

NSDL collaborates with CFA Institute & Indian Association of Investment Professionals (IAIP) for Investor Education



(From L to R: Mr. G. V. Nageswara Rao, MD & CEO – NSDL, Mr. Vinay Bagri, Director - IAIP & Mr. Vidhu Shekhar, Country head - CFA Institute)



(From L to R: Mr. Shreenivas Kunte, Director - CFA Institute, Mr. Vinay Bagri, Director - IAIP, Mr. Vidhu Shekhar, Country head - CFA Institute, Mr. Nick Pollard, Asia Pacific MD - CFA Institute, Mr. Paul Smith, Global MD - CFA Institute, Mr. G. V. Nageswara Rao, MD & CEO – NSDL, Mr. Samar Banwat, Executive Vice President - NSDL, Mr. Prashant Vagal, Senior Vice President – NSDL, Mr. Krishna Srinivas, Executive Vice President – NSDL & Mr. Manoj Sathe, Vice President - NSDL)

National Securities Depository Limited (NSDL), CFA Institute and Indian Association of Investment Professionals (IAIP) have entered into an agreement to establish a framework of co-operation and implement various activities towards driving financial literacy and investor education in India. The formal collaboration plan was signed by MD & CEO of NSDL, Mr. G V Nageswara Rao and CFA India head, Mr. Vidhu Shekhar in the presence of CFA Institute Global MD, Mr. Paul Smith and CFA Institute - Asia Pacific MD, Mr. Nick Pollard.

With this Indian investor community will have access to global content and Indian investment industry professionals can learn of global best practices. NSDL's student education oriented initiatives will also benefit from association with the CFA Institute. This partnership will be beneficial as NSDL, CFA Institute & IAIP will be able to leverage their unique strengths to reach out to large investor community in India.

Take a look...

Highlights during the month

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Performance Summary



Investor Accounts

1,49,29,313



Participants

268



Participant Service Centres

27,298



Demat Custody

₹ 1,32,59,258 Cr.



Settlement

₹ 2,10,508 Cr.



Companies available for demat

16,408



Instruments

- ◆ Debentures/Bonds 13,975
- ◆ Securitised Instruments 1,828
- ◆ Commercial Paper 2,371
- ◆ Certificates of Deposit 598

News Articles

Settlement of Government Securities through NSDL Depository System

Depository system is enhanced to facilitate demat account holders to settle their trades in Government Securities on the Negotiated Dealing System–Order Matching (NDS-OM) platform, which is operated by Clearing Corporation of India Ltd (CCIL) on behalf of Reserve Bank of India (RBI).

Accordingly, this facility is made available to demat account holders of bank Depository Participants who are SGL Account Holders and direct members of NDS-OM and CCIL. With this, retail individual investors holding Demat Accounts with Bank DPs of NSDL will be able to purchase or sell government securities through the NDS-OM system. So far, access for trading in secondary market on NDS-OM was limited to only those maintaining SGL/CSGL accounts. These new guidelines will now facilitate efficient access for retail investors holding demat accounts to the government securities market and encourage retail participation. To make it easier for retail investors to trade, NSDL has also integrated NDS-OM web module with its e-services facility. It allows the Bank DPs to make available web trading facility to investors so that investors can directly trade online in government securities using the web module of NDS-OM and their existing NSDL demat account.

In this context, Bank Participants which are SGL Account Holders and direct members of NDS-OM and CCIL are requested to take note of the following:

1. Changes in static data code (incorporation of new booking code) in respect of download of SOT for the back-office interface with Local DPM system in respect of settlement of G-sec trades on the NDS-OM platform through depository (NSDL) settlement mechanism. The new booking code details are given below:

Booking Code	Booking Narration Code (Description)
11601	RBI_CCIL_CM_POOL (By RBI / CCIL Pay-Out)

Bank Participants which are SGL Account Holders and direct members of NDS-OM and CCIL are advised to make necessary changes in their depository back office system as per the enclosed static data code in respect of Local DPM System.

2. The Primary Member will have to open a Pool Account in the Depository System. The process for activation of Primary Member Pool Account is given below:
 - a. Documents to be obtained from Primary Member (PM) and retained with the Participants. For opening of pool account of PM associated with CCIL, Participants are advised to obtain the following documents from the PM:
 - i. KYC Application Form (Part I) and Account Opening Form (Part II).
 - ii. A copy of the email / letter received from CCIL intimating for allotment of Membership ID to the PM.
 - iii. Board Resolution for authorised signatory.
 - iv. Memorandum of Association and Articles of Association.
 - v. Specimen copy of the cheque for capturing the 9-digit MICR code.
 - vi. Any other documents as specified in the Master Circular for opening of Account from time to time.

