

## News Articles

### Footnote for Consolidated Account Statement (CAS) in the Statement of Accounts to be provided to Clients

SEBI has notified the requirement to issue a Consolidated Account Statement (CAS) by Depositories to enable a single consolidated view to all investors having Mutual Fund (MF) investments and holding demat accounts with the Depositories w.e.f. March 2015 w.r.t. transactions carried out during the month of February 2015.

In this context, Participants are advised to incorporate the following footnote in the next Statement of Accounts sent to Clients for the month ended January 2015 onwards:

*“For the benefit of the investors SEBI has directed Depositories to send Consolidated Account Statement (CAS) from February 2015 for the investments of an investor in Mutual Funds (MF) and securities held in demat form with the Depositories. NSDL CAS will enrich your experience of managing your portfolio effectively and benefit you in many other ways viz. better decision making, simplified monitoring, rich analysis of portfolio etc. However, if you do not wish to receive the CAS (not recommended), you may write to your DP or send your request at noCAS@nsdl.co.in from your email ID registered in your demat account held with NSDL.”*

Procedure to incorporate the aforementioned changes in the Statement of Accounts generated from DPM System and manner of informing NSDL for noCAS information received by Participant from Clients in writing will be informed in due course. Participants who are providing



### Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

### Performance Summary



Investor Accounts

136,97,744



Participants

273



Participant Service Centres

15,936



Demat Custody

₹ 117,01,062 Cr.



Settlement

₹ 1,69,106 Cr.



Companies available for demat

13,620



**Instruments**

- ◆ Debentures/Bonds 10,807
- ◆ Securitised Instruments 1,257
- ◆ Commercial Paper 1,745
- ◆ Certificates of Deposit 1,020

### Did you know?

India's mobile Internet user base is likely to reach 480 million by 2017 from 160 million at present.



### Quote of the Month :

The new information technology...Internet and e-mail...have practically eliminated the physical costs of communications - Peter Drucker



the Statement of Accounts through their back office system are advised to make necessary changes to their back office system and incorporate the aforesaid footnote in the Statement of Accounts for the month ended January 2015 onwards.

(Ref: Circular No. NSDL/POLICY/2015/0010 dated January 17, 2015)

#### **Update of mobile number in demat account for SMS alerts to Clients**

Participants having their own website were earlier advised to display a ticker on their websites for update of mobile numbers by clients to receive alerts for all debits and other important transactions in their demat account directly from the Depositories. In this context, SEBI has vide its email dated January 2, 2015 advised as follows:

1. Participants must report the client's mobile numbers to Depositories as soon as possible.

##### **NSDL clarification:**

*Participants must continue to ensure that the mobile numbers provided by the Client while opening demat account or subsequently must be updated in the DPM system. In addition, in case the Participant has the mobile number of the client in its back-office, trading account or in the bank account which is provided by the client, then the Participant may update (not mandatory) the mobile number and SMS flag in the demat account and intimate the client about the updation. Participants must ensure that correct mobile number as provided by the Client is updated in DPM system. After updation of the same, the Participant must send the Client Master Report and a communication informing the benefits of availing SMS alert facility.*

2. Promote registration of mobile numbers among investors through investors' education highlighting the benefits.

(Ref: Circular No. NSDL/POLICY/2015/0017 dated January 30, 2015)

#### **Registration on FINgate Gateway for uploading Reports to FIU – IND**

Financial Intelligence Unit – India (FIU-IND) had earlier notified about the new reporting format for CTRs and STRs under the FINnet Project informing that reporting entities are required to initiate submission of reports on the FINnet gateway using the 'Test Mode' in order to test the new system. In this context, FIU-IND has now requested the Depositories to instruct the Participants the following:

1. Register on FINNET gateway.
2. Appoint a Principal Officer and Designated Director.
3. Apply for a digital signature and use the same for filing of STRs.

Further, FIU-IND has also provided the following clarifications in this context:

1. **Whether a Participant which is already registered on FINNET gateway as a Bank, will be required to register again as a Participant and get a separate FIUREID?**

**FIU-IND clarification:** Yes, separate registration is required as a bank and as a Participant. For queries related to Reporting Entity / Principal Officer's registration, Participants may send email to [ctrcell@fiuindia.gov.in](mailto:ctrcell@fiuindia.gov.in)

2. **Action to be taken in case Participant has already appointed a Principal Officer and Designated Director and intimated to FIU-IND.**

**FIU-IND clarification:** No further action is needed if Participant has appointed Principal Officer and Designated Director. But, the Participant (through their Principal Officer) is required to register on FINNET gateway to obtain FIUREID. The process of registration is available on FIUIND website (<http://fiuindia.gov.in/>).

