

News Articles

Clarification for opening demat account in the name of Trust

In view of the clarification sought by Participants for opening beneficial owner account in the name of a trust which is an incorporated body, Participants are requested to note that a beneficial owner account may be opened in the name of the trust, which is a separate legal entity such as a registered society under the provisions of The Indian Societies Registration Act, 1860 or under the provisions of a state Act such as Bombay Public Trusts Act, 1950 or the relevant State Public Trust Act.

In such cases, Participants may obtain the certified true copy of the registration certificate as issued by a Statutory Authority under any of the aforesaid Acts. In case, any Client informs about the non-applicability of the relevant Public Trusts Act or the Indian Societies Registration Act, in its specific case, then the applicant may be facilitated to hold the beneficial owner account, as proposed, on submission of a certificate obtained by the client as per Section 12AA of Income Tax Act, 1961. Participant may open the beneficial owner account of applicant under the category 'trust' and in the name of trust.



Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Calendar of Events

Performance Summary



Investor Accounts

139,47,307



Participants

270



Participant Service Centres

17,265



Demat Custody

₹ 119,82,064 Cr.



Settlement

₹ 1,70,019 Cr.



Companies available for demat

14,543



Instruments

- ◆ Debentures/Bonds 11,764
- ◆ Securitised Instruments 1,428
- ◆ Commercial Paper 2,018
- ◆ Certificates of Deposit 618

Did you know?

NSDL is amongst 11 entities to receive an "in-principle" approval by the Reserve Bank of India (RBI) for starting Payment Bank.



Quote of the Month :

"If you don't study any companies, you have the same success buying stocks as you do in a poker game if you bet without looking at your cards." - Peter Lynch



Further, Participants are requested to obtain certified copy of the following documents and same shall be verified with original:

1. Resolution passed by the Board of Trustees giving the names of trustees authorised by the Board of Trustees to open and operate the depository account.
2. Certificate of Registration granted under section 12AA of Income Tax Act, 1961.
3. Latest Income Tax Returns filed by Trust.

(Ref: Circular No. NSDL/POLICY/2015/0061 dated July 15, 2015)

e-IPO system for Depository Participants

In its meeting held by SEBI Board on June 23, 2015, SEBI has decided to Streamline the Process of Public Issues (e-IPO) and Simplified Framework for Capital Raising by technological startups and other companies on Institutional Trading Platform. In view of the aforesaid, Participants are requested to take a note of the following:

1. Streamlining process of public issues (e-IPO)

- a. The post-issue timeline for listing is reduced to T+6 days from existing T+12 days to increase reach of retail investors to access IPO and reduce cost of public issues.
- b. Application Supported by Blocked Amount (ASBA) is made mandatory for payment mechanism for all investors including retail individual investors.
- c. Depository Participants (DPs) are allowed to accept application forms along with stock brokers and banks.
- d. The revised process shall be implemented for all issues opening on or after January 1, 2016.

2. Simplified framework for capital raising by technology start-ups and other companies on Institutional Trading Platform

SEBI is in the process of notifying the regulations and issuing necessary guidelines for enabling capital raising by technological start-ups and other companies through Institutional Trading Platform. In order to facilitate Participants to accept application forms, NSDL is in the process of developing software application. Participants are requested to provide inputs/suggestions for development of NSDL e-IPO system on email to prashantd@nsdl.co.in and keyurv@nsdl.co.in.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2015/0062 dated July 16, 2015)

Subscription to *SPEED-e*

During August 2015, five more Participants have subscribed to the *SPEED-e* facility viz.,

- Nikunj Stock Brokers Ltd. (DP ID IN302994)
- Indiabulls Ventures Limited (DP ID IN302236)
- Consortium Securities Private Limited (DP ID IN302316)
- SBICAP Securities Limited (DP ID IN306114)
- Integrated Master Securities Private Limited (DP ID IN300724)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 175.

