

## News Articles

### Risk based supervision of Participants

As Participants are aware, SEBI has advised Depositories to provide information on risk assessment of Participants on half-yearly basis to SEBI for risk assessment of Participants. In this context, Participants are requested to take note of the following:

1. The information for Risk Assessment of Participants for the half year ended as on March 31, 2016 must be provided.
2. Participants are required to provide the information in the RAT to NSDL through the online platform named “e-Participant-Inspection Audit and Supervision System (e-PASS)” which was developed to facilitate Participants to provide the required information, which will be made available to SEBI.
3. In order to access the e-PASS system, Participants may use the same URL of e-PASS and login credential as was informed during the previous cycle of RAT upload. In case there is any change in Compliance Officer’s name, email ID and mobile number, Participants are requested to inform the same as per procedure communicated earlier.



### Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Calendar of Events

### Performance Summary



Investor Accounts

146,16,196



Participants

270



Participant Service Centres

26,885



Demat Custody

₹ 1,19,27,604 Cr.



Settlement

₹ 1,48,120 Cr.



Companies available for demat

15,752



Instruments

- ◆ Debentures/Bonds 13,195
- ◆ Securitised Instruments 1,538
- ◆ Commercial Paper 1,918
- ◆ Certificates of Deposit 736

### Did you know?

As announced in Union Budget 2016 – 17, deduction for additional interest of ₹50,000 per annum for loans up to ₹35 lakhs sanctioned in 2016-17 for first time home buyers, where the house cost does not exceed ₹50 lakhs. In overall context, first home buyer can get maximum deduction of interest on housing loan up to ₹2,50,000 in aggregate comprising of ₹2 lakhs under Section 24(b) of the IT Act and ₹50,000 under section 80EE of the IT Act.



### Quote of the Month :

“Shares are not mere pieces of paper. They represent part ownership of a business. So, when contemplating an investment, think like a prospective owner.” – Warren Buffett



4. A .pdf template containing information to be provided by Participant to NSDL has been emailed to Compliance Officer of each Participant along with guidelines for submission. As informed earlier, information being provided by Participant, would have to be digitally signed by Compliance Officer and Internal Auditor of the Participant. In case of change in digital signature certificate of compliance officer or auditors, same has to be communicated to NSDL as per the prescribed format.

(Ref: Circular No. NSDL/POLICY/2016/0037 dated April 1, 2016)

#### **Incorporation of 'Document Received Date' field in the eDPM system / Local DPM and changes for the same in file formats**

Currently the facility to capture date of receipt of the documents in respect of service request is not available in the DPM system. In this regard, to facilitate Participants to capture the date of receipt of the documents in respect of service request in the DPM system, the field namely 'Document Received Date' will be incorporated in the below mentioned modules in the eDPM and Local DPM system:

1. Dematerialisation of Securities
2. Rematerialisation of Securities
3. Mutual fund Conversion
4. Mutual fund Re-conversion
5. Closure of Demat account
6. Transmission of Securities

Further, the 'Document Received Date' will be a mandatory field required to be captured by the Participants while executing the instruction in respect of aforesaid service request in the eDPM system. Participants are advised to make necessary changes to their back office system by incorporating aforesaid facility as per the prescribed file formats.

(Ref: Circular No. NSDL/POLICY/2016/0042 dated April 11, 2016)

#### **Launch of Single sign-on facility for TRACES and SPEED-e / IDeAS for viewing & downloading Form 26AS from TRACE website of Income Tax Department**

TRACES is a web-based application of the Income Tax Department that provides an interface to all stakeholders associated with TDS administration. It enables viewing of challan status, downloading of Conso File, Justification Report and Form 16 / 16A as well as viewing of annual tax credit statements (Form 26AS).

Income Tax Department has granted permission to NSDL to integrate e-Services website of NSDL with TRACES website of Income Tax Department to provide the facility of viewing / downloading Form 26AS to the esteemed demat account

holders of NSDL through e-Services website of NSDL. The Users of *SPEED-e/IDeAS* facility will be able to view / download their tax credit statement by using their login credentials viz., User ID and password used for accessing the *SPEED-e/IDeAS* facility. Thus, Clients would not be required to separately log-in to TRACES website for viewing / downloading their tax credit statement. Clients have to click on the hyperlink “**View/Download Tax Credit Statements**” under *SPEED-e/IDeAS* facility after log-in to access TRACES web site.

