

News Articles

Option to receive annual report, AGM notice and other communications from issuer in physical form

As per the Companies (Accounts) Rules, 2014, in case of all listed companies and such public companies which have a net worth of more than one crore rupees and turnover of more than ten crore rupees, the financial statements may be sent by electronic mode to such members whose shareholding is in dematerialised format and whose email IDs are registered with Depository for communication purpose. Further, as per Companies (Incorporation) Rules, 2014, a document may be served on any member through electronic transmission.

Therefore, if a Client desires to receive the financial statements (i.e. annual report), AGM notice and other communications from Issuer in physical form, the Client must submit a written request as per attached illustrative format to its Participant.

(Ref: Circular No. NSDL/POLICY/2014/0095 dated September 1, 2014)

Take
a look...

Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Performance Summary



Investor Accounts

133,87,396



Participants

273



Participant Service Centres

14,319



Demat Custody

₹ 107,18,010 Cr.



Settlement

₹ 1,81,635 Cr.



Companies available for demat

13,050



Instruments

- ◆ Debentures/Bonds 10,099
- ◆ Securitised Instruments 1,202
- ◆ Commercial Paper 1,642
- ◆ Certificates of Deposit 1,302

Did you know?

Rate of tax on long term capital gains increased from 10% to 20% on transfer of units of Mutual Funds, other than equity oriented funds.



Quote of the Month :

“Be fearful when others are greedy. Be greedy when others are fearful.” - Warren Buffett



Information on website about KYC registration

SEBI has advised that Participants which have their own website, should display the below mentioned text (as static or in the form of a ticker) on the front page of their website in order to create awareness among Clients:

“KYC is one time exercise while dealing in securities markets - once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary.”

(Ref: Circular No. NSDL/POLICY/2014/0097 dated September 8, 2014)

Information regarding Grievance Redressal Mechanism

SEBI has hereby directed all its registered intermediaries to prominently display basic information about the grievance redressal mechanism available to investors, as mentioned below:

Depository Name	Web Address	Contact No.	Email ID
NSDL	www.nsd.co.in	(022) 2499 4200	relations@nsdl.co.in

(Ref: Circular No. NSDL/POLICY/2014/0098 dated September 8, 2014)

Digital Signature Certificate (DSC) for Signing and posting of DIS images

Participants were earlier advised to digitally sign the scanned image of the Delivery Instruction Slip (DIS) and post the same to DIS Image Validation System (DIVS) for validation and affixing of digital signature by NSDL. The DSC required for this purpose can either be of e-Mudhra Consumer Services Limited (e-Mudhra) or of Sify Technologies Limited (Sify). In view of the aforesaid, Participants have made representations that it takes time to procure the DSC from the aforesaid agencies. Accordingly, it is clarified that the Participants may use the DSC issued by NSDL CA for the time being. Participants are advised to avail DSC from e-Mudhra or Sify on or before November 29, 2014 to be used for the aforesaid activity.

(Ref: Circular No. NSDL/POLICY/2014/0104 dated September 30, 2014)

Subscription to *SPEED-e*

During September 2014, 11 more Participants have subscribed to the *SPEED-e* facility viz.,

- Anand Rathi Share and Stock Brokers Limited (DP ID IN301803)
- Sumedha Fiscal Services Limited (DP ID IN303583)
- Findoc Investmart Private Limited (DP ID IN304088)
- MLB Capital Pvt. Ltd. (DP ID IN302566)
- Shah Investor's Home Limited (DP ID IN300343)
- Orbis Financial Corporation Limited (DP ID IN303622)
- Quant Broking Private Limited (DP ID IN303614)
- GRD Securities Limited (DP ID IN303526)

- Computer Age Management Services Private Limited (DP ID IN303149)
- Vertex Securities Limited (DP ID IN301811)
- Arihant Capital Markets Limited (DP ID IN301983)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 161.

Training Programmes for Participants:

➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted a training programme in September 2014 at Kolkata which was attended by around 33 officials of Participants.

➤ **KYC Training Programme for Participants**

In September 2014, NSDL conducted three 'KYC requirements for Account Opening' training programme at Kolkata, Mumbai & New Delhi which were attended by 54 officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In September 2014, NSDL conducted 13 such training programmes at various locations viz., Ahmedabad, Bhopal, Chennai, Hyderabad, Kolkata, Lucknow, Mumbai, Nagpur, New Delhi & Patna which were attended by 290 officials of Participants.

➤ **DP Training Programme for Participants**

NSDL conducts DP training programme on 'NSDL Depository Operations' for Participants which focuses on in-depth day-to-day operations of depository KYC requirements viz., various operations & system modules such as demat/remat, settlement, pledge, mutual funds, transmission, Compliance and inspection requirements of NSDL and various e-Services facilities of NSDL viz., IDeAS, *SPEED-e* etc. In September 2014, NSDL conducted a training programme in Mumbai which was attended by 12 officials of Participants.

