomination, Online update of email / income range, Registering for eCAS and Dos and Don'ts for investors while operating their demat accounts to provide the highest standards of investor education, investor awareness and timely services so as to enhance Investor Protection and create awareness about Investor Rights.

Reference: For more information on aforementioned NSDL products & services, refer Circular No. [NSDL/POLICY/2022/104](#) dated July 25, 2022 available on [NSDL website](#).

17. Levy of Goods & Services Tax (GST) on the fees payable to SEBI

SEBI has issued a circular regarding Levy of Goods & Services Tax (GST) on the fees payable to SEBI and informed that the GST Council in its meeting held on June 28 and June 29, 2022, recommended inter alia to withdraw the exemption granted to services by SEBI.

Participants may take a note that they shall pay GST @ 18% on the fees payable to SEBI as a Participant from July 18, 2022 onwards.

Reference: Circular No. [NSDL/POLICY/2022/101](#) dated July 18, 2022 available on [NSDL website](#).

18. Facility for Conversion / modification of Sub-Type of existing demat accounts of Stock Brokers

NSDL has developed a recategorization utility which facilitates Participants to change Stock Brokers demat accounts opened under the type 'Resident' as mentioned in below table:

Existing		New Type/Sub-type Change	
Client Type	Sub Type	Client Type	Sub Type
Resident	Ordinary	Resident	Stock Broker – Proprietary
Resident	Ordinary DR	Resident	Stock Broker – Proprietary
Resident	Stock Broker – Collateral	Resident	Stock Broker – Proprietary

Through this 'Recategorization utility', Participant will also be able to convert/modify Sub-type of existing demat accounts of Stock Brokers opened under type 'Body Corporate' (available in screen based facility in DPM system) and accounts opened under the sub type as mentioned in below table:

Existing		New Type/Sub-type Change	
Client Type	Sub Type	Client Type	Sub Type
Body Corporate	Domestic-Promoter	Body Corporate	Stock Broker – Proprietary
Body Corporate	Stock Broker – Collateral	Body Corporate	Stock Broker – Proprietary

The 'Recategorization utility' has been made available on NSDL Intranet site i.e. i-Assist under 'Utilities' menu.

For "removal of restrictions in stock broker demat account" and for accounts of Stock Brokers opened under other than type/sub-type, Participant are requested to follow the given procedure mentioned in this circular.

Reference: Circular No. [NSDL/POLICY/2022/100](#) dated July 15, 2022 available on [NSDL website](#).

19. **Investor Charter**

To make the Indian securities market more Transparent, Efficient and Investor friendly by providing safe, reliable, transparent and trusted record keeping platform for investors to hold and transfer securities in dematerialized form, an Investor Charter is framed. Demat account holders can read the Investor Charter at https://nsdl.co.in/publications/investor_charter.php.

Forthcoming Investor Awareness Programs

NSDL conducts Investor Awareness Programs (IAPs) to help investors to be aware of different aspects of investing. These programs are conducted on different topics of interest to investors and in different languages. During July 2022 to September 2022, NSDL organized / participated in 155 programs. More than 12,000 investors attended these programs.

We shall be happy to conduct awareness program for your employees, staff, students or members. Please write to us at info@nsdl.co.in if you want any such program to be conducted.

More the education, more the prudence.

NSDL newsletter – The Financial Kaleidoscope

NSDL publishes a newsletter 'The Financial Kaleidoscope' in Hindi (हिंदी) and English for investor community. It aims to provide basic, yet useful information on different aspects of investment and various services related to demat account. This newsletter is published online and also sent through email. You may subscribe to this newsletter at <https://nsdl.co.in/e-newsletter.php> and read all previous editions of newsletter at <https://nsdl.co.in/publications/nest.php>.

NSDL on Social Media

NSDL is now present on LinkedIn, Twitter, Facebook and YouTube. We invite all our esteemed readers to join us. Here are the links – (pls add Instagram link also)



LinkedIn - <https://www.linkedin.com/company/national-securities-depository-limited>

Twitter - https://twitter.com/NSDL_Depository

Facebook - <https://www.facebook.com/NSDL-National-Securities-Depository-Limited101492279013266>

You Tube - <https://www.youtube.com/channel/UCsPugSFDh7OWh7Lvm5SR7kA>

Instagram - https://www.instagram.com/nsdl_india

Corporate Action Statistics during July 2022

Sr.No.	Description	During July 2022		For F.Y. 2022 - 23 (Upto July 2022)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	21,87,450	59,12,20,95,715	53,55,011	1,67,15,16,57,669
2	Preference Shares	171	1,26,85,58,302	2,770	24,42,98,93,801
3	Bonds	6,577	1,65,25,87,159	40,425	6,12,74,04,012
4	Commercial Papers	608	18,87,587	2,678	87,73,861
5	Certificates of Deposit	260	9,86,800	876	37,21,300
6	Securitized Instruments	98	25,96,75,22,330	473	78,33,96,73,381
7	Mutual Fund Units	3,16,798	89,24,35,345	12,34,288	2,65,77,99,731
8	Government Securities	1,697	4,98,15,170	28,003	8,89,86,434
9	Warrants	23	2,71,89,986	133	19,04,72,227
10	Mutual Fund Units (Trase)	83,067	51,53,28,596	3,31,830	3,05,31,53,248
11	Infrastructure Investment Trust	0	0	15	12,48,04,311
12	Alternative Investment Fund	0	0	2	19,125
13	Rights Entitlements	42,525	24,34,71,649	1,40,623	75,94,45,392
	Total	26,39,274	89,74,18,78,639	71,37,127	2,82,93,58,04,492