Training Programme for Participants:**➤ NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted five training programmes in July 2015 at Ahmedabad, Chandigarh, Kolkata & Mumbai which were attended by more than 130 officials of Participants.

➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes viz. Depository Operations, Mutual Fund Distributors, Currency Derivatives, SORM, RTA-Corporate & SIC for eligible associated persons of Participants. In July 2015, NSDL conducted eight such training programmes at various locations viz., Ahmedabad, Bangalore, Bhopal, Chennai, Hyderabad, Kolkata, Mumbai & New Delhi which were attended by around 144 officials of Participants.

➤ KYC Training Programme for Participants

In July 2015, NSDL conducted four 'KYC requirements for Account Opening' training programmes at Kolkata which were attended by 93 officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

List of Companies that have changed Names (During July 2015)

Sr. No.	Old Name	New Name
1	Nutraplus Products (India) Limited	Nutraplus India Limited
2	Music Broadcast Private Limited	Music Broadcast Limited
3	GVK Airport Developers Private Limited	GVK Airport Developers Limited
4	Frontier Leasing & Finance Limited	Frontier Capital Limited
5	Equitas Holdings Private Limited	Equitas Holdings Limited
6	Anuttam Developers Private Limited	Nitesh Pune Mall Private Limited
7	Swasti Power Engineering Limited	Swasti Power Limited
8	Arihant Enterprise Limited	Briya Enterprise Limited
9	Valyoo Technologies Private Limited	Lenskart Solutions Private Limited
10	Pecos Hotels and Pubs Private Limited	Pecos Hotels and Pubs Limited

Corporate Action Statistics

Sr. No.	Description	During July 2015		For F.Y. 2015 - 16 (Upto July 2015)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	530,137	7,849,832,241	2,332,004	42,140,486,813
2	Preference Shares	22	780,246,885	230	1,982,655,421
3	Bonds	10,644	199,333,162	28,187	1,493,891,608
4	Commercial Papers	768	2,333,077	3,121	9,660,901
5	Certificates of Deposit	194	1,507,234	1,198	16,842,354
6	Securitised Instruments	77	9,062,171,807	399	18,860,951,901
7	Mutual Fund Units	32,473	159,060,494	214,088	967,721,457
8	Warrants	26	19,401,763	90	66,238,383
9	Mutual Fund Units (Trase)	26,327	236,634,995	96,597	710,325,719
	Total	600,668	18,310,521,658	2,675,914	66,248,774,557

Performance Summary

INVESTORS

Month - Year	Client Accounts
	No. of Accounts (lakhs)
Jul-13	128.42
Jul-14	132.38
Jul-15	139.47

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Jul-13	281	14,440	1,504
Jul-14	275	14,268	1,548
Jul-15	270	17,265	1,632

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jul-13	71,755	75,19,828
Jul-14	84,038	102,94,473
Jul-15	97,488	119,82,064

SETTLEMENT (LISTED EQUITY SHARES)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jul-13	570	1,21,134
Jul-14	852	1,64,007
Jul-15	788	1,70,019

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Jul-13	11,214
Jul-14	12,809
Jul-15	14,543

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-13	769	9,025	13,36,424
Jul-14	944	9,671	14,74,606
Jul-15	1,180	11,764	17,81,070

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-13	639	949	58,632
Jul-14	840	1,189	1,05,334
Jul-15	1,065	1,428	1,29,755

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-13	235	1,391	1,67,983
Jul-14	240	1,603	2,18,895
Jul-15	328	2,018	3,21,575

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-13	42	1,179	3,72,090
Jul-14	40	1,423	3,42,220
Jul-15	38	618	2,39,796

