

**NSDL**

ISO 27001 Certified



NSDL UPDATE

May 2012*NSDL - Trusted by more than One Crore account holders*

Investor Depository Meet (IDM) conducted by NSDL at Bijapur on April 28, 2012



Launch of SPICE Direct

SPICE (Submission of Power of attorney based Instructions for Clients Electronically) enables Clearing Members (CMs) for submitting instructions (*Auto Pay-in*) to Participants. This facility enables CMs to submit digitally signed Auto Pay-in instructions to debit the depository accounts of the Clients through **SPEED-e** facility.

NSDL has further enhanced its SPICE facility known as “SPICE Direct” wherein auto pay-in instructions (*submitted by CMs using the feature of SPICE*) can be directly transmitted by Clearing Members to NSDL system.

(Ref: Circular No. NSDL/POLICY/2012/0042 dated April 12, 2012)

Fee for Rematerialisation amended in Business Rules of NSDL

The fee for rematerialisation of securities has been revised as mentioned below:

- A fee of ₹ 10 for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000; or
- A flat fee of ₹ 10 per certificate, whichever is higher.

Provided that, no rematerialisation fee shall be charged for Government Securities.

(Ref: Circular No. NSDL/POLICY/2012/0044 dated April 16, 2012)

Inside :

➤ Performance Summary

Investor Accounts	1,20,87,299
Participants	283
Participant Service Centres	14,040
Demat Custody	₹ 71,23,861 Cr.
Settlement	₹ 88,512 Cr.
Companies available for demat	9,814
Instruments	
❖ Debentures/Bonds	7,790
❖ Securitised Instruments	792
❖ Commercial Papers	1,481
❖ Certificates of Deposit	1,466

➤ List of New Participant Centres

➤ Corporate Action Statistics

➤ Companies Name Change

➤ Securities Admitted For Dematerialisation

➤ Calendar of Events

➤ Topic of Interest

For Private circulation only

PAN requirement for foreign nationals as directors of FII

SEBI has vide Circular No. MIRSD/SE/Cir-21/2011 dated October 5, 2011 informed regarding uniform Know Your Client (KYC) Requirements for the Securities Markets.

In this context, SEBI has vide its email dated April 17, 2012 clarified that *“the foreign nationals who are holding position as directors of FII are not mandated to have a PAN Card. Therefore, KRA will have to leave the column of PAN for foreign nationals holding directorship in FII as blank.”*

(Ref: Circular No. NSDL/POLICY/2012/0046 dated April 17, 2012)

NCDO/NISM training / certification programmes for Participants

NSDL has made arrangements with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM. In April 2012, NSDL conducted seven such training programmes at Ahmedabad, Chennai, Guwahati, Kochi, Kolkata, Mumbai, New Delhi and Pune which were attended by 205 persons nominated by various Participants.

Name Change of Participant

Old Name	New Name	DP ID
IKM Investor Services Limited	IKM Investors (P) Limited	IN301758

One Participant became operational in NSDL during April 2012

In April 2012, following Participant became operational in NSDL:

Sr. No.	Participant Name	City
1	Gateway Financial Services Limited (DP ID IN304037)	Kolkata

The above additions take the total number of operational Participants to 283 and the total number of DPM set-ups to 362.

Closure of Brics Securities Limited Participant Operations

NSDL has informed all its Participants that Brics Securities Limited (DP ID IN303296), a Participant of NSDL has informed NSDL about its decision to discontinue depository operations.

(Ref: Circular No. NSDL/PI/2012/0887 dated April 25, 2012)

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Apr-10	105.57
Apr-11	115.91
Apr-12	120.87

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Apr-10	286	11,288	1,283
Apr-11	293	12,898	1,430
Apr-12	283	14,040	1,551

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Apr-10	36,200	57,34,998
Apr-11	47,446	65,89,081
Apr-12	58,336	71,23,861

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Apr-10	683	1,17,875
Apr-11	619	1,08,491
Apr-12	514	88,512

(Single Side)

Companies

EQUITY

Month - Year	No. of Companies Operational
Apr-10	8,164
Apr-11	8,898
Apr-12	9,814

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-10	481	6,620	5,88,759
Apr-11	596	6,942	8,58,401
Apr-12	606	7,790	10,42,322

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-10	699	1,263	80,208
Apr-11	541	835	38,528
Apr-12	534	792	36,319

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-10	123	588	54,870
Apr-11	214	1,268	1,24,694
Apr-12	225	1,481	1,33,530

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-10	49	685	2,36,792
Apr-11	43	1,250	4,67,618
Apr-12	45	1,466	4,74,146

