

News

Extension for Implementation of SEBI Guidelines on Margin Obligations to be given by way of Pledge / Re-pledge in the Depository System

In view of the situation arising due to Covid-19 pandemic, SEBI has extended the implementation date of Margin obligations to be given by way of Pledge / Re-pledge in the Depository System to August 01, 2020. However, the provision regarding holding of Power of Attorney by TM / CM not to be considered as equivalent to the collection of margin by TM / CM in respect of securities held in the demat account of the client, shall be applicable from June 01, 2020.

Further, regarding confirmation from the client / pledgor through OTP on mobile number / registered e-mail ID or other verifiable mechanism, it is clarified that such confirmation shall be required only once from the client / pledgor at the time of initial creation of pledge in favour of TM / CM. Subsequent repledge by TM / CM shall not require any further confirmation from the client / pledgor.

Reference: [Circular No. NSDL/POICY/2020/0073 dated May 27, 2020](#), available on [NSDL website](#).

Relaxation in timelines for compliance with regulatory requirements

In view of the extended lockdown period arising due to the COVID-19 pandemic, temporary relaxations in compliance with certain deadlines have been extended, as described below -

Take a look

News

Corporate Action Statistics








Companies Name Change

Securities Admitted for Dematerialisation

Performance Summary

Forthcoming Compliance

Performance Summary*

	Investor Accounts	1,97,47,983
	Participants	280
	Participant Service Centres	30,948
	Demat Custody	₹ 189.60 Lakh Crore
	Settlement	₹ 2.52 Lakh Crore
	Companies available for demat	30,354
	Instruments	
	◆ Certificates of Deposit	349
	◆ Commercial Papers	1,157
	◆ Debentures/Bonds	14,434
	◆ Securitised Instruments	2,859

* As on April 30, 2020

SEBI Circular	S. Nos. for which timeline is extended	Extended timeline / Period of exclusion
SEBI/HO/MIRSD/DOP/CIR/P/2020/61 dated April 16, 2020.	I	Till June 30, 2020 for the month of April 2020.
	II	Till June 30, 2020 for the quarter ended on March 31, 2020.
	X and XI	Till June 30, 2020.
SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020.	III	Period of exclusion shall be from March 23, 2020 till June 30, 2020.
SEBI/HO/MIRSD/DOP/CIR/P/2020/68 dated April 21, 2020.	I, II and III	Till June 30, 2020.
	IV and V	Two months from the due date.

Reference: [Circular No. NSDL/POICY/2020/0072 dated May 21, 2020](#), available on [NSDL website](#).

Annual System Audit Report

In view of the submission of the annual system audit report, Participants are requested to take note of the annual system audit checklist. Procedure for submission of the audit report will be notified separately.

Reference: [Circular No. NSDL/POICY/2020/0071 dated May 19, 2020](#), available on [NSDL website](#).

Mapping of Unique Client Code (UCC) with demat accounts of the clients

NSDL has mapped the UCC provided by stock exchanges to NSDL with demat accounts based on PAN recorded in the demat accounts. If there are multiple UCCs for a single PAN, then all such UCCs are mapped in the demat account(s). In case of a joint holding demat account(s), UCCs are mapped each holder-wise on the basis of PAN in demat account(s).

In view of the aforesaid, following facilities are made available:

- Participants can download UCC mapped in demat accounts maintained with them from the link - **DOWNLOAD UCC LINKED DATA** available on NSDL i-Assist portal.
- The clients will have an option to delink the UCC which is linked to their demat account. In addition, for cases where UCC details are not provided by the stock exchanges, the clients will have an option to link the UCC to their demat account.
- On the basis of the client's request to delink or to link the UCC in demat account maintained with them, a facility has been provided to Participants to upload the data on link - **UPLOAD UCC DELINKING/LINKING DATA** on NSDL i-Assist portal.
- NSDL will send SMS and email to clients regarding UCC mapped in their demat account. In the SMS and email, an option will be provided to client to link / delink UCC in their demat account directly through NSDL portal using OTP.
- In case of linking of UCC in demat account, such linking request(s) will be processed after receipt of confirmation from the respective stock exchange(s). The delinking of UCC in demat accounts will get processed at the EOD of the request received date at NSDL.

