

News

Relaxation in timelines for compliance with regulatory requirements

In view of the prevailing situation due to Covid-19 pandemic, SEBI has further extended the timelines for compliance with the regulatory requirements by the Trading Members / Clearing Members / Depository Participants as mentioned below:

Compliance requirements for which timelines were extended vide SEBI circular No. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020.	S. No. in circular for which timeline is extended further	Extended timeline / Period of exclusion
Client Funding Reporting	I	Till July 31, 2020 for the months of April, May and June 2020.
Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications.	II	Till July 31, 2020 for the quarter ended on March 31, 2020.
Compliance certificate for Margin Trading for CM Segment.	III	Till July 31, 2020.
Risk based supervision.	IV	
Internal Audit Report for half year ending (HYE) March 31, 2020.	V	Till July 31, 2020 for the half year ended on March 31, 2020.
Net worth certificate in Margin Trading for on March 31, 2020. CM Segment for HYE March 31, 2020.	VIII	
Net worth certificate for all members for HYE March 2020.	IX	
Penalty for non-collection / short collection of upfront margins in cash segment.	X	Till July 31, 2020.
Maintaining call recordings of orders/instructions received from clients.	XI	

Take a look

News

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted for Dematerialisation

Forthcoming Compliance

Performance Summary*



Investor Accounts

1,98,59,837



Participants

280



Participant Service Centres

30,971



Demat Custody

₹ 170.45 Lakh Crore



Settlement

₹ 2.84 Lakh Crore



Companies available for demat

30,425



Instruments

- ◆ Certificates of Deposit 299
- ◆ Commercial Papers 1,131
- ◆ Debentures/Bonds 14,356
- ◆ Securitised Instruments 2,882

* As on May 31, 2020

News *Contd.*

Compliance requirements for which timelines were extended vide SEBI circular No. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020.	S. No. in circular for which timeline is extended further	Extended timeline / Period of exclusion
KYC application form and supporting documents of the clients to be uploaded on system of KRA within 10 working days.	III	Period of exclusion shall be from March 23, 2020 till July 31, 2020.
Compliance requirements for which timelines were extended vide SEBI circular No. SEBI/HO/MIRSD/DOP/CIR/P/2020/68 dated April 21, 2020.	S. No. in circular for which timeline is extended further	Extended timeline / Period of exclusion
Submission towards weekly monitoring of client funds under the provisions of Enhanced Supervision.	I	Till July 31, 2020.
Submission of data on monthly basis towards clients' and fund balance under the provisions of Enhanced Supervision.	II	
Daily margin trading reporting.	III	
Update in Income Tax Permanent Account Number of Key Management Personnel / Directors.	IV	Three months from the due date.
Issue of Annual Global Statement to clients.	V	

Reference: Circular No. NSDL/POICY/2020/0084 dated June 23, 2020, available on NSDL website.

Relaxation in timelines for Concurrent Audit of depository operations

The timelines for completing the concurrent audit for the period from March 16, 2020 to May 31, 2020 has been further extended till June 30, 2020.

Reference: Circular No. NSDL/ POLICY/2020/0083 dated June 16, 2020, available on NSDL website.

Framework for Regulatory Sandbox

SEBI has decided to introduce a framework for Regulatory Sandbox for entities regulated by SEBI to grant certain facilities and flexibilities to experiment with FinTech solutions in a live environment and on limited set of real customers for a limited time frame. These features shall be fortified with necessary safeguards for investor protection and risk mitigation.

Reference: Circular No. NSDL/POICY/2020/0080 dated June 8, 2020, available on NSDL website.

File format in respect of Margin obligation to be given by way of Pledge / Re-pledge

In view of SEBI guidelines about Margin obligations to be given by way of Pledge/ Re-pledge in the Depository System, the new file formats originating from e-DPM / SPEED-e system interface are provided for following :

- 1) Margin Pledge / Re-pledge upload by DPs/TMs/CMs:
 - a. Margin Pledge / Re-pledge Creation
 - b. Pledge Confirmation
 - c. Pledge Invocation
 - d. Pledge Closure
- 2) POA based Margin Pledge Initiation by DPs/TMs/CMs
- 3) TM & CM Master Data File uploads:
 - a. TM - Margin Pledge BO account(s)
 - b. TM eligible securities
 - c. CM - Margin Pledge BO account(s)

d. CM eligible securities

4) Changes in respect of Changed Orders for the Day (COD) file of DPM

Reference: Circular No. NSDL/POICY/2020/0078 dated June 1, 2020.