List of Participant Service Centre:

- ❖ **For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.**

List of Companies that have changed Names (During September 2014)

Sr. No.	Old Name	New Name
1	Ultracab (India) Private Limited	Ultracab (India) Limited
2	Funny Software Private Limited	Funny Software Limited
3	Jet Infraventure Private Limited	Jet Infraventure Limited
4	Majestic Research Services and Solutions Private Limited	Majestic Research Services and Solutions Limited
5	Parag-Shilpa Investments Limited	PS IT Infrastructure & Services Limited
6	ADCC Infocad Private Limited	ADCC Infocad Limited
7	Bhilai Scan and Research Limited	BSR Diagnostic Limited
8	Gee El Woollens Limited	Atlas Jewellery India Limited
9	Bhandari Udhog Limited	RKB Agro Industries Limited
10	Micro Inks Limited	Micro Inks Private Limited
11	Shapoorji Pallonji & Company Limited	Shapoorji Pallonji and Company Private Limited
12	Assets Care Enterprise Limited	Assets Care & Reconstruction Enterprise Limited
13	Gokul Solutions Private Limited	Gokul Solutions Limited
14	Bio Green Industries Limited	Meuse Kara & Sungrace Mafatlal Limited
15	SCI Forbes Limited	Shapoorji Pallonji Forbes Shipping Limited
16	Vulcan Engineers Limited	Terruzzi Fercalx India Limited
17	Acumen Engineering Industries Limited	Ace Men Engg Works Limited
18	Euro Finmart Limited	Alankit Limited
19	PMP Components Private Limited	PMP Auto Components Private Limited

Corporate Action Statistics

Sr. No.	Description	During September 2014		For F.Y. 2014 - 15 (Upto September 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	206,616	15,895,296,521	676,806	41,993,443,671
2	Preference Shares	37	356,078,887	281	4,175,005,033
3	Bonds	47,517	248,106,623	112,279	4,715,004,359
4	Commercial Papers	779	2,417,740	3,933	11,084,622
5	Certificates of Deposit	505	6,025,697	2,473	35,569,581
6	Securitized Instruments	59	115,574,195	504	8,853,123,767
7	Mutual Fund Units	29,813	318,647,685	192,844	1,792,493,331
8	Warrants	2	184,000,000	61	368,770,667
9	Mutual Fund Units (Trase)	17,890	77,675,945	106,058	466,941,531
	Total	303,218	17,203,823,293	1,095,239	62,411,436,563

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Sep-12	123.84
Sep-13	129.19
Sep-14	133.87

PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Sep-12	283	14,336	1,559
Sep-13	280	14,348	1,538
Sep-14	273	14,319	1,553

DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Sep-12	62,156	75,93,946
Sep-13	72,880	76,79,090
Sep-14	86,185	107,18,010

SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Sep-12	340	64,999
Sep-13	583	1,22,287
Sep-14	929	1,81,635

(Single Side)

EQUITY

Month -Year	No. of Companies Operational
Sep-12	10,219
Sep-13	11,416
Sep-14	13,050

Companies

DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-12	663	8,262	11,30,924
Sep-13	812	8,983	13,36,133
Sep-14	1,004	10,099	15,45,213

SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-12	479	717	32,349
Sep-13	622	931	59,880
Sep-14	855	1,202	1,17,757

COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-12	223	1,503	1,72,867
Sep-13	165	1,040	1,01,798
Sep-14	244	1,642	2,12,255

CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Sep-12	44	1,264	3,80,738
Sep-13	41	1,343	3,59,284
Sep-14	40	1,302	3,24,714

