

Visit by delegates from National Settlement Depository (NSD), Russia to NSDL during November 2014



(From L to R: Mr. Alexey Loginov, Mr. Nitin Ambure, Mr. Prashant Vagal, Mr. Rajesh Doshi, Ms. Maria Krasnova, Mr. G V Nageswara Rao, Mr. Andrey Denisov, Mr. Sergey Putyatinskiy, Mr. Samar Banwat)

Take a look...

Highlights during the month

News Articles

Companies Name Change

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Performance Summary

Securities Admitted For Dematerialisation

Performance Summary



Investor Accounts

135,47,426



Participants

273



Participant Service Centres

15,676



Demat Custody

₹ 112,92,279 Cr.



Settlement

₹ 1,61,342 Cr.



Companies available for demat

13,310



Instruments

- ◆ Debentures/Bonds 10,535
- ◆ Securitised Instruments 1,260
- ◆ Commercial Paper 1,739
- ◆ Certificates of Deposit 1,148

Did you know?

You can hold shares, bonds, mutual funds, insurance policies, government securities and many other instruments in NSDL accounts.



Quote of the Month :

Smart investing doesn't consist of buying good assets, but of buying assets well. This is a very, very important distinction that very, very few people understand - Howard Marks



News Articles

Consolidated Account Statement (CAS) for all securities assets and amendment to Business Rules

SEBI has notified the requirement to issue a Consolidated Account Statement to enable a single consolidated view of all the investments of an investor in mutual funds and securities held in demat form with the Depositories.

In view of the above, a new Rule 14.3.6 has been inserted in Business Rules of NSDL as mentioned below:

“14.3.6 The Depository may directly send statement of account including transaction statement and holdings statement to Clients and in such cases Participants are not required to send such statement of account as per Rule 14.3.1, 14.3.2, 14.3.3 and Rights and Obligations Document as specified at Annexure K.

Provided that whenever the Client requests for such a statement, the Participant shall be duty bound to provide the same.”

(Ref: Circular No. NSDL/POLICY/2014/0122 dated November 12, 2014)

Requirement of appointing qualified person at each Service Centre

A requirement exists to have appointed atleast one qualified person (NISM-DOCE, NCDO or NFCM) at every service centre of the Participant. As directed by SEBI that the associated persons engaged/employed by the Participant in certain activities related to depository services must obtain NISM-DOCE certification. In view of this, it is hereby clarified that for associated persons doing basic / elementary level / clerical level work and whose work is supervised by NISM - DOCE certified personnel, passing NISM-DOCE may be optional. Accordingly, the requirement of appointing one qualified person at every service centre is withdrawn. Thus, where the associated persons are engaged/employed at service centres for carrying out only basic / elementary level / clerical level work passing NISM – DOCE certification will be optional if such work is supervised by a NISM - DOCE certified person.

(Ref: Circular No. NSDL/POLICY/2014/0118 dated November 3, 2014)

Subscription to *SPEED-e*

During November 2014, three more Participants have subscribed to the *SPEED-e* facility viz.,

- Morgan Stanley India Company Private Limited (DP ID IN300433)
- A. G. Shares & Securities Limited (DP ID IN301006)
- Yoha Securities Limited (DP ID IN303315)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 164.

Training Programme for Participants:**➤ NCD0/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted a training programme in November 2014 at Mumbai which was attended by 25 officials of Participants.

➤ KYC Training Programme for Participants

In November 2014, NSDL conducted a 'KYC requirements for Account Opening' training programme at Kolkata which was attended by seven officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In November 2014, NSDL conducted seven such training programmes at various locations viz., Ahmedabad, Chandigarh, Chennai, Kolkata, Mumbai, New Delhi & Patna which were attended by 216 officials of Participants.

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During November 2014)

