

## NSDL UPDATE March 2015

# **News Articles**

#### Clarification regarding reason code and consideration for off-market and Inter-Depository Instructions

NSDL had advised its Participants that the entry of reason code and consideration (wherever applicable) in the DPM will be optional till April 1, 2015 after which it shall be mandatory to enter such reason and consideration (wherever applicable) in the DPM for off-market and Inter-Depository Instructions. In view of this, for Participants seeking clarification as to whether the requirement is applicable for delivery instructions in electronic form also, NSDL has hereby clarified that entering reason code and consideration (wherever applicable) will become mandatory from April 2, 2015 for all off market and Inter Depository instructions irrespective of whether received by Participant in physical or electronic form.

(Ref: Circular No. NSDL/POLICY/2015/0018 dated February 2, 2015)

Highlights during the month	Performance Summary		
$\sim$		Investor Accounts	137,70,639
News Articles		Participants	273
Companies Name Change		Participant Service Centres	15,936
		Demat Custody	₹ 119,21,789 Cr.
Corporate Action Statistics		Settlement	₹ 1,96,431 Cr.
		Companies available for demat	13,742
Performance Summary	Ŕ	Instruments	
		Debentures/Bonds	10,956
Securities Admitted For Dematerialisation		Securitised Instruments	1,276
		Commercial Paper	1,762
		Certificates of Deposit	984

## Did you know?

From April 1995 to April 2015, the S&P BSE Sensex has risen from 3,300 to 28,200, while CNX Nifty has risen from 1,000 to 8,600 during the same period.

Period Index	April-95	April-05	April-10	April-15
S&P BSE Sensex	3,300	6,600	17,600	28,200
CNX Nifty	1,000	2,000	5,200	8,600





## **Quote of the Month :**

"An investment in knowledge pays the best interest." - Benjamin Franklin



#### Simplification of procedure to be followed for change of address

Based on the suggestion/ feedback received from Participants for change of address, procedure for change of address is simplified & revised as given below:

- 1. While processing requests for change of address received from Clients, Participants should obtain the following documents:
  - a) A written application for change of address from the Client (*In case of joint holdings, all holders must sign the application and in case of non-individual,* authorised *signatory(ies) must sign*);
  - b) Proof of new address alongwith the original document of the new address for verification.
- 2. The Participant should verify the signature of the Client on the application with the signature of the Client available with the Participant. Further, the document pertaining to new address should be verified and attested and thereafter record the change of address in the DPM System.
- 3. In case of change (*addition/ deletion/ modification*) in the landmark details for the address which is already captured in the DPM System, Participants may limit the changes to the landmark details, after obtaining a request from the Clients on a plain paper, which is signed by all the holder(s) (*in case of joint holdings*). Participants are advised to note that the address of the Client captured in the DPM System, as mentioned in the proof of address, should not change and only limit the change in the landmark details.
- 4. After effecting the change of address in the DPM System, the Participant should send a communication to the Client, confirming the change of address, to the old and the new addresses.
- 5. The Participant shall upload the updated information of the Client on the system of KRA.

#### (Ref: Circular No. NSDL/POLICY/2015/0021 dated February 10, 2015)

#### Discontinuation of Digital Signature Certificate issued from NSDL CA Website

Participants were earlier informed to obtain at least 2 DSCs or 50% of total DSCs before August 16, 2014 from approved certifying authorities' viz., e-Mudhra Limited or Sify Technologies Limited to access DPM system. Further, as Participants are aware that NSDL had discontinued the issuance of DSC from NSDL CA website with effect from August 18, 2014, Participants are hereby informed that DSC issued from NSDL CA website will be valid till March 31, 2015 or expiry date of the DSC, whichever is earlier. Hence, those Participants who are using DSC issued from NSDL CA website will not be able to access DPM system after the aforesaid date i.e. March 31, 2015. In view of this, Participants are requested to procure DSC from any of the abovementioned approved certifying authority and submit the screen shots of DSC alongwith requisite documents to NSDL for mapping of DSC with their DP ID for accessing DPM system.

