

Take  
a look...

## Highlights during the month

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Topic of Interest

Calendar of Events

## Performance Summary



Investor Accounts

13,055,284



Participants

280



Participant Service Centres

14,349



Demat Custody

₹ 84,70,335 Cr.



Settlement

₹ 1,10,959 Cr.



Companies available for demat

11,749



**Instruments**

- ◆ Debentures/Bonds 9,088
- ◆ Securitised Instruments 970
- ◆ Commercial Paper 1,307
- ◆ Certificates of Deposit 1,339

### Simplification of demat account opening process

SEBI has issued guidelines for simplification of demat account opening process which states that the agreement to be executed between the person seeking to open an account with the Participant and the Participant shall be replaced with a common document "Rights and Obligations of the Beneficial Owner and Depository Participant".

#### ➤ For New Clients:

In case of new Clients, Participants can start implementing the common document immediately and are no longer required to execute the Participant-Client agreement. Further, Participants shall provide a copy of the Rights and Obligations Document to the Beneficial Owner and shall obtain an acknowledgement of the same which can be a part of the Account Opening Form or use it as a separate form of acknowledgement.

### Did you know?

Money Retires! Yes. Soiled, mutilated and imperfect notes can be exchanged at any bank. In fact, banks are expected to extend the facility of exchange of soiled notes even if you are not a customer. While soiled notes can be exchanged for full value, mutilated and imperfect notes may not be exchanged for full value, depending on their condition.

Source : RBI website



### Quote of the Month :

Formal education will make you a living; self education will make you a fortune. - Jim Rohn



➤ **For Existing Clients:**

In case of existing Clients, Participants will have to intimate the clients about the modified provisions of the Rights and Obligations Document, replacing the existing Participant-Client agreements and keep record of the same by a letter or by email or it can be combined alongwith the next monthly/ quarterly/ yearly transaction/ holding statement.

(Ref: Circular No. NSDL/POLICY/2013/0141 dated December 18, 2013)

**Launch of e-DIS facility for pay-in Instructions on SPEED-e**

Currently, password based Users can submit Pay-in related instructions to pre-notified Clearing Member (CM) Pool accounts by entering the instruction details on SPEED-e facility. SPEED-e facility has been further enhanced to facilitate password based Users to confirm Pay-in related instructions on SPEED-e facility based on the instructions uploaded by pre-notified Clearing members on SPEED-e. Thus, Clients opting for e-DIS facility will not be required to enter instruction details on SPEED-e, obviating data entry errors, consumption of time for entering data, etc.

In view of the aforesaid, Clients will have two options for submitting instructions to their Participants through SPEED-e facility i.e., either by entering instructions details in favour of the pre-notified CM or by confirming the instruction details uploaded by the pre-notified CM. Clients can register for both these facilities.

(Ref: Circular No. NSDL/POLICY/2014/0002 dated January 6, 2014)

**Delivery Instruction Slip (DIS) Issuance and Processing**

In order to safeguard the interest of the investors, SEBI has issued guidelines regarding standardization of DIS, Monitoring of DIS and Scanning of DIS as mentioned below:

**1. Standardization of DIS**

- ✓ Every Delivery Instruction Slips (DIS) should be standardized in terms of serial numbering, so as to enable system level checks by the depositories & the layout and size of DIS so as to facilitate scanning and easy retrievability of records.
- ✓ The DIS must bear a pre-printed serial number, DP ID, and a pre-printed/pre-stamped Beneficial Owner (BO) ID. A standard method of serial numbering will be prescribed by the Depository to ensure that the serial numbers issued by a DP are unique within the DP-ID.
- ✓ Every DP shall ensure that the same DIS shall not be used for giving both market and off-market instructions. Further, a single DIS shall not be used for transactions with multiple execution dates.

**2. Monitoring of DIS**

- ✓ The details pertaining to the DIS serial number, BO ID, date of issuance and any other relevant details will be made available by the DP to the depository system electronically upon issuance of DIS booklets or loose slips to BO by the DP.
- ✓ DPs will have to enter the serial number of DIS in the depository system for validation at the time of execution of DIS. Further, in respect of all the transfer instructions on a DIS, the serial number of DIS will be validated by the Depositories to ensure that no instructions accompanied by a used DIS or unissued DIS are processed.

**3. Scanning of DIS**

Every DIS should be scanned by the DP executed during a day along with all Annexures/ Computer printouts, if any, by the end of the next working day in the prescribed format.

Further details about this circular are posted on NSDL website [www.nsdl.co.in](http://www.nsdl.co.in).

(Ref: Circular No. NSDL/POLICY/2014/0004 dated January 8, 2014)

**Operations from Disaster Recovery Site (DRS)**

NSDL shifts its operations to its DRS at regular intervals to check its readiness and to demonstrate to the market about NSDL's capability to conduct the entire operations from the DRS. NSDL shifted its live operations intra-day to the backup site on January 10, 2014. The live operations continued at DRS till EOD of January 17, 2014 and thereafter the operations were reverted to the primary site.

(Ref: Circular No. NSDL/POLICY/2014/0005 dated January 9, 2014)

**Training Programmes for Participants:****➤ NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted 11 such training programmes in December 2013 at Chandigarh, Chennai, Kolkata, Lucknow, Mumbai and Noida which were attended by 278 officials of various Participants.

**➤ CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In December 2013, NSDL conducted 12 such training programmes at various locations viz., Bangalore, Chennai, Jaipur, Kochi, Kolkata, Lucknow, Ludhiana, Mumbai, New Delhi and Patna which were attended by 392 officials of Participants.

**Investor Education initiatives undertaken by NSDL**

➤ **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During December 2013, NSDL organised five such IDMs at Kanchipuram and Vellore in Tamil Nadu, Sagar and Vidisha in Madhya Pradesh and Noida in Uttar Pradesh which were attended by around 120 investors.

➤ **Investor Awareness Programme with ICICI Securities Limited & Geojit BNP Paribas Financial Services Limited:** In order to reach out to investors that are spread across the country and to apprise them about the facilities available in NSDL depository system and the awareness on stock markets, NSDL conducted eight Investor Awareness Programmes with ICICI Securities Limited and Geojit BNP Paribas Financial Services Limited in December 2013 at Deoghar in Jharkhand, Udaipur in