

## News Articles

### Statement of Account through Electronic Mode and registration of email ID

The procedure for providing statement of account in electronic mode by the participants is revised as given below:

1. **Obtain a written or electronic request from Client. The electronic request can be obtained in any of the following modes provided controls are implemented:**
  - a. Through registered email ID
  - b. Through website of Participant
  - c. Through IVRS system by using tele-pin
  - d. Through ATM in case of Bank Participants
2. **Inform Client about the following:**
  - a. Client must ensure the confidentiality of the password of email account.
  - b. Client must promptly inform the Participant if the email address has changed.
  - c. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.



### Highlights during the month

News Articles

Companies Name Change








Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Calendar of Events

### Performance Summary

	Investor Accounts	141,78,809
	Participants	269
	Participant Service Centres	18,460
	Demat Custody	₹ 1,16,84,461 Cr.
	Settlement	₹ 1,58,252 Cr.
	Companies available for demat	14,913
	<b>Instruments</b>	
	◆ Debentures/Bonds	12,374
	◆ Securitised Instruments	1,427
	◆ Commercial Paper	1,995
	◆ Certificates of Deposit	558

### Did you know?

The total custody value of securities by top 10 CSD's in the world sums up to a mammoth figure of 84.63 trillion USD. NSDL alone is ahead of 180 countries in its custody value which is also higher than the aggregate bank deposits in India. This shows the power and potential in the securities market.



### Quote of the Month :

Investment philosophy is the clear understanding that by owning shares of stocks he owns businesses, not pieces of paper. - Warren Buffett



NSDL has developed a new facility on NSDL CAS microsite (<https://nsdlcas.nsd.com/>) whereby Clients can submit their requests to receive statement of account in electronic mode (i.e. eCAS) and also able to provide its email ID for the same. Further, the NSDL CAS microsite facility will be enhanced by November 30, 2015 so that the updated email ID and the request to receive statement of account in electronic mode which is recorded on NSDL CAS microsite will be updated directly in the demat account of the Client.

Participants will be informed about the procedure to update their back-office system with the new email ID and flag to receive statement of account in electronic mode subsequently.

(Ref: Circular No. NSDL/POLICY/2015/0090 dated October 9, 2015)

### **Launch of Online registration facility for IDeAS**

NSDL has enhanced its *IDeAS* (Internet-based Demat Account Statement) facility whereby Clients can register for *IDeAS* through '*Register Online for IDeAS facility*' directly on e-Services website ([https://eservices.nsd.com](https://eservices.nsd.com/)) and start using the same. This facility eliminates the need for Clients to submit the online registration documents to the Participants for subsequent authorization of *IDeAS* facility. This facility can be availed by only those clients who have registered mobile number in DPM system for at least one of the account holders.

Further details about this circular are posted on NSDL website [www.nsd.com.in](http://www.nsd.com.in).

(Ref: Circular No. NSDL/POLICY/2015/0093 dated October 27, 2015)

### **SARAL Account Opening Form for resident individuals and Amendment to Business Rules**

SEBI has introduced a SARAL Account Opening Form for opening of a trading account and demat account. SEBI has also clarified that the Provisions laid down under the PML Act, PML Rules, SEBI Master Circulars on AML shall also continue to remain applicable in SARAL Account. Accordingly, following new Rule 12.1.2 is inserted in Business Rules and existing Rule 12.1.2 to 12.1.6 have been renumbered as 12.1.3 to 12.1.7 respectively.

**12.1.2.** Any resident individual desiring to open a SARAL account shall submit FORM 38 in addition to SARAL form prescribed by SEBI.

Further details about this circular are posted on NSDL website [www.nsd.com.in](http://www.nsd.com.in).