**(Ref: Circular No. NSDL/POLICY/2016/0045 dated April 13, 2016)**

### **Incentive Scheme for opening and maintaining BSDA Accounts**

In order to compensate the Participants towards the cost of opening and maintaining Basic Services Demat Accounts (BSDA), the following incentives will be paid to the Participants for the financial years 2015-16 and 2016-17:

1. In respect of new BSDA opened during the financial year in a place other than the top 15 cities (Mumbai, Delhi, Ahmedabad, Bangalore, Chennai, Pune, Kolkata, Thane, Hyderabad, Surat, Jaipur, Vadodara, Secunderabad, Rajkot & Indore), ₹100 for every new BSDA will be paid if such BSDA has received atleast one credit of securities during the financial year.
2. In respect of all BSDA maintained by the Participant, ₹2 per folio per ISIN in respect of the ISIN positions held in BSDA.

The incentives as above will be provided to Participants on an annual basis at the end of the financial year and is subject to a ceiling specified by SEBI. Accordingly, Participants are requested to note that credit note for the aforesaid incentive for the Financial Year 2015-16 will be reflected alongwith the monthly bill for April 2016.

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

**(Ref: Circular No. NSDL/POLICY/2016/0047 dated April 18, 2016)**

### **Enhancement of eDPM system in respect of POA Validation**

Attention of Participants is invited to the following Circulars regarding software release for DPM system:

1. Execution of DIS received from Power of attorney holder in case of POA in favour of Stock Broker (*NSDL/POLICY/2016/0025 dated March 11, 2016*)
2. Incorporation of ‘Document Received Date’ field in the eDPM system / Local DPM and changes for the same in file formats. (*NSDL/POLICY/2016/0042 dated April 11, 2016*)

Participants are requested to take note that the above software features will be released on May 13, 2016 instead of April 30, 2016, as communicated earlier. In addition, the following features will also be released on May 13, 2016:

1. **Change in structure of field viz., POA ID field from Alpha-Numeric to Numeric in respect of Old DIS:**
  - a. The structure of field viz., POA ID field will be changed from Alpha-Numeric to Numeric.
  - b. The changes in the file formats in respect of the back-office interface with respect to eDPM system have been informed to Participants. Participants are advised to make necessary changes to their back office system by incorporating aforesaid changes as per the enclosed file formats.
  
2. **Validation of Mapping of POA ID with Source Account for all POA based instructions:**
  - a. For all POA based instructions wherein 'DIS Issued to POA Holder' flag is enabled and POA ID is captured at the time of execution of instruction, DPM system will check whether POA ID captured is mapped with the source Client account for which instruction is submitted, irrespective of format of Delivery Instruction Slip whether it is 'Old' or 'New'.
  - b. These validations will be carried out at the time of 'Capture' as well as 'Verify-Release' of instructions through eDPM screen based / batch upload mechanism.

(Ref: Circular No. NSDL/POLICY/2016/0050 dated April 22, 2016)

#### Training Programme for Participants:

##### ➤ **NCD0/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted two training programmes in April 2016 at Bhubaneswar & Chennai which were attended by 50 officials of Participants.

##### ➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In April 2016, NSDL conducted three such training programmes at various locations viz., Ahmedabad, Mumbai & New Delhi which were attended by 69 officials of Participants.

#### **List of Participant Service Centre:**

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

## List of Companies that have changed Names (During April 2016)

Sr. No.	Old Name	New Name
1	Rabobank International	Coöperatieve Centrale Raiffeisen-Boerenleenbank B.A.
2	Matru-Smriti Traders Limited	Abans Enterprises Limited
3	Styrolution Abs (India) Limited	Ineos Styrolution India Limited
4	Serene Properties Private Limited	Mindspace Business Parks Private Limited
5	Adithya Aquaculture Limited	Blue Cloud Softech Solutions Limited
6	Suryasamudra Retreats Kovalam Private Limited	Niraamaya Retreats Kovalam Private Limited
7	Essel Shyam Communication Limited	Planetcast Media Services Limited
8	Vaayu Energy Limited	Vayu Energy Limited
9	Bharati Shipyard Limited	Bharati Defence And Infrastructure Limited
10	Hydro S & S Industries Limited	Kingfa Science & Technology (India) Limited
11	Virinchi Technologies Limited	Virinchi Limited