Sr. No.	Old Name	New Name
1	Defiance Technologies Limited	Hinduja Tech Limited
2	Cadbury India Limited	Mondelez India Foods Limited
3	Jayavant Industries Limited	PC Products India Limited
4	Dhunseri Petrochem & Tea Limited	Dhunseri Petrochem Limited
5	Admire Investments Limited	Apunka Invest Commercial Limited
6	Gabino Developers Private Limited	Salton Developers Private Limited
7	Planet Earth Estates Private Limited	Vatika Sovereign Park Private Limited
8	Sargam Diecastings Private Limited	Rockman Industries Chennai Private Limited
9	Tadas Wind Energy Limited	Tadas Wind Energy Private Limited
10	YOU Broadband & Cable India Limited	YOU Broadband India Private Limited
11	Indiareit Fund Advisors Private Limited	Piramal Fund Management Private Limited
12	Surana Ventures Limited	Surana Solar Limited
13	Lalpur Wind Energy Limited	Lalpur Wind Energy Private Limited
14	Wind Urja India Limited	Wind Urja India Private Limited
15	IL&FS Wind Power Limited	Ratedi Wind Power Private Limited
16	Sobha Developers Limited	Sobha Limited
17	Manpasand Beverages Private Limited	Manpasand Beverages Limited
18	Ram Informatics Limited	Raminfo Limited
19	AAA Enterprises Private Limited	Reliance Inceptum Private Limited
20	Indiabulls Power Limited	RattanIndia Power Limited
21	Indiabulls Infrastructure and Power Limited	RattanIndia Infrastructure Limited
22	AAA International Capital Private Limited	Reliance Infradevelopment Private Limited
23	Kadvani Securities Limited	Atlanta Infrastructure and Finance Limited
24	Herald Projects Limited	Haricharan Projects Limited
25	Bhaskar Infrastructure Limited	Bhaskar Infrastructure Private Limited

Corporate Action Statistics

Sr. No.	Description	During November 2014		For F.Y. 2014 - 2015 (Upto November 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	484,120	8,343,572,282	1,297,847	56,949,711,525
2	Preference Shares	28	1,517,478,642	338	6,133,084,940
3	Bonds	21,253	437,474,275	142,237	5,725,881,682
4	Commercial Papers	661	1,691,735	5,205	14,474,326
5	Certificates of Deposit	378	5,446,926	3,073	43,862,557
6	Securitized Instruments	71	1,659,070,548	661	12,300,757,420
7	Mutual Fund Units	30,010	163,353,504	256,507	2,095,319,919
8	Warrants	15	99,665,180	86	505,532,847
9	Mutual Fund Units (Trase)	17,875	55,273,834	140,455	564,693,710
	Total	554,411	12,283,026,926	1,846,409	84,333,318,925

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Nov-12	125.06
Nov-13	130.04
Nov-14	135.47

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Nov-12	283	14,406	1,562
Nov-13	280	14,409	1,558
Nov-14	273	15,676	1,550

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Nov-12	63,543	78,01,981
Nov-13	74,154	82,03,236
Nov-14	87,859	112,92,279

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Nov-12	521	85,322
Nov-13	507	97,363
Nov-14	735	1,61,342

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Nov-12	10,397
Nov-13	11,657
Nov-14	13,310

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Nov-12	687	8,523	11,70,464
Nov-13	826	9,086	13,93,505
Nov-14	1,037	10,535	15,94,321

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Nov-12	531	806	36,149
Nov-13	643	958	66,543
Nov-14	909	1,260	1,22,341

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Nov-12	246	1,666	2,11,940
Nov-13	202	1,349	1,76,972
Nov-14	270	1,739	2,33,120

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Nov-12	44	1,176	3,57,397
Nov-13	42	1,350	3,43,079
Nov-14	42	1,148	2,79,445

Securities admitted for Dematerialisation during November 2014

Sr. No.	COMPANY	ISIN
1	Aar Shyam India Investment Company Limited	INE512R01010
2	Aayush Food and Herbs Limited	INE430R01015
3	Abhijit Trading Company Limited	INE994N01019
4	Akme Star Housing Finance Limited	INE526R01010
5	Alan Electronic Systems Private Limited	INE571R01016
6	Allure Developers Private Limited	INE619R01013
7	Alora Trading Company Limited	INE527R01018
8	ANI Technologies Private Limited	INE659R01019
9	Arena Superstructures Private Limited	INE687R01010
10	Asirvad Micro Finance Private Limited	INE516Q01013
11	Aspam Food Cold Storage Private Limited	INE652R01014
12	Bagoosa Food Products Private Limited	INE585R01016
13	Balgopal Commercial Limited	INE119R01014
14	Bang Equity Broking Private Limited	INE675R01015
15	Bang Securities Private Limited	INE683R01019
16	Basant Marketing Private Limited	INE630R01010
17	Base Corporation Limited	INE581R01015
18	Brio Systems Private Limited	INE666R01014
19	Brooke Bond Real Estates Private Limited	INE441R01012
20	Capital Foods Private Limited	INE623M01016
21	Captain Pipes Limited	INE513R01018
22	Continental Hospitals Limited	INE625R01010
23	Creative Garments Private Limited	INE627R01016
24	Darvesh Properties Private Limited	INE589R01018
25	DCDC Health Services Private Limited	INE651R01016
26	Devadarshini Info Technologies Pvt Ltd	INE515R01013
27	Dolvi Minerals & Metals Private Limited	INE392R01017
28	E4E Healthcare Business Services Private Limited	INE628R01014
29	Elate Realtors Private Limited	INE643R01013
30	Energon Power Resources Private Limited	INE897P01019
31	Evie Real Estate Private Limited	INE572R01014
32	Fairdeal Properties Limited	INE501R01013
33	Filtra Consultants And Engineers Limited	INE541R01019
34	Green Infra Wind Energy Assets Limited	INE626R01018
35	Greenlam Industries Limited	INE544R01013
36	Gupta Coal India Private Limited	INE577R01013
37	HEC Infra Projects Limited	INE558R01013
38	Himadri Foods Limited	INE580R01017
39	ILMS Developers Private Limited	INE611R01010
40	Image Realty Private Limited	INE533R01016
41	Indigenous Power Private Limited	INE539R01013