#### (Ref: Circular No. NSDL/POLICY/2015/0023 dated February 18, 2015)

#### Modification in name of the account holder in DPM - error by Participant

Participants have represented seeking procedure to be followed in case of a data entry error by the Participant while opening an account or while effecting the change in name of the account holder who is an individual or non-individual. Accordingly, Participants are hereby informed that in case a Participant commits a typographical error while entering name of the Client in the



DPM (*which is noticed after activation of the account*) or while processing the request for change in the name, it may be corrected by the Participant as prescribed below:

- a) Participant should examine the Account Opening Form, KYC Form, request for change of name and other documents submitted by the Clients/ authorized signatory (ies) and ascertain that a data entry error was indeed made while entering name of the Client in DPM.
- b) Once Participant has satisfied itself about the data entry error, it may modify the name of the Client suitably in DPM. The reason should be mentioned as '*Participant error*' while making this rectification in DPM. The necessary system changes will be informed in next DPM version release.
- c) Correction in such cases may be undertaken when error is brought to the notice of Participant by client or by auditor of Participant or is detected by Participant staff. After making required correction, intimation should be sent to the client alongwith updated Client Master Report.

Participants are further advised to inform their internal auditor to conduct 100% audit of all cases involving change in name as mentioned above.

(Ref: Circular No. NSDL/POLICY/2015/0024 dated February 18, 2015)

#### Consolidated Account Statement for all securities assets to Clients by NSDL (NSDL – CAS)

All Participants are hereby informed that with effect from February 2015 the statement of account will be sent by NSDL to all the Clients and the bill thereof will be included in the monthly bill of Participants. Accordingly, Participants will not be required to send such statement of account to Clients. However, if a Client makes a request for such statement, the Participant shall be duty bound to provide the same.

(Ref: Circular No. NSDL/POLICY/2015/0026 dated February 24, 2015)

#### **Training Programme for Participants:**

#### > NCDO/NISM training / certification programmes for Participants

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted eight training programmes in February 2015 at Chennai, Kolkata, Mumbai & New Delhi which were attended by more than 190 officials of Participants.

#### > CPE Training Programme for Participants

NSDL, an approved NISM Accreditated Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In February 2015, NSDL conducted six such training programmes at various locations viz., Ahmedabad, Bhopal, Kolkata, Mumbai & New Delhi which were attended by around 200 officials of Participants.

#### **List of Participant Service Centre:**

\* For complete list of Participant Service Centres, kindly visit https://nsdl.co.in/dpsch.php on NSDL website.

# List of Companies that have changed Names (During February 2015)

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Sr. No.	Old Name	New Name
1	Integerated Equipments & Infraservices Private Limited	Bhuvee Stenovate Private Limited
2	CMS Info Systems Private Limited	CMS Info Systems Limited
3	Nilchem Industries Limited	Padmanabh Industries Limited
4	Brightest Circle Jewellery Limited	Nakshatra Brands Limited
5	Milton Plastics Limited	MPL Plastics Limited
6	Indian Express Newspapers (Mumbai) Limited	Indian Express Newspapers (Mumbai) Private Limited
7	Clarus Finance and Securities Limited	Scan Steels Limited
8	Premier Chennai Properties Private Limited	Premier Chennai Properties Limited
9	MB Active Power Limited	Solitaire Active Power Limited
10	MB Power Projects Limited	Sunmark Power Projects Limited
11	Welspun Maxsteel Limited	JSW Steel (Salav) Limited
12	Prozone Capital Shopping Centres Limited	Prozone Intu Properties Limited
13	MEP Infrastructure Developers Private Limited	MEP Infrastructure Developers Limited
14	Ybrant Digital Limited	Lycos Internet Limited
15	Destimoney Enterprises Private Limited	Destimoney Enterprises Limited
16	Bengal Sureka Realty Limited	Sureka Realty Limited
17	Naolin Enterprises Limited	Taaza International Limited
18	Emami Biotech Limited	Emami Agrotech Limited
19	Executive Stock Broking Services Limited	Abhishek Infraventures Limited
20	Bellwether Microfinance Fund Private Limited	Caspian Impact Investments Private Limited
21	Reliance Haryana SEZ Limited	Model Economic Township Limited
22	Sinew Developers Limited	Sinew Developers Private Limited
23	Amulya General Trading and Agencies Limited	Jeevandhara Multitrade Limited

