

Visit by delegates from Bank of Tanzania, Tanzania to NSDL during December 2014



(From L to R: Mr. Christopher Nyato, Ms. Zubeida Salum, Mr. Eric Lwambura, Mr. Rajesh Doshi, Mr. Bakari K. Ally, Mr. G V Nageswara Rao, Mr. Mohamed Kailwa, Mr. Mlekwa Kulwa, Mr. Zachariah I Magabe & Mr. Samar Banwat)

Take
a look...

Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Performance Summary



Investor Accounts

136,33,973



Participants

272



Participant Service Centres

15,864



Demat Custody

₹ 112,20,526 Cr.



Settlement

₹ 1,78,180 Cr.



Companies available for demat

13,470



Instruments

- ◆ Debentures/Bonds 10,669
- ◆ Securitised Instruments 1,246
- ◆ Commercial Paper 1,772
- ◆ Certificates of Deposit 1,085

Did you know?

As on January 31, 2015, 851 SEBI registered market Intermediaries have registered with NDML KRA and KYC records of 50.23 lakh applicants were uploaded in the KRA system.



Quote of the Month :

To know who you are is the greatest power of all - Sherrilyn Kenyon & Dianna Love



News Articles

DIVS GAP Report utility on i-Assist

As Participants are aware, Participants are required to scan the Delivery Instruction Slip (DIS) images and post the scanned images of DIS on 'DIS Image Validation System (DIVS)' on Local DPM system within prescribed timelines (Ref.: NSDL/POLICY/2014/0085 dated August 5, 2014). In order to enable Participants to verify that the DIS instructions which are executed in the eDPM system and are posted on the DIVS within prescribed timelines, a utility i.e. DIVS GAP Report has been developed. This utility features the following reports:

Name of Report (out file)	Description of Report
(i) DIS images pending to be posted to DIVS (DIS_NOT_IN_DIVS.txt)	This report will give list of DIS serial numbers present in eDPM but corresponding DIS scanned image is not present in DIVS. The period for this report would be from October 1, 2014 to till T-2 of the date of generation of report.
(ii) DIS images posted on DIVS, but entry not made in eDPM (DIS_NOT_IN_COD.txt)	This report will give list of DIS serial numbers for which scanned image is present in DIVS but corresponding DIS serial number is not present in eDPM. The period for this report would be from October 1, 2014 to till T-2 of the date of generation of report.
(iii) DIS image posted late (DIVS_COD_COMPLIANCE.txt)	This report will give list of DIS serial numbers for which scanned image was posted in DIVS after T+1 days. The period for this report would be from October 1, 2014 to till the date of generation of report.

(T=Business Date)

The utility is being made available under the link 'DIVS Gap Report' in 'Download' section on homepage of i-Assist.

Further, in respect of DIS issued in old format, it is observed that some Participants are uploading DIS Serial number as 'AB 123456' (with spaces between AB & 123456). Participants are advised to mention DIS Serial number without any spaces / fillers (as 'AB123456' - without any spaces/fillers in between DIS Serial No.).

(Ref: Circular No. NSDL/POLICY/2014/0132 dated December 26, 2014)

Change in nomenclature of Flag - 'No Download of e-mail ID to Issuer / RTA' in DPM.

With reference to receiving annual report, AGM notice and other communications from Issuer in physical form, Participants are hereby informed that nomenclature of the flag - 'No download of e-mail ID to Issuer / RTA' has been changed to 'Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form' in 'Other Details' screen of Client Creation / Modification / Search / Detail View and respective Reports & Exports of DPM.

(Ref: Circular No. NSDL/POLICY/2014/0127 dated December 19, 2014)

Risk Based Supervision of Depository Participants

SEBI has decided to adopt a supervision model based on risk levels in order to better regulate marketplace and strengthen the surveillance system. In view of the above, SEBI has formulated a 'Risk Assessment Template', which contains information to be provided by Depositories to SEBI periodically for risk assessment of Participants.

