

News Articles

Scanning of Delivery Instruction Slip (DIS)

To ensure compliance regarding scanning of DIS effective from October 1, 2014, Participants are requested to note the following:

- Scanning of DIS:** Every DIS executed in the DPM on or after October 1, 2014 must be scanned alongwith all annexures / computer printouts (*if any*) by the end of the next working day.
- Signing and posting of DIS images:** In order to prevent unauthorized changes to scanned DIS, NSDL has put in place adequate checks and procedures. In view of the same, NSDL has implemented a 'DIS Image Validation System' (DIVS). Participants must digitally sign the scanned image of the DIS and post the same to DIVS for validation and affixing of digital signature by NSDL. The DIVS has been hosted on the Local DPM. Participants are required to prepare a zip file containing (a) digitally signed text file having details of the DIS scanned images being posted and (b) all digitally signed scanned images. The DIVS will validate the Participant's digital signature associated with each DIS scanned image and generate a response file. The response file is a zip file containing (a) text file digitally signed by NSDL indicating successful validation or rejection by DIVS

Take a look...

Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Performance Summary



Investor Accounts

13,303,386



Participants

274



Participant Service Centres

14,291



Demat Custody

₹ 105,59,587 Cr.



Settlement

₹ 1,39,969 Cr.



Companies available for demat

12,902



Instruments

- ◆ Debentures/Bonds 9,836
- ◆ Securitised Instruments 1,196
- ◆ Commercial Paper 1,651
- ◆ Certificates of Deposit 1,350

Did you know?

In the PPF Scheme under section 80C of Income Tax Act 1961, annual ceiling has been enhanced from ₹ 1 lakh to ₹ 1.5 lakh p.a. in the Union Budget 2014-15.



Quote of the Month :

"I never attempt to make money on the stock market. I buy on the assumption that they could close the market the next day and not reopen it for five years." - Warren Buffett



of the posted scanned images, (b) successfully validated scanned images returned after affixing NSDL's digital signature and (c) rejected scanned images returned as they are.

3. **Tamper proof storage:** The NSDL signed DIS images (*i.e. aforesaid response files generated by DIVS*) must be stored in the system set up by the Participants. Such system must maintain proper records of all NSDL signed DIS images including audit trail for changes made, if any and put in place adequate checks and procedures to prevent unauthorized changes to scanned DIS images. To store the NSDL signed DIS images, the following measures must be adapted:
 - a) The system must not permit unauthorized alteration or deletion.
 - b) In the event of any authorized replacement required in the original DIS after scanning and storage, the DIS must be scanned again. The nomenclature of such rescanned DIS image file must be in accordance with the file naming convention. The reason for such replacement must be recorded in the Index file. The Participant must follow above mentioned procedure for signing and posting the new scanned image to DIVS to obtain NSDL signed DIS image. Participant must store both old as well as new DIS image which are digitally signed by NSDL.
 - c) For instructions received through fax, the Participant must scan the DIS received through fax and post the same to the DIVS in the same manner as prescribed above. Subsequently, when original DIS is received [*which is expected within three working days (excluding Sundays and Bank holidays) from the date of receipt of the fax instruction*], the Participant shall post details of the same in DIVS within one working day from receipt of original DIS.
 - d) NSDL signed DIS images must be preserved as per record keeping requirements. Detailed specifications for storage will be provided subsequently.
4. **Exemption from putting Participant official's signatures on original DIS:** For those Participants who process the DIS based on the scanned image, the Participant's officials need not put his/her signature on the original DIS, provided details of the maker, checker and additional checker, as may be applicable, who have captured and verified the DIS are maintained in the back-office system of the Participant and such records are made available, as and when required.

(Ref: Circular No. NSDL/POLICY/2014/0085 dated August 5, 2014)

Ticker on website for SMS Alerts facility

SEBI has informed that Participants which have their own website, should display the below mentioned ticker on their website in order to create awareness among clients to subscribe for SMS alerts:

ATTENTION INVESTORS (Static Text)

TICKER

"Prevent Unauthorized Transactions in your demat account --> Update your Mobile Number with your Depository Participant. Receive alerts on your Registered Mobile for all debit and other important transactions in your demat account directly from NSDL on the same day.....issued in the interest of investors."