(Ref: Circular No. NSDL/POLICY/2015/0094 dated October 27, 2015)

### **Release of DPM System Version 5.7**

NSDL has released the DPM System Version 5.7 & the salient features of the same are as follows:

- ✓ **Incorporating the Date of Birth as a mandatory field in respect of Client Creation / Client Modification of Local DPM system:**

With respect to incorporation of new field viz., '**Date of Birth**' in respect of individual clients for each account holder in depository account in the Client Maintenance Module of Local DPM and eDPM system, the Date of Birth field has been made **mandatory** in respect of individual clients (*except accounts opened under sub-types 'HUF' and 'HUF promoters'*)

for each account holder in depository account in the Client Maintenance Module of Local DPM system. The application of mandatory validation for new field viz., Date of Birth in respect of individual depository accounts will function in below mentioned manner:

**Client Creation:**

In respect of the new depository accounts opened on or after October 10, 2015, Date of Birth field will be mandatorily required to be captured for each account holder in respect of individual depository accounts in the Local DPM system.

**Client Modification:**

The depository accounts which have been opened before October 10, 2015 and where Date of Birth is not captured; the system will allow Participants to modify the client details (other than Date of Birth) without mandatory validation of capturing the Date of Birth field. The depository accounts, irrespective of being opened before or after October 10, 2015 and where Date of Birth field has been captured by the Participant, the system shall apply mandatory validation pertaining to Date of Birth field in respect of client modification instruction.

✓ **Enhancement of Client Modification module of Local DPM system by restricting modification of client sub type from Category III to Category I in respect of client Type Foreign Portfolio Investor (Corporate):**

With respect to the facility being provided in the Local DPM system to modify the sub-types (i.e. Category I, II & III) of the depository accounts opened under client type viz., Foreign Portfolio Investor (FPI) to another sub-types subsequent to change in the category of FPI, Participant are requested to note that **additional validation** has been applied in the client modification module by **dis-allowing** the modification of client sub type from **Category III to Category I** under client Type Foreign Portfolio Investor (Corporate).

(Ref: Circular No. NSDL/POLICY/2015/0089 dated October 6, 2015)

**Facility for submission of IG Report through e-PASS**

As per the circulars earlier issued by NSDL, Participants are required to submit Investor Grievance Reports (*IG Report*) for a particular month by 10<sup>th</sup> of the following month, in a specified format which is being submitted by Participants to NSDL either via email (*at dp\_igreport@nsdl.co.in*) or in hard copy.

In this context, Participants are hereby informed that NSDL has developed a facility for submission of IG Report through online platform - "e-Participant-Inspection Audit and Supervision System (e-PASS - <https://www.epass.nsdl.com/epass/>) which is used by Participants for submission of data for 'Risk Assessment Template' (RAT). The IG Report through e-PASS is to be submitted by Participants from **November 2015** onwards i.e. IG Report for the month of October 2015 has to be submitted to NSDL through e-PASS and the current practice of submission of IG Report by Participants through email/ hard copy will be discontinued. Participants having multiple DP IDs (*DPM setups*) would have to submit a single consolidated IG Report for all DP IDs (*DPM setups*).

The Compliance Officer of Participants will be able to access e-PASS through their existing log-in id created for them for accessing e-PASS for submission of RAT. The User ID of Alternative Compliance Officer of Participants is created on e-PASS on the basis of details as available in NSDL records and the User IDs of Maker Users are created on e-PASS as per the details as received from Participants. In order to facilitate Maker Users and Alternative Compliance Officer of Participant to access

e-PASS, a separate communication would be sent on their email ids (*as provided for user creation*) containing information about URL of e-PASS and login credentials.

The procedure for submission of IG Report through e-PASS and its salient features is mentioned in this circular. Further, Participant are requested to note that as the information regarding Investor Grievances received and redressed by Participant (*along with bifurcation whether such complaints are received by Participants directly from the clients or through NSDL or SEBI*) is to be provided by NSDL to SEBI periodically for risk assessment of Participant, accordingly the IG Report format has been modified.

Further details about this circular are posted on NSDL website [www.nsdل.co.in](http://www.nsdل.co.in).