Securities admitted for Dematerialisation during September 2014

Sr. No.	COMPANY	ISIN
1	Aananda Lakshmi Spinning Mills Limited	INE197R01010
2	Aanchal Ispat Limited	INE322R01014
3	Abhimanu Exports Limited	INE076R01016
4	ACE Men Engg Works Limited	INE023R01018
5	Adcon Capital Services Limited	INE805Q01010
6	Admire Investments Limited	INE258R01010
7	Agroh Bhaora Tollways Private Limited	INE290R01013
8	AIS Glass Solutions Limited	INE391R01019
9	Amazon Development Centre (India) Private Limited	INE381R01010
10	Amba Enterprises Limited	INE829P01012
11	Anagram Knowledge Academy Limited	INE344R01018
12	Anandaya Trading Private Limited	INE288R01017
13	Anjaney Developers Private Limited	INE271R01013
14	Anukul Investments Private Limited	INE345R01015
15	APDL Estates Ltd	INE377R01018
16	Arthveda Fund Management Private Limited	INE325R01017
17	Aryaman Capital Markets Limited	INE229R01011
18	Ashford Estates Private Limited	INE360R01014
19	Athena Constructions Limited	INE291R01011
20	Aura Securities Private Limited	INE342R01012
21	Aushim Soft Private Limited	INE262R01012
22	Autopal Industries Limited	INE335Q01018
23	Azure Power (Karnataka) Private Limited	INE247R01013
24	Azure Surya Private Limited	INE248R01011
25	Bharath Salt Refineries Limited	INE304R01012
26	Bhoomika Constructions Private Limited	INE296R01010
27	Bhoomika Financial Services Private Limited	INE299R01014
28	Bronze Trading Limited	INE022R01010
29	Caparo Vehicle Products India Limited	INE364R01016
30	Ceebros Hotels Private Limited	INE374R01015
31	Centrum Retail Financial Services Limited	INE274R01017
32	Concord Buildwell Private Limited	INE269R01017
33	Conress Labs (India) Private Limited	INE275R01014
34	Consecutive Investments & Trading Company Limited	INE187R01011
35	Creative Anti Corrosion Private Limited	INE357R01010
36	Crimson Infrastructure Private Limited	INE270R01015
37	Dhabriya Polywood Limited	INE260R01016
38	Dhunseri Tea & Industries Ltd	INE341R01014
39	Diggi Multitrade Public Limited	INE158R01012
40	Dighi Oil Storage Private Limited	INE261R01014
41	Digital Subscriber Management And Consultancy Services Private Limited	INE349R01017
42	Disha Microfin Private Limited	INE519Q01017
43	Dolphin Buildwell Private Limited	INE267R01011
44	DP Jain Ujjain Package (Annuity) Road Projects Private Limited	INE240R01018

Sr. No.	COMPANY	ISIN
45	Dragon Eastwest Trading Private Limited	INE314R01011
46	Dynasty Impoexpo Private Limited	INE298R01016
47	Elcom Developers Private Limited	INE340R01016
48	ENN TEE International Limited	INE170R01017
49	FCC Rico Limited	INE259R01018
50	Frin Beauty Private Limited	INE326R01015
51	Gagan Commercial Agencies Ltd.	INE643Q01015
52	Gaji Mercantile Private Limited	INE289R01015
53	Geetanjali Credit and Capital Limited	INE263R01010
54	Gemini Edibles & Fats India Private Limited	INE309R01011
55	Greatway Estates Limited	INE366R01011
56	Haldhar Developers Private Limited	INE185R01015
57	Honey Builders Limited	INE337R01012
58	Ideal Optics Limited	INE128R01015
59	IDFC Infra Debt Fund Limited	INE246R01015
60	IEP Insurance Broking Services Private Limited	INE252R01013
61	Impresa Hospitality Management Private Limited	INE376R01010
62	Intellect Design Arena Limited	INE306R01017
63	International Pumps and Projects Limited	INE079C01012
64	Investors' Financial Education Association	INE353R01019
65	ITI Financial Services Limited	INE396R01018
66	J K Investors (Bombay) Limited	INE239R01010
67	Jakhau Salt Company Private Limited	INE251R01015
68	Jasdan Energy Private Limited	INE253R01011
69	Kalyan Jewellers India Private Limited	INE303R01014
70	Kanak Communications Private Limited	INE354R01017
71	Khandagiri Finance and Trading Private Ltd.	INE368R01017
72	Leela Palaces and Resorts Limited	INE362R01010
73	Limelight Realtors Private Limited	INE266R01013
74	Luxury Farms Private Limited	INE373R01017
75	M.R. Organisation Limited	INE330R01017
76	Mansarovar Financial Services Limited	INE728Q01014
77	Metenere Limited	INE238R01012
78	Miramac Properties Private Limited	INE233R01013
79	Mytrah Vayu (Bhima) Private Limited	INE305R01019
80	Mytrah Vayu Urja Private Limited	INE311R01017
81	NCML Industries Limited	INE169R01019
82	NDTV Worldwide Limited	INE327R01013
83	Neumec Builders Private Limited	INE243R01012
84	Nicomet Industries Limited	INE301R01018
85	Novalead Pharma Private Limited	INE209R01013
86	Olive Commercial Company Limited	INE717Q01017
87	Paradise Food Court Private Limited	INE184R01018
88	Pel Port Private Limited	INE265R01015
89	Power Mech Projects Limited	INE211R01019
90	Prescient Wealth Management Private Limited	INE382R01018