Operational Guidelines on margin obligations to be given by way of Pledge/ Re-pledge in the Depository System

In view of the margin obligations to be given by way of Pledge/ Re-pledge in the Depository System in favour of Trading Members (TM), Clearing Members (CM) and Clearing Corporations (CC), with effect from August 1, 2020, TM / CM shall accept collateral from clients in the form of securities, only by way of 'margin pledge', created in the Depository system in accordance with Section 12 of the Depositories Act, 1996 read with Regulation 79 of the SEBI (Depositories and Participants) Regulations, 2018 and the relevant Bye Laws of the Depositories.

Reference: Circular No. NSDL/POICY/2020/0077 dated June 1, 2020, available on NSDL website.

New client type and sub-type for opening of accounts by stock broker/PCM for margin obligations

Following new types and sub-types will be introduced in the DPM to facilitate Participants to open accounts of stock brokers and Professional Clearing Members regarding margin obligations to be given by way of pledge/ re-pledge in the Depository System.

Account Category	New Client Type	New Client Type Code	New Client Sub-Type Description	New Client Sub-Type Code
Individuals	Stock Broker	19	TM – Client Securities Margin Pledge Account	01
			CM – Client Securities Margin Pledge Account	02
			TM/CM – Client Securities Margin Pledge Account	03
Corporates	Stock Broker	20	TM – Client Securities Margin Pledge Account	01
			CM – Client Securities Margin Pledge Account	02
			TM/CM – Client Securities Margin Pledge Account	03
			TM - Client Securities under Margin Funding Account	04
Corporates	PCM	21	CM – Client Securities Margin Pledge Account	01

Participants are requested to make necessary changes in their back office system as per the aforementioned static data codes in respect of Client Maintenance module of DPM.

Reference: Circular No. NSDL/POICY/2020/0076 dated May 31, 2020.

Extension of Implementation of provision regarding Power of Attorney to August 1, 2020

SEBI has extended the implementation date regarding holding of Power of Attorney by TM / CM not to be considered as equivalent to the collection of margin by TM / CM in respect of securities held in the demat account of the client, from June 01, 2020 to August 1, 2020.

Reference: Circular No. NSDL/POICY/2020/0075 dated May 30, 2020, available on NSDL website.

Forthcoming Investor Awareness Programmes through webinar

NSDL conducts Investor Awareness Programs (IAPs) throughout the country to ensure investors are aware of different aspects of investing. In view of the prevailing situation, NSDL is continuing the investor awareness programs in form of webinar. The schedule of the forthcoming programs / webinars is published online at <https://nsdl.co.in/Investor-Awareness-Programmes.php>. We shall be happy to conduct IAPs for your organization / institute / society. Please write to us at info@nsdl.co.in about such programs to be conducted.

More the education, more the prudence.

List of Companies which have changed Name during May 2020

Sr. No	Old Name	New Name
1	Apollo Construction Equipments Limited	Ammann India Private Limited
2	Bajaj Infrastructure Development Company Limited	Anand Engineering Limited
3	Balance Technology Private Limited	Paytm Services Private Limited
4	Booker India Private Limited	Booker India Limited
5	Chaitanya Rural Intermediation Development Services Private Limited	Navi Finserv Private Limited
6	Consul Neowatt Power Solutions Private Limited	Fuji Electric Consul Neowatt Private Limited
7	Delhi Mumbai Industrial Corridor Development Corporation Limited	National Industrial Corridor Development Corporation Limited
8	E - Infochips Limited	E - Infochips Private Limited
9	Heritage Foods (India) Limited	Heritage Foods Limited
10	Indiavidual Learning Private Limited	Indiavidual Learning Limited
11	Lanco Teesta Hydro Power Private Limited	Lanco Teesta Hydro Power Limited
12	Mahindra Asset Management Company Private Limited	Mahindra Manulife Investment Management Private Limited
13	Mahindra Trustee Company Private Limited	Mahindra Manulife Trustee Private Limited
14	Mandke Hospitals Limited	Mandke Hospital Support Services Limited
15	R G S Healthcare Private Limited	R G S Healthcare Limited
16	Reliance Digital Platform & Project Services Limited	Reliance Projects & Property Management Services Limited
17	Ricoh India Limited	Minosha India Limited
18	Rudra Solarfarms Limited	Rudra Solarfarms Private Limited
19	Saavn Media Private Limited	Saavn Media Limited
20	Saketh Exim Limited	Tembo Global Industries Limited
21	Shalimar Lakecity Private Limited	ANS Developers Private Limited
22	Torrent Private Limited	Torrent Investments Private Limited