3. **Where can the List of Certifying Authorities approved by FIU from whom the digital signature to be procured be obtained?**

**FIU-IND clarification:** The list of certifying authorities may be obtained by Participants by sending email to FIUIND helpdesk [helpdesk@fiuindia.gov.in](mailto:helpdesk@fiuindia.gov.in).

4. **Format to be used by the Participants in which STR is to be filed / uploaded with FIUIND.**

**FIU-IND clarification:** The format of STR is available on website ([fiuindia.gov.in](http://fiuindia.gov.in)). The Participants may also refer to FAQ available on FIUIND website (<http://fiuindia.gov.in/faq-reportingformats.htm#3e>)

(Ref: Circular No. NSDL/POLICY/2015/0004 dated January 5, 2015)

**SEBI (Depositories and Participants) (Amendment) Regulations, 2014 - Single Registration for Depository Participants**

SEBI has notified regarding Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2014 with respect to Single Registration for Depository Participants vide email dated January 6, 2015 as mentioned below:

1. If an entity is a Participant of both Depositories and has received permanent registration through one Depository and only initial/renewal registration through the other Depository, then whether the entity is required to apply to other Depository once again for permanent registration.

**SEBI clarification:** The entity is required to approach to the other depository for approval and follow the procedure as laid down by SEBI Circular dated December 30, 2014 on Single Registration for Depository Participants.

2. If an entity is a Participant of both Depositories and has applied for permanent registration through one Depository which is under process and has received initial/renewal registration through the other Depository, then whether the entity is required to apply to other Depository once again for permanent registration before the deadline i.e. 3 months prior the expiry of registration.

**SEBI clarification:** The entity is required to apply to the other Depository for approval and follow the procedure as laid down by SEBI Circular dated December 30, 2014 on Single Registration for Depository Participants.

(Ref: Circular No. NSDL/POLICY/2015/0006 dated January 8, 2015)

**Risk Based Supervision of Depository Participants**

NSDL has developed a mechanism for submission of risk assessment of Participants to SEBI. Participants are requested to note that information to be provided for risk assessment will have to be digitally signed by Compliance Officer and Internal Auditor of the Participant. If Compliance Officer and / or Internal Auditor of Participant is / are not having a Class 2 or Class 3 Digital Signature Certificate (DSC) issued by a licensed Certifying Authority (CA) (list of approved CAs is available at [www.cca.gov.in](http://www.cca.gov.in)), they are requested to obtain the same.

Further, SEBI has provided the revised 'Risk Assessment Template' (RAT). The RAT contains information about Participants which needs to be provided to SEBI. An online platform named "e-Participant-Inspection Audit and Supervision System (e-PASS)" is developed to facilitate Participants to provide the information required in the RAT to NSDL, which will be made available to SEBI.

Further details about this circular are posted on NSDL website [www.nsd.co.in](http://www.nsd.co.in).

(Ref: Circular Nos. NSDL/POLICY/2015/0007 dated January 13, 2015 & NSDL/POLICY/2015/0012 dated January 21, 2015)

**Change of Name of e-Mudhra Consumer Services Limited to e-Mudhra Limited**

Participants are requested to note that e-Mudhra Consumer Services Limited (e-Mudhra) has informed NSDL about change of organisation name from e-Mudhra Consumer Services Limited to e-Mudhra Limited. In view of the above, e-Mudhra has provided revised application form, guidelines for procurement of Digital Signature Certificate (DSC) and operations manual to download DSC from its website.

(Ref: Circular No. NSDL/POLICY/2015/0015 dated January 27, 2015)

**Training Programme for Participants:**➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted three training programmes in January 2015 at Chennai, Mumbai and New Delhi which were attended by 50 officials of Participants.

➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In January 2015, NSDL conducted eight such training programmes at various locations viz., Ahmedabad, Chennai, Kochi, Kolkata, Lucknow, Mumbai and New Delhi which were attended by more than 300 officials of Participants.