Corporate Action Statistics during August 2022

Sr.No.	Description	During August 2022		For F.Y. 2022 - 23 (Upto Aug 22)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	5,71,090	18,49,92,81,280	59,26,101	1,85,65,09,38,949
2	Preference Shares	100	13,64,93,66,594	2,870	38,07,92,60,395
3	Bonds	3,618	2,32,23,75,927	44,043	8,44,97,79,939
4	Commercial Papers	904	30,04,295	3,582	1,17,78,156
5	Certificate Of Deposits	414	12,43,250	1,290	49,64,550
6	Securitized Instruments	96	9,14,67,51,092	569	87,48,64,24,473
7	Mutual Fund Units	3,92,399	56,14,92,098	16,26,687	3,21,92,91,829
8	Government Securities	2,267	6,08,73,138	30,270	14,98,59,572
9	Warrants	23	2,08,19,753	156	21,12,91,980
10	Mutual Fund Units (Trase)	97,768	68,62,06,056	4,29,598	3,73,93,59,304
11	Infrastructure Investment Trust	7	41,55,00,000	22	54,03,04,311
12	Alternative Investment Fund	0	0	2	19,125
13	Rights Entitlements	20,093	35,58,75,413	1,60,716	1,11,53,20,805
	Total	10,88,779	45,72,27,88,896	82,25,906	3,28,65,85,93,388

Corporate Action Statistics during September 2022

Sr.No.	Description	During September 2022		For F.Y. 2022 - 23 (Upto Sep 2022)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	20,28,900	27,58,09,56,983	79,55,001	2,13,23,18,95,932
2	Preference Shares	2,149	2,85,28,60,408	5,019	40,93,21,20,803
3	Bonds	12,588	1,66,24,15,506	56,631	10,11,21,95,445
4	Commercial Papers	793	27,65,581	4,375	1,45,43,737
5	Certificates of Deposit	319	9,75,300	1,609	59,39,850
6	Securitized Instruments	109	21,78,67,00,146	678	1,09,27,31,24,619
7	Mutual Fund Units	3,44,811	2,22,15,69,641	19,71,498	5,44,08,61,470
8	Government Securities	30,434	5,76,52,230	60,704	20,75,11,802
9	Warrants	59	22,80,11,566	215	43,93,03,546
10	Mutual Fund Units (Trase)	1,15,814	78,79,11,192	5,45,412	4,52,72,70,496
11	Infrastructure Investment Trust	2	2,00,34,974	24	56,03,39,285
12	Alternative Investment Fund	2	5,50,000	4	5,69,125
13	Rights Entitlements	11,838	2,79,58,743	1,72,554	1,14,32,79,548
	Total	25,47,818	57,23,03,62,270	1,07,73,724	3,85,88,89,55,658

Performance Summary – July 2022

Investors	
Month - Year	No. of Accounts (Lakh)
Jul – 20	201.54
Jul – 21	228.82
Jul – 22	284.31

Participants			
Month-Year	No. of Participants	Service Centres	Cities / Towns
Jul – 20	278	31,024	1,936
Jul – 21	278	36,117	1,984
Jul – 22	278	57,262	2,035

Dematerialisation		
Month-Year	No. of Dematerialised Securities (Crore)	Value of Dematerialised Securities (₹ Crore)
Jul – 20	2,28,335	1,90,11,717
Jul – 21	2,62,899	2,72,80,775
Jul – 22	2,98,279	3,06,72,415

Settlement		
Month-Year	Quantity of Shares settled in Dematerialized Form (Crore)	Value of Shares settled in Dematerialized Form (₹ Crore)
Jul – 20	1,597	2,95,801
Jul – 21	1,858	3,96,246
Jul – 22	1,028	3,50,344

COMPANIES

Equity	
Month - Year	No. of Companies Operational
Jul – 20	30,802
Jul – 21	35,204
Jul – 22	38,801

Debentures/Bonds			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Jul – 20	2,427	14,510	32,43,962
Jul – 21	3298	18,799	37,57,649
Jul – 22	3624	19593	38,87,380

Securitised Instruments			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Jul – 20	2,322	2,882	2,78,022
Jul – 21	2,874	3,536	3,05,303
Jul – 22	2,939	3,612	3,08,267

Commercial Paper			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Jul – 20	251	1,121	3,94,334
Jul – 21	319	1,732	6,11,083
Jul – 22	296	1,649	4,32,605

Certificates of Deposit			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Jul – 20	25	228	1,39,030
Jul – 21	22	141	83,745
Jul – 22	27	274	3,07,750