Corporate Action Statistics

Sr.No.	Description	During May 2020		For F.Y. 2020 - 21 (Upto May 2020)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Bonds	3,906	4,97,88,049	6,036	36,29,16,137
2	Certificate Of Deposits	32	7,80,000	88	23,60,000
3	Commercial Papers	473	22,96,850	1,533	76,04,040
4	Equity Shares	5,442	7,85,68,64,112	26,13,016	42,01,11,41,558
5	Government Securities	19,756	46,40,441	49,762	1,29,16,075
6	Mutual Fund Units	65,080	20,62,28,390	2,11,418	52,84,63,107
7	Mutual Fund Units (Trase)	65,378	26,46,36,799	2,11,206	81,43,49,927
8	Preference Shares	54	66,96,66,035	224	6,09,53,47,161
9	Real Estate Investment Trust	0	0	6	18,25,89,140
10	Rights Entitlements	10,88,951	41,34,85,460	10,88,951	41,34,85,460
11	Securitized Instruments	28	27,49,87,386	84	5,83,73,72,126
12	Warrants	0	0	18	1,86,21,89,732
	Total	12,49,100	9,74,33,73,522	41,82,342	58,13,07,34,463

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (Lakhs)
May-18	172.42
May-19	186.68
May-20	198.59

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
May-18	277	30,563	1,937
May-19	278	30,946	1,930
May-19	280	30,971	1,931

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Crore)	Value of Dematerialised Securities (₹ Crore)
May-18	1,54,922	1,75,70,560
May-19	1,95,806	1,90,35,134
May-20	2,17,512	1,70,45,668

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Crore)	Value of shares settled in dematerialised form (₹ Crore)
May-18	1,024	2,67,388
May-19	1,070	2,80,402
May-20	1,100	2,84,013

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
May-18	20,246
May-19	26,364
May-20	30,425

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
May-18	1,980	15,105	28,05,965
May-19	2,244	14,774	30,32,804
May-20	2,402	14,356	32,43,251

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
May-18	1,772	2,196	1,95,021
May-19	2,223	2,762	2,54,821
May-20	2,316	2,882	2,80,518

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
May-18	380	2,387	6,04,083
May-19	336	2,033	5,75,086
May-20	235	1,131	4,26,573

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Crore)
May-18	35	411	2,38,701
May-19	41	512	3,02,692
May-20	28	299	1,93,389

Securities admitted for Dematerialisation during May 2020

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	7 Steps Consultores Private Limited	INE0D5Z01011	33	Gostops Hospitality Private Limited	INE0D2K01010
2	Acrycol Minerals Limited	INE0D7U01018	34	Hindustan Thompson Advertising Limited	INE0D6U01010
3	Acrysil Appliances Limited	INE0D7V01016	35	Hindusthan Calcined Metals Private Limited	INE0D5U01012
4	Advance Medicare & Research Institute (Bhubaneswar) Private Limited	INE0D6C01018	36	IG3 Infra Limited	INE0D6J01013
5	Advance Medicare & Research Institute (Mukundapur) Private Limited	INE0D6K01011	37	India Pesticides Limited.	INE0D6701015
6	Advanced Eye Institute Private Limited	INE0D8M01013	38	Jai Buildwell Private Limited	INE0D7001019
7	AGP Karaikal LNG Private Limited	INE0D6001010	39	Jamshedpur Mineral Wool Manufacturing Company Private Limited	INE0D8B01016
8	AGS Community Foundation	INE0D5301015	40	Karexpert Technologies Private Limited	INE0D5P01020
9	Alang Marine Limited	INE0D6901011	41	Kayel Syntex Limited	INE0D6001013
10	Apsara Selections Limited	INE0D7701014	42	Ksolves India Limited	INE0D6I01015
11	Arihant Solvex Private Limited	INE0D8R01012	43	Kyungshin Industrial Motherson Private Limited	INE0D8D01012
12	Avaada Sunce Energy Private Limited	INE0D5J01023	44	Lokmanya Hospitals Private Limited	INE0D6R01016
13	Avant Garde Cleanroom & Engg. Solutions Private Limited	INE0D6B01010	45	M. P. Enterprises & Associates Limited	INE0D5A01014
14	B P K Infrastructures Private Limited	INE0D6F01011	46	Magneti Marelli Motherson Auto System Private Limited	INE0D8P01016
15	Bajaj Trade Developments Limited	INE0D7D01014	47	Magneti Marelli Motherson Shock Absorbers India Private Limited	INE0D8Q01014
16	Bansal Oil Mill Limited	INE0D7401011	48	Majestic Farm House Limited	INE0D5101019
17	Best Finance Corporation Limited	INE0D4Z01014	49	Mani Capitals Limited	INE0D7201015
18	Bindlas Duplux Limited	INE0D5V01010	50	Manyata Builders and Developers Private Limited	INE0D6401012
19	Blackdiamond Trading Private Limited	INE0D8T01018	51	Manyata Commercial Developers Private Limited	INE0D6601017
20	Bombay Sungold Constructions Private Limited	INE0D8J01019	52	Manyata Knowledge Infrastructure Projects Private Limited	INE0D6501019
21	Brij Investments Private Limited	INE0D8N01011	53	Manyata Office Park Developers Private Limited	INE0D6301014
22	Calsonic Kansei Motherson Auto Products Private Limited	INE0D8E01010	54	Manyata Office Parks Private Limited	INE0D6801013
23	Corset Wholesale Limited	INE0D5R01018	55	Marami Infotech Limited	INE0D6L01019
24	Devine Realty and Construction Private Limited	INE0D6A01012	56	MMI Business and Technology Solutions Private Limited	INE0D4V01013
25	Edreams Edusoft Private Limited	INE0D4S01019	57	Monsoon Credittech Private Limited	INE0D7N01013
26	Ekam Advisors Private Limited	INE0D5001011	58	Moon Meadows Private Limited	INE0D5H01019
27	ETL Power Services Limited	INE0D6E01014	59	Mountainia Developers and Hospitality Private Limited	INE0D8K01017
28	FDO Holidays Private Limited	INE0D8301012	60	Naari Pharma Private Limited	INE0D6T01012
29	Field Motor Private Limited	INE0D7E01012			
30	Fritzmeier Motherson Cabin Engineering Private Limited	INE0D5401013			
31	Gangalaxmi Agro-Tech Limited	INE0D8C01014			
32	Golkonda Engineering Enterprises Limited	INE0B7E01014			