Reference: [Circular No. NSDL/POICY/2020/0070 dated May 15, 2020](#), available on [NSDL website](#).

Facility to submit Quarterly Report on Cyber Incidents on e-PASS

NSDL has developed a facility to submit quarterly report on Cyber Incidents through online portal i.e. e-PASS (<https://www.epass.nsdl.com/>). Participants are requested to submit the quarterly report on cyber incidents on e-PASS from the quarter ended on June 30, 2020 and onwards as per the prescribed procedure.

Reference: [Circular No. NSDL/POLICY/2020/0069 dated May 15, 2020](#), available on [NSDL website](#).

Entities permitted to undertake Aadhaar Authentication service of UIDAI in Securities Market

As per Government of India notification dated April 22, 2020 certain entities including National Securities Depository Limited and NSDL Database Management Limited have been permitted to undertake Aadhaar Authentication service of UIDAI subject to compliance with certain conditions. Accordingly, these entities shall get registered with UIDAI as KYC user agency (KUA) and shall allow SEBI registered intermediaries and mutual fund distributors to undertake Aadhaar Authentication for their clients for the purpose of KYC.

Reference: [Circular No. NSDL/POLICY/2020/0067 dated May 15, 2020](#), available on [NSDL website](#).

Reduction of cut-off timings for subscription and redemption in various mutual fund schemes till further notice

As per Association of Mutual Funds in India (AMFI), SEBI has decided that the cut-off timings for subscription and redemption various mutual fund schemes have been extended till further notice.

Reference: [Circular No. NSDL/POLICY/2020/0066 dated May 11, 2020](#), available on [NSDL website](#).

Relaxation in timelines for Concurrent Audit of depository operations

In view of the developments arising due to the COVID-19 pandemic, SEBI has granted extension in completing the concurrent audit for the period from March 16, 2020 to May 17, 2020 by May 31, 2020 and extend the deadline for submission of Concurrent audit report to June 30, 2020. Accordingly, the Internal /concurrent audit report for the audit period of October 1, 2019 to March 31, 2020 needs to be submitted through e-PASS platform by June 30, 2020.

Reference: [Circular No. NSDL/ POLICY/2020/0062 dated May 7, 2020](#), available on [NSDL website](#).

Change of residential status of individual clients

Modification in the client type and client sub-type fields in demat account of NRI clients have been permitted as under:

Existing		Modification allowed in DPM	
Client Type	Sub-Type	New Client Type	New Sub-Type
NRI	REPATRIABLE	RESIDENT	ORDINARY
NRI	NON-REPATRIABLE	RESIDENT	ORDINARY
NRI	REPATRIABLE	NRI	NON-REPATRIABLE

Following procedure may be followed for changing type and sub-type of the NRI account:

1. Obtain a written request from the Client in the prescribed format.
2. In case of change in bank details, obtain a proof of new bank details.
3. In case of change/update in local (Indian) address, obtain a copy of proof of current residential address.

Upon receipt of the aforesaid documents, Participant can change type and sub-type of demat account and also update KYC details in DPM and update KRA/CKYCR records.

Reference: Circular No. NSDL/POICY/2020/0059 dated May 4, 2020, available on NSDL website.

Clarification on Know Your Client (KYC) Process and Use of Technology for KYC

To simplify and harmonize the process of KYC by investors / Registered Intermediary (RI), SEBI has laid down guidelines to make use of technological innovations such as 'eSign service'. eSign service is an online electronic signature service facilitating an Aadhaar holder to forward the document after digitally signing the same after meeting required guidelines. Further, to enable the online KYC process for establishing account based relationship with the RI, Investor's KYC can be completed through online / App based KYC, in-person verification through video, online submission of Officially Valid Document (OVD) / other documents under eSign as per the prescribed guidelines.

Reference: Circular No. NSDL/POICY/2020/0057 dated May 4, 2020, available on NSDL website.

