

### News Articles

#### Incorporation of pre-defined Reasons for Submitting Off-market instructions and Inter- depository transactions through SPEED-e

Participants are hereby informed in respect of additional reason codes for off-market and inter-depository transfer instruction pursuant to enhanced supervision of stock brokers. In this regard, additional reason codes have been incorporated on SPEED-e facility pursuant to SEBI Circular dated September 26, 2016 in respect of transfer instruction between ‘Stock Broker – Client’ to ‘Stock Broker – Proprietary’.

The details of the same are given below:

Reason Code	Reason
96	Govt. / Regulatory directions or orders
97	Reversal of Erroneous Transfers
98	Legitimate Dues of the stock broker

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

(Ref: Circular Nos. NSDL/POLICY/2017/0050 dated August 14, 2017, Circular Nos. NSDL/POLICY/2017/0040 dated June 30, 2017, NSDL/POLICY/2016/0105 dated December 29, 2016 & NSDL/POLICY/2016/0101 dated December 16, 2016)



#### Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Calendar of Events

#### Performance Summary



Investor Accounts

1,61,50,020



Participants

266



Participant Service Centres

27,539



Demat Custody

₹ 1,58,18,657 Cr.



Settlement

₹ 2,32,340 Cr.



Companies available for demat

18,682



**Instruments**

- ◆ Debentures/Bonds 15,425
- ◆ Securitised Instruments 2,056
- ◆ Commercial Paper 2,458
- ◆ Certificates of Deposit 311

### **Facility for upload of Pledge initiation instruction file on SPEED-e**

Participants were hereby informed regarding launch of Pledge facility on SPEED-e which enables Clients whose depository account is registered for e-Token based facility to submit Pledge instructions through SPEED-e facility. The facility for uploading pledge initiation instruction will be provided to e-Token based Users (i.e. Beneficial Owner) on SPEED-e facility. In this regard, file formats related to pledge initiation instruction file upload, response file and error code descriptions can be referred on NSDL website. The aforesaid facility for uploading pledge initiation instructions file on SPEED-e is made available to e-Token Users with effect from Saturday, September 2, 2017. Participants are requested to take note of the above and inform their Clients about the same.

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

(Ref: Circular No. NSDL/POLICY/2017/0052 dated August 22, 2017 & NSDL/POLICY/2017/0023 dated April 24, 2017)

### **Training Programme for Participants:**

#### ➤ **NISM / NSDL-DO training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted a training programme for its Participant at Mumbai in August 2017.

#### ➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, Equity Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In August 2017, NSDL conducted eight such training programmes at various locations viz., Ahmedabad, Bhubaneswar, Chennai, Hyderabad, Kolkata, Mumbai & New Delhi which were attended by 156 officials of Participants.

#### ➤ **Training Programme for Issuers and Registrar & Transfer Agents**

NSDL conducted a two day training programme for Issuers and Registrar & Transfer Agents at Chennai in August 2017. This training programme enables officials of Issuers and Registrar & Transfer Agents to keep themselves updated about NSDL Operations.

### **Investor Education initiatives undertaken by NSDL**

#### ➤ **Investor Awareness Programmes:**

In order to reach out to investors that are spread across the country and to apprise them about the facilities available in NSDL depository system and awareness on stock markets, NSDL conducts various Investor Awareness

Programmes jointly with its Depository Participants (DPs) & with Institutions like SEBI, NSE etc. NSDL also conducts various training programmes for its Depository Participants (DPs) on Depository related services. During August 2017, NSDL conducted 30 Investor Awareness Programmes & events with Participants & SEBI etc. which were attended by approx. 1,700 investors, details as mentioned below:

Sr. No.	Particulars	
<b>1</b>	<b>Joint Awareness Programmes with DPs</b>	<b>No. of Programmes</b>
	The Calcutta Stock Exchange Limited	4
	Sharekhan Limited	4
	YES Bank Limited	4
	ICICI Bank Limited	3
	SBICAP Securities Limited	3
	Arihant Capital Markets Limited	2
	Karvy Stock Broking Limited	2
	SMC Global Securities Limited	2
	Globe Capital Market Limited	1
	Kotak Securities Limited	1
	Nirmal Bang Securities Private Limited	1
	Peerless Securities Limited	1
	<b>Total Programmes</b>	<b>28</b>
<b>2</b>	<b>Training Programmes on Depository Operations for DPs</b>	<b>No. of Programmes</b>
	Janata Sahakari Bank Limited, Pune	1
	<b>Total Programmes</b>	<b>1</b>
<b>3</b>	<b>Joint Awareness Programmes with other Institutions</b>	<b>No. of Programmes</b>
	Securities and Exchange Board of India (SEBI)	1
	<b>Total Programmes</b>	<b>1</b>

## List of Companies that have changed Names (During August 2017)

Sr. No.	Old Name	New Name
1	FAG Bearings India Limited	Schaeffler India Limited
2	PI Sunshine Limited	Vector Green Sunshine Limited
3	SMS Shivnath Infrastructure Pvt. Ltd.	Durg Shivnath Expressways Private Limited
4	Aon HR Services India Private Limited	Alight HR Services India Private Limited
5	Jarigold Textiles Limited	Kintech Renewables Limited
6	Katwa Udyog Limited	Shri Keshav Cement And Infra Limited
7	Amrit Petroleums Private Limited	Shamyak Investment Private Limited
8	JM Financial Asset Reconstruction Company Private Limited	JM Financial Asset Reconstruction Company Limited
9	Dream Gateway Hotels Private Limited	Dream Gateway Hotels Limited
10	Neelkanth Technologies Limited	Zenlabs Ethica Limited
11	Leitwind Shriram Manufacturing Limited	Leitwind Shriram Manufacturing Private Limited
12	Apte Amalgamations Limited	Emerald Leisures Limited
13	Associated Pigments Limited	APL Metals Limited
14	Disha Microfin Limited	Fincare Small Finance Bank Limited
15	GIVO Limited	Meyer Apparel Limited
16	Prithvi Softech Limited	Prithvi Exchange (India) Limited
17	Lambodar Projects Private Limited	Lambodar Stocks Private Limited
18	VGN Developers Private Limited	VGN Property Developers Private Limited
19	Gujarat Bitumen Limited	GBL Industries Limited
20	Puravankara Projects Limited	Puravankara Limited
21	GMR Hotels And Resorts Limited	GMR Hospitality And Retail Limited
22	Indiabulls Technology Solutions Limited	Incedo Technology Solutions Limited
23	Aarvi Encon Private Limited	Aarvi Encon Limited
24	Teamec Chlorates Limited	Chemfab Alkalis Limited
25	L&T Ahmedabad-Maliya Tollway Limited	Ahmedabad-Maliya Tollway Limited

## Corporate Action Statistics

Sr. No.	Description	During August 2017		For F.Y. 2017 - 18 (Upto August 2017)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	414,683	8,746,649,325	6,244,828	54,977,130,500
2	Preference Shares	58	251,277,587	158,077	7,589,435,576
3	Bonds	13,562	241,004,472	30,926	7,298,299,207
4	Commercial Papers	993	4,565,506	4,801	19,595,156
5	Certificates of Deposit	105	2,476,000	607	14,133,900
6	Securitised Instruments	66	462,944,442	514	26,600,582,876
7	Mutual Fund Units	46,464	235,770,858	263,261	1,305,793,264
8	Government Securities	11,408	384,234	23,752	734,362
9	Warrants	8	19,615,654	89	149,753,403
10	Mutual Fund Units (Trase)	69,612	358,929,843	334,165	1,884,450,633
11	Infrastructure Investment Trust	0	0	7,943	873,904,448
	<b>Total</b>	<b>556,959</b>	<b>10,323,617,921</b>	<b>7,068,963</b>	<b>100,713,813,325</b>

## Performance Summary

### INVESTORS

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Aug-15	140.29
Aug-16	149.29
Aug-17	161.50

### PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Aug-15	269	17,640	1,674
Aug-16	268	27,298	1,941
Aug-17	266	27,539	1,931

### DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Aug-15	98,654	115,22,199
Aug-16	116,620	132,59,258
Aug-17	139,021	158,18,657

### SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Aug-15	961	207,419
Aug-16	864	210,508
Aug-17	954	232,340

(Single Side)

### EQUITY

Month - Year	No. of Companies Operational
Aug-15	14,686
Aug-16	16,408
Aug-17	18,682

### Companies

### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-15	1,203	12,068	18,29,371
Aug-16	1,468	13,975	21,24,299
Aug-17	1,849	15,425	25,85,917

### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-15	1,067	1,428	1,28,959
Aug-16	1,394	1,828	1,52,421
Aug-17	1,634	2,056	1,83,591

### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-15	346	2,055	3,18,037
Aug-16	382	2,371	4,11,621
Aug-17	422	2,458	4,60,690

### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-15	38	589	2,11,864
Aug-16	36	598	1,85,874
Aug-17	24	311	1,38,734

## Securities admitted for Dematerialisation during August 2017

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aarvi Encon Private Limited	INE754X01016	41	Emerald Haven Realty Developers (Paraniputhur) Private Limited	INE279Y01012
2	Aban Power Limited	INE176Y01010	42	Ezeego One Travel & Tours Limited	INE136Y01014
3	Ahlada Leisure Private Limited	INE259Y01014	43	Glow Softech Private Limited	INE148Y01019
4	Ajax Fiori Engineering (India) Private Limited	INE274Y01013	44	Gnex Buildtech Private Limited	INE243Y01018
5	Aliens Developers Private Limited	INE223Y01010	45	GR Phagwara Expressway Limited	INE207Y01013
6	Ampsolar Solution Private Limited	INE277Y01016	46	Greenspace Agro-Ventures Private Limited	INE201Y01016
7	Apco Chetak Ultraway Private Limited	INE256Y01010	47	Grusamar India Limited	INE192Y01017
8	Ashoka Aerospace Private Limited	INE292Y01015	48	Guts Electro-Mech Limited	INE141Y01014
9	Ashoka Ranastalam Anandapuram Road Limited	INE134Y01019	49	Gyan Infrastructure Company Private Limited	INE220Y01016
10	ATS Exotic Homes Private Limited	INE125Y01017	50	Hari Smriti Properties Private Limited	INE252Y01019
11	Atul Textile Mills Private Limited	INE304Y01018	51	Healthstart Supplements Private Limited	INE214Y01019
12	Avtar Motels and Cine Private Limited	INE211Y01015	52	Himalayan Hotels Private Ltd.	INE284Y01012
13	Azure Green Tech Private Limited	INE172Y01019	53	HRJ International Private Limited	INE200Y01018
14	Azure Power ( Haryana ) Private Limited	INE169Y01015	54	IFGL Exports Limited	INE133Y01011