Securities admitted for Dematerialisation during May 2020 (contd.)

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
61	Net Pix Shorts Digital Media Limited	INE0D5F01013	90	Sky Oxygen Company Limited	INE0D5801014
62	Nirvana Niche Products Private Limited	INE0D7C01016	91	Southern Star Hitech Development Limited	INE0D7901010
63	Nissin Advanced Coating Indo Co. Private Limited	INE0D8A01018	92	Spirited Motor Vehicles Limited	INE0D7F01019
64	Noida Properties Limited	INE0D5W01018	93	SSG Pharma Private Limited	INE0D7101017
65	Omno Green Assets Private Limited	INE0D8501017	94	Star Estates Management Limited	INE0D6201016
66	Oravan Industrial Logistics and Warehousing Private Limited	INE0D7J01011	95	Sternhagen Bath Private Limited	INE0D8201014
67	Oswal Motels and Resorts Limited	INE0D4T01017	96	Sukna Tea & Industries Limited	INE0D5I01017
68	Padm Complex Limited	INE0D6101018	97	Sunny View Estates Private Limited	INE195S01012
69	Panorama Studios Private Limited	INE0D6G01019	98	Suratgarh Bikaner Toll Road Company Private Limited	INE0D7W01014
70	People Power India Private Limited	INE0D5Y01014	99	Suyog Electricals Limited	INE0D4W01011
71	Phoenix SEZ Developers Private Limited	INE0D6N01015	100	Swapnasafalya Properties Private Limited	INE0D8U01016
72	Prepladder Private Limited	INE0D5Q01010	101	Talentsprint Private Limited	INE0D7A01028
73	Renew Green Energy Private Limited	INE0D8S01010	102	Tehri Pulp and Paper Limited	INE0D8I01011
74	Renew Surya Ojas Private Limited	INE0D8V01014	103	Transpacific Certifications Limited	INE0D7P01018
75	Revolt Intellicorp Private Limited	INE0D7B01018	104	Treasure Tree Investments Limited	INE0D7Q01016
76	RGE Constructions and Development Private Limited	INE0D5701016	105	Trinity Autopoints Limited	INE0D6D01016
77	Rohit Polytex Limited	INE0D8801011	106	Turanth Suraksha Insurance Web Aggregator Private Limited	INE0D8001019
78	Rohit Techserve Limited	INE0D7M01015	107	Turning Wheels India Limited	INE0D5B01012
79	S W Farms Private Limited	INE0D6W01016	108	UFS Digital Limited	INE0D6Q01018
80	S.E.C.Services Limited	INE0D7501018	109	Unisystems Packers Private Limited	INE0D8401010
81	Samruddhi Swastik Trading and Investments Limited	INE0D6S01014	110	Universal Capital Securities Private Limited	INE0D8L01015
82	Sankhu Merchandise Private Limited	INE0D7001011	111	Vanuruti Industrial Logistics and Warehousing Private Limited	INE0D7L01017
83	Sekhani Industries Private Limited	INE0D4U01015	112	VI Farm Organics Limited	INE0D7H01015
84	Selecto Systems Private Limited	INE0D6P01010	113	Virgo Realtors Private Limited	INE0D5L01011
85	Shivaliks Mercantile Private Limited	INE0D6X01014	114	Vivrut Developers Private Limited	INE0D9001017
86	Shri Sehgal's Trustee Company Private Limited	INE0D9101015	115	VM Salgaocar Corporation Private Limited	INE0D7601016
87	Silonibari Tea Co. Limited	INE0D6V01018	116	Volute Constructions Limited	INE0D5201017
88	Singhal Contractors & Builders Private Limited	INE0D5501010	117	Webklipper Technologies Private Limited	INE071601012
89	Sisbro Motor and Workshop Private Limited	INE0D5S01016	118	Zeus Career & Performance Coach Private Limited	INE0D5X01016
			119	Zorex Forging Limited	INE0D5901012