**List of Participant Service Centre:**

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

## List of Companies that have changed Names (During January 2015)

Sr. No.	Old Name	New Name
1	Infowavz International Private Limited	Convergys Infowavz Private Limited
2	Ashapura Volclay Limited	Ashapura Perfoclay Limited
3	Choice Infra Ventures Limited	Aqua Pumps Infra Ventures Limited
4	Inbiopro Solutions Private Limited	Stelis Biopharma Private Limited
5	Country Club (India) Limited	Country Club Hospitality & Holidays Limited
6	Bandhan Financial Services Private Limited	Bandhan Financial Services Limited
7	Virtual Marketing India Private Limited	Hungama Digital Media Entertainment Private Limited
8	Indiabulls Realtech Limited	RattanIndia Nasik Power Limited
9	Atos Worldline India Private Limited	Worldline India Private Limited
10	Suvijay Exports Limited	Poddar Bhumi Holdings Limited
11	Bokaro Jaypee Cement Limited	Dalmia Cement East Limited
12	Kevalin Securities Limited	Smart Finsec Limited
13	Springfields Securities Limited	Spring Fields Infraventures Limited
14	Allana Exports Limited	Allana Exports Private Limited
15	Phoenicia Shipping Company Limited	Phoenicia Shipping Company Private Limited
16	Grameen Financial Services Private Limited	Grameen Koota Financial Services Private Limited
17	Aster DM Healthcare Private Limited	Aster DM Healthcare Limited
18	Maa Durga Solutions Limited	Indovation Renewables Limited
19	The Ratnakar Bank Limited	RBL Bank Limited
20	OAIS Auto Financial Services Limited	ORIX Leasing & Financial Services India Limited
21	Telco Construction Equipment Company Limited	Tata Hitachi Construction Machinery Company Limited
22	Matrimony.Com Private Limited	Matrimony.com Limited
23	Vedanta Aluminium Limited	MALCO Energy Limited
24	Coffee Day Enterprises Private Limited	Coffee Day Enterprises Limited
25	Color Chips (India) Limited	Sphere Global Services Limited

## Corporate Action Statistics

Sr. No.	Description	During January 2015		For F.Y. 2014 - 15 (Upto January 2015)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	311,564	8,019,015,069	2,886,416	77,987,501,011
2	Preference Shares	25	559,698,753	384	7,563,817,478
3	Bonds	1,820	20,276,562	160,651	6,141,522,086
4	Commercial Papers	698	1,865,746	6,673	18,313,175
5	Certificates of Deposit	311	3,100,130	3,912	55,385,587
6	Securitised Instruments	46	2,859,216,935	749	15,214,309,773
7	Mutual Fund Units	30,890	69,054,553	321,519	2,515,321,652
8	Government Securities	10	39,150,000	10	39,150,000
9	Warrants	10	10,420,000	112	531,024,147
10	Mutual Fund Units (Trase)	20,745	78,985,001	182,082	1,192,874,302
	<b>Total</b>	<b>366,119</b>	<b>11,660,782,750</b>	<b>3,562,508</b>	<b>111,259,219,211</b>

## Performance Summary

### INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Jan-13	125.85
Jan-14	131.06
Jan-15	136.97

### PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Jan-13	282	14,596	1,573
Jan-14	279	14,410	1,563
Jan-15	273	15,936	1,550

### DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jan-13	67,418	80,15,790
Jan-14	75,813	82,71,925
Jan-15	90,331	117,01,062

### SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jan-13	748	1,33,396
Jan-14	579	1,16,920
Jan-15	756	1,69,106

(Single Side)

### EQUITY

Month -Year	No. of Companies Operational
Jan-13	10,585
Jan-14	11,884
Jan-15	13,620

## Companies

### DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-13	711	8,746	12,05,861
Jan-14	843	9,168	14,13,810
Jan-15	1,078	10,807	16,80,247

### SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-13	543	830	41,726
Jan-14	670	990	68,878
Jan-15	906	1,257	115,760

### COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-13	237	1,674	2,12,706
Jan-14	202	1,385	1,79,325
Jan-15	287	1,745	2,89,167

### CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-13	42	1,199	3,46,729
Jan-14	41	1,372	3,55,501
Jan-15	41	1,020	2,99,306

