

News Articles

Changes in reason code for DIS Image Validation System (DIVS)

Participants are required to digitally sign the scanned image of the DIS and post the same to DIVS for validation and affixing of digital signature by NSDL. Participants are permitted to replace the old image of a DIS with a new DIS image under certain exceptional circumstances for which they need to specify the reason.

In this context, a new reason code “06 – Change in DIS based on Participant’s internal review” is added and the reason code “99 – Others” is removed. List of Replacement Reasons along with respective codes is given below -

Replacement Reason Code	Replacement Reason
1	Wrong mapping of DIS image
2	Change in DIS based on the audit observation
3	Change in DIS based on the inspection observation
4	For FAX instruction original DIS is received
5	Scanned image not legible
6	Change in DIS based on Participant’s internal review
99	Others

This was informed to Participants vide Circular No. NSDL/POLICY/2017/0058 dated October 6, 2017.

Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Calendar of Events

Performance Summary

	Investor Accounts	1,64,23,446
	Participants	268
	Participant Service Centres	27,885
	Demat Custody	₹ 1,68,77,973 Cr.
	Settlement	₹ 2,49,089 Cr.
	Companies available for demat	18,992
	Instruments	
	♦ Debentures/Bonds	15,430
	♦ Securitised Instruments	2,102
	♦ Commercial Paper	2,376
	♦ Certificates of Deposit	309

Enhancement of Pledge facility on SPEED-e

Pledge facility on *SPEED-e* has been further enhanced to facilitate the Pledgee (i.e. target demat account holder in whose favour pledge instruction has been submitted in the NSDL depository system) to confirm the pledge instructions through *SPEED-e*. This facility has been made available to e-Token based users whose depository account is registered by their Participants for *SPEED-e* Direct Facility.

This was informed to Participants vide Circular No. NSDL/POLICY/2017/0059 dated October 9, 2017 and is available on NSDL website www.nsd.co.in.

Addition of new reason - Request for modifying incorrect PAN details in i-ASSIST

Participants have been provided with a facility to incorporate reasons for modifying incorrect PAN in i-Assist Intranet site (<https://i-assist>). Based on the feedback received from Participants, following new reason and corresponding code for modification of PAN have now been provided -

Description	Reason code
Merger/ Amalgamation with another entity	8

This was informed to Participants vide Circular No. NSDL/POLICY/2017/0061 dated October 13, 2017 and is available on NSDL website www.nsd.co.in.

Transfer of securities to Multiple Nominees on account of transmission

A facility for multiple nominations had been introduced and the DPM was enhanced to record names of upto three nominees. In case of the death of the account holder, the transmission is required to be carried out in favour of the nominee(s).

The transmission module available in the DPM is being enhanced to facilitate such transfer of securities arising out of the death of the account holder where multiple nomination facility is availed. In the meanwhile i.e. till the transmission module is enhanced, if the Participant is required to effect the transmission, the account transfer module should be used.

In this context, the DPM has been enhanced to facilitate the Participants to record 'TR9999999999' as DIS serial number for execution of account transfer (intra-depository) instructions.

This was informed to Participants vide Circular No. NSDL/POLICY/2017/0064 dated October 23, 2017.

Activation of New Participant(s)

Following Participant has been admitted as Participant of NSDL and made operational during October 2017:

Sr. No.	Depository Participant (DP) Name	DP ID	Location
1	Visual Securities Private Limited	IN304220	Kolkata, West Bengal

This takes the total number of operational Participants to 268 and the total number of operational DPM set-ups to 354.

Training Programme for Participants:

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes in different modules like Depository Operations, Mutual Fund Distributors, Currency Derivatives, Equity Derivatives, Securities Operations, Compliance & Risk Management etc. for eligible associated persons. In October 2017, NSDL conducted seven such training programmes at Chennai, Hyderabad, Indore, Kolkata, Mumbai and New Delhi.