# **Corporate Action Statistics**

On No.	Description	During Feb	ruary 2015	For F.Y. 2014 - 15 (Upto February 2015)	
Sr. No.	Description	No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	57,821	4,084,719,159	2,944,237	82,072,220,170
2	Preference Shares	24	215,068,413	408	7,778,885,891
3	Bonds	5,457	837,883,817	166,108	6,979,405,903
4	Commercial Papers	713	2,000,618	7,386	20,313,793
5	Certificates of Deposit	590	9,525,846	4,502	64,911,433
6	Securitised Instruments	73	53,047,577	822	15,267,357,350
7	Mutual Fund Units	37,134	253,286,581	358,653	2,768,608,233
8	Government Securities	0	0	10	39,150,000
9	Warrants	3	3,950,000	115	534,974,147
10	Mutual Fund Units (Trase)	21,377	158,075,410	203,459	1,350,949,711
	Total	123,192	5,617,557,421	3,685,700	116,876,776,632

# **Performance Summary**

INVI			
Month -Year	Client Accounts		Month -
MUITUI - Teal	No. of Accounts (lakhs)		Year
Feb-13	126.32		Feb-13
Feb-14	130.67		Feb-14
Feb-15	137.70		Feb-15

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PARTICIPANTS					
Month - Year	No. of Participants	Service Centres	Cities / Towns		
Feb-13	282	14,590	1,575		
Feb-14	279	14,428	1,565		
Feb-15	273	15,936	1,550		

DEMATERIALISATION			S	ETTLEMENT (LISTED EC	QUITY SHARES)
Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹Cr.)	Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Feb-13	67,878	76,62,269	Feb-13	700	1,28,315
Feb-14	76,304	84,63,302	Feb-14	433	89,217
Feb-15	90,987	119,21,789	Feb-15	809	1,96,431

(Single Side)

#### EQUITY

	Month - Year	No. of Companies Operational
Companies	Feb-13	10,668
	Feb-14	12,013
	Feb-15	13,742

#### **DEBENTURES / BONDS**

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹Cr.)
Feb-13	726	8,869	12,23,375
Feb-14	863	9,309	14,30,060
Feb-15	1,090	10,956	17,07,689

### **COMMERCIAL PAPERS**

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹Cr.)
Feb-13	233	1,579	2,07,456
Feb-14	191	1,287	1,71,097
Feb-15	293	1,762	3,05,058

#### **SECURITISED INSTRUMENTS**

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹Cr.)
Feb-13	550	845	42,995
Feb-14	699	1,029	74,788
Feb-15	919	1,276	1,15,793

#### **CERTIFICATES OF DEPOSIT**

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹Cr.)
Feb-13	42	1,195	3,22,694
Feb-14	41	1,521	3,56,640
Feb-15	42	984	3,24,823