## Securities admitted for Dematerialisation during January 2015

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Aayushi Credit and Capital Services Limited	INE001S01012	74	Mara Builder Private Limited	INE952R01018
2	Aditya Telelink Private Limited	INE749R01018	75	Matrimony.Com Limited	INE866R01010
3	AGI Infra Limited	INE976R01017	76	Meadow Traders Private Limited	INE060S01018
4	Ajanta Mercantile Limited	INE069S01019	77	Mega Steels Private Limited	INE007S01019
5	Albrecht Builder Private Limited	INE011S01011	78	Megalift Material Handling Private Limited	INE026S01019
6	Ankur Healthcare Private Limited	INE974R01012	79	Milestone City Complex Private Limited	INE063S01012
7	APG Community Development Private Limited	INE032S01017	80	Morphosis Realtors (India) Private Limited	INE980R01019
8	Arfin India Limited	INE784R01015	81	Mumbai Airport Lounge Services Private Limited	INE950R01012
9	Arvind Infrastructure Limited	INE034S01013	82	Murad Properties & Projects Limited	INE789R01014
10	Associated Finman Limited	INE947R01018	83	Mythri Housing Private Limited	INE958R01015
11	BFL Developers Limited	INE948Q01018	84	Mytrah Vayu (Godavari) Private Limited	INE913R01010
12	Blackberry Dealtrade Private Limited	INE078S01010	85	Mytrah Vayu (Gujarat) Private Limited	INE008S01017
13	Blue Blends (India) Limited	INE1130O1014	86	Navratna Organisers and Developers Private Limited	INE041S01018
14	BTJ Leasing and Investments Limited	INE741R01015	87	NDTV Media Limited	INE992R01014
15	Centre of Human Reproduction and Biosciences Private Limited	INE061S01016	88	Neelkamal Realtors Suburban Private Limited	INE971R01018
16	Chemiesynth (Vapi) Limited	INE829R01018	89	New Era Leasing and Finance Limited	INE874R01014
17	Cinema Ventures Private Limited	INE043S01014	90	North Town Estates Private Limited	INE915R01015
18	CMS Info Systems Private Limited	INE925R01014	91	Northern Solaire Prakash Private Limited	INE101S01010
19	Comet Builders Private Limited	INE044S01012	92	Obike Trading Private Limited	INE015S01012
20	Corporate Merchant Bankers Limited	INE850R01014	93	Octal Tracon Private Limited	INE005S01013
21	Cybercity Builders and Developers Pvt Limited	INE006S01011	94	OFS Technologies Limited	INE742R01013
22	Dalmia Infrastructure Private Limited	INE949R01014	95	Olympia Industries Limited	INE482001021
23	Edgeverve Systems Limited	INE946R01010	96	Ostro Renewables Private Limited	INE938R01017
24	Essel Bhubaneswar Msw Limited	INE941R01011	97	P. N. Gadgil Jewellers Private Limited	INE953R01016
25	Faery Estates Private Limited	INE935R01013	98	Parmeshwari Silk Mills Limited	INE808R01012
26	Fiber Plus Industries Limited	INE804R01011	99	Parvati Private Limited	INE046S01017
27	Fincare Business Services Private Limited	INE957R01017	100	Pristine Logistics & Infraprojects Private Limited	INE021S01010
28	Fitworth Construction Private Limited	INE025S01011	101	Pristine Malwa Logistics Park Private Limited	INE066S01015
29	Fortis Healthstaff Limited	INE942R01019	102	Pristine Mega Food Park Private Limited	INE071S01015
30	Future Generali India Insurance Company Limited	INE039S01012	103	Progressive Finlease Limited	INE911R01014
31	Gagar Steel Private Limited	INE045S01019	104	Purple Entertainment Limited	INE905R01016
32	Gamma Pizzakraft (Overseas) Private Limited	INE067S01013	105	PVR Leisure Limited	INE956R01019
33	Gammon Power Limited	INE943R01017	106	QRG Enterprises Limited	INE068S01011
34	Gaurav Mercantiles Limited	INE641R01017	107	Rachika Trading Private Limited	INE918R01019
35	Genesis Developers and Holdings Limited	INE273R01019	108	Raghunath Prasad Phoolchand Limited	INE880R01011
36	Grandeur Products Limited	INE545R01010	109	Raideep Industries Limited	INE923R01019