(Ref: Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015)

#### **Facility to allow transfer of “locked-in” securities in respect of Account Closure instruction under Account Closure Module**

As Participants are aware, currently while executing “Account Closure” instructions through Account Closure module, the securities lying under free balances are transferred from the source depository account to target depository account. In case there are locked-in securities lying in the source account, such securities remain in the source depository account until lock-in release date and hence, the source depository account cannot be closed in such cases till the lock-in release date.

In this regard, to facilitate Participants to transfer “locked-in” securities from the source depository account to the target depository account where both source and target accounts are of the same person within NSDL system, the aforesaid functionality of Account Closure module is enhanced to facilitate the same.

Further details about this circular are posted on NSDL website [www.nsdل.co.in](http://www.nsdل.co.in).

(Ref: Circular No. NSDL/POLICY/2015/0099 dated October 30, 2015)

#### **Training Programme for Participants:**

##### ➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In October 2015, NSDL conducted five such training programmes at various locations viz., Ahmedabad, Hyderabad, Kolkata, Mumbai & New Delhi which were attended by more than 66 officials of Participants.

##### ➤ **KYC Training Programme for Participants**

In October 2015, NSDL conducted a ‘KYC requirements for Account Opening’ training programme at New Delhi which was attended by 19 officials of Participant. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

#### **List of Participant Service Centre:**

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

## List of Companies that have changed Names (During October 2015)

Sr. No.	Old Name	New Name
1	Welspun Energy Limited	Welspun Energy Private Limited
2	Quippo Energy Private Limited	Quippo Energy Limited
3	Equitas Finance Private Limited	Equitas Finance Limited
4	Suryanagri Finlease Limited	SFL International Limited
5	Hoary Realty Limited	Twenty Five South Realty Limited
6	Prakash Woollen Mills Limited	Prakash Woollen & Synthetic Mills Limited
7	ASP Technologies Limited	ASP Technologies Private Limited
8	Vishwaroop Developers Private Limited	Radius Sumer Developers Private Limited
9	E-Wha Foam India Limited	Blue Pearl Texspin Limited
10	Pramerica Asset Managers Private Limited	DHFL Pramerica Asset Managers Private Limited
11	Atreya Petrochem Limited	Kavit Industries Limited
12	Raunaq International Limited	Raunaq EPC International Limited
13	ABG Cement Limited	Vadraj Cement Limited
14	Omaxe Azorim Developers Private Limited	Omaxe Forest Spa and Hills Developers Limited
15	Cyberoam Technologies Private Limited	Sophos Technologies Private Limited
16	Pramerica Mutual Fund	DHFL Pramerica Mutual Fund
17	S H Kelkar and Company Private Limited	S H Kelkar and Company Limited
18	Maini Precision Products Private Limited	Maini Precision Products Limited
19	Vithal Kamats Restaurants Private Limited	Vidli Restaurants Private Limited
20	Bajaj Energy Private Limited	Bajaj Energy Limited
21	Avant Garde Power Solutions Private Limited	Amplus Energy Solutions Private Limited
22	Citius IT Solutions Private Limited	CitiusTech Healthcare Technology Private Limited
23	Narayana Hrudayalaya Private Limited	Narayana Hrudayalaya Limited
24	Nashik Vintners Private Limited	Sula Vineyards Private Limited
25	Drashti Developers Private Limited	Joyville Shapoorji Housing Private Limited

## Corporate Action Statistics

Sr. No.	Description	During October 2015		For F.Y. 2015 - 16 (Upto October 2015)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	74,127	3,828,904,886	3,394,375	60,781,436,194
2	Preference Shares	80	293,001,985	396	5,093,761,725
3	Bonds	62,771	33,811,293	95,212	2,672,006,550
4	Commercial Papers	768	2,703,572	5,518	16,800,986
5	Certificates of Deposit	188	1,769,335	2,061	29,230,779
6	Securitised Instruments	92	5,573,570,234	566	28,731,144,911
7	Mutual Fund Units	34,899	234,528,178	315,057	1,566,563,422
8	Warrants	8	54,150,005	118	200,699,619
9	Mutual Fund Units (Trase)	30,350	67,437,968	184,966	1,044,241,904
	<b>Total</b>	<b>203,283</b>	<b>10,089,877,456</b>	<b>3,998,269</b>	<b>100,135,886,090</b>