Investor Education initiatives undertaken by NSDL

➤ **Investor Awareness Programmes:**

In order to reach out to investors that are spread across the country to apprise them about the facilities available in NSDL depository system and educate them about financial markets, NSDL conducts various Programmes with Participants, Housing Societies, Institutions like SEBI, NSE, corporates etc. and also participates in various events. During October 2017, NSDL conducted / participated in 23 such programmes / events which were attended by 1,650 investors. Details are mentioned below:

Sr. No.	Particulars	
1	Joint Awareness Programmes with Participants	No. of Programmes
	Sharekhan Limited	7
	CSE Capital Markets Private Limited	3
	ICICI Bank Limited	3
	Jhaveri Securities Limited	3
	Acumen Capital Market (India) Limited	2
	Axis Bank Limited	1
	Kotak Securities Limited	1
	Total Programmes	20

2	Joint Awareness Programmes with other Institutions	No. of Programmes
	Securities and Exchange Board of India	2

3	Training Programmes for Institutes	No. of Programmes
	Participants from The Institute of Chartered Accountants of India (ICAI)	1

List of Companies that have changed Names (During October 2017)

Sr. No.	Old Name	New Name
1	Nagarjuna Agrichem Limited	NACL Industries Limited
2	CRP Technologies (India) Limited	CRP Risk Management Limited
3	Indiabulls Wholesale Services Limited	Soril Holdings And Ventures Limited
4	Jodhpur Pali Expressway Limited	Jodhpur Pali Expressway Private Limited
5	Shillong Expressway Limited	Shillong Expressway Private Limited
6	Welspun Renewables Energy Private Limited	Walwhan Renewable Energy Limited
7	SVC Superchem Limited	SVC Industries Limited
8	Farry Industries Limited	Aneri Fincap Limited
9	Kushal Tradelink Limited	Kushal Limited
10	Kamachi Sponge & Power Corporation Limited	Kamachi Industries Limited
11	Premier Inn India Private Limited	Argon Hotels Private Limited
12	Hughes Escorts Communications Limited	Hughes Communications India Limited
13	Kaarya Facilities And Services Private Limited	Kaarya Facilities And Services Limited
14	Amber Enterprises (India) Private Limited	Amber Enterprises India Limited

Corporate Action Statistics

Sr. No.	Description	During October 2017		For F.Y. 2017 - 18 (Upto October 2017)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	2,154,394	10,193,938,736	12,759,276	82,926,257,102
2	Preference Shares	32	137,256,539	158,170	7,919,875,946
3	Bonds	996	828,907,673	33,744	8,221,651,585
4	Commercial Papers	737	4,817,691	6,576	29,174,002
5	Certificates of Deposit	138	3,172,500	895	20,643,400
6	Securitized Instruments	125	16,916,953,483	713	48,768,838,655
7	Mutual Fund Units	46,397	176,991,098	352,391	1,693,676,661
8	Government Securities	10,932	265,982	34,762	1,004,983
9	Warrants	11	1,630,000	127	157,136,133
10	Mutual Fund Units (Trase)	70,929	329,174,927	479,812	2,574,814,086
11	Infrastructure Investment Trust	0	0	7,943	873,904,448
	Total	2,284,691	28,593,108,629	13,834,409	153,186,977,001

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Oct-15	141.78
Oct-16	151.06
Oct-17	164.23

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Oct-15	269	18,460	1,748
Oct-16	268	27,289	1,941
Oct-17	268	27,885	1,940

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Oct-15	101,720	1,16,84,461
Oct-16	120,888	1,35,85,191
Oct-17	143,149	1,68,77,973

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Oct-15	679	1,58,252
Oct-16	967	2,60,977
Oct-17	973	2,49,089

(Single Side)

EQUITY

Companies

Month - Year	No. of Companies Operational
Oct-15	14,913
Oct-16	16,800
Oct-17	18,992

