

News Articles

SEBI Circular on Simplification of Account Opening Kit

1. Presently, a stock broker/ depository participant is required to provide a copy of the following standard documents, which are part of the account opening kit, to the clients:
 - a. Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology based trading);
 - b. Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories;
 - c. Uniform Risk Disclosure Documents (for all segments/ exchanges); and
 - d. Guidance Note detailing Do's and Don'ts for trading on stock exchanges.



Highlights during the month

News Articles

Companies Name Change

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Securities Admitted For Dematerialisation

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Performance Summary



Investor Accounts

1,48,36,916



Participants

267



Participant Service Centres

27,020



Demat Custody

₹ 1,30,08,086 Cr.



Settlement

₹ 2,04,112 Cr.



Companies available for demat

16,292



Instruments

- ◆ Debentures/Bonds 13,785
- ◆ Securitised Instruments 1,800
- ◆ Commercial Paper 2,279
- ◆ Certificates of Deposit 618

Did you know?

Fortune magazine published an article titled “10 Stocks To Last the Decade” in August, 2000. By December 2012, the portfolio had lost 74.3% of its value, according to analyst Barry Ritholtz.



Quote of the Month :

“Do nothing” are the two most powerful — and underused — words in investing. The urge to act has transferred an inconceivable amount of wealth from investors to brokers.



2. In consultation with market participants, with a view to simplify the account opening kit, SEBI has decided that, stock broker/ depository participant shall make available these standard documents to the clients, either in electronic or physical form, depending upon the preference of the client as part of account opening kit. The preference of the client shall be sought as part of the account opening form. In case the documents are made available in electronic form, stock broker/ depository participant shall maintain logs of the same.
3. It is also reiterated that stock exchanges / depositories / stock brokers/ depository participants shall continue to make the aforesaid documents available on their website and keep the clients informed about the same.

Further details about this circular are posted on NSDL website www.nsd.co.in.

(Ref: Circular No. NSDL/POLICY/2016/0067 dated July 22, 2016)

SEBI Circular on Operationalisation of Central KYC Records Registry (CKYCR)

1. SEBI has issued circulars on uniform Know Your Client (KYC) norms, prescribing a standard Account Opening Form (AOF). AOF has been divided in 2 parts - Part I contains the basic KYC details of the investor used by all SEBI registered intermediaries.
2. Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI) to act as, and to perform the functions of, the Central KYC Records Registry under the PML Rules 2005, including receiving, storing, safeguarding and retrieving the KYC records in digital form of a “client”.
3. As per the 2015 amendment to PML (Maintenance of Records) Rules, 2005 (the rules), every reporting entity shall capture the KYC information for sharing with the Central KYC Records Registry in the manner mentioned in the Rules, as per the KYC template for ‘individuals’ finalised by CERSAI.
4. Accordingly, the KYC template finalised by CERSAI shall be used by the registered intermediaries as Part I of AOF for individuals. The KYC template for “individuals” and the “Central KYC Registry Operating Guidelines 2016” for uploading KYC records on CKYCR finalised by CERSAI which have been displayed as Annexure 2 and Annexure 3 on NSDL website. In this regard, it is clarified that the requirement for Permanent Account Number (PAN) would continue to be mandatory for completing the KYC process.

Further details about this circular are posted on NSDL website www.nsd.co.in.

(Ref: Circular No. NSDL/POLICY/2016/0068 dated July 29, 2016)

Subscription to *SPEED-e*

During July 2016, one more Participant has subscribed to the *SPEED-e* facility viz.,

- Sanctum Wealth Management Private Limited (DP ID IN303956)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 186.

Training Programme for Participants:

- **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted three training programmes in July 2016 at Kolkata & Mumbai which were attended by 75 officials of Participants.

- **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In July 2016, NSDL conducted five such training programmes at various locations viz., Ahmedabad, Bengaluru, Chennai, Mumbai & New Delhi which were attended by 119 officials of Participants.