New Participant Centres

ANDHRA PRADESH

HYDERABAD

Sharekhan Limited
Near Sagar Road, L. B. Nagar,
Ph.: 09246215567

NELLORE

IDBI Capital Market Services
Limited
Kanaka Mahal Road,
Ph.: 09390006081

BIHAR

MUZAFFARPUR

IDBI Capital Market Services
Limited
New Zeromile, Ph.: 09931083553

PATNA

HDFC Bank Limited New
Patliputra Colony

CHHATTISGARH

BHILAI

Sharekhan Limited
Smiriti Nagar, Ph.: 0788-2293093/
09589842950

DELHI

NEW DELHI

Sharekhan Limited
D 15 South Ex -Part II,
Ph.: 011-26686024/09313278558

GOA

BARDEZ

HDFC Bank Limited
Tabrawaddo, Saligao,
Ph.: 0832-2278066

SALCETTE

HDFC Bank Limited
Opp Bank Of Baroda, Velim,
Ph.: 0832-2773126

GUJARAT

AHMEDABAD

Sharekhan Limited
Sola Road, Ph.: 079-27414199/
09979221111

BILIMORA

Sharekhan Limited
Navsari, Ph.: 09904286095

DHORAJI

Sharekhan Limited
Upleta Road, Ph.: 02824-222202/
09898979635

GANDHIDHAM

Sharekhan Limited
Sector 8, Ph.: 0283-6236401/
09879235484

PORBANDAR

HDFC Bank Limited
Opp. Deep Petrol Pump,
Ph.: 0286-6541019

SURAT

Sharekhan Limited
Pal Hazira Road,
Ph.: 08000705785

VAPI

Sharekhan Limited
Vapi Daman Road,
Ph.: 09898465774

HARYANA

BALLABHGARH

HDFC Bank Limited
SCF -41, Sec:3,
Ph.: 0129-04055096

JAMMU AND KASHMIR

JAMMU

Stock Holding Corporation of
India Limited
Kachi Chawani

KATRA

HDFC Bank Limited
Jammu Road, Ph.: 01991-232394

JHARKHAND

GUMLA

HDFC Bank Limited Main Road,

KERALA

KANNUR

HDFC Bank Limited
Near Police Station, Mattannur,
Ph.: 0490-2474892

PAYYANNUR

Sharekhan Limited
Kannur, Ph.: 04985-205246/
09895188230

MAHARASHTRA

MUMBAI

Axis Bank Limited
Ram Nagar, Borivali (W),
Ph.: 022-28634816/17

Sharekhan Limited
A G Ink Road, Andheri (E),
Ph.: 022-28325002/09920953468

L.B.S. Marg Bhandup (W),
Ph.: 022-25662323/25661536

Bhandup (W), Ph.: 09323955263

Horniman Circle Fort,
Ph.: 022-32940659/07498744449

Sion-Trombay Rd, Sion (E),
Ph.: 09594070101

NAGPUR

Sharekhan Limited
Zenda Chowk, Ph.: 08446001104

NASHIK

Sharekhan Limited
Matoshree Nagar Upnagar,
Ph.: 09881684430

NAVI MUMBAI

Sharekhan Limited
CBD Belapur, Ph.: 09324431812

Sector 2, Airoli,
Ph.: 09820811414/09004095581

PUNE

The Saraswat Co-Operative Bank
Limited
Chinchwad, Ph.: 020-27351313

Pashan Sus Rd. Pashan,
Ph.: 020-25870092

PUSAD

IDBI Capital Market Services
Limited
Yavatmal, Ph.: 9175128566

RAVER

HDFC Bank Limited
Station Road, Ph.: 02584-250331

THANE

Sharekhan Limited
Ganesh Nagar, Thane (W),
Ph.: 09022522509

Near Masjid Waja Mohallah,
Ph.: 09323020334

Shivaji Path, Thane (W),
Ph.: 09270137909

Shrishti Complex Mira Road,
Ph.: 022-64465222/09967143737

Manvel Road Virar (E),
Ph.: 0250-6582149/09226856474

RAJASTHAN

UDAIPUR

IDBI Bank Limited
Narendra Nagar,
Ph.: 0294-2467111

TAMIL NADU

CHENNAI

HDFC Bank Limited
Adayalampattu Village,