Participants should verify the completeness of the aforesaid documents submitted by the PM and verify the copies submitted with the original documents and retain the same. These documents / copy of these documents need not be forwarded to NSDL.
 - b. Documents to be provided by Participants to NSDL
 - i. Participants must provide a consent letter for opening of Pool Account in respect of PM to NSDL in hard copy. Alternatively, the scanned copy of aforementioned duly signed consent letter can be emailed to NSDL at ccinterface@nsdl.co.in.
 - ii. After the receipt of aforesaid document, NSDL will allot the CM BP ID for PM Pool account and intimate the same to the Participants electronically.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2016/0071 dated August 18, 2016)

Incentives to Participants towards opening and maintaining BSDA accounts for Financial Year 2015-16

It was hereby informed that in order to compensate the Participants towards the cost of opening and maintaining Basic Services Demat Accounts (BSDA), the incentives will be paid to the Participants for the financial years 2015-16 and 2016-17:

1. In respect of new BSDA opened during the financial year in a place other than the top 15 cities (Ahmedabad, Bengaluru, Chennai, Delhi, Hyderabad, Indore, Jaipur, Kolkata, Mumbai, Pune, Rajkot, Secunderabad, Surat, Thane & Vadodara), ₹100 for every new BSDA will be paid if such BSDA has received atleast one credit of securities during the financial year.
2. In respect of all BSDA maintained by the Participant, ₹2 per folio per ISIN in respect of the ISIN positions held in BSDA.

Accordingly, Participants are requested to note that **credit note** in respect of aforesaid incentive pertaining to **Financial Year 2015-16** are being separately issued to the Participants.

(Ref: Circular No. NSDL/POLICY/2016/0073 dated August 19, 2016)

Revised application form for obtaining Digital Signature Certificate from e-Mudhra Limited

Participants are hereby informed that in regard to the new procedure for obtaining Digital Signature Certificate (DSC) to access DPM system, e-Mudhra Limited (e-Mudhra) has revised its application form for issuance of DSC.

Further details about this circular are posted on NSDL website www.nsd.co.in.

(Ref: Circular No. NSDL/POLICY/2016/0074 dated August 29, 2016)

One Participant became operational in NSDL during August 2016

In August 2016, following Participant became operational in NSDL:

Sr. No.	Participant Name	City
1	United Bank of India (DP ID IN304140)	Kolkata

The above additions take the total number of operational Participants to 268 and the total number of DPM set-ups to 352.

Name Change of Participant

Old Name	New Name	DP ID
IDBI Capital Market Services Limited	IDBI Capital Markets & Securities Limited	IN300079

Training Programme for Participants:

➤ NCDO/NISM training / certification programmes for Participants

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted four training programmes in August 2016 at Bengaluru, Bhubaneshwar, Chandigarh & Kolkata which were attended by 100 officials of Participants.

➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In August 2016, NSDL conducted six such training programmes at various locations viz., Ahmedabad, Chennai, Kolkata, Mumbai & New Delhi which were attended by 107 officials of Participants.

Forthcoming Investor Depository Meets

Sr. No.	Date of Event	Location	State
1	October 7, 2016	Nainital	Uttarakhand
2	October 15, 2016	Karur	Tamil Nadu
3	October 21, 2016	Sasaram	Bihar
4	October 21, 2016	Moradabad	Uttar Pradesh
5	October 22, 2016	Gaya	Bihar
6	October 22, 2016	Sangareddi	Telangana

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During August 2016)