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	Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
	1	A B N Intercorp Limited	INE982R01015	41	Khimji Visram and Sons (Gujarat) Private	INE134S01011
	2	Ambe Projects Limited	INE945R01012		Limited	
	3	Apoorva Leasing Finance and Investment	INE217S01014	42	Khushi Alloys Limited	INE996R01015
		Company Limited		43	Klenzaids Contamination Controls Pvt Ltd	INE265S01013
	4	Aster Building Solutions Private Limited	INE130S01019	44	Kumaradhara Power Private Limited	INE193S01017
	5	Avail Holding Limited	INE616R01019	45	KVS Software Private Limited	INE135S01018
	6	Axiss Dental Private Limited	INE192S01019	46	Lien Trading Private Limited	INE133S01013
	7	BG Wind Power Limited	INE131S01017	47	Ligare Training Academy Limited	INE215S01018
	8	Bharat Sanchar Nigam Limited	INE103D01018	48	Lodha Properties Development Private	INE176S01012
	9	Bhartia Bachat Limited	INE745R01016	10	Limited	
	10	Bhushan Consumer Electronics Private Limited	INE159S01018	49	Madison Builders Private Limited	INE142S01014
	11	Brite Leasing and Finance Limited	INE118S01014	50	Mahle Engine Components India Private Limited	INE156S01014
	12	Cades Studec Technologies (India) Private	INE107S01017	51	Mama Catering Private Limited	INE157S01012
	•-	Limited		52	Mashaal Impex Limited	INE140S01012
	13	Caparo Engineering India Limited	INE199S01014	53	Massive Infrasol Limited	INE605R01012
	14	Chettinad Holdings Private Limited	INE129S01011	54	MC-Stitch Limited	INE961R01012
	15	Chirau Broadcast Network Limited	INE994R01010	55	Meriton Infotech Private Limited	INE194S01015
	16	Corolla Realty Limited	INE145S01017	56	Metis Eduventures Private Limited	INE264S01016
	17	Cromakem Limited	INE699R01015	57	Milestone Plotdevelopers Private Limited	INE253S01019
	18	Deluxe Laboratories Private Limited	INE954P01018	58	Mineral Fashions Private Limited	INE252S01011
	19	Desire Hitech Solutions Limited	INE287F01014	59	Mprime Premises Private Limited	INE198S01016
	20	Dhanvarsha Finvest Limited	INE615R01011	60	MSP Metallics Limited	INE146S01015
	21	Ecstasy Realty Private Limited	INE214S01011	61	NCL Alltek & Seccolor Limited	INE243S01010
	22	Elisir Lifestyle Private Limited	INE155S01016	62	Neogen Chemicals Limited	INE136S01016
	23	Ellenbarrie Chemical Allied Pvt Ltd	INE229S01019	63	Neumec Development & Allied Services	INE147S01013
	24	Embassy Inn Private Limited	INE185S01013	00	Private Limited	
	25	Facor Power Limited	INE245S01015	64	Newage Marketing Limited	INE948R01016
	26	Federal-Mogul Bearings India Limited	INE056S01016	65	Nidhi Services Limited	INE163S01010
	27	Fractal Analytics Private Limited	INE212S01015	66	Numero Uno Clothing Limited	INE927R01010
	28	Galaxy Bearings Ltd	INE020S01012	67	Onepatont Software Limited	INE196S01010
	29	Glenmore Builders Private Limited	INE182S01010	68	Pashupathinath Power Projects Private	INE211S01017
	30	Green Infra Wind Power Generation Limited	INE238S01010		Limited	
	31	Greenko Energy Ventures Private Limited	INE258S01018	69	Plus One Realtors Private Limited	INE200S01010
	32	Halder Venture Limited	INE115S01010	70	POCL Enterprises Limited	INE035S01010
	33	Hillridge Investments Limited	INE138S01012	71	Pramerica Asset Managers Private	INE259S01016
	34	ILMS Builders Private Limited	INE197S01018		Limited	
	35	ILMS Projects Private Limited	INE242S01012	72	Pristine Magadh Infrastructure Private	INE246S01013
	36	Infraedge Management Services Private	INE175S01014	70	Limited	
		Limited		73	Ram Kripal Singh Construction Private Limited	INE235S01016
	37	Jiya Eco-Products Limited	INE023S01016	74	Redtopaz Real Estate Private Limited	INE239S01018
	38	Jurimatrix Services India Private Limited	INE106S01019	75	Renew Solar Power Private Limited	INE139S01010
	39	Kanhur Wind Power Private Limited	INE177S01010	76	Renew Wind Energy (AP 4) Private	INE228S01011
	40	Kayel Securities Limited	INE750R01016		Limited	
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### NSDL.... Technology, Trust & Reach