NSDL is in process of developing a mechanism for submission of information by the Participants. Details of such platform, process of submission and timelines would be communicated shortly. Participants are meanwhile advised to compile the required details from their records and keep the same ready for submission in respect of period October 1, 2013 to September 30, 2014.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2014/0129 dated December 26, 2014)

ISIN description and narration of corporate action in statement of accounts

Participants provide statement of accounts including transaction statement and holdings statement to the Clients as per the defined frequency and as and when required by the client. In this context, it is observed that where such statements are generated by Participants through back office, the narration of corporate action / ISIN description (especially in case of corporate debt) appearing in the statement of accounts may not be meaningful to the Client. Accordingly, all Participants are requested to review and modify the same, if necessary, latest by March 31, 2015. Participants may also use the ISIN description and narration of corporate actions as per the statement of account generated from the DPM system.

(Ref: Circular No. NSDL/POLICY/2014/0131 dated December 26, 2014)

Training Programme for Participants:

➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In December 2014, NSDL conducted five such training programmes at various locations viz., Ahmedabad, Kolkata, Mumbai & New Delhi which were attended by 171 officials of Participants.

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During December 2014)

Sr. No.	Old Name	New Name
1	Apollo Health Street Limited	Sutherland Healthcare Solutions Private Limited
2	Polaris Financial Technology Limited	Polaris Consulting & Services Limited
3	Shapoorji Pallonji Infrastructure Capital Company Private Limited	Shapoorji Pallonji Infrastructure Capital Company Private Limited
4	Himachal Pradesh State Electricity Board	Himachal Pradesh State Electricity Board Limited
5	Sterling and Wilson Limited	Sterling and Wilson Private Limited
6	ECS Biztech Private Limited	ECS Biztech Limited
7	GMR Ulundurpet Expressways Private Limited	Ulundurpet Expressways Private Limited
8	TRIF Amritsar Projects Private Limited	TRIF Amritsar Projects Limited
9	TRIF Real Estate and Development Private Limited	TRIF Real Estate and Development Limited
10	Peepul Tree Properties Private Limited	Peepul Tree Properties Limited
11	SP Jammu Udhampur Highway Private Limited	SP Jammu Udhampur Highway Limited
12	Stream International Services Private Limited	Convergys Stream Private Limited
13	Nobel Hygiene Limited	Nobel Hygiene Private Limited
14	Logistics Plus India Private Limited	NTL-Logistics (India) Private Limited
15	Lahoti Entertainment & Media Limited	Spicy Entertainment and Media Limited
16	Saptharishi Finance Limited	Saanvi Advisors Limited
17	Lanco Industries Limited	Srikalahasthi Pipes Limited
18	Zen Soft Solutions Limited	ZR Infra Limited
19	Waaree Energies Private Limited	Waaree Energies Limited
20	Enrich Industries Limited	Anar Industries Limited
21	Golden Seam Textiles Private Limited	Golden Seams Industries Private Limited
22	A2Z Maintenance & Engineering Services Limited	A2Z Infra Engineering Limited

Corporate Action Statistics

Sr. No.	Description	During December 2014		For F.Y. 2014 - 15 (Upto December 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	1,277,005	13,018,774,417	2,574,852	69,968,485,942
2	Preference Shares	21	871,033,785	359	7,004,118,725
3	Bonds	16,594	395,363,842	158,831	6,121,245,524
4	Commercial Papers	770	1,973,103	5,975	16,447,429
5	Certificates of Deposit	528	8,422,900	3,601	52,285,457
6	Securitized Instruments	42	54,335,418	703	12,355,092,838
7	Mutual Fund Units	34,122	350,947,180	290,629	2,446,267,099
8	Warrants	16	15,071,300	102	520,604,147
9	Mutual Fund Units (Trase)	20,882	549,195,591	161,337	1,113,889,300
	Total	1,349,980	15,265,117,536	3,196,389	99,598,436,461

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Dec-12	125.35
Dec-13	130.55
Dec-14	136.33

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Dec-12	283	14,435	1,562
Dec-13	280	14,349	1,557
Dec-14	272	15,864	1,552

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Dec-12	66,457	79,56,043
Dec-13	74,445	84,70,335
Dec-14	89,073	112,20,526

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Dec-12	757	1,28,202
Dec-13	586	1,10,959
Dec-14	828	1,78,180

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Dec-12	10,463
Dec-13	11,749
Dec-14	13,470