Forthcoming Investor Awareness Programmes through webinar

NSDL conducts Investor Awareness Programs (IAPs) throughout the country to ensure investors are aware of different aspects of investing. In view of the prevailing situation, NSDL is continuing the investor awareness programs in form of webinar. The schedule of the forthcoming programs / webinars is published online at <https://nsdl.co.in/Investor-Awareness-Programmes.php>. We shall be happy to conduct IAPs for your organization / institute / society. Help us in driving the investor education initiative further by writing to us at info@nsdl.co.in about such programs to be conducted.

More the education, more the prudence.

Corporate Action Statistics

Sr. No.	Description	During April 2020		For F.Y. 2020 - 21 (Upto April 2020)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Bonds	1,065	15,65,64,044	1,065	15,65,64,044
2	Certificates of Deposit	28	7,90,000	28	7,90,000
3	Commercial Papers	530	26,53,595	530	26,53,595
4	Equity Shares	13,03,787	17,07,71,38,723	13,03,787	17,07,71,38,723
5	Government Securities	15,003	41,37,817	15,003	41,37,817
6	Mutual Fund Units	73,169	16,11,17,359	73,169	16,11,17,359
7	Preference Shares	85	2,71,28,40,563	85	2,71,28,40,563
8	Real Estate Investment Trust	3	9,12,94,570	3	9,12,94,570
9	Securitized Instruments	28	2,78,11,92,370	28	2,78,11,92,370
10	Mutual Fund Units (Trase)	72,914	27,48,56,564	72,914	27,48,56,564
11	Warrants	9	93,10,94,866	9	93,10,94,866
	Total	14,66,621	24,19,36,80,470	14,66,621	24,19,36,80,470

List of Companies which have changed Name during April 2020

Sr. No.	Old Name	New Name
1	Ampsolar India Private Limited	AMP Energy India Private Limited
2	Continental Multimodal Terminals Limited	Continental Multimodal Terminals Private Limited
3	DHFL General Insurance Limited	Navi General Insurance Limited
4	Disney Entertainment (India) Limited	Disney Entertainment (India) Private Limited
5	IFM Impex Global Limited	NMS Resources Global Limited
6	Lodha Properties Development Private Limited	Bellissimo Properties Development Private Limited
7	Mahindra Retail Private Limited	Mahindra Retail Limited
8	Micro Clinic India Private Limited	Hitachi Systems Micro Clinic Private Limited
9	MSK Projects (Himmatnagar Bypass) Private Limited	Welspun Projects (Himmatnagar Bypass) Private Limited
10	MSK Projects (Kim Mandvi Corridor) Private Limited	Welspun Project (Kim Mandvi Corridor) Private Limited
11	Ray Global Consumer Trading Private Limited	Ray Global Consumer Trading Limited
12	Secmark Consultancy Private Limited	Secmark Consultancy Limited
13	Spice Digital Limited	Spice Money Limited
14	Veeram Ornaments Limited	Veeram Securities Limited
15	Weizmann Forex Limited	Ebixcash World Money India Limited
16	Zomato Media Private Limited	Zomato Private Limited