(Ref: Circular No. NSDL/POLICY/2014/0094 dated August 28, 2014)

Subscription to *SPEED-e*

During August 2014, five more Participants have subscribed to the *SPEED-e* facility viz.,

- Lohia Securities Limited (DP ID IN302189)
- Punjab and Maharashtra Co-operative Bank Limited (DP ID IN301975)
- Swastika Investmart Limited (DP ID IN303833)
- SKI Capital Services Limited (DP ID IN301508)
- Bank of India (DP ID IN300749)

Further, HSBC InvestDirect Securities (India) Limited (DP ID IN303825) has discontinued offering *SPEED-e* services consequent to the deletion of its DP ID from the depository system.

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 150.

Training Programmes for Participants:

➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted a training programme in August 2014 at Chennai which was attended by around 54 officials of Participants.

➤ **KYC Training Programme for Participants**

In August 2014, NSDL conducted a 'KYC requirements for Account Opening' training programme at Kolkata which was attended by 14 officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In August 2014, NSDL conducted eight such training programmes at various locations viz., Ahmedabad, Chennai, Kolkata, Lucknow, Mumbai, New Delhi & Patna which were attended by 265 officials of Participants.

List of Participant Service Centre:

❖ **For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.**

List of Companies that have changed Names (During August 2014)

Sr. No.	Old Name	New Name
1	Bhagwati Banquets and Hotels Limited	TGB Banquets and Hotels Limited
2	Precision Pipes and Profiles Company Limited	PPAP Automotive Limited
3	Sark Systems India Limited	VEDAVAAG Systems Limited
4	Chandrika Traders Limited	Spine Traders Limited
5	Electrical Manufacturing Company Limited	EMC Limited
6	The Paper Products Limited	Huhtamaki PPL Limited
7	Solix Technologies Limited	TechNvision Ventures Limited
8	Marck Biosciences Limited	Amanta Healthcare Limited
9	Wardha Power Company Limited	Sai Wardha Power Limited
10	Moser Baer Industrial Development Limited	Bhanuenergy Industrial Development Limited
11	Moser Baer Infrastructure & Power Limited	Bhanuenergy Infrastructure & Power Limited
12	SPRY Health Care Private Limited	Vatika Medicare Private Limited
13	BNKE. Solutions Private Limited	Gopi Vallabh Solutions Private Limited
14	Rotam Commercials Limited	Sword-Edge Commercials Limited
15	Associated Fin Lease Limited	Midas Infra Trade Limited
16	Cayden Developers Private Limited	Vatika One Express City Private Limited
17	Web Element Solutions Private Limited	Web Element Solutions Limited
18	Has Lifestyle Private Limited	Has Lifestyle Limited
19	Jeevan Softech Limited	Jeevan Scientific Technology Limited
20	Aakash Educational Services Limited	Aakash Educational Services Private Limited
21	Coffee Day Resorts Private Limited	Coffee Day Enterprises Private Limited
22	KEPPEL Magus Development Private Limited	Elita Garden Vista Project Private Limited
23	Saya Housing Finance Company Limited	Asya Infosoft Limited
24	Aditya Birla Minacs Worldwide Limited	Minacs Limited
25	Consul Consolidated Private Limited	Consul Neowatt Power Solutions Private Limited
26	Tibrewal Global Finance Limited	M3 Global Finance Limited
27	Oregon Commercials Limited	Saianand Commercial Limited

Corporate Action Statistics

Sr. No.	Description	During August 2014		For F.Y. 2014 - 15 (Upto August 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	42,701	3,984,889,335	470,190	26,098,147,150
2	Preference Shares	87	99,008,281	244	3,818,926,146
3	Bonds	4,713	115,397,020	64,762	4,466,897,736
4	Commercial Papers	671	1,679,160	3,154	8,666,882
5	Certificates of Deposit	531	8,762,344	1,968	29,543,884
6	Securitised Instruments	58	92,071,647	445	8,737,549,572
7	Mutual Fund Units	28,154	148,764,281	163,031	1,473,845,646
8	Warrants	12	93,446,667	59	184,770,667
9	Mutual Fund Units (Trase)	27,527	87,810,926	88,168	389,265,587
	Total	104,454	4,631,829,661	792,021	45,207,613,270