Vanagaram, Ph.: 044-26530422

Sharekhan Limited
Madipakkam Main Road,
Ph.: 044-22474493/09840399097

COIMBATORE

HDFC Bank Limited
Sastri Road Ramnagar,
Ph.: 0422-4221102

MADURAI

Sharekhan Limited
Krishna Nagar Thiruppalai,
Ph.: 0452-2567303/09360311229

NAGERCOIL

Sharekhan Limited
Balamore Road Vadaseery,
Ph.: 09884826459

TIRUPUR

Sharekhan Limited
P. N. Road, Ph.: 09790054961

Sharekhan Limited
Thattan Thottam,
Ph.: 0421-4362112/09944661144

UTTAR PRADESH

FATEHPUR

IDBI Bank Limited
Near Kacheri Civil Line,
Ph.: 05180-221059

KANPUR

Sharekhan Limited
Pandu Nagar,
Ph.: 09389588886/08052258258

VARANASI

HDFC Bank Limited
Maidagin Road,
Ph.: 0542-2221747

WEST BENGAL

KOLKATA

Axis Bank Limited
Vivekananda Road,
Ph.: 033-23500027/34/80

Gateway Financial Services
Limited
Jasmine Tower,
Ph.: 033-22894461/62

HDFC Bank Limited
24 Parganas North, Naihati,
Ph.: 033-25810133

1/434 Gariahat Road,
Jodhpur Park, Ph.: 033-40031141

Corporate Action Statistics

Sr. No.	Description	During April 2012		For F.Y. 2012 - 2013 (Upto April 2012)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	164,665	3,637,027,758	164,665	3,637,027,758
2	Preference Shares	23	277,417,581	23	277,417,581
3	Bonds	108,040	3,109,985	108,040	3,109,985
4	Commercial Papers	568	1,146,320	568	1,146,320
5	Certificates of Deposit	284	2,146,850	284	2,146,850
6	Securitised Instruments	47	24,805,490	47	24,805,490
7	Mutual Fund Units	25,916	472,273,151	25,916	472,273,151
8	Warrants	0	0	0	0
9	Commodities	481	18,117	481	18,117
10	Mutual Fund Units (Trase)	6,510	39,181,358	6,510	39,181,358
	Total	306,534	4,457,126,609	306,534	4,457,126,609

List of Companies that have changed Names

(During April 2012)

Sr. No.	Old Name	New Name
1	Cronimet Alloys India Limited	Metkore Alloys & Industries Limited
2	Business Broadcast News Limited	Business Broadcast News Private Limited
3	Canton Buildwell Private Limited	ASF Insignia SEZ Private Limited
4	Fortis Healthcare (India) Limited	Fortis Healthcare Limited
5	People Combine Educational Initiatives Private Limited	People Combine Educational Initiatives Limited
6	Agre Developers Limited	Future Market Networks Limited
7	Hansen Drives Limited	ZF Wind Power Coimbatore Limited
8	Aban Power Company Limited	Lanco Tanjore Power Company Limited
9	Leadage Alloys India Limited	Chloride Alloys India Limited
10	Shree Pushkar Petro Products Limited	Shree Pushkar Chemicals & Fertilisers Limited
11	Pnb Principal Insurance Broking Private Limited	Pnb Insurance Broking Private Limited
12	Principal Pnb Life Insurance Company Limited	Pnb Life Insurance Company Limited
13	Equitas Micro Finance India Private Limited	Equitas Holdings Private Limited
14	Utkal Investments Limited	Avalokiteshvar Valinv Limited
15	TCL Technologies Limited	Aricent Infra Limited