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
77	Renew Wind Energy (Rajasthan 2) Private Limited	INE231S01015	98	Solairedirect Projects India Private Limited	INE181S01012
78	Renew Wind Energy (Sipla) Private	INE233S01011	99	Soni Medicare Limited	INE848R01018
79	Limited Renew Wind Energy (TN 2) Private	INE234S01019	100 101	Stargaze Entertainment Private Limited Suchi Paper Mills Limited	INE153S01011 INE160S01016
	Limited		102	Suncity Projects Private Limited	INE154S01019
80	Renew Wind Energy (TN) Private Limited	INE232S01013	103	Sunrise Soya Products Limited	INE137S01014
81	Renew Wind Energy (Vaspet 4) Private Limited	INE227S01013	104	Supra Industrial Resources Limited	INE174E01017
82	Renew Wind Energy (Vaspet 5) Private	INE230S01017	105	TCG Hamilton India Limited	INE216S01016
	Limited		106 107	Teramatrix Technologies Private Limited The Quopn Company Private Limited	INE149S01019 INE108S01015
83	Rita Holdings Limited	INE018S01016	107	Typhoon Financial Services Limited	INE761R01013
84 85	Ritz Mercantile Limited RSB Industries Limited	INE092S01011 INE019S01014	109	Uddhav Properties Limited	INE162S01012
86	Rubal Leasing and Holdings Limited	INE019301014 INE117S01016	110	Ujjivan Financial Services Pvt Ltd	INE334L01012
87	Sai Sudhir Infrastructures Limited	INE116S01018	111	Vaksons Automobiles Limited	INE256S01012
88	Saipuram Wind Energies Private Limited	INE257S01010	112	Vardaan Advisors Private Limited	INE143S01012
89	SC Infraventures Private Limited	INE210S01019	113	VG Learning Destination India Private Limited	INE201S01018
90	SCIL Capital India Private Limited	INE132S01015	114	Vishwaroop Developers Private Limited	INE202S01016
91 92	Shailja Commercial Trade Frenzy Limited Shalini Holdings Limited	INE195R01014 INE184S01016	115	Vishwaroop Estates & Developers Private	INE203S01014
92 93	Shivam International Limited	INE096S01012		Limited	
94	Shree Riddhi Siddhi Buildwell Limited	INE995R01017	116 117	VLCC Health Care Limited VRB Capital Services India Private Limited	INE498R01012 INE144S01010
95	Shyam Century Ferrous Limited	INE979R01011	117	Welspun Energy Chhattisgarh Limited	INE180S01014
96	Smart Investments Private Limited	INE164S01018	119	Yoboho New Media Private Limited	INE785R01012
97	SNS Properties and Leasing Limited	INE777R01019	120	Zydus BSV Pharma Private Limited	INE240S01016

### **NSDL CAS**

NSDL Consolidated Account Statement (CAS) is a single statement of all your investments in the securities market. NSDL CAS includes investments in equity shares, preference shares, mutual funds, bonds, debentures, securitized instruments, money market instruments and government securities held in demat. All investments held in single or joint names with you as the sole/first holder are a part of the NSDL CAS.

NSDL CAS is part of the overall vision to enable all financial assets to be held electronically in a single demat account, which was articulated by the Hon'ble Finance Minister in his budget speech of July 2014. As a step in this direction, SEBI has introduced this Consolidated Account Statement for all securities assets by consolidating demat accounts and mutual fund folios.

NSDL CAS offers you unparalleled convenience in keeping track of your investment portfolio. You can easily monitor the investments you hold, their value and portfolio composition. It will help you in developing strategy to manage your investments better

To know more regarding NSDL CAS, visit <u>https://nsdlcas.nsdl.com.</u>

# **NSDL Calendar of Events**

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FORTHCOMING COMPLIANCE						
Particulars	Deadline	Manner of sending	Reference			
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at dp_igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	<ol> <li>Circular No. NSDL/PI/98/500 dated October 6, 1998.</li> <li>Circular No. NSDL/PI/2004/1688 dated September 16, 2004.</li> </ol>			
Internal/ Concurrent Audit Report (October - March)	May 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in. Alternatively, by hard copy to Business Partner Inspection Department.	<ol> <li>Circular No.: NSDL/POLICY/2013/0094 dated July 24, 2013,</li> <li>Circular No. NSDL/POLICY/2013/0097 dated July 30, 2013</li> <li>Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010</li> </ol>			

### **NSDL Offices**

Head Office	Branch Offices				
<u>Mumbai</u> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel,	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<u>Chennai</u> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593			
Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<u>Kolkata</u> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road,Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756			

Investor Relationship Cell	NSDL Certification Programme
National Securities Depository Ltd.Nation4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 4000134th FloorTel: (022) 24994200 / 40904200Tel: (02Fax: (022) 24976351Fax: (02	r <b>-In-Charge,</b> nal Securities Depository Ltd. or, 'A' Wing, Trade World, Kamala Mills Compound, ati Bapat Marg, Lower Parel, Mumbai - 400013 22) 24994200 / 40904200 22) 24976351 trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

"Printed & Published by Mr. Manoj Sathe on behalf of National Securities Depository Limited and Printed at Printography Systems (India) Pvt. Ltd., 13/D, Kurla Ind. Estate, Nari Seva Sadan Road, Ghatkopar (West), Mumbai - 400 086 and Published from National Securities Depository Limited, 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013. • Editor: Mr. Manoj Sathe"