Securities admitted for Dematerialisation during July 2015

Sr. No.	COMPANY	ISIN
1	9X Media Private Limited	INE174T01015
2	Abloom Infotech Private Limited	INE200T01018
3	Ahimsa Industries Limited	INE136T01014
4	AKS Cold Storage Limited	INE149T01017
5	Alcobrew Distilleries India Private Limited	INE230T01015
6	Allgreen Energy India Private Limited	INE093T01017
7	Alm Infotech City Private Limited	INE201T01016
8	Apeejay Infra-Logistics Private Limited	INE115T01018
9	Apollo Metalex Private Limited	INE180T01012
10	Auto Hanger (India) Private Limited	INE228T01019
11	Bhagwati Products Limited	INE216T01014
12	BLS International Services Limited	INE153T01019
13	Bombay Wire Ropes Limited	INE089T01015
14	Buckingham Industries Limited	INE157T01010
15	CAPCO Industries Limited	INE012T01017
16	Century Joint Developments Private Limited	INE050R01011
17	Century Real Estate Holdings Private Limited	INE422L01015
18	CG Lucy Switchgear Ltd	INE229T01017
19	Champion Commercial Co. Ltd.	INE477E01014
20	Cheese Land Agro (India) Private Limited	INE235T01014
21	Cherrypick Technologies Limited	INE154T01017
22	Claris Injectables Limited	INE105T01019
23	Concast Global Limited	INE237T01010
24	Control Plus Oil And Gas Solutions Private Limited	INE251T01011
25	Convention Hotels India Pvt Ltd	INE225T01015
26	DBL Ashoknagar-Vidisha Tollways Limited	INE144T01018
27	DBL Bankhlfata-Dogawa Tollways Limited	INE142T01012
28	DBL Betul-Sarni Tollways Limited	INE176T01010
29	DBL Jaora-Sailana Tollways Limited	INE145T01015
30	DBL Mundi-Sanawad Tollways Limited	INE146T01013
31	DBL Sitamau-Suwasara Tollways Limited	INE177T01018
32	DBL Tikamgarh-Nowgaon Tollways Limited	INE170T01013

Sr. No.	COMPANY	ISIN
33	DBL Uchera-Nagod Tollways Limited	INE143T01010
34	Elmac Agro Mfg Private Limited	INE104T01012
35	Enabilon Learning Pvt. Ltd.	INE167T01019
36	Energ Engineering Projects Limited	INE065T01015
37	Escorts Asset Management Limited	INE226T01013
38	Eximius Business Aviation Private Limited	INE213T01011
39	F Mec International Financial Services Limited	INE108T01013
40	Firefox Bikes Private Limited	INE234T01017
41	FMAM Airtime Private Limited	INE202T01014
42	Francis Wacziarg Private Limited	INE175T01012
43	Goga Foods Limited	INE161T01012
44	Goldman Malls Private Limited	INE204T01010
45	Goodfaith Builders Private Limited	INE185T01011
46	Hindustan Waste Treatment Private Limited	INE126T01015
47	Hindustan Wires Limited	INE075C01010
48	Hi-Tech Pipes Limited	INE106T01017
49	HTL Limited	INE133T01011
50	IDFC Bank Limited	INE092T01019
51	IDFC Financial Holding Company Limited	INE091T01011
52	IGC Foils Limited	INE099S01016
53	Interactive Financial Services Limited	INE064T01018
54	IPE Global Private Limited	INE231T01013
55	Jai Pharma Limited	INE151T01013
56	Jalees Commercial Limited	INE165T01013
57	JSW Techno Projects Management Limited	INE192L01014
58	Jubilant Malls Private Limited	INE205T01017
59	K.P.Energy Limited	INE127T01013
60	Kenwood Mercantile Private Limited	INE188T01015
61	L&T Sapura Shipping Pvt Ltd	INE227T01011
62	Larsen & Toubro Infotech Limited	INE214T01019
63	Limelight Towers Private Limited	INE246T01011
64	M G F Motors Limited	INE112T01015
65	Mahavir Rolling Mill Private Limited	INE232T01011
66	Maple Shelters Private Limited	INE321S01014