Securities admitted for Dematerialisation during April 2012

COMPANY	ISIN	COMPANY	ISIN
Abhijeet Toll Road (Karnataka) Limited	INE357N01019	Narayani Hotels And Resorts Limited	INE351N01012
Artech Power Products Limited	INE421N01013	Netmagic Solutions Private Limited	INE310N01018
Arun Excello Foundations Private Limited	INE345N01014	New Ambadi Estates Private Limited	INE369N01014
Arun Excello Urban Infrastructure Private Limited	INE346N01012	Neycer India Limited	INE275N01013
Arun Varun Trade and Investment Limited	INE292N01018	Nilachal Refractories Limited	INE416N01013
Blackie and Son (Calcutta) Private Limited	INE326N01014	Olympic Oil Industries Limited	INE286E01019
Bollineni Castings & Steel Limited	INE325N01016	Omaxe Azorim Developers Private Limited	INE336N01013
BSR Cancer Hospital Private Limited	INE354N01016	Organic Industries Limited	INE363N01017
Crest Steel And Power Private Limited	INE419N01017	Oriental Tollways Private Limited	INE411N01014
East India Petroleum Private Limited	INE344N01017	Parry Agro Industries Limited	INE361N01011
Electromech Material Handling Systems (India) Private Limited	INE393N01014	Perfint Healthcare Private Limited	INE420N01015
Eurasia Publishing House Private Limited	INE378N01015	PNC Infra Holdings Limited	INE360N01013
Fedex Finance Private Limited	INE353N01018	Prakash Oils Limited	INE054N01012
GMR Bajoli Holi Hydropower Private Limited	INE396N01017	Puduvai Bharathiar Grama Bank	INE388N01014
Halite Personal Care India Private Limited	INE379N01013	Reinforce Recruiter Private Limited	INE358N01017
Harmony Capital Services Limited	INE264N01017	Renew Wind Energy (Rajkot) Private Limited	INE434N01016
Hindusthan Safety Glass Industries Limited	INE324N01019	S. Chand & Co.Limited	INE807K01019
IAC Electricals Private Limited	INE367N01018	Saboo Brothers Limited	INE021N01011
Idream Mediasphere Private Limited	INE433N01018	Sacred Hospitality Company Private Limited	INE392N01016
Isoft Health Management (India) Private Limited	INE385N01010	Sarguja Rail Corridor Private Limited	INE374N01014
Isoft Health Services (India) Private Limited	INE384N01013	Shivsagar Developers Private Limited	INE359N01015
Isoft R&D Private Limited	INE390N01010	Sikkim Hydro Power Ventures Limited	INE355N01013
Jumbo Finance Limited	INE122N01017	Status Infin (India) Private Limited	INE210N01010
Karva Automart Limited	INE352N01010	The Mysore Vegetable Oil Products Limited	INE427N01010
Kumergode Estates Limited	INE414N01018	Timesofmoney Limited	INE349N01016
Lanco Tanjore Power Company Limited	INE261G01017	Topworth Urja & Metals Limited	INE394N01012
Leela Lace Builders Private Limited	INE371N01010	Trinity Finsec Private Limited	INE373N01016
Leo Meridian Infrastructure Projects & Hotels Limited	INE370N01012	TVS Logistics Services Limited	INE395N01019
Manipal Education And Medical Group India Private Limited	INE356N01011	Uniglobe Packaging Private Limited	INE408N01010
MEMG International India Private Limited	INE372N01018	Unimode Overseas Limited	INE348N01018
Milestone Fincap Services Private Limited	INE412N01012	Uppal Hotels Private Limited	INE350N01014
Milestone Strategy Consultants Private Limited	INE413N01010	Waaree Energies Private Limited	INE377N01017
Mokalbari Kanoi Tea Estate Private Limited	INE343N01019	Zenith Capitals Limited	INE132N01016

NSDL UPDATE

1 2 3 4 5 6 **7** 8

NSDL Calendar of Events

Investor Depository Meets

May 31, 2012	Korba, Chhattisgarh	June 14, 2012	Bhir, Maharashtra
June 1, 2012	Raigarh, Chhattisgarh	June 15, 2012	Malda, West Bengal
June 2, 2012	Bhilai, Chhattisgarh	June 15, 2012	Jalgaon, Maharashtra
June 7, 2012	Bellary, Karnataka	June 16, 2012	Aurangabad, Maharashtra
June 8, 2012	Gadag, Karnataka	June 28, 2012	Bareilly, Uttar Pradesh
June 9, 2012	Davanagere, Karnataka	June 29, 2012	Moradabad, Uttar Pradesh
June 14, 2012	Berhampore, West Bengal	June 30, 2012	Meerut, Uttar Pradesh

Compliance Requirement

Sr. No.	Particulars	Reference	Due date	Mode of sending
1	Compliance Certificate (January - June)	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006.	July 31st every year	By hard copy to Business Partner Inspection Department.

NSDL Offices

Head Office

Mumbai
4th Floor, 'A' Wing,
Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
Tel: (022) 24994200 /
40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad
Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd.,
C. G. Road, Near Panchvati Circle,
Ahmedabad - 380006
Tel: (079) 26461376, Fax: (079) 26461375

Kolkata
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
Acharya Jagdish Chandra Bose Road,
Kolkata - 700020
Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai
6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

New Delhi
409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
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Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
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Senapati Bapat Marg, Lower Parel, Mumbai - 400013
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TOPIC OF INTEREST

Know more about Investor Depository Meets (IDMs) conducted by NSDL

1) What are Investor Depository Meets (IDMs)?

Ans. Investor Depository Meets are seminars conducted by NSDL to educate the investors about the recent developments relating to the Depository system.

2) What is the purpose of conducting IDM?

Ans. IDMs provide investors a platform to interact with NSDL officials & discuss their queries, if any, through such meets.

3) How can an investor benefit through such IDMs?

Ans. Through IDMs, investors are appraised of the facilities available in NSDL depository system, the rights & responsibilities of investors and reassures them about the safety of their holdings in dematerialised mode with NSDL. Such meets also provide NSDL a platform to familiarize investors about latest technology features and developments such as SMS alert facility, e-Voting facility etc.

4) Does NSDL charge any fee to investors for attending IDMs?

Ans. No, NSDL does not charge any fee to investors for attending the IDMs.

to be concluded in next issue...