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-15	1,266	12,374	19,13,743
Oct-16	1,531	14,277	22,06,432
Oct-17	1,890	15,430	26,41,607

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-15	1,080	1,427	1,20,112
Oct-16	1,468	1,916	1,60,741
Oct-17	1,678	2,102	1,91,682

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-15	355	1,995	3,84,736
Oct-16	405	2,367	3,93,952
Oct-17	419	2,376	4,82,616

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-15	35	558	2,25,128
Oct-16	36	616	2,09,185
Oct-17	27	309	1,48,593

Securities admitted for Dematerialisation during October 2017

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Acton Global Private Limited	INE570Y01014	39	Mansion Cable Network Private Limited	INE568Y01018
2	Adani Power (Mundra) Limited	INE513Y01014	40	Mantri Metalics Private Limited	INE466V01011
3	Aeon Learning Private Limited	INE606Y01016	41	Medico Remedies Limited	INE630Y01016
4	Aman Hospitality Private Limited	INE548Y01010	42	Menon And Menon Limited	INE614Y01010
5	Andhra Pradesh Tanneries Ltd	INE628Y01010	43	Moksh Ornaments Limited	INE514Y01012
6	Andromeda Sales And Distribution Private Limited	INE655Y01013	44	Murali Krishna Land Mark Properties Private Limited	INE622Y01013
7	ANI Integrated Services Limited	INE635Y01015	45	Nandan Buildcon Private Limited	INE653Y01018
8	Atithi Builders And Constructors Private Limited	INE615Y01017	46	Nicco Engineering Services Limited	INE544Y01019
9	Ausgezeichnet Advisory Limited	INE602Y01015	47	Origami Cellulo Private Limited	INE573Y01018
10	Auxilo Finserve Private Limited	INE605Y01018	48	Pahal Financial Services Private Limited	INE514Q01018
11	Axis Wind Farms (Golla) Private Limited	INE613Y01012	49	Palai Developments Private Limited	INE456Y01016
12	Baroda Medicare Private Limited	INE557Y01011	50	Praxis Home Retail Limited	INE546Y01014
13	Blue Garden Estates Private Limited	INE571Y01012	51	Ranjith Industries Private Limited	INE620Y01017
14	BVR Educational Institutions Private Limited	INE601Y01017	52	S K S Textiles Limited	INE507Y01016
15	Chenani Nashri Tunnelway Limited	INE647Y01010	53	S.K. Masala And Foods Limited	INE509Y01012
16	CKP Leisure Limited	INE418Y01016	54	Sahajanand Life Sciences Private Limited	INE627Y01012
17	Creelotex Engineers Private Limited	INE632Y01012	55	Sahajanand Technologies Private Limited	INE633Y01010
18	Daulat Ram Engineering Services Private Limited	INE618Y01011	56	SAK Buildtech Private Limited	INE519Y01011
19	Eminent Cable Network Private Limited	INE566Y01012	57	Sheetal Cool Products Limited	INE501Y01019
20	Fagne Tarsod Corridor Private Limited	INE646Y01012	58	Shiva Mills Limited	INE644Y01017
21	Futureplan International Limited	INE543Y01011	59	Spinoza Enterprises Private Limited	INE621Y01015
22	Ganga Papers India Limited	INE278001015	60	Squaremeal Foods Private Limited	INE626Y01014
23	Gangabishan Bhikulal Investment And Trading Limited	INE625Y01016	61	Srishti Den Networks Private Limited	INE569Y01016
24	GP Wind (Jangi) Private Limited	INE135Y01016	62	SRT Ascendancy Solutions Private Limited	INE638Y01019
25	Groma Infrastructure Limited	INE597Y01017	63	St. Marys Educational Institutions Hyderabad Private Limited	INE603Y01013
26	Ice Make Refrigeration Limited	INE520Y01019	64	Starex Developers Private Limited	INE636Y01013
27	IFMR Mezzanine Finance Private Limited	INE610Y01018	65	Swift Impex Private Limited	INE643Y01019
28	IL&FS Solar Power Limited	INE656Y01011	66	The Delhi Safe Deposit Company Limited	INE639Y01017
29	Indian Railway Finance Corporation Limited	INE053F01010	67	TVS Credit Services Limited	INE729N01019
30	Innovative Ideals And Services (India) Private Limited	INE492Y01011	68	Uni-Chand Builders Private Limited	INE634Y01018
31	J.B.M Resorts Private Limited	INE609Y01010	69	Unilog Content Solutions Private Limited	INE619Y01019
32	J.B.M. Exports Private Limited	INE608Y01012	70	Unimed Health Care Private Limited	INE637Y01011
33	J.B.M. Gardens Private Limited	INE607Y01014	71	Uphar Steels Limited	INE542Y01013
34	J.B.M.Agro International Private Limited	INE616Y01015	72	Vedang Cellular Services Private Limited	INE545Y01016
35	JSD Realty Private Limited	INE612Y01014	73	VEDANG RADIO TECHNOLOGY PRIVATE LIMITED	INE640Y01015
36	KSH Infra Private Limited	INE574Y01016	74	Verdant Life Sciences Private Limited	INE599Y01013
37	L&T Sambalpur - Rourkela Tollway Limited	INE604Y01011	75	Vitalic Health Private Limited	INE518Y01013
38	Leela Housing Private Limited	INE645Y01014	76	Western Transco Power Limited	INE797X01015
			77	Western Transmission (Gujarat) Limited	INE829X01016
			78	Wise Travel India Private Limited	INE623Y01011