37	Green Infra Corporate Wind Limited	INE080S01016	110	Rangsons Electronics Private Limited	INE055S01018
38	Green Infra Wind Energy Development Ltd	INE076S01014	111	Rarity Agencies Private Limited	INE954R01014
39	Green Infra Wind Energy Efficiency Ltd	INE083S01010	112	Ravindranath GE Medical Associates Private Limited	INE970R01010
40	Green Infra Wind Ltd	INE077S01012	113	Renew Power Ventures Private Limited	INE003S01018
41	Green Infra Wind Power Ltd	INE082S01012	114	S. K. M. Real Infra Limited	INE973R01014
42	Green Infra Wind Power Solutions Ltd	INE075S01016	115	Sagar Sanand Infrabuild Private Limited	INE951R01010
43	Green Infra Wind Solutions Ltd	INE079S01018	116	Sai Adithya Green Energy Private Limited	INE969R01012
44	Green Infra Wind Technology Ltd	INE081S01014	117	Samayanallur Power Investments Private Limited	INE966R01018
45	GTV Engineering Limited	INE910R01016	118	Saujalya Consultants Private Limited	INE955R01011
46	Hyderabad Chemical Limited	INE016S01010	119	Saya Homes Private Limited	INE037S01016
47	India Gas Solutions Private Limited	INE967R01016	120	Shendra Advisory Services Private Limited	INE040S01010
48	India Mortgage Guarantee Corporation Private Limited	INE004S01016	121	Shree Vijay Industries Limited	INE838R01019
49	Indian Country Homes Private Limited	INE047G01010	122	Shree Worstex Limited	INE926R01012
50	Indianroots Retail Private Limited	INE981R01017	123	Shubb Labh Investments Limited	INE690R01014
51	Indomatrix Logistics Private Limited	INE070S01017	124	SKS Power Generation (Madhya Pradesh) Limited	INE914R01018
52	Indraprasth Metal Private Limited	INE940R01013	125	Skyweb Infotech Limited	INE830R01016
53	Indus Tree Crafts Private Limited	INE124K01019	126	Spisys Limited	INE805E01016
54	Inga Capital Private Limited	INE062S01014	127	Sprint Advisory Services Private Limited	INE057S01014
55	Inline 4 Motors Private Limited	INE033S01015	128	SSPN Finance Limited	INE820R01017
56	Ishaan Infrastructures and Shelters Limited	INE818R01011	129	Super Domestic Machines Limited	INE215Q01012
57	Ishwer Realty and Technologies Pvt. Ltd.	INE014S01015	130	Supreme Credit Corporation Limited	INE978R01013
58	Jai Bhikshu Credit and Holdings Limited	INE065S01017	131	Suryauday Solaire Prakash Private Limited	INE102S01018
59	Jain Marmo Industries Limited	INE780Q01015	132	Svarnim Trade Udyog Limited	INE730R01018
60	Jindal Intellicom Limited	INE944R01015	133	Symbiox Investment & Trading Co. Limited	INE653R01012
61	Jubilant Fresh Private Limited	INE916R01013	134	Tata Realty And Infrastructure Limited	INE371K01016
62	Jubilant Properties Private Limited	INE917R01011	135	Techlog Support Services Private Limited	INE074S01019
63	Kagaz Finlease Limited	INE936R01011	136	Think Music Digital Entertainment Private Limited	INE968R01014
64	Kaithal Tollway Private Limited	INE972R01016	137	Today Green Energy Private Limited	INE920R01015
65	Karvy Data Management Services Limited	INE960R01011	138	Transitions Optical India Private Limited	INE029S01013
66	Karvy Realty (India) Limited	INE103S01016	139	Tumus Electric Corporation Limited	INE064R01012
67	KNS Infrastructure Private Limited	INE064S01010	140	U. P. Electricals Limited	INE922R01011
68	Kyner Trading Private Limited	INE013S01017	141	Vaikunth Realty Private Limited	INE959R01013
69	L&T Chiyoda Limited	INE010S01013	142	Valary Developers Private Limited	INE012S01019
70	Live 100 Hospital Private Limited	INE859R01015	143	VKL Seasoning Private Limited	INE017S01018
71	Loyty Rewardz Management Pvt Ltd	INE105S01011	144	Winner Investment and Trading Limited	INE939R01015
72	M and I Watches Limited	INE790R01012	145	Yantra Green Power Private Limited	INE993R01012
73	Mahanagar Gas Limited	INE002S01010	146	Yogya Enterprises Limited	INE904R01019
			147	Zeno Traders and Services Limited	INE928R01018