15	Azure Power ( Punjab ) Private Limited	INE170Y01013	55	Ihina Industrial & Logistics Park Private Limited	INE312Y01011
16	Azure Power Mars Private Limited	INE168Y01017	56	IHP Finvest Limited	INE007Y01017
17	Azure Renewable Energy Private Limited	INE173Y01017	57	Impetis Biosciences Limited	INE244Y01016
18	Azure Sunshine Private Limited	INE171Y01011	58	Impresario Entertainment and Hospitality Private Limited	INE110Y01019
19	Bailey Softech Private Limited	INE316Y01012	59	Innovative Tyres & Tubes Limited	INE070Y01015
20	BKS Galaxy Realtors Private Limited	INE834T01014	60	IVR Prime Developers (Avadi) Private Limited	INE240Y01014
21	BSR Infratech India Limited	INE177Y01018	61	Jade Eservices Private Limited	INE235Y01014
22	Campus Activewear Private Limited	INE278Y01014	62	JBM MA Automotive Private Limited	INE121Y01016
23	Cherryhill Interiors Limited	INE313Y01019	63	Jindal Quality Tubular Limited	INE288Y01013
24	Citrus Infrastructure Private Limited	INE230Y01015	64	JMK Holdings Private Limited	INE273Y01015
25	Copmed Pharmaceuticals Private Limited	INE303Y01010	65	JPV Infraprojects (I) Private Limited	INE140Y01016
26	Coquet Farms Private Limited	INE232Y01011	66	Jyoti Solar Solutions Private Limited	INE216Y01014
27	D P Wires Limited	INE864X01013	67	Kandla Oil Terminal Private Limited	INE242Y01010
28	Dawn Retail Private Limited	INE951X01018	68	KCPL Advisory Services Private Limited	INE138Y01010
29	Dewas Bypass Tollway Private Limited	INE164Y01016	69	Kimaan Exports Private Limited	INE150Y01015
30	Dhanvantari Nano Ayushadi Private Limited	INE233Y01019	70	Kineco Limited	INE139Y01018
31	Dhruv Wellness Limited	INE109Y01011	71	Kiran Energy Solar Power Private Limited	INE137Y01012
32	Digestive Health Institute By Dr. Muffi Private Limited	INE179Y01014	72	KN Realty Ventures Private Limited	INE128Y01011
33	Digicall Teleservices Private Limited	INE162Y01010	73	KODANGAL SOLAR PARKS PRIVATE LIMITED	INE149Y01017
34	Dilli Karigari Limited	INE142Y01012	74	Labzone - Electronics City Private Limited	INE261Y01010
35	DRA Shivdhara Busport Private Limited	INE224Y01018	75	Lambda Content India Private Limited	INE122Y01014
36	Durabuild Technologies Private Limited	INE291Y01017	76	Lemon Tree Hotels Limited	INE970X01018
37	Earthcon Infracon Private Limited	INE147Y01011	77	M Power Microfinance Private Limited	INE131R01019
38	Elcid Investments Limited	INE927X01018	78	Madhya Pradesh Today Media Limited	INE105Y01019
39	Elsamex Maintenance Services Limited	INE191Y01019			
40	Embassy Office Parks Management Services Private Limited	INE160Y01014			