NSDL Investor Meets in association with SEBI and Depository Participants during October 2017



Lighting of Kuthu Vilakku by Tr. Manoharan, Deputy Superintendent of Police, Economic Offences Wing-II Erode during Regional Investor Awareness Programme with SEBI at Namakkal, Tamil Nadu



Regional Investor Awareness Programme with SEBI at Namakkal, Tamil Nadu



Joint Awareness Programme in association with Acumen Capital Market (India) Limited at Calicut, Kerala



Joint Awareness Programme in association with Kotak Securities Limited at Varanasi, Uttar Pradesh



Joint Awareness Programme in association with ICICI Bank Limited at Agra, Uttar Pradesh



Joint Awareness Programme in association with Acumen Capital Market (India) Limited at Coimbatore, Tamil Nadu

NSDL Calendar of Events

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month	Through “e-Participant-Inspection Audit and Supervision System (e-PASS)”	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	15 th November every Year	Through e-PASS	Circular No. NSDL/POLICY/2017/0041 dated July 5, 2017

NSDL Offices

Head Office

Mumbai 4th Floor, ‘A’ Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel.: (022) 24994200 / 40904200

Branch Offices

<p>Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial complex Co-operative Society Limited, C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel.: (079) 26461376</p>	<p>Bengaluru Office No. 106, DBS house 26, Cunningham Road, Bengaluru - 560052 Tel.: (080) 40407106</p>	<p>Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel.: (044) 28143911 / 17</p>	<p>Hyderabad Office No. 123, Hyderabad Regus Mid-Town, 1st Floor, Mid Town Plaza, Road No. 1, Banjara Hills, Hyderabad - 500033 Tel.: (040) 44334178</p>
<p>Kochi Suite No. S – 105, Monlash Business Center, 4th Floor, Crescens Tower, NH 47, Changampuzha Nagar Post, Kochi - 682033 Tel.: (0484) 2933075</p>	<p>Kolkata Unit 2E, 2nd Floor, The Millenium, 235/2A, A.J.C. Bose Road, Kolkata - 700020 Tel.: (033) 22814662 / (033) 22904246</p>	<p>New Delhi Unit No. 601, 603, 604, 6th Floor, Tower-A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel.: (011) 23353814 / 15</p>	

For more information, email us at info@nsdl.co.in