## Performance Summary

### INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Oct-13	129.65
Oct-14	134.61
Oct-15	141.78

### PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Oct-13	281	14,353	1,544
Oct-14	273	14,380	1,552
Oct-15	269	18,460	1,748

### DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Oct-13	73,314	81,92,053
Oct-14	87,024	110,19,562
Oct-15	101,720	116,84,461

### SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Oct-13	518	95,677
Oct-14	624	1,23,559
Oct-15	679	1,58,252

(Single Side)

### EQUITY

Month -Year	No. of Companies Operational
Oct-13	11,549
Oct-14	13,169
Oct-15	14,913

## Companies

### DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-13	820	9,061	13,76,506
Oct-14	1,018	10,235	15,61,961
Oct-15	1,266	12,374	19,13,743

### SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-13	629	936	61,328
Oct-14	876	1,222	1,19,600
Oct-15	1,080	1,427	1,20,112

### COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-13	204	1,290	1,56,496
Oct-14	260	1,718	2,31,206
Oct-15	355	1,995	3,84,736

### CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Oct-13	42	1,360	3,66,488
Oct-14	40	1,218	2,93,418
Oct-15	35	558	2,25,128

## Securities admitted for Dematerialisation during October 2015

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aadinath Probuild (India) Private Limited	INE582T01019	47	Mercury Trade Links Limited	INE319T01016
2	Aaditri Constructions Private Limited	INE586T01010	48	Metal Import (Pvt.) Ltd.	INE693T01014
3	Aapc India Hotel Management Private Limited	INE609T01010	49	MGI Industries Private Limited	INE563T01019
4	ACME Solar Energy (Madhya Pradesh) Private Limited	INE690T01010	50	MGM Realtors Private Limited	INE596T01019
5	Adani Infra (India) Limited	INE701Q01011	51	Miraya Realty Private Limited	INE244S01018
6	Aditya Prints Private Limited	INE613T01012	52	Naive Constructions Private Limited	INE593T01016
7	Ambience Commercial Developers Private Limited	INE655T01013	53	Nirosha Power Private Limited	INE580T01013
8	Ambience Corporate Towers Private Limited	INE654T01016	54	Nitiraj Engineers Ltd.	INE439T01012
9	Ambience Developers & Infrastructure Private Limited	INE653T01018	55	Nspira Management Services Private Limited	INE608T01012
10	Amrit Agro Industries Limited	INE588T01016	56	Omshakthy Realities Private Limited	INE581T01011
11	Aparna Infraenergy India Private Limited	INE611T01016	57	Oravel Stays Private Limited	INE561T01013
12	ASM Enterprise Solutions Private Limited	INE645T01014	58	Paras Healthcare Private Limited	INE661T01011
13	Azure Power Eris Private Limited	INE565T01014	59	Perfios Software Resolutions Private Limited	INE606T01016
14	Balkrishna Synthetics Limited	INE566T01012	60	Pinnacle Vastunirman Private Limited	INE947S01016
15	Bazel International Limited	INE217E01014	61	Pioneer Genco Limited	INE642T01011
16	Bhagwati Steel Cast Private Limited	INE601T01017	62	Pioneer Power Corporation Limited	INE641T01013
17	Caspia Hotels Private Limited	INE660T01013	63	Polepally Solar Parks Private Limited	INE568T01018
18	Cear Realty & Infrastructure Private Limited	INE186T01019	64	Pravara Renewable Energy Limited	INE610T01018
19	Citylife Projects Private Limited	INE559T01017	65	Pride Creators & Planners Private Limited	INE599T01013
20	Coraza Technologies Private Limited	INE637T01011	66	Primrose Realty Projects (Bangalore) Private Limited	INE692T01016
21	D. D. Ventures Limited	INE458T01012	67	Purshotam Rays Power Private Limited	INE639T01017
22	Ellora Trading Limited	INE560T01015	68	Radius & Deserve Land Developers Private Limited	INE584T01015