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-12	697	8,625	11,85,993
Dec-13	828	9,088	13,96,310
Dec-14	1,057	10,669	16,23,011

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-12	536	822	39,121
Dec-13	647	970	67,083
Dec-14	897	1,246	1,19,189

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-12	231	1,539	1,86,276
Dec-13	195	1,307	1,59,565
Dec-14	275	1,772	2,43,125

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-12	42	1,219	3,54,649
Dec-13	41	1,339	3,50,017
Dec-14	41	1,085	2,82,208

Securities admitted for Dematerialisation during December 2014

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aachvis IT SEZ Infra Private Limited	INE706R01018	55	Gerbe Developers Private Limited	INE720R01019
2	Aachvis Softech Private Limited	INE709R01012	56	Grant Investrade Limited	INE836R01013
3	Abhipushp Properties Private Limited	INE863R01017	57	GSP Crop Science Private Limited	INE713R01014
4	Adani Transmission (India) Limited	INE822R01013	58	Hariganga Machineries and Engineering Services Limited	INE583R01011
5	Agri Power and Engineering Solutions Private Limited	INE769R01016	59	HGS (India) Limited	INE813R01012
6	Alok Denims (India) Limited	INE895R01019	60	High Rise Facility Solutions Private Limited	INE746R01014
7	Alok Knit Exports Private Limited	INE893R01014	61	Hi-Klass Trading and Investment Limited	INE302R01016
8	Amar Ujala Publications Limited	INE838H01010	62	Hindustan Auto Finance Limited	INE806Q01018
9	Anantpura Wind Energies Private Limited	INE803R01013	63	HT Interactive Media Properties Limited	INE727R01014
10	Anee Agro Producer Company Limited	INE695R01013	64	IL&FS Rail Limited	INE694R01016
11	Anuttam Developers Private Limited	INE854R01016	65	Ind-Barath Energy (Utkal) Limited	INE828R01010
12	APG Intelli Homes Private Limited	INE892R01016	66	Infinity Infrabuild Private Limited	INE671R01014
13	Aplaya Fashions Limited	INE731R01016	67	Insignia International Private Limited	INE834R01018
14	Ardor Global Private Limited	INE839R01017	68	J. N. Investments and Trading Company Private Limited	INE760R01015
15	Ardor In-Fin Private Limited	INE841R01013	69	Jawala Real Estate Private Limited	INE226K01012
16	Ardor International Private Limited	INE845R01014	70	JITF Shipyards Limited	INE869R01014
17	Argus Global Private Limited	INE844R01017	71	Jubilant Aeronautics Private Limited	INE886R01018
18	Ashiana Dwellings Private Limited	INE787R01018	72	Jubilant Motorworks Private Limited	INE888R01014
19	Asiatic Colour-Chem Industries Limited	INE793R01016	73	Jubilant Realty Private Limited	INE890R01010
20	Assetz Communities Development Private Limited	INE891R01018	74	Jubilant Retail Consolidated Private Limited	INE889R01012
21	Automark Motors Private Limited	INE624R01013	75	Jyotirgamy Enterprises Limited	INE805R01018
22	Azure Hospitality Private Limited	INE738R01011	76	'K' Line (India) Private Limited	INE868R01016
23	B Raheja Properties Private Limited	INE783R01017	77	Kalhaar Blues and Greens Golf Club Private Limited	INE861R01011
24	Bangalore Fort Farms Limited	INE578R01011	78	Karam Chand Thapar & Bros (Coal Sales) Limited	INE736R01015
25	Belum Wind Infrastructure Private Limited	INE801R01017	79	Kashiram Jain and Company Limited	INE669R01018
26	Best Healthcare Private Limited	INE768R01018	80	KMC Infratech Road Holdings Limited	INE693R01018
27	Bharat Mumbai Container Terminals Pvt Ltd	INE862R01019	81	Kohinoor Technical Institute Pvt Ltd	INE728R01012
28	Bihar Distillers & Bottlers Pvt. Ltd.	INE703R01015	82	Krishna Bhima Samruddhi Local Area Bank Limited	INE778R01017
29	Bill Forge Private Limited	INE665R01016	83	Kuber Udyog Limited	INE594R01018
30	Blue Sapphire Healthcares Private Limited	INE707R01016	84	Landmark Automobiles Pvt. Ltd.	INE657R01013
31	BMI Wholesale Trading Private Limited	INE348R01019	85	Landmark Cars Private Limited	INE559R01011
32	Caparo Maruti Limited	INE744R01019	86	Landmark Commercial Vehicles Private Limited	INE656R01015
33	Cent Bank Home Finance Limited	INE852R01010	87	Landmark Etail Limited	INE833R01010
34	Chem-Edge International Private Limited	INE843R01019	88	Macor Packaging Limited	INE414R01019
35	Clarion Global Trade Private Limited	INE840R01015	89	Madhav Infra Projects Limited	INE631R01018
36	Clean Solar Power (Dhar) Private Limited	INE885R01010	90	Mahindra Rural Housing Finance Limited	INE950001019
37	Clean Solar Power (Hiriyur) Private Limited	INE754R01018	91	MAN Infraprojects Limited	INE702R01017
38	Clean Wind Power (Manvi) Private Limited	INE753R01010	92	Maple Logistics Private Limited	INE883R01015
39	Creative Lifestyles Private Limited	INE811R01016	93	Markdata Advertising Private Limited	INE691R01012
40	Creative Textile Mills Private Limited	INE817R01013	94	Matrix Developers Private Limited	INE697R01019
41	Delta Softpro Private Limited	INE900R01017	95	Megri Soft Limited	INE756R01013
42	Destimoney Enterprises Private Limited	INE809R01010	96	Misys Trade & Risk Management India Private Limited	INE825R01016
43	Destimoney India Services Private Limited	INE305L01012	97	Moneytech Finlease Limited	INE632R01016
44	Dolvi Coke Projects Limited	INE872R01018	98	Mosaic Media Ventures Private Limited	INE779R01015
45	Ensemble Overseas Private Limited	INE846R01012	99	Mount Shivalik Breweries Limited	INE696R01011
46	Escapade Real Estate Private Limited	INE770R01014	100	M-Tech Innovations Limited	INE692R01010
47	Eureka Industries Limited	INE958A01011	101	Mytrah Vayu (Indravati) Private Limited	INE827R01012
48	Evita Constructions Private Limited	INE758R01019	102	Navratna Ahmedabad Golf Infrastructure Private Limited	INE856R01011
49	Exel Capital Services Limited	INE087R01013	103	Navratna Infrastructure Limited	INE857R01019
50	Fern Healthcare Private Limited	INE780R01013			
51	Float Developers Private Limited	INE721R01017			
52	Focal Energy Solar India Private Limited	INE901R01015			
53	Focal Energy Solar One India Private Limited	INE894R01012			
54	Garuda Vaayu Shakthi Limited	INE755R01015			