Forthcoming Investor Depository Meets

Sr. No.	Date of Event	Location	State
1	27-Aug-16	Nalgonda	Telangana
2	9-Sep-16	Bulandshahr	Uttar Pradesh
3	10-Sep-16	Salem	Tamil Nadu
4	16-Sep-16	Kanpur	Uttar Pradesh
5	17-Sep-16	Hassan	Karnataka
6	24-Sep-16	Khorda	Odisha

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During July 2016)

Sr. No.	Old Name	New Name
1	Trimurthi Drugs & Pharmaceuticals Ltd	Trimurthi Limited
2	USV Limited	USV Private Limited
3	Oriental Nagpur Betul Highway Private Limited	Oriental Nagpur Betul Highway Limited
4	Good Luck Steel Tubes Limited	Goodluck India Limited
5	Goan Hotels And Clubs Private Limited	Goan Hotels And Reality Private Limited
6	Renew Wind Energy (Vaspet 4) Private Limited	Renew Solar Services Private Limited.
7	Ajanta Mercantile Limited	Qrg Investments And Holdings Limited
8	SKS Microfinance Limited	Bharat Financial Inclusion Limited
9	Sheela Foam Private Limited	Sheela Foam Limited
10	Raasi Enterprises Limited	Anjani Foods Limited
11	BCB Finance Limited	Pyxis Finvest Limited
12	Rathi Essen Finance Company Pvt Ltd	Shalby Surat Hospitals Private Limited
13	SKSS Lifestyle Club Limited	SKSS Lifestyle Limited
14	Religare Invesco Asset Management Co. P. Ltd	Invesco Asset Management (India)Private Limited
15	Religare Invesco Trustee Company Private Ltd	Invesco Trustee Private Limited
16	Karma Commodities Limited	Aneri Industries Limited

Corporate Action Statistics

Sr. No.	Description	During July 2016		For F.Y. 2016 - 17 (Upto July 2016)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	1,260,169	12,635,202,459	2,260,286	43,592,731,726
2	Preference Shares	58	305,752,525	66,666	4,733,527,036
3	Bonds	10,527	206,737,089	35,997	1,390,740,255
4	Commercial Papers	804	2,990,608	3,712	13,115,987
5	Certificates of Deposit	186	1,819,200	1,011	12,934,835
6	Securitised Instruments	114	6,257,281,028	465	28,930,475,580
7	Mutual Fund Units	37,245	189,498,307	178,987	903,488,321
8	Government Securities	78	4,714	8,769	305,963
9	Warrants	5	3,125,000	37	717,968,443
10	Mutual Fund Units (Trase)	40,639	105,301,419	149,537	488,418,318
	Total	1,349,825	19,707,712,348	2,705,467	80,783,706,464

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Jul-14	132.38
Jul-15	139.47
Jul-16	148.36

PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Jul-14	275	14,268	1,548
Jul-15	270	17,265	1,632
Jul-16	267	27,020	1,939

DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jul-14	84,038	1,02,94,473
Jul-15	97,488	1,19,82,064
Jul-16	115,481	1,30,08,086

SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jul-14	852	1,64,007
Jul-15	788	1,70,019
Jul-16	895	2,04,112

(Single Side)

EQUITY

Month -Year	No. of Companies Operational
Jul-14	12,809
Jul-15	14,543
Jul-16	16,292

Companies

DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-14	944	9,671	14,74,606
Jul-15	1,180	11,764	17,81,070
Jul-16	1,436	13,785	20,82,828

SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-14	840	1,189	1,05,334
Jul-15	1,065	1,428	1,29,755
Jul-16	1,377	1,800	1,49,708

COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-14	240	1,603	2,18,895
Jul-15	328	2,018	3,21,575
Jul-16	375	2,279	3,80,861

CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-14	40	1,423	3,42,220
Jul-15	38	618	2,39,796
Jul-16	36	618	2,00,269