## Corporate Action Statistics

Sr. No.	Description	During April 2016		For F.Y. 2016 - 17 (Upto April 2016)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	335,086	5,548,778,717	335,086	5,548,778,717
2	Preference Shares	66,551	2,776,454,480	66,551	2,776,454,480
3	Bonds	2,090	1,017,664,805	2,090	1,017,664,805
4	Commercial Papers	770	2,770,413	770	2,770,413
5	Certificates of Deposit	216	3,627,235	216	3,627,235
6	Securitised Instruments	76	3,048,151,920	76	3,048,151,920
7	Mutual Fund Units	64,936	197,357,534	64,936	197,357,534
8	Warrants	5	147,073,130	5	147,073,130
9	Mutual Fund Units (Trase)	34,060	144,571,605	34,060	144,571,605
	<b>Total</b>	<b>503,790</b>	<b>12,886,449,839</b>	<b>503,790</b>	<b>12,886,449,839</b>

## Performance Summary

### INVESTORS

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Apr-14	130.77
Apr-15	137.62
Apr-16	146.16

### PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Apr-14	278	14,437	1,568
Apr-15	273	16,849	1,586
Apr-16	270	26,885	1,946

### DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Apr-14	80,463	89,84,680
Apr-15	93,778	115,19,728
Apr-16	109,171	119,27,604

### SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Apr-14	663	1,38,137
Apr-15	704	1,89,387
Apr-16	743	1,48,120

(Single Side)

### EQUITY

Month - Year	No. of Companies Operational
Apr-14	12,396
Apr-15	14,199
Apr-16	15,752

## Companies

### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-14	902	9,598	14,62,068
Apr-15	1,130	11,179	17,47,285
Apr-16	1,368	13,195	20,31,145

### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-14	767	1,114	88,537
Apr-15	991	1,357	1,25,591
Apr-16	1,169	1,538	1,29,913

### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-14	193	1,291	1,65,829
Apr-15	295	1,737	2,70,483
Apr-16	337	1,918	4,13,045

### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-14	41	1,564	4,02,265
Apr-15	40	876	3,07,523
Apr-16	41	736	3,10,205