Sr. No.	Old Name	New Name
1	Celon Laboratories Limited	Celon Laboratories Private Limited
2	Alankit Health Care TPA Limited	Alankit Insurance TPA Limited
3	Indus Finance Corporation Limited	Indus Finance Limited
4	Unitech Sai Private Limited	Shreeaumji Infrastructure Private Limited
5	Sheel International Limited	Sc Agrotech Limited
6	Neyveli Lignite Corporation Limited	NLC India Limited
7	Aasan Developers & Constructions Private Limited	Aasan Corporate Solutions Private Limited
8	Innovador Realtors Private Limited	Innovador Traders Private Limited
9	Lafarge India Pvt. Ltd.	Lafarge India Limited
10	Regency Leasing Limited	Regency World Consunting Limited
11	Yash Hotels Private Limited	Hazira Cargo Terminals Private Limited
12	Hazira Coke Limited	Salaya Bulk Terminals Limited
13	National Buildings Construction Corporation Limited	NBCC (India) Limited
14	Vipul Dye Chem Limited	Vipul Organics Limited
15	Siti Cable Network Limited	Siti Networks Limited
16	Merrill Lynch Wealth Advisors Private Limited	Julius Baer Wealth Advisors (India) Private Limited
17	Mahindra Shubhlabh Services Limited	Mahindra Agri Solutions Limited
18	Dera Paints & Chemicals Limited	Mitshi India Limited
19	Hole-In-The-Wall Education Limited	Mindchampion Learning Systems Limited
20	Arinna Lifesciences Private Limited	Arinna Lifesciences Limited
21	Welspun Syntex Limited	AYM Syntex Limited
22	MIL India Limited	MIL India Private Limited
23	Thirthankar Infra Limited	PVV Infra Limited
24	Himadri Chemicals And Industries Limited	Himadri Speciality Chemical Limited
25	Unitech Developers & Projects Ltd.	Candor Gurgaon Two Developers & Projects Private Limited
26	Brawn Pharmaceuticals Limited	Brawn Biotech Limited
27	Unitech Realty Projects Limited	Candor Gurgaon One Realty Projects Private Limited
28	Seaview Developers Limited	Seaview Developers Private Limited
29	Shantiniketan Properties Limited	Shantiniketan Properties Private Limited
30	Sarvodaya Capitals Private Limited	Blacksoil Capital Private Limited
31	Unitech Hi-Tech Structures Limited	Candor Kolkata One Hi-Tech Structures Private Limited
32	Reckitt Benckiser Healthcare India Limited	Reckitt Benckiser Healthcare India Private Limited

Corporate Action Statistics

Sr. No.	Description	During August 2016		For F.Y. 2016 - 17 (Upto August 2016)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	288,435	5,852,140,018	2,548,721	49,444,871,744
2	Preference Shares	44	1,321,595,446	66,710	6,055,122,482
3	Bonds	25,918	267,596,164	61,915	1,658,336,419
4	Commercial Papers	1,054	3,906,352	4,766	17,022,339
5	Certificates of Deposit	260	3,595,802	1,271	16,530,637
6	Securitised Instruments	54	13,687,118,606	519	42,617,594,186
7	Mutual Fund Units	36,364	96,715,650	215,351	1,000,203,971
8	Government Securities	16,823	681,019	25,592	986,982
9	Warrants	19	60,733,970	56	778,702,413
10	Mutual Fund Units (Trase)	44,665	172,688,767	194,202	661,107,085
	Total	413,636	21,466,771,794	3,119,103	102,250,478,258

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Aug-14	133.03
Aug-15	140.29
Aug-16	149.29

PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Aug-14	274	14,291	1,552
Aug-15	269	17,640	1,674
Aug-16	268	27,298	1,941

DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Aug-14	84,497	1,05,59,587
Aug-15	98,654	1,15,22,199
Aug-16	116,620	1,32,59,258

SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Aug-14	695	1,39,969
Aug-15	961	2,07,419
Aug-16	864	2,10,508

(Single Side)

EQUITY

Month -Year	No. of Companies Operational
Aug-14	12,902
Aug-15	14,686
Aug-16	16,408

Companies

DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-14	979	9,836	15,05,248
Aug-15	1,203	12,068	18,29,371
Aug-16	1,468	13,975	21,24,299

SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-14	844	1,196	1,12,489
Aug-15	1,067	1,428	1,28,959
Aug-16	1,394	1,828	1,52,421

COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-14	247	1,651	2,16,686
Aug-15	346	2,055	3,18,037
Aug-16	382	2,371	4,11,621

CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-14	40	1,350	3,27,658
Aug-15	38	589	2,11,864
Aug-16	36	598	1,85,874