Securities admitted for Dematerialisation during July 2016

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Abhiman Technologies Private Limited	INE324V01012	38	Dingle Buildcons Private Limited	INE260V01018
2	Adani Green Energy (MP) Limited	INE354V01019	39	Eden Critical Care Hospital Limited	INE330V01019
3	Adani Green Energy (Telangana) Limited	INE364V01018	40	Emmel Realtors and Developers Private Limited	INE266V01015
4	Adani Renewable Energy Park (Gujarat) Limited	INE348V01011	41	Encube Ethicals Pvt. Ltd.	INE302V01018
5	Aditya Birla Housing Finance Limited	INE831R01014	42	Energion RJ Wind Power Private Limited	INE004T01014
6	Adrenalin Esystems Limited	INE425V01017	43	Essel MSW Energja Limited	INE362V01012
7	Akshar Space Private Limited	INE220V01012	44	ETA Engineering Private Limited	INE410V01019
8	Amritsar MSW Limited	INE359V01018	45	Expert Global Ventures Private Limited	INE242V01016
9	Anakampoil Power Private Limited	INE349V01019	46	Express Way Developers Limited	INE400V01010
10	Annapurna Studios Private Limited (Part IX)	INE375V01014	47	Eygs (India) Private Limited	INE224V01014
11	Antariksh Softtech Private Limited	INE210V01013	48	Favourite Advisors Private Limited	INE227V01017
12	Anumati Consultancy & Services Private Limited	INE350V01017	49	Feat Properties Private Limited	INE250V01019
13	Apollo CVHF Limited	INE308V01015	50	Football Sports Development Limited	INE217V01018
14	Apollo World Connect Limited	INE404V01012	51	Fusion Microfinance Private Limited	INE139R01012
15	Aqualine Real Estate Private Limited	INE253V01013	52	Geopreneur Corp Private Limited	INE311V01019
16	Arcvac Forgecast Pvt. Ltd.	INE370V01015	53	Geopreneur Infra Corp Limited	INE218V01016
17	Asap Info Systems Private Limited	INE328V01013	54	Godrej & Boyce Manufacturing Company Limited	INE982D01015
18	Ascent Health and Wellness Solutions Private Limited	INE015U01018	55	Good Earth Eco Developments Private Limited	INE015Q01016
19	Ashoka Bagewadi Saundatti Road Limited	INE352V01013	56	Greenthoughts Energy Private Limited	INE401V01018
20	Ashoka City Towers Constructions Private Limited	INE420V01018	57	Gulam Mustafa Enterprises Private Limited	INE215T01016
21	Ashoka Erectors Private Limited	INE421V01016	58	Gulmohar Investments and Holdings Limited	INE204V01016
22	Ashoka Hungund Talikot Road Limited	INE351V01015	59	Hazaribagh Ranchi Expressway Limited	INE526S01018
23	Ashoka Nirmiti Private Limited	INE309V01013	60	Hindupur Solar Park Private Limited	INE300V01012
24	Ashoka Premises Private Limited	INE310V01011	61	Hi-Tech Winding System Limited	INE173V01013
25	Ashoka Promoters Private Limited	INE306V01019	62	IGT Solutions Private Limited	INE353V01011
26	ATJ Holdings Private Limited	INE221V01010	63	India Green Reality Limited	INE373V01019
27	Banswara Biomass Energy Private Limited	INE402V01016	64	Indiabuild Homes Private Limited	INE399V01014
28	Bengaluru MSW Limited	INE361V01014	65	Indiabuild Realty Private Limited	INE395V01012
29	Brand Planet Consultants India Private Limited	INE367V01011	66	Indus Valley Homes Private Limited	INE394V01015
30	Cae Simulation Training Private Limited	INE255V01018	67	Indus Valley Properties Private Limited	INE358V01010
31	Castle Traders Limited	INE262V01014	68	Interglobe Education Services Limited	INE206V01011
32	Catalyst Communicators Private Limited	INE265V01017	69	Interglobe Technologies Private Limited	INE216V01010
33	City Corporation Limited	INE299K01019	70	International Money Matters Private Limited	INE393V01017
34	Condor Footwear (U.P.) Limited	INE424V01010	71	Invesco (Hyderabad) Private Limited	INE371V01013
35	Convergent Communications (India) Private Limited	INE347V01013	72	Jaycon Infrastructure Limited	INE275V01016
36	Covidien Healthcare India Private Limited	INE313V01015	73	Joyville Shapoorji Housing Private Limited	INE373S01015
37	Datacomp Web Technologies (India) Private Limited	INE325V01019	74	K World Estate Private Limited	INE261V01016
			75	Karle Homes Private Limited	INE943S01015

Securities admitted for Dematerialisation during July 2016 (Contd.)