Sr. No.	COMPANY	ISIN
104	Navratna Township Private Limited	INE860R01013
105	NDTV Lifestyle Holdings Limited	INE899R01011
106	Nirvikara Paper Mills Limited	INE875R01011
107	Nival Developers Private Limited	INE719R01011
108	Northstar Solar Power Private Limited	INE867R01018
109	NSL Krishnaveni Sugars Limited	INE701R01019
110	Omkar Energy Private Limited	INE664R01019
111	Onicra Credit Rating Agency Of India Limited	INE814R01010
112	Parijat Industries (India) Private Limited	INE704R01013
113	Plutus Enterprises Private Limited	INE903R01011
114	Prabhat Securities Limited	INE774R01016
115	Radiant Cash Management Services Private Limited	INE855R01013
116	Raghu Leela Realtors Private Limited	INE714R01012
117	Rajputana Investment & Finance Limited	INE751R01014
118	Ranken Bonds & Holdings Ltd.	INE595R01015
119	Rayalaseema Wind Energy Company Private Limited	INE802R01015
120	RCC Laboratories India Private Limited	INE902R01013
121	Regal Enterprises Limited	INE384R01014
122	Reliance Sibur Elastomers Private Limited	INE878R01015
123	Renewsys India Private Limited	INE864R01015
124	RSP Design Consultants India Pvt Ltd	INE858R01017
125	S.P.J. Stock Brokers Private Limited	INE879R01013
126	SAB Electronics Devices Limited	INE617R01017
127	Scrabble Entertainment Limited	INE717R01015
128	Sehore Agri Services Private Limited	INE788R01016
129	Setu Vintrade Limited	INE575R01017