Sr. No.	COMPANY	ISIN
42	International Data Management Limited	INE649R01010
43	Jain Housing & Constructions Limited	INE394R01013
44	Jaypee Spintex Limited	INE393R01015
45	Karnavati Finance Limited	INE554R01012
46	Kwantum Devcon and Allied Limited	INE587R01012
47	Lear Oragadam Automotive India Private Limited	INE551R01018
48	Leone Infrastructure Limited	INE550R01010
49	Lotus Greens Constructions Private Limited	INE123R01016
50	Mazda Construction Company Private Limited	INE638R01013
51	Megatherm Transmission & Distribution Private Limited	INE531R01010
52	MEP Highway Solutions Private Limited	INE532R01018
53	MEP Irdp Solapur Toll Road Private Limited	INE660R01017
54	MEP Nagzari Toll Road Private Limited	INE530R01012
55	MEP RgsI Toll Bridge Private Limited	INE529R01014
56	Meringue Hotels Private Limited	INE674R01018
57	Mexus Education Private Limited	INE588R01010
58	Midastouch Power Private Limited	INE534R01014
59	Mindset Technologies Private Limited	INE684R01017
60	Moongipa Securities Limited	INE618R01015
61	MTL Instruments Private Limited	INE549R01012
62	Nature India Communique Ltd	INE639R01011
63	Naturite Agro Products Limited	INE223R01014
64	Netmagic IT Services Private Limited	INE613R01016
65	Nirmal Bang Commodities Private Limited	INE679R01017
66	Nirmal Bang Securities Private Limited	INE681R01013
67	Nova Specialty Hospitals Private Limited	INE584R01019
68	Ostro Jaisalmer Private Limited	INE644R01011
69	Palava Dwellers Private Limited	INE957L01010
70	Palladium Constructions Private Limited	INE668R01010
71	Parinee Realtors Private Limited	INE542R01017
72	Patwa Investment and Finance Limited	INE383R01016
73	Piramal Realty Private Limited	INE680R01015
74	Piramal Residences Private Limited	INE662R01013
75	Piyush IT Solutions Private Limited	INE601R01011
76	PJPA Reality Private Limited	INE663R01011
77	Precious Trading And Investments Limited	INE629R01012
78	Premier Synthetics Limited	INE940N01012
79	Premium Merchants Limited	INE536R01019
80	Renew Solar Energy (TN) Private Limited	INE667R01012
81	Renew Solar Energy Private Limited	INE610R01012
82	Renew Wind Energy (AP3) Private Limited	INE608R01016

Sr. No.	COMPANY	ISIN
83	Renew Wind Energy (Karnataka 3) Private Limited	INE650R01018
84	Renew Wind Energy (MP Two) Private Limited	INE623R01015
85	Renew Wind Energy (Rajasthan 3) Private Limited	INE609R01014
86	Rishiraj Enterprises Limited	INE612R01018
87	Rockhard Tech Solutions Private Limited	INE686R01012
88	Romano Buildtech Private Limited	INE543R01015
89	S.S. Organics Limited	INE102E01018
90	Saami Tradestar Logistics Limited	INE535R01011
91	Saibliss Power Private Limited	INE540R01011
92	Sanchaya Power Private Limited	INE537R01017
93	Sarnimal Investment Limited	INE464R01014
94	Scoria Power Private Limited	INE538R01015
95	Shashank Traders Limited	INE508R01018
96	Shresth Securities Private Limited	INE685R01014
97	Shreyans Financial and Capital Services Limited	INE463R01016
98	SIDBI Trustee Company Limited	INE673R01010
99	Skyrock Engineers Private Limited	INE646R01016
100	Solitaire Appliances Private Limited	INE688R01018
101	Solitaire BTN Solar Private Limited	INE555R01019