## Securities admitted for Dematerialisation during August 2017 (Contd.)

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
79	Manav Infra Projects Ltd	INE104Y01012	118	Rishi Reality Leasing Services Private Limited	INE799T01019
80	Marudhar Industries Limited	INE921X01011	119	Royalgolf Link City Projects Private Limited	INE206Y01015
81	Mediforce Healthcare Private Limited	INE305Y01015	120	Ruchi Malls Private Limited	INE234Y01017
82	Mehai Technology Limited	INE062Y01012	121	Ruparel Buildcon Private Limited	INE186Y01019
83	Metro Infrastructure Development Limited	INE183Y01016	122	Ruparel Infra & Realty Private Limited	INE229Y01017
84	Microsense Networks Private Limited	INE180Y01012	123	Sagar Diamonds Limited	INE146Y01013
85	Microsense Private Limited	INE255Y01012	124	Sambandh Finserve Private Limited	INE992V01016
86	Microsense Software Private Limited	INE181Y01010	125	Sanraj Hotels and Resorts Private Limited	INE975X01017
87	Microsense Wireless Private Limited	INE182Y01018	126	Sarvottam Vanijya Limited	INE628E01012
88	Mihtra Soft-Tech Services Private Limited	INE245Y01013	127	Scope Vinimoy Private Limited	INE118Y01012
89	Mission Holdings Private Limited	INE849001013	128	Secur Credentials Ltd	INE195Y01010
90	Mohan Fibre Products Ltd	INE272Y01017	129	Seven Hills Beverages Limited	INE022Y01016
91	Nagaur Mukundgarh Highways Private Limited	INE217Y01012	130	Share India Securities Limited	INE932X01018
92	Narnolia Securities Limited	INE208Y01011	131	Shish Industries Limited	INE145Y01015
93	National Industrial Corporation Limited	INE251Y01011	132	Shree Siddhivinayak Classic Construction Private Limited	INE184Y01014
94	Neha Resources Limited	INE289Y01011	133	Shree Tirupati Balajee FIBC Limited	INE238Y01018
95	Nicols India Private Limited	INE317Y01010	134	Shree UMA Foundries Private Limited	INE197Y01016
96	Nishant Inbuild Limited	INE202Y01014	135	Shreedhar Milk Foods Limited	INE545V01012
97	Nuvepro Technologies Private Limited	INE126Y01015	136	Sirmour Remedies Private Limited	INE290Y01019
98	Nuvo Logistics Private Limited	INE198Y01014	137	Skyline India Limited	INE773X01016
99	Opus Software Technologies Private Limited	INE221Y01014	138	SM Herbals Private Limited	INE199Y01012
100	Pashupati Cotspin Limited	INE124Y01010	139	Supreme Ahmednagar Karmala Tembhurni Tollways Private Limited	INE270Y01011
101	Penta Gold Limited	INE175Y01012	140	TBD India Private Limited	INE123Y01012
102	Platinum Holdings Private Limited	INE120Y01018	141	Towercom Infrastructure Private Limited	INE271Y01019
103	PNS Metals Limited	INE143Y01010	142	Tricolor Hospitality Private Limited	INE215Y01016
104	PNX Logistics Private Limited	INE209Y01019	143	Trident Texofab Limited	INE071Y01013
105	Prudence Tradeplace Private Limited	INE275Y01010	144	UM Autocomp Private Limited	INE117Y01014
106	Prudent ARC Limited	INE260Y01012	145	Unicel Technologies Private Limited	INE158Y01018
107	PVR Bluo Entertainment Limited	INE254Y01015	146	United Petro Finance Limited	INE210Y01017
108	R B A Exports Private Limited	INE212Y01013	147	Varanasi Sangam Expressway Private Limited	INE213Y01011
109	R M Drip and Sprinklers Systems Limited	INE219Y01018	148	Vertoz Advertising Limited	INE188Y01015
110	Raisina Woods Private Limited	INE231Y01013	149	Vikas Parks Private Limited	INE218Y01010
111	Raj Arcades & Enclaves Private Limited	INE293Y01013	150	Viva Communications Private Limited	INE222Y01012
112	Ramsnehi Viniyog Private Limited	INE127Y01013	151	VJTF Infrastructure Private Limited	INE783T01013
113	Regal Shipping and Marine Services Private Limited	INE178Y01016	152	Welspun Financial Services Limited	INE264Y01014
114	Relax Pharmaceuticals Private Limited	INE302Y01012	153	Worth Peripherals Limited	INE196Y01018
115	Renew Distributed Solar Energy Private Limited	INE285Y01019	154	Yala Construction Co Private Limited	INE193Y01015
116	Renew Distributed Solar Power Private Limited	INE287Y01015			
117	Renew Wind Energy (Jath Three) Private Limited	INE286Y01017			

## NSDL Calendar of Events

### FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Networth Certificate and Audited Financial Statements.	September 30 <sup>th</sup> every year.	By hard copy to Participant Interface Department.	Circular No. NSDL/PI/98/414 dated September 1, 1998.

### NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143911 / 17, Fax: (044) 28144593
	<b>Kolkata</b> Unit 2E, 2nd Floor, The Millenium 235/2A, A.J.C. Bose Road Kolkata - 700020 Tel: (033) 22814662 / (033) 22904246	<b>New Delhi</b> Unit No.601,603,604, 6th Floor, Tower - A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel: (011) 23353814 / 15, Fax: (011) 23353816

Investor Relationship Cell	NSDL Certification Programme
<b>Officer-In-Charge,</b> National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: <a href="mailto:relations@nsdl.co.in">relations@nsdl.co.in</a>	<b>Officer-In-Charge,</b> National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: <a href="mailto:trainingdept@nsdl.co.in">trainingdept@nsdl.co.in</a>

For more information, email us at [info@nsdl.co.in](mailto:info@nsdl.co.in)