23	Embassy Industrial Parks Private Limited	INE685T01010	69	Raghuleela Leasing and Constructions Private Limited	INE614T01010
24	Energon MP Wind Power Private Limited	INE031S01019	70	Renew Akshay Urja Private Limited	INE558T01019
25	Enterpriseforce Private Limited	INE585T01012	71	Sandeep Texturisers Private Limited	INE657T01019
26	Excel Infotech Limited	INE570C01010	72	Sandor Life Sciences Private Limited	INE663T01017
27	Feldon Developers Private Limited	INE632T01012	73	Sara Estates Private Limited	INE635T01015
28	Fiesta Propbuild Private Limited	INE594T01014	74	Seriatim Land and Housing Private Limited	INE644T01017
29	Focal Energy Solar Three India Private Limited	INE669T01014	75	Shanti Educational Initiatives Limited	INE440T01010
30	Fortis Cancer Care Limited	INE589T01014	76	Shree Baba Naga Food Stuff Limited	INE457T01014
31	Ganga Pharmaceuticals Limited	INE615T01017	77	Shree Krishna Jute Products Limited	INE476T01014
32	Glacier Infrastructure Private Limited	INE595T01011	78	Shristi Housing Development Limited	INE012R01011
33	Globus Steel & Power Private Limited	INE605T01018	79	Sipla Wind Energy Limited	INE636T01013
34	Indian Vaccines Corporation Limited	INE612T01014	80	Spicebolls Investments Limited	INE631T01014
35	Interstellar Services Private Limited	INE687T01016	81	Supra Pacific Management Consultancy Limited	INE268T01015
36	Juvenile Estate Developers Private Limited	INE597T01017	82	Suryamitra Exim Private Limited (Part IX)	INE662T01019
37	JV Holding Private Limited	INE686T01018	83	Tagus Engineering Private Limited	INE578T01017
38	K.Raheja IT Park (Hyderabad) Private Limited	INE688T01014	84	United Sustainable Energy India Private Limited	INE668T01016
39	Mahaan Impex Limited	INE277T01016	85	V.K.N. Infraventures Private Limited	INE656T01011
40	Mahabubnagar Solar Parks Private Limited	INE567T01010	86	Vaayu Energy Limited	INE638T01019
41	Mahavir Inducto-Melt Private Limited	INE562T01011	87	Vigil Real Estates Private Limited	INE592T01018
42	Malaika Appliances Limited	INE640T01015	88	Vinimay Developers Private Limited	INE607T01014
43	Malvina Developers Private Limited	INE684T01013	89	Vishwaroop Realtors Private Limited	INE587T01018
44	Mandava Holdings Private Limited	INE689L01019	90	Vistaar Financial Services Private Limited	INE016P01016
45	Manipal Healthcare Private Limited	INE598T01015	91	Vittanath Power Private Limited	INE689T01012
46	Marikal Solar Parks Private Limited	INE469T01019	92	VSPL Projects Private Limited	INE479T01018
			93	Ziva Lifestyle India Private Limited	INE664T01015

## NSDL Calendar of Events

### FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/ POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15 <sup>th</sup> every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in. Alternatively, by hard copy to Inspection Department.	1. Circular No.: NSDL/ POLICY/2015/0087 dated October 1, 2015 and Circular No. NSDL/ POLICY/2010/0017 dated February 22, 2010.

### NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
 National Securities Depository Ltd.  
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
 Tel: (022) 24994200 / 40904200  
 Fax: (022) 24976351  
 Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Programme

**Officer-In-Charge,**  
 National Securities Depository Ltd.  
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
 Tel: (022) 24994200 / 40904200  
 Fax: (022) 24976351  
 Email: [trainingdept@nsdl.co.in](mailto:trainingdept@nsdl.co.in)

For more information, email us at [info@nsdl.co.in](mailto:info@nsdl.co.in)