## Know more about NSDL's Internet & SMS Based Facilities

Internet has revolutionized the computer and communications world like never before. Internet is at once a world-wide broadcasting capability, a mechanism for information dissemination and a medium for collaboration and interaction between individuals and their computers without regard for geographic location. Internet represents one of the most successful examples of the benefits of sustained investment and commitment to research and development of information infrastructure. To follow best practices & to make life simpler of investors, NSDL has narrowed the gap of investors by providing various Internet based facilities such as SPEED-e, IDeAS, DIRECT, SIMPLE etc.

Further, to avail NSDL's SMS alert facility, an Investor need not have a smart phone or a data plan activated on his mobile phone. NSDL send SMS alerts directly to investors for various transactions carried out by him in his demat account free of cost. We have highlighted some of the key aspects of SMS alerts provided by NSDL to its investors in this issue.

### NSDL's Internet Based Initiatives:

#### ✓ **e-Voting**

Shareholders normally exercise their votes on resolutions proposed by companies through postal ballot. If a company decides to pass any resolution by resorting to postal ballot, it will send a notice to all the shareholders, requesting them to send their assent or dissent in writing on a postal ballot. The process of seeking shareholders' approval through postal ballot is not only time consuming but also involves huge cost such as administration and posting costs, paper work, etc.

Ministry of Corporate Affairs has authorised NSDL for setting up an electronic platform for facilitating shareholders to use this facility. In order to facilitate the Issuers to enable electronic voting, National Securities Depository Limited (NSDL) has developed an internet based "e-Voting" platform, which will enable shareholders / stakeholders to cast their vote on the resolutions proposed by the companies by Postal Ballot. Electronic voting replaces the postal ballot process and saves time and cost of the company. e-Voting is a mechanism through which security holders of companies can vote on resolutions electronically anywhere and at any-time during the period of voting, through the electronic voting system developed by NSDL. In case of e-voting, there is no physical collection and counting of postal ballot papers. Also, there is no need for verification of signatures of the shareholders who have exercised their votes. Till date, more than 1,000 companies have availed the e-voting services of NSDL on 1,320 occasions.

#### ✓ **SIMPLE (Submission of Instruction through Mobile Phone Login Easily)**

SIMPLE is a facility which enables the password based users of SPEED-e facility to submit instructions on SPEED-e website through their mobile phones. The salient features of SIMPLE are as follows:

- This facility is available for password based users of SPEED-e facility.
- Users can submit the client to Clearing Member Pool account transfer instructions on SPEED-e website through their mobile phones.

For availing this facility, mobile phones of the Users should be enabled with Internet facility for accessing SPEED-e website.

#### ✓ **Alerts to Clients through Email (ACE)**

ACE is a facility whereby emails will be sent by NSDL to the email address of the account holder(s) in case of change of address.

- Email alerts will be sent to the email address of the account holder(s) available in the NSDL system.
- Account holder(s) will receive email alerts from the email id: nsdl\_alerts@nsdl.com

#### ✓ **NSDL's SMS Alert facility**

NSDL has launched SMS Alert facility for its demat account holders whereby investors can receive alerts for various transactions in demat account. The facility is available to investors who has requested for SMS alert facility and have provided their mobile number to their DP. NSDL provides SMS alert facility free of cost to its investors. As this facility gives an alert to investors for any transactions taking place in his demat account, SMS alert facility plays a crucial role for an account holder in taking precautionary measures in his demat account.

- **Registration for SMS Alert facility**

An investor can register for SMS alert facility by submitting a written request to his DP alongwith his mobile number for availing this facility. This facility is not available to investors who have registered mobile numbers originating outside India.

This facility will be available to investors provided they have given their mobile number to their DPs and the DPs have captured the number in the DPM system and have also enabled (ticked) the SMS flag for receiving SMS alerts in DPM system.

- **Receive NSDL's SMS Alerts:**

NSDL provides SMS Alert facility for demat account holders whereby investors can receive alerts directly from NSDL for All Debits (transfers), Credits for IPO, sub-division and bonus, Failed instructions, Overdue instructions, Change of mobile number, Change of address, Debits of Mutual Fund units, Invocation of pledge securities, Registration and De-Registration of Power of Attorney and for Modification/Cancellation of nominee name.

## NSDL Calendar of Events

### FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	By email at <a href="mailto:dp_igreport@nsdl.co.in">dp_igreport@nsdl.co.in</a> Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at <a href="mailto:nsdl-bp-inspection@nsdl.co.in">nsdl-bp-inspection@nsdl.co.in</a> Alternatively, by hard copy to Inspection Department.	1. Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.

## NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
 National Securities Depository Ltd.  
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
 Tel: (022) 24994200 / 40904200  
 Fax: (022) 24976351  
 Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Programme

**Officer-In-Charge,**  
 National Securities Depository Ltd.  
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
 Tel: (022) 24994200 / 40904200  
 Fax: (022) 24976351  
 Email: [trainingdept@nsdl.co.in](mailto:trainingdept@nsdl.co.in)

For more information, email us at [info@nsdl.co.in](mailto:info@nsdl.co.in)