Performance Summary – August 2022

Investors	
Month - Year	No. of Accounts (Lakh)
Aug – 20	202.94
Aug – 21	232.92
Aug – 22	288.59

Participants			
Month-Year	No. of Participants	Service Centres	Cities / Towns
Aug – 20	277	31,065	1,941
Aug – 21	278	36,133	1,984
Aug – 22	278	57,489	2,035

Dematerialisation		
Month-Year	No. of Dematerialised Securities (Crore)	Value of Dematerialised Securities (₹ Crore)
Aug – 20	2,30,144	1,96,10,999
Aug – 21	2,65,511	2,87,38,628
Aug – 22	3,00,741	3,19,65,844

Settlement		
Month-Year	Quantity of Shares settled in Dematerialized Form (Crore)	Value of Shares settled in Dematerialized Form (₹ Crore)
Aug – 20	1,601	3,05,437
Aug – 21	1,646	4,38,306
Aug – 22	1,580	4,28,852

COMPANIES

Equity	
Month - Year	No. of Companies Operational
Aug – 20	31,125
Aug – 21	35,549
Aug – 22	38,989

Debentures/Bonds			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Aug – 20	2,475	14,659	32,85,296
Aug – 21	3,322	18,801	37,79,448
Aug – 22	3,641	19,747	38,89,997

Securitised Instruments			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Aug – 20	2,371	2,944	2,77,579
Aug – 21	2,855	3,544	3,01,501
Aug – 22	2,912	3,598	1,69,757

Commercial Paper			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Aug – 20	259	1,137	3,76,313
Aug – 21	322	1,784	5,88,876
Aug – 22	296	1,738	4,90,406

Certificates of Deposit			
Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Aug – 20	23	202	1,19,710
Aug – 21	22	138	83,240
Aug – 22	28	265	2,84,573

Performance Summary – September 2022

Investors	
Month - Year	No. of Accounts (Lakh)
Sep – 20	204.56
Sep – 21	237.55
Sep – 22	292.55

Participants			
Month-Year	No. of Participants	Service Centres	Cities / Towns
Sep – 20	277	31,221	1,955
Sep – 21	276	36,096	1,982
Sep – 22	278	58,515	2,035

Dematerialisation		
Month-Year	No. of Dematerialised Securities (Crore)	Value of Dematerialised Securities (₹ Crore)
Sep – 20	2,33,089	1,97,70,288
Sep – 21	2,68,795	2,95,91,816
Sep – 22	3,06,008	3,12,57,033

Settlement		
Month-Year	Quantity of Shares settled in Dematerialized Form (Crore)	Value of Shares settled in Dematerialized Form (₹ Crore)
Sep – 20	1,446	3,36,732
Sep – 21	1,649	4,91,742
Sep – 22	1,771	5,02,572

COMPANIES

Equity	
Month - Year	No. of Companies Operational
Sep – 20	31,800
Sep – 21	35,847
Sep – 22	39,281

Debentures/Bonds

Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Sep – 20	2,471	14,683	33,04,688
Sep – 21	3,349	18,815	38,54,869
Sep – 22	3,652	20,001	39,34,471

Securitised Instruments

Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Sep – 20	2,367	2,947	2,76,373
Sep – 21	2,874	3,538	2,98,202
Sep – 22	2,940	3,640	3,06,706

Commercial Paper

Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Sep – 20	260	1,140	4,09,354
Sep – 21	324	1,807	5,12,359
Sep – 22	294	1,670	4,92,982

Certificates of Deposit

Month-Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
Sep – 20	24	184	1,04,870
Sep – 21	21	136	81,500
Sep – 22	28	272	3,01,876

List of Companies which changed Name during July - September 2022

[Click here to Download & Read](#)

Securities admitted for Dematerialisation during July - September 2022

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Forthcoming Compliance

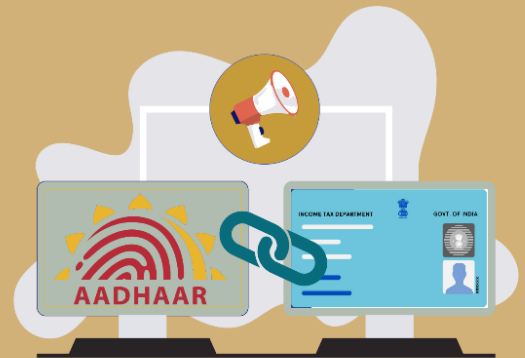
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15 th every year	Through e-PASS	Circular No.: NSDL/POLICY/2022/136 dated October 03, 2022

Link Your Aadhaar to Permanent Account Number (PAN) Before 31st March 2023

PAN not linked to Aadhaar will be considered invalid

How to Link?

Send following SMS to 567678 or 56161 (From any mobile):
UIDPAN <space> Your 12 digit AADHAAR <space> your 10 digit PAN



NSDL Head Office

Trade World, A wing, 4th Floor, Kamala Mills Compound, Lower Parel, Mumbai - 400013
1800 1020 990 / 1800 224 430

Branch Offices

● Ahmedabad ● Bengaluru ● Chennai ● Hyderabad ● Jaipur ● Kochi ● Kolkata ● Lucknow ● New Delhi

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