## Securities admitted for Dematerialisation during April 2016

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aankit Granites Limited	INE049U01017	45	Kalyani Commercials Limited	INE610E01010
2	Abet Buildcon Private Limited	INE773U01012	46	Kovalam Investment and Trading Company Limited	INE735U01011
3	Acquire Buildcon Private Limited	INE711U01012	47	Laurel Organics Limited	INE285U01017
4	Acquire Infrastructure Private Limited	INE713U01018	48	Lumina Datamatics Limited	INE719U01015
5	Agnice Fire Protection Private Limited	INE660U01011	49	M C Davar Holdings Private Limited	INE720U01013
6	Agri Business Finance Limited	INE651U01010	50	Marble Centre International Private Limited	INE645U01012
7	American Institute Of Pathology and Laboratory Sciences Private Limited	INE691U01016	51	Ostro AP Wind Private Limited	INE655U01011
8	Antelec Limited	INE654U01014	52	Ostro Bhesada Wind Private Limited	INE682U01015
9	Ashford Properties Private Limited	INE649U01014	53	Ostro Dakshin Power Private Limited	INE656U01019
10	Asian Lakto Industries Limited	INE771U01016	54	Ostro Dhar Wind Private Limited	INE659U01013
11	Atlantic Commercial Company Limited	INE790U01016	55	Ostro Kutch Wind Private Limited	INE658U01015
12	Atria Convergence Technologies Private Limited	INE985L01011	56	Park Chambers Ltd	INE606U01014
13	Azure Entertainment Private Limited	INE657U01017	57	Pathfinder Publishing Private Limited	INE769U01010
14	B.C.C. Builder Private Limited	INE667U01016	58	Peoples Investments Limited	INE644U01015
15	Basant India Limited	INE603U01011	59	Phiroze Sethna Private Limited	INE681U01017
16	BCC Infrastructures Private Limited	INE668U01014	60	Phoenix Residences Private Limited	INE672U01016
17	Best Knitting Mills Private Limited	INE663U01015	61	Pillar Investment Company Limited	INE752U01016
18	Bhartiya Infrastructure Private Limited	INE770U01018	62	Proximit Media India Private Limited	INE712U01010
19	Bhattacharyya Bottling Plant Pvt.Ltd.	INE661U01019	63	Rapid Metrorail Gurgaon South Limited	INE662U01017
20	Birla Sun Life Insurance Company Limited	INE951F01015	64	Ruia Aquaculture Farms Limited	INE673U01014
21	Book My Forex Private Limited	INE648U01016	65	Sanmar Group International Limited	INE306M01018
22	Brisk Services Limited	INE750U01010	66	Sanmar Speciality Chemicals Limited	INE640U01013
23	BTB Marketing Private Limited	INE730U01012	67	Santosh Buildcon India Private Limited	INE675U01019
24	Cancer Treatment Services Hyderabad Private Limited	INE692U01014	68	Santur Infrastructures Private Limited	INE671U01018
25	Chandan Metal Products Private Limited	INE687U01014	69	Sew Bellary Highways Limited	INE690U01018
26	Clean Max Enviro Energy Solutions Private Limited	INE647U01018	70	Shiva Medicare Limited	INE875N01028
27	Clean Solar Power (Chitradurga) Private Limited	INE710U01014	71	Shree Balaji Ethnicity Retail Limited	INE676U01017
28	Contentmart Private Limited	INE688U01012	72	Shree Vardhman Infraheights Private Limited	INE670U01010
29	Creative Webmedia Private Limited	INE689U01010	73	Shriram Properties & Infrastructure Private Limited	INE646U01010
30	Cyberabad Citizens Health Services Private Limited	INE723U01017	74	Sitaram India Limited	INE414U01013
31	Digjam Textiles Limited	INE731U01010	75	Smart Personnel Management Services Private Limited	INE680U01019
32	Distinctive Properties and Leasing Limited	INE715U01013	76	Sorting Hat Technologies Private Limited	INE733U01016
33	EM3 Agriservices Private Limited	INE728U01016	77	Speciality Flavours and Fragrances Pvt Ltd	INE716U01011
34	Energria Infrastructure Private Limited	INE717U01019	78	Spectrum Renewable Energy Private Limited	INE686U01016
35	ENN Vee Holdings Private Limited	INE669U01012	79	Steer Engineering Private Limited	INE652U01018
36	Equity Financial Services Private Limited	INE641U01011	80	Subhadra Local Area Bank Limited	INE774U01010
37	Global Houseware Limited	INE674U01012	81	SV Corporation Private Limited	INE734U01014
38	Gnex Realtech Private Limited	INE772U01014	82	Tamilnad Mercantile Bank Limited	INE668A01016
39	Hari Govind International Limited	INE167F01018	83	TCNS Clothing Co. Pvt. Ltd.	INE778U01011
40	Indo Gulf Industries Limited	INE684U01011	84	Temple Leasing and Finance Limited	INE642U01019
41	Indospace Nelamangala Industrial Park Private Limited	INE653U01016	85	The Southern Gas Limited	INE532U01012
42	Interglobe Established Private Limited	INE729U01014	86	Vikram Health Services Private Limited	INE718U01017
43	Interglobe Hotels Private Limited	INE650U01012	87	Westcoast Contractors Private Limited	INE775U01017
44	JSW Praxair Oxygen Private Limited	INE709U01016	88	Yash Chemex Limited	INE571U01010
			89	Zenica Lifestyle Private Limited	INE643U01017
			90	ZR Renewable Energy Private Limited	INE722U01019



## NSDL Calendar of Events

### FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October - March)	May 15 <sup>th</sup> every year.	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in. Alternatively, by hard copy to Inspection Department.	1. Circular No.: NSDL/POLICY/2015/0087 dated October 1, 2015.

### NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> Unit No.601,603,604, 6th Floor, Tower - A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel: (011) 23353814 / 15, Fax: (011) 23353816

### Investor Relationship Cell

**Officer-In-Charge,**  
 National Securities Depository Ltd.  
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
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### NSDL Certification Programme

**Officer-In-Charge,**  
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