Sr. No.	COMPANY	ISIN
67	Meeti Developers Private Limited	INE150T01015
68	Metatron Danke Green Energy Private Limited	INE238T01018
69	Millennium City Expressways Pvt Limited	INE164T01016
70	MLR Auto Limited	INE095T01012
71	Mudra Financial Services Limited	INE967S01014
72	New Vision Laser Centers (Hyderabad) Pvt. Ltd.	INE118T01012
73	New Vision Laser Centers (Rajkot) Pvt. Ltd.	INE119T01010
74	Ocean Sparkle Limited	INE758N01018
75	Ocean Steels Private Limited	INE253T01017
76	Omkar City Development Private Limited	INE111T01017
77	Omkar Realtors Projects Private Limited	INE097T01018
78	Orange City Housing Finance Limited	INE114T01011
79	Oswal Extrusion Limited	INE163T01018
80	P R Holdings Limited	INE160T01014
81	P.S. Steel Tubes Limited	INE168T01017
82	Peakwood Reality Private Limited	INE187T01017
83	Pioneer Facor IT Infradevelopers Private Limited	INE117T01014
84	Pneumatic Holdings Limited	INE096T01010
85	PRL Developers Private Limited	INE156T01012
86	Pronto Industrial Services Limited	INE649E01018
87	Rida Developers Private Limited	INE250T01013
88	Rida Estates Private Limited	INE248T01017
89	RMG Developers Private Limited	INE140T01016
90	Royaume Developers Private Limited	INE249T01015
91	Sahyog Credits Limited	INE052T01013

Sr. No.	COMPANY	ISIN
92	Sandeep (India) Limited	INE038T01012
93	Seetharam Cements Limited	INE199T01012
94	Shrem Resort Private Limited	INE137T01012
95	Shri Lakshmi Metal Udyog Limited	INE179T01014
96	Signatureglobal Developers Private Limited	INE245T01013
97	SIPS Utilities Private Limited	INE109T01011
98	SNS Diagnostics Limited	INE362S01018
99	Solaire Urja Private Limited	INE090T01013
100	SPX Flow Technology (India) Private Limited	INE141T01014
101	Sri Krishna Constructions (India) Limited	INE094T01015
102	Sunfresh Agro Industries Private Limited	INE236T01012
103	Sunita Bonds And Holdings Limited	INE505E01012
104	Surat Goods Transport Private Limited	INE128T01011
105	Sureka Exports Limited	INE233T01019
106	Suruchi Properties Private Limited	INE217T01012
107	Suryavanshi Infrastructure Private Limited	INE247T01019
108	Sustainable Agro-Commercial Finance Limited	INE511S01010
109	Swastik Roadlines Private Limited	INE224T01018
110	Unishire Developers Private Limited	INE113T01013
111	Unishire Estates & Properties Limited	INE184T01014
112	Varadharaja Foods Private Limited	INE158T01018
113	Wealth Advisors (India) Private Limited	INE696H01012
114	WS Retail Services Private Limited	INE155T01014
115	X Nine Contracts Private Limited	INE138T01010

NSDL Calendar of Events

TRAINING PROGRAMS FOR AUDITORS APPOINTED BY PARTICIPANTS FOR DEPOSITORY OPERATIONS FOR 2015

Sr. No.	Location	Date of Training Program	Last date for sending Nomination
1	Mumbai	August 22, 2015	August 20, 2015
2	Ahmedabad	September 5, 2015	September 2, 2015
3	Mumbai	September 5, 2015	September 2, 2015
4	Chennai	September 5, 2015	September 2, 2015
5	New Delhi	September 12, 2015	September 8, 2015
6	Kolkata	September 12, 2015	September 8, 2015

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	By email at dp_igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Networth Certificate and Audited Financial Statements.	September 30 th every year.	By hard copy to Participant Interface Department.	1. Circular No. NSDL/PI/98/414 dated September 1, 1998.

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell	NSDL Certification Programme
Officer-In-Charge, National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: relations@nsdl.co.in	Officer-In-Charge, National Securities Depository Ltd. 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351 Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in