Forthcoming Compliance

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (October 2019 – March 2020)	July 31 st 2020	Through e-PASS	<ol style="list-style-type: none"> 1. Circular No. NSDL/POLICY/2020/0045 dated April 7, 2020 2. Circular No. NSDL/ POLICY/2020/0056 dated April 29, 2020 3. Circular No.: NSDL/ POLICY/2020/0062 dated May 7, 2020. 4. Circular No. NSDL/POLICY/2020/0083 dated June 16, 2020 5. Circular No. NSDL/POLICY/2020/0086 dated June 30, 2020
Compliance Certificate (January - June)	July 31 st every year	Through e-PASS	<ol style="list-style-type: none"> 1. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010 2. Circular No. NSDL/POLICY/2018/0028 dated May 21, 2018. 3. Circular No. NSDL/POLICY/2019/0088 dated December 17, 2019.
Artificial Intelligence / Machine Learning Reporting Form (if offering or using such technologies as defined) (Quarterly)	By 15 th of the following month	By email at Participant-Interface@nsdl.co.in	Circular No. NSDL/POLICY/2019/0016 dated March 27, 2019
Cyber Security & Cyber Resilience framework of Depository Participants (Quarterly)	By 15 th of the following month	Through e-PASS	<ol style="list-style-type: none"> 1. Circular No. NSDL/POLICY/2019/0039 dated July 9, 2019. 2. Circular no. NSDL/POLICY/2020/0069 dated May 15, 2020.
System Audit Report (Annual)	July 31 st 2020	Through e-PASS	Circular no. NSDL/POLICY/2020/0090 dated July 3, 2020

NSDL Offices

Head Office

Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel.: (022) 24994200, Depository Helpdesk : (022) 24997000, 48867000

Branch Offices

Ahmedabad 402, 4 th Floor, Heritage Horizon, Off C. G. Road, Navrangpura, Ahmedabad - 380009 Tel.: (079) 26461375	Bengaluru Office No. 106, DBS house 26, Cunningham Road, Bengaluru - 560052 Tel.: (080) 40407106	Chennai 6A, 6 th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel.: (044) 28143911, 28143917	Hyderabad Office No. 123, Hyderabad Regus Mid-Town, 1 st Floor, Mid Town Plaza, Road No. 1, Banjara Hills, Hyderabad - 500033 Tel.: (040) 44334178	Jaipur 207, 2 nd Floor, Arcade Tower, K-12, Malviya Marg, C – Scheme, Jaipur – 302001 Tel.: (0141) 2366347
Kochi Suite No. S – 105, Monlash Business Center, 4 th Floor, Crescens Tower, NH 47, Changampuzha Nagar Post, Kochi - 682033 Tel.: (0484) 2933075	Kolkata Unit 2E, 2 nd Floor, The Millenium, 235/2A, A. J. C. Bose Road, Kolkata - 700020 Tel.: (033) 22904243, 22904246	Lucknow Unit No. 438, 4 th Floor, Regus Business Center, Halwasia Court, Hazratganj, Lucknow - 226001 Tel: (0522) 6672325	New Delhi Unit No. 601, 603, 604, 6 th Floor, Tower-A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel.: (011) 23353814, 23353815	

For more information, email us at info@nsdl.co.in