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
76	Kohinoor CTNL Infrastructure Company Private Limited	INE409V01011	110	Rolax Suppliers Private Limited	INE256V01016
77	Lake District Realty Private Limited	INE403V01014	111	Rooptara Trading Private Limited	INE230V01011
78	Manas Agro Industries & Infrastructure Limited	INE418V01012	112	S.L. Dwelling Private Limited	INE244V01012
79	Mayurbhanj Trades & Agencies Limited	INE171V01017	113	Sak Industries Private Limited	INE231V01019
80	Meril Diagnostics Private Limited	INE334V01011	114	Salasar Techno Engineering Private Limited	INE170V01019
81	Meril Endo Surgery Private Limited	INE331V01017	115	Shankara Infrastructure Materials Limited	INE274V01019
82	Meril Healthcare Private Limited	INE333V01013	116	Shree Siddhivinayak Realhomes Private Limited	INE301V01010
83	Micro Life Sciences Private Limited	INE332V01015	117	Shrem Financial Private Limited	INE372V01011
84	MIL India Limited	INE237V01016	118	Shrem Hospitality Private Limited	INE374V01017
85	Milestone Buildcon Private Limited	INE271V01015	119	Shrem Life Care Private Limited	INE391V01011
86	Mitsu Chem Plast Limited	INE317V01016	120	Sopra India Pvt Limited	INE215V01012
87	Morgan Stanley India Primary Dealer Private Limited	INE408V01013	121	Spectra International Private Limited	INE322V01016
88	Mukut Tradings Private Limited	INE243V01014	122	Sripuram Agencies Limited	INE245V01019
89	Mundra Solar Limited	INE360V01016	123	Star Solar Power Private Limited	INE229V01013
90	Muthoot Agri Projects and Hospitalitys Private Limited	INE235V01010	124	Stupendous Buildtech Private Limited	INE223V01016
91	Muthoot Pappachan Medicare Private Limited	INE307V01017	125	Sungold Energy Private Limited	INE228V01015
92	Muthoot Properties (India) Private Limited	INE234V01013	126	Suryoday Energy Private Limited	INE392V01019
93	Mytrah Advaith Power Private Limited	INE236V01018	127	Sycamore Properties Private Limited	INE254V01011
94	Narang Realty Private Limited	INE258V01012	128	The New Great Eastern Spinning and Weaving Company Limited	INE067V01017
95	Nephrocare Health Services Private Limited	INE428V01011	129	Therdose Pharma Private Limited	INE269V01019
96	Pallavapuram Tambaram Msw Private Limited	INE363V01010	130	Transcendent Condominiums Private Limited	INE252V01015
97	Pcil Power and Holdings Limited	INE233V01015	131	Tridhaatu Constructions Private Limited	INE894S01010
98	Pegasus Utility Maintenance & Services Private Limited	INE273V01011	132	Tridhaatu Realty and Infra Private Limited	INE832R01012
99	Phoenix Infrastructure Private Limited	INE397V01018	133	TTJ Holdings Private Limited	INE222V01018
100	Polixel Security Systems Private Limited	INE318V01014	134	TTJ Ventures Private Limited	INE246V01017
101	Polo Queen Capital Limited	INE263V01012	135	Ultimate Investofin Limited	INE247V01015
102	Polo Queen Pharma Trade Industry Limited	INE264V01010	136	Vardhman Yarns and Threads Limited	INE304V01014
103	Polo Queen Solutions Limited	INE259V01010	137	Varp Power Private Limited	INE268V01011
104	Powerband Industries Private Limited	INE251V01017	138	Vismaya Infrastructure Private Limited	INE417V01014
105	Prayag Polytech Private Limited	INE312V01017	139	Webcon Consulting (India) Limited	INE319V01012
106	Qssi Technologies India Private Limited	INE225V01011	140	Wind World Wind Farms (AP) Private Limited	INE226V01019
107	Rajprotim Agencies Private Limited	INE426V01015	141	Wind World Wind Resources Development Private Limited	INE238V01014
108	Religare Capital Markets (India) Limited	INE329V01011	142	Winsol Solar Fields (Polepally) Private Limited	INE299V01016
109	Robert Resources Limited	INE320V01010			

NSDL Calendar of Events

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	1. Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 2. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi Unit No.601,603,604, 6th Floor, Tower - A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel: (011) 23353814 / 15, Fax: (011) 23353816

Investor Relationship Cell

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
 National Securities Depository Ltd.
 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
 Senapati Bapat Marg, Lower Parel, Mumbai - 400013
 Tel: (022) 24994200 / 40904200
 Fax: (022) 24976351
 Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in