Sr. No.	COMPANY	ISIN
102	South India Bottling Company Private Limited	INE557R01015
103	Spalon India Private Limited	INE620R01011
104	SPI Cinemas Private Limited	INE661R01015
105	Stellar Constellation Projects Private Limited	INE648R01012
106	Stellar Spring Projects Private Limited	INE647R01014
107	Styrax Commodities Limited	INE640R01019
108	Sunilhitech Solar (Dhule) Private Limited	INE528R01016
109	Three C Infra Square Private Limited	INE600R01013
110	Three C Infrastructure Private Limited	INE645R01018
111	TRIF Kochi Projects Private Limited	INE596R01013
112	TRIF Real Estate and Development Private Limited	INE597R01011
113	UM Power Limited	INE561R01017
114	Uniland Property Developers Private Limited	INE637R01015
115	Unitech Machines Limited	INE910K01011
116	Valecha Infrastructure Limited	INE579R01019
117	Valecha Investments Pvt Ltd	INE576R01015
118	Vikrant Leasing Limited	INE462E01016
119	Wadhwa Residency Private Limited	INE261Q01016
120	Xanadu Infradevelopers Private Limited	INE622R01017

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: trainingdept@nsdl.co.in

Diversified services offered by NDML

NSDL's subsidiary company NSDL Database Management Limited (NDML) has diversified its services by handling projects like SEZ Online, National Skills Registry (NSR), NDML KYC Registration Agency (NDML KRA), National Academic Depository (NAD), National Insurance-policy Repository (NIR) & National Payment Services Platform (NPSP). Let's take a glimpse of the different products / services that NDML offers to its investors.

- 1. National Skills Registry (NSR):** National Skills Registry (NSR) is set-up and managed by NDML on behalf of NASSCOM (NASSCOM is India's National Association of Software and Service Companies). NSR is a NASSCOM initiative to have a robust and credible information repository about all persons working in the industry. Although it started as an initiative for IT & ITeS / BPO industry, considering the benefits offered by this system, NASSCOM and NDML have agreed to extend the NSR initiative to Banking & Finance industry also. More than 10 lakh professionals from IT / ITES & BFSI have received their ITPIN's.
- 2. NDML KYC Registration Agency (NDML KRA):** With a view to bring uniformity in the KYC requirements for the securities markets, SEBI has setup {KYC (Know Your Client) Registration Agency (KRA)} regulations. NDML has been granted approval by SEBI to function as a KRA under this regulation. NDML has more than 49 lakh KRA registrations with it.
- 3. National Academic Depository (NAD):** The National Academic Depository (NAD) is an initiative of Ministry of HRD to maintain a national-level database of all academic qualifications from secondary school certificate to university and professional certificates. NAD will facilitate online verification and easy retrieval of academic records thereby removing the need to physically approach the educational institutions to obtain attestations/transcripts of certificates. The database would also help deal with issues of forged certificates since it enables online verification.
- 4. National Insurance-policy Repository (NIR):** NDML has received an approval from Insurance Regulatory and Development Authority (IRDA) for setting up Insurance Repository and has named it as 'National Insurance-policy Repository (NIR)'. NIR will facilitate holding of all type of insurance policies in electronic form in a single e-Insurance Account (eIA). It will also facilitate conversion of the existing paper policies into electronic policies at the request of the policy holders. More than 45,000 investors have opened NIR account & are holding their policies into these accounts.
- 5. National Payment Services Platform (NPSP):** This is an initiative by the Department of Electronics and Information Technology, Government of India to provide online payment services for Citizens to pay Government for availing various government services in an online, efficient and transparent manner. The overall plan is to provide end-to-end transactional experience for a citizen using e-Governance infrastructure which includes accessing various services through Common Service Centers and online payments using internet based payment gateway interface. More than 2.3 lakh transactions are being executed on a monthly basis using this platform.

Advertisement



Introducing Mobile App for your demat account

Download from Play Store today!

Users can share experience
with us at mobileappfeedback@nsdl.co.in