Securities admitted for Dematerialisation during August 2016

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aajiwan Industries Limited	INE590V01018	38	Cube Highways and Transportation Assets Advisors Private Limited	INE464V01016
2	Ace Integrated Solutions Private Limited	INE543V01017	39	Dairy Classic Ice Creams Private Limited	INE521V01013
3	Aditya Consumer Marketing Limited	INE427V01013	40	Dboi Global Services Private Limited	INE470V01013
4	Advance Multitech Limited	INE875S01019	41	Deutsche CIB Centre Private Limited	INE469V01015
5	Advanced Enzyme Technologies Limited	IN8837H01011	42	Dev-Dwarka Windproject Limited	INE471V01011
6	Air Freight Bazaar Private Limited	INE508V01010	43	Dilip Buildcon Limited	IN8917M01011
7	Akarshak Infrastructure Private Limited	INE531V01012	44	Direct Media Distribution Ventures Private Limited	INE274N01016
8	Alipurduar Transmission Limited	INE446V01013	45	Doit Media and Entertainment (India) Private Limited	INE507V01012
9	Apurva India Private Limited	INE492V01017	46	DSL Infrastructure and Space Developers Pvt Ltd	INE518V01019
10	Ashapura Housing Private Limited	INE540V01013	47	Embio Limited	INE135G01013
11	Ashford Developers Private Limited	INE504V01019	48	Essar Offshore Subsea Limited	INE559V01013
12	Ashoka Deserts and Developers Private Limited	INE532V01010	49	Essar Power and Minerals Limited	INE368V01019
13	Ashoka Estate Developers Private Limited	INE536V01011	50	Euro Panel Products Private Limited	INE505V01016
14	Ashoka Housing Constructions Private Limited	INE538V01017	51	Exilant Technologies Private Limited	INE551V01010
15	Ashoka Shilp Akruiti Private Limited	INE537V01019	52	Exit10 Marketing Private Limited	INE452V01011
16	Ashoka Vastu Akruiti Private Limited	INE535V01013	53	Fortune Infracreators Private Limited	INE195V01016
17	Ashoka Vastukala Nirman Private Limited	INE534V01016	54	Gaba Projects Private Limited	INE547V01018
18	Asian Business Connections Private Limited	INE584V01011	55	Gaba Projects Private Limited	IN9547V01016
19	Aurangabad Distillery Limited	INE448V01019	56	GMR Aero Technic Limited	INE550V01012
20	Autometers Energitec Limited	INE571V01018	57	Godrej Investments Private Limited	INE314V01013
21	AVSL Industries Limited	INE522V01011	58	Grab A Grub Services Private Limited	INE554V01014
22	Barclays Technology Centre India Private Limited	INE520V01015	59	Grand Canyon Sez Private Limited	INE599V01019
23	Beta Wind Farm Private Limited	INE553V01016	60	Green Valleys Shelters Private Limited	INE487V01017
24	Bharat Vision Infra Limited	INE439V01018	61	Gujarat HY-Spin Limited	INE578V01013
25	Biocon Biologics India Limited	INE597V01013	62	Halcyon Finance & Capital Advisors Private Limited	INE591V01016
26	Biocon Pharma Limited	INE598V01011	63	Havemore Realty Private Limited	INE462V01010
27	Canpac Trends Private Limited	INE589V01010	64	Hazira Coke Limited	INE369V01017
28	Celestial Solar Solutions Private Limited	INE539V01015	65	Himgiri Energy Ventures Private Limited	INE533V01018
29	Chadha Holdings Private Limited	INE509V01018	66	Ideas Unplugged Technologies Private Limited	INE580V01019
30	Chhaya Prakashani Private Limited	INE511V01014	67	Infinity Housing Projects Private Limited	INE502V01013
31	Cian Agro Industries & Infrastructure Limited	INE052V01019	68	Interglobe Business Solutions Private Limited	INE437V01012
32	Cian Agro Industries & Infrastructure Limited	IN8052V01018	69	Inx Music Private Limited	INE514V01018
33	Cloudseed Education Private Limited	INE557V01017	70	IVR Hotels and Resorts Limited	INE454V01017
34	Coastal Corporation Limited	INE377E01016	71	Keya Foods International Private Limited	INE438V01010
35	Coastal Corporation Limited	IN8377E01015	72	Kings Canyon Sez Private Limited	INE600V01015
36	Crown Lifters Limited	INE491V01019			
37	CSM Software Private Limited	INE587V01014			

Securities admitted for Dematerialisation during August 2016 (Contd.)