Sr. No.	COMPANY	ISIN
91	Pritika Autocast Limited	INE070R01019
92	Punj Lloyd Infrastructure Limited	INE367R01019
93	Rajkot Investment Trust Limited	INE176R01014
94	Rama Steel Tubes Ltd.	INE230R01019
95	Raman Fibrescience Private Limited	INE242R01014
96	Reddy Shelters Private Limited	INE338R01010
97	Reliance Industrial Investments and Holdings Limited	INE352H01012
98	Reliance Ventures Limited	INE363R01018
99	Revital Reality Private Limited	INE217R01016
100	Sagar Priya Housing and Industrial Enterprises Limited	INE359R01016
101	Sattva CFS and Logistics Private Limited	INE250R01017
102	Servion T Global Solutions Limited	INE279R01016
103	Sew Green Energy Limited	INE451Q01013
104	Sheshadri Industries Limited	INE193R01019
105	Shimal Healthcare Private Limited	INE372R01019
106	Shivam Megastructures Private Limited	INE315R01018
107	Shri Maa Polyfabs Limited	INE336R01014
108	Shruti Tradelink Private Limited	INE343R01010
109	Skylark Buildcon Private Limited	INE696L01014
110	Sonal Apparel Private Limited	INE324R01010
111	SPR & RG Constructions Private Limited	INE312R01015
112	SPR Construction Private Limited	INE310R01019

Sr. No.	COMPANY	ISIN
113	Sri Gopal Investments Ventures Ltd	INE644C01021
114	Surekh Chitra Investments Pvt. Ltd.	INE297R01018
115	Symphony Realtors Private Limited	INE268R01019
116	TAG Offshore Limited	INE235J01015
117	Thames Liners Limited	INE752Q01014
118	Travel Food Services Chennai Private Limited	INE308R01013
119	Travel Food Services Kolkata Private Limited	INE307R01015
120	Trishul Sakti Investment Private Limited	INE313R01013
121	Unitech Advisors (India) Private Limited	INE361R01012
122	United Seamless Tubulaar Private Limited	INE378R01016
123	Unitedhealth Group Information Services Private Limited	INE371R01011
124	US Technology International Private Limited	INE232R01015
125	US Technology Resources Private Limited	INE256R01014
126	UST Global Information Technology Parks Private Limited	INE255R01016
127	UST Global Technology Services (India) Private Limited	INE254R01019
128	Vishal Personal Care Private Limited	INE281R01012
129	Vrusti Builders Private Limited	INE347R01011
130	Wind World (India) Power Development Private Limited	INE321R01016
131	Wind World Financial Consultancy Private Limited	INE323R01012
132	Zoho Corporation Private Limited	INE241R01016

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: trainingdept@nsdl.co.in

National Insurance-Policy Repository

What good is money if your family can't get it when they need it the most.

Hold your all life insurance policies in a single e-Insurance Account with National Insurance-Policy Repository so that its easy to locate when most needed.



For more details visit us at <https://nir.ndml.in/>
or contact us at
022 49142631 / helpdesk.nir@nsdl.co.in



Visit nearest Approved Person(AP) to open e-Insurance Account

Sr. No.	Approved Person of NSDL Insurance Repository
1	Action Commodities Limited
2	Alankit Assignments Limited
3	Allied Financial Services Private Limited
4	Ashlar Securities Private Limited
5	BgSE Financials Limited
6	Bharat Bhushan Equity Traders Limited
7	Coimbatore Capital Limited
8	Dayco Securities Private Limited
9	Elite Wealth Advisors Limited
10	Eureka Stock and Share Broking Services Ltd
11	Geojit BNP Paribas Financial Services Limited
12	Globe Capital Market Limited
13	Goldmine Stocks Private Limited
14	Integrated Registry Services Limited
15	JK Securities Private Limited
16	JKB Financial Services Limited
17	KIFS Securities Limited
18	Kripa Securities Private Limited
19	Master Capital Services Limited
20	Navia Markets Limited

Sr. No.	Approved Person of NSDL Insurance Repository
21	Networth Wealth Solutions Limited
22	Nikunj Stock Brokers Limited
23	O J Financial Services Limited
24	Ortem Securities Limited
25	PELF Finstock Limited
26	Phillip Capital India Private limited
27	Pravin Ratilal Share and Stock Brokers Limited
28	Ratnakar Securities Private Limited
29	Sanjeevani Insurance Brokers Private Limited
30	Shah Investors Home Limited
31	SKI Capital Services Limited
32	South Gujarat Shares & Sharebrokers Limited
33	Steel City Securities Limited
34	Stewart and Mackertich Wealth Management Limited
35	The Kalapur Commercial Co-Operative Bank Limited
36	The Kapol Cooperative Bank Limited
37	The Surat Peoples Co-operative Bank Limited
38	Tracom Stock Brokers Private Limited
39	Vertex Customer Solutions India Private Limited
40	Vivek Financial Focus Limited

Detailed list of Approved Persons (AP) with contact details is available on <https://nir.ndml.in/>