Securities admitted for Dematerialisation during April 2020

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Acrysil Steel Limited	INE0D3701018	20	Jalgaon Heart Institute Limited	INE0D3901014
2	Adani Electricity Mumbai Infra Limited	INE0D4F01016	21	Lemons Infrastructure Corporation Limited	INE0D4001018
3	Ambit Finvest Private Limited	INE985V01010	22	Marvel Limited	INE0D4001012
4	Arctern Healthcare Private Limited	INE0D4A01017	23	Mobiquity Softech Private Limited	INE0D4I01010
5	Azure Power (Rajasthan) Private Limited	INE0D3301017	24	Nippon Tubes Limited	INE0D3X01011
6	Bharat Head Hunters Private Limited	INE0D4K01016	25	Oyo Hotels And Homes Private Limited	INE0D3501012
7	Cello International Private Limited	INE0D3E01011	26	Premier Energies Limited	INE0BS701011
8	Citicorp Finance (India) Limited	INE915D01015	27	Rotex Automation Limited	INE0D3401015
9	Cmes Saturn Private Limited	INE0D4C01013	28	Saf Fermion Limited	INE0D4N01010
10	Flourish Paper & Chemicals Limited	INE0D4L01014	29	Samvardhana Motherson Health Solution Limited	INE0D4M01012
11	Floweret Industries Limited	INE0D4I01010	30	Samvardhana Motherson Maadhyam International Limited	INE0D4D01011
12	Fno India Securities Private Limited	INE0D3G01016	31	Sirius Foods Private Limited	INE0D3Y01019
13	Glow Biotech Limited	INE0D3R01013	32	Spheero Developers Limited	INE0D4H01012
14	Goodrich Carbohydrates Limited	INE0D3601010	33	Stratadigm Education and Training Private Limited	INE0D3I01011
15	Iacm Smart Learn Limited	INE0D3201019	34	Systematic Conscom Limited	INE0D4B01015
16	Illuminati Trading Private Limited	INE0D3J01010	35	Tepsol Photovoltaic Power Ventures Private Limited	INE0D3S01011
17	International Bakery Products Limited	INE0D3W01013	36	The Ayurvedic Pharmaceuticals Company Limited	INE0D4E01019
18	Ivy Entertainment Private Limited	INE0D3Z01016	37	Welspun Infracapital Private Limited	INE0D3B01017
19	Jakraya Sugar Limited	INE0D3C01015	38	Zea Maize Private Limited	INE0D4J01018

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (Lakh)
Apr-18	171.51
Apr-19	185.99
Apr-20	197.47

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Apr-18	277	30,439	1,938
Apr-19	278	30,898	1,930
Apr-20	280	30,948	1,929

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (In Crore)	Value of Dematerialised Securities (In ₹ Crore)
Apr-18	1,53,278	1,81,87,157
Apr-19	1,89,801	1,88,46,586
Apr-20	2,35,484	1,89,60,842

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (In Crore)	Value of shares settled in dematerialised form (In ₹ Crore)
Apr-18	938	2,43,372
Apr-19	1,026	2,55,008
Apr-20	1,046	2,52,393

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Apr-18	20,081
Apr-19	25,798
Apr-20	30,354

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Apr-18	1,982	15,282	28,15,040
Apr-19	2,205	14,688	30,23,849
Apr-20	2,388	14,434	32,12,802

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Apr-18	1,775	2,197	1,95,615
Apr-19	2,166	2,734	2,49,892
Apr-20	2,277	2,859	2,76,944

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Apr-18	382	2,275	5,60,508
Apr-19	325	1,974	5,57,403
Apr-20	228	1,157	4,04,244

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Apr-18	35	431	2,44,041
Apr-19	41	525	3,26,233
Apr-20	29	349	2,24,090



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National Securities Depository Limited

4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.

For more information, visit www.nsdl.co.in



Forthcoming Compliance

Particulars	Deadline	Manner of Sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	June 30 th , 2020	Through e-PASS	1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020.
Compliance Certificate (January - June)	July 31 st every year	Through e-PASS	1. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 2. Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018. 3. Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.

NSDL Offices

Head Office

Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel.: (022) 24994200, Depository Helpdesk : (022) 24997000, 48867000

Branch Offices

Ahmedabad 402, 4 th Floor, Heritage Horizon, Off C. G. Road, Navrangpura, Ahmedabad - 380009 Tel.: (079) 26461375	Bengaluru Office No. 106, DBS house 26, Cunningham Road, Bengaluru - 560052 Tel.: (080) 40407106	Chennai 6A, 6 th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel.: (044) 28143911, 28143917	Hyderabad Office No. 123, Hyderabad Regus Mid-Town, 1 st Floor, Mid Town Plaza, Road No. 1, Banjara Hills, Hyderabad - 500033 Tel.: (040) 44334178
Kochi Suite No. S – 105, Monlash Business Center, 4 th Floor, Crescens Tower, NH 47, Changampuzha Nagar Post, Kochi - 682033 Tel.: (0484) 2933075	Kolkata Unit 2E, 2 nd Floor, The Millenium, 235/2A, A. J. C. Bose Road, Kolkata - 700020 Tel.: (033) 22904243, 22904246	New Delhi Unit No. 601, 603, 604, 6 th Floor, Tower-A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel.: (011) 23353814, 23353815	

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