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
73	KKV Agro Powers Limited	IN8239T01015	108	Radiant Hues CRM Solutions Private Limited	INE465V01013
74	KSK Wind Energy Halagali Benchi Private Limited	INE482V01018	109	Range Consultants Private Limited	INE500V01017
75	KSK Wind Energy Mothalli Haveri Private Limited	INE483V01016	110	RBL Bank Limited	IN8976G01019
76	KSK Wind Power Aminabhavi Chikodi Private Limited	INE481V01010	111	Recharge Express Private Limited	INE489V01013
77	KSK Wind Power Sankonahatti Athni Private Limited	INE480V01012	112	Renew Saur Urja Private Limited	INE484V01014
78	Lakepoint Builders Private Limited	INE486V01019	113	Renew Solar Energy (Jharkhand Four) Private Limited	INE519V01017
79	Larsen & Toubro Infotech Limited	IN8214T01018	114	Renew Wind Energy (AP Five) Private Limited	INE517V01011
80	Marvel Omega Builders Private Limited	INE273001016	115	Rubicon Research Private Limited	INE506V01014
81	Maxgrow Overseas Limited	INE485V01011	116	S.M. Pilfer Proof Caps Private Limited	INE512V01012
82	Meril Life Sciences Private Limited	INE027V01011	117	S.P. Apparels Limited	IN8212I01015
83	Midco Limited	INE541V01011	118	Sadguru Healthcare Services Private Limited	INE513V01010
84	Neo Legno Products Private Limited	INE592V01014	119	Sam Solar Private Limited	INE398V01016
85	Nichepro Technologies Private Limited	INE593V01012	120	Samasta Microfinance Limited	INE413U01015
86	Nidhi Wind Farms Private Limited	INE579V01011	121	Sarvodaya Capitals Private Limited	INE468V01017
87	Nilkanth Engineering Limited	INE582V01015	122	Seya Industries Limited	INE573R01012
88	Nilkanth Engineering Limited	IN8582V01014	123	Seya Industries Limited	IN8573R01011
89	Nirchem Cement Limited	INE548V01016	124	Shreenath Investment Company Limited	INE475V01012
90	Nivi Trading Limited	INE552F01011	125	Shreenath Investment Company Limited	IN8475V01011
91	Nivi Trading Limited	IN8552F01010	126	Shubh Poultries Limited	INE326V01017
92	Operational Energy Group India Limited	INE239V01012	127	Shubh Poultries Limited	IN8326V01016
93	Oriental Sales Agencies (India) Pvt Ltd	INE575V01019	128	Shyamsree Food Processing Private Limited	INE435V01016
94	Panasonic Minda Storage Batteries India Private Limited	INE390V01013	129	Spearhead Eduonline Private Limited	INE570V01010
95	Paul Entertainments Private Limited	INE478V01016	130	Spirotech Heat Exchangers Private Limited	INE436V01014
96	Pervasive Commodities Limited	INE443P01020	131	Sugam Vanijya Holdings Private Limited	INE084S01018
97	Phoenix Embassy Tech Zone Private Limited	INE558V01015	132	TCL Express Limited	INE586V01016
98	Pooja Crafted Homes Private Limited	INE572V01016	133	Trianz Holdings Private Limited	INE479V01014
99	Prabhat Telecoms (India) Limited	IN8171P01018	134	Universal Digital Connect Limited	INE556V01019
100	Prathamesh Solarfarms Limited	INE474V01015	135	Valiant Organics Limited	INE565V01010
101	Premier Mercantiles Limited	INE763S01017	136	Videocon Realty and Infrastructures Limited	INE501V01015
102	Premier Mercantiles Limited	IN8763S01016	137	Wave Infratech Private Limited	INE510V01016
103	Prime Customer Services Limited	INE442V01012	138	Welspun Urja India Limited	INE555V01011
104	PSP Projects Limited	INE488V01015	139	Western Heat and Forge Private Limited	INE453V01019
105	Quess Corp Limited	IN8615P01014	140	Yash Hotels Private Limited	INE419V01010
106	R L Fine Chem Private Limited	INE549V01014	141	Zeal Aqua Limited	IN8819S01016
107	Radhika Jeweltech Limited	INE583V01013			

NSDL Calendar of Events

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Networth Certificate and Audited Financial Statements	September 30th every Year.	By hard copy to Participant Interface Department.	1. Circular No. NSDL/PI/98/414 dated September 1, 1998

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi Unit No.601,603,604, 6th Floor, Tower - A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel: (011) 23353814 / 15, Fax: (011) 23353816

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
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NSDL Certification Programme

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