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Aug-12	123.19
Aug-13	128.63
Aug-14	133.03

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Aug-12	284	14,391	1,562
Aug-13	281	14,386	1,514
Aug-14	274	14,291	1,552

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Aug-12	61,241	72,05,956
Aug-13	72,075	73,83,253
Aug-14	84,497	105,59,587

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Aug-12	509	86,151
Aug-13	572	1,21,666
Aug-14	695	1,39,969

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Aug-12	10,144
Aug-13	11,314
Aug-14	12,902

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-12	653	8,178	11,18,092
Aug-13	793	8,959	13,35,543
Aug-14	979	9,836	15,05,248

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-12	491	735	32,944
Aug-13	634	944	59,951
Aug-14	844	1,196	1,12,489

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-12	232	1,710	1,88,908
Aug-13	199	1,092	1,19,723
Aug-14	247	1,651	2,16,686

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Aug-12	45	1,402	4,15,580
Aug-13	41	1,196	3,29,720
Aug-14	40	1,350	3,27,658

Securities admitted for Dematerialisation during August 2014

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	A.P.Trading & Finance Company Ltd.	INE165R01017	29	Green Infra Limited	INE156001013
2	Aanant Developers Private Limited	INE151R01017	30	IDFC Housing Finance Company Limited	INE231R01017
3	Adhiraj Distributors Limited	INE067R01015	31	IIC Limited	INE157R01014
4	Advaita Holdings Private Limited	INE206R01019	32	Indiabulls Technology Solutions Limited	INE156R01016
5	Akshata Mercantile Private Ltd.	INE204R01014	33	Jayatma Spinners Limited	INE246D01015
6	Alliance Infrastructure Projects Private Limited	INE168R01011	34	JCB Salons Private Limited	INE153R01013
7	Alliance Orchid Tech Park Private Limited	INE226R01017	35	Jet Infraventure Private Limited	INE155R01018
8	Alspun Infrastructure Limited	INE222R01016	36	L&T General Insurance Company Limited	INE225R01019
9	Amagi Media Labs Private Limited	INE121R01010	37	Leeway Logistics Limited	INE174R01019
10	Anand Projects Limited	INE134R01013	38	Lords Freight (India) Private Limited	INE172R01013
11	ANR Securities Private Limited	INE178R01010	39	Majestic Research Services And Solutions Private Limited	INE196R01012
12	ARC Finance Limited	INE202R01018	40	Manaksia Coated Metals & Industries Limited	INE830Q01018
13	B L A Power Holding Private Limited	INE207R01017	41	Manaksia Steels Limited	INE824Q01011
14	B.M.M. Ispat Limited	INE205R01011	42	Manpasand Beverages Private Limited	INE122R01018
15	B.T. Syndicate Limited	INE139E01010	43	Max Infra (I) Limited	INE200R01012
16	Basil Communications Private Limited	INE132R01017	44	Max Watt Turbines Private Limited	INE199R01016
17	Capgemini India Private Limited	INE213R01015	45	Media.Net Software Services (India) Private Limited	INE098R01010
18	Chase Bright Steel Limited	INE839Q01019	46	Meena Homes Limited	INE162R01014
19	Decorous Investment And Trading Company Limited	INE183R01010	47	Mirra and Mirra Industries Private Limited	INE215R01010
20	DPJ-DRA Tollways Private Limited	INE154R01011	48	Modern India Con-Cast Limited	INE164R01010
21	Dr.Kamakshi Memorial Hospital Private Limited	INE180R01016	49	Momai Apparels Limited	INE133R01015
22	DS Digital Private Limited	INE203R01016	50	Motherson Auto Solutions Limited	INE152R01015
23	Dune Mercantile Limited	INE322Q01016	51	Northlink Fiscal And Capital Services Ltd.	INE736P01019
24	Enox Hardware India Private Limited	INE198R01018	52	NRSS XXXI (B) Transmission Limited	INE163R01012
25	Fredun Pharmaceuticals Ltd	INE194R01017	53	Nupower Renewables Limited	INE592L01015
26	Funny Software Private Limited	INE105R01013	54	Oais Auto Financial Services Limited	INE063R01014
27	Gabbana Fashions Private Limited	INE173R01011	55	Osiajee Housing Developers Limited	INE186R01013
28	Goyal Engineering Polymers Private Limited	INE175R01016			