Sr. No.	COMPANY	ISIN
130	Sewa Grih Rin Limited	INE772R01010
131	Sharda Construction & Corporation Pvt. Ltd.	INE800R01019
132	Shree Shubham Logistics Limited	INE725R01018
133	Shrivision Towers Private Limited	INE810R01018
134	Simec Indus Resources Private Limited	INE884R01013
135	Smart Card IT Solutions Limited	INE710R01010
136	Standard It Web Solutions Private Limited	INE708R01014
137	Stork Ferro And Mineral Industries Private Limited	INE835R01015
138	Sunayana Investment Company Limited	INE724R01011
139	Sunilhitech India Infra Private Limited	INE816R01015
140	Superior Finlease Limited	INE574R01010
141	Three C Buildcon Private Limited	INE716R01017
142	Three C Green Developers Pvt. Ltd.	INE812R01014
143	Transglobe Foods Limited	INE213P01019
144	Trelleborg Automotive India Private Limited	INE718R01013
145	Union KBC Asset Management Company Private Limited	INE824R01019
146	Union KBC Trustee Company Private Limited	INE853R01018
147	Valecha Kachchh Toll Roads Ltd	INE715R01019
148	Value Infrabuild India Private Limited	INE767R01010
149	Value Infratech India Private Limited	INE759R01017
150	Vinayaka Alloys Private Limited	INE881R01019
151	Viraj Profiles Limited	INE859L01018
152	Vivek Limited	INE882R01017
153	Wachovia Marketing Private Limited	INE871R01010
154	Western Drilling Contractors Private Limited	INE887R01016
155	Xanadu Estates Private Limited	INE781R01011

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: trainingdept@nsdl.co.in

Know more about KYC Registration Agency (KRA)

With a view to bring uniformity in the KYC requirements for the securities markets, SEBI has initiated usage of uniform KYC by all SEBI registered intermediaries. In this regard SEBI has issued the SEBI {KYC (Know Your Client) Registration Agency (KRA)}, Regulations, 2011. Pursuant to the aforesaid regulation, M/s. NSDL Database Management Limited (NDML) which is a wholly owned subsidiary of National Securities Depository Ltd (NSDL), has been granted In-principle approval by SEBI to function as a KRA.

KRA provides for centralization of the KYC records in the securities market. The client who is desirous of opening an account/trade/deal with the SEBI registered Intermediary shall submit the KYC details through the KYC Registration form and supporting documents. The Intermediary shall perform the initial KYC and upload the details on the system of the KYC Registration Agency (KRA). This KYC information can be accessed by all the SEBI Registered Intermediaries while dealing with the same client. As a result, once the client has done KYC with a SEBI registered intermediary, he need not undergo the same process again with another intermediary.

Features of KRA system

- ✓ Single KYC for investors investing in the markets through stock brokers, mutual funds, portfolio managers etc. On confirmation of KYC, the KRA shall dispatch acknowledgement letter to each and every client who has provided the KYC to the intermediary.
- ✓ KRA system can be accessed online by all SEBI registered intermediaries like brokers, depository participants, mutual funds, portfolio managers etc for verification of KYC details of the investors.
- ✓ Inter-operability among different KRA's to determine whether KYC information of a client is already available with KRA.
- ✓ Dissemination of information of client to other intermediaries that are approached by the client to avail of their services for which KYC is required.
- ✓ Maker – Checker concept in all the activities to ensure accuracy of data.
- ✓ The system will have the necessary security feature to ensure that only authorized users have access to authorized functions.

Registration of Market Intermediaries:

SEBI registered intermediaries who are desirous of registering with NDML KRA are requested to submit duly filled 'Intermediary Registration' form, 'Terms and Conditions' and the 'User Creation' Forms to KRA at the below mentioned address. The Intermediary registration process and the forms can be downloaded from <https://kra.ndml.in/>.

NDML KYC Registration Agency

NSDL Database Management Limited

A Wing, Trade World, 4th floor, Kamala Mills Compound

Senapati Bapat Marg,

Lower Parel, Mumbai – 400 013