Sr. No.	COMPANY	ISIN
56	Oswal Leasing Limited	INE811Q01018
57	Perry Impex Private Limited	INE181R01014
58	Phoenix Hitec City Private Limited	INE201R01010
59	Prism Finance Limited	INE429Q01019
60	Pro Minerals Private Limited	INE171R01015
61	Royaume Estates Private Limited	INE167R01013
62	Runwal Heights Private Limited	INE208R01015
63	Runwal Residency Private Limited	INE210R01011
64	Sai Srushti Developers Private Limited	INE129R01013
65	Sai Srushti Infrastructure Pvt Limited	INE130R01011
66	Satyug Gold Private Limited	INE182R01012

Sr. No.	COMPANY	ISIN
67	Serene Estates Private Limited	INE221R01018
68	Spark Builders and Infra Projects Private Limited	INE041R01010
69	Sri Amarnath Finance Limited	INE985Q01010
70	Swagtam Trading & Services Ltd.	INE150R01019
71	Unishire Builders Pvt Ltd	INE179R01018
72	Venkateshwara Industrial Promotion Company Limited	INE216R01018
73	Westbury Construction Private Limited	INE042R01018
74	Yennai Hydrocarbon Services Private Limited	INE214R01013
75	Zuari Financial Services Limited	INE120R01012

NSDL has also come up with its monthly newsletter “The Financial Kaleidoscope” focused on Investor centric news, thus making it more reader friendly and informative. If you would like to receive Investor centric newsletter “The Financial Kaleidoscope”, kindly email us at info@nsdl.co.in from your email address with subject as “Subscribe to The Financial Kaleidoscope”.

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
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 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
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 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
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NSDL Insurance Repository



If you hold your valuable investments
in a demat account

**why not hold your insurance policies
in e-Insurance Account**

Open an e-Insurance Account with NSDL Insurance
Repository today and hold all your life insurance policies
in a single account, what's more
it's free

For more details visit us at <https://nir.ndml.in/> or contact
us at 022 49142631 / helpdesk.nir@nsdl.co.in



Visit nearest Approved Person(AP) to open e-Insurance Account

Sr. No.	Approved Person of NSDL Insurance Repository
1	Action Commodities Limited
2	Alankit Assignments Limited
3	Allied Financial Services Private Limited
4	Ashlar Securities Private Limited
5	BgSE Financials Limited
6	Bharat Bhushan Equity Traders Limited
7	Coimbatore Capital Limited
8	Dayco Securities Private Limited
9	Elite Wealth Advisors Limited
10	Eureka Stock and Share Broking Services Ltd
11	Geojit BNP Paribas Financial Services Limited
12	Globe Capital Market Limited
13	Goldmine Stocks Private Limited
14	Integrated Registry Services Limited
15	JK Securities Private Limited
16	JKB Financial Services Limited
17	KIFS Securities Limited
18	Kripa Securities Private Limited
19	Master Capital Services Limited

Sr. No.	Approved Person of NSDL Insurance Repository
20	Navia Markets Limited
21	Networth Wealth Solutions Limited
22	Nikunj Stock Brokers Limited
23	O J Financial Services Limited
24	Ortem Securities Limited
25	PELF Finstock Limited
26	Phillip Capital India Private limited
27	Pravin Ratilal Share and Stock Brokers Limited
28	Ratnakar Securities Private Limited
29	Sanjeevani Insurance Brokers Private Limited
30	Shah Investors Home Limited
31	SKI Capital Services Limited
32	Steel City Securities Limited
33	Stewart and Mackertich Wealth Management Limited
34	The Kapol Cooperative Bank Limited
35	The Surat Peoples Co-operative Bank Limited
36	Tracom Stock Brokers Private Limited
37	Vertex Customer Solutions India Private Limited
38	Vivek Financial Focus Limited

Detailed list of Approved Persons (AP) with contact details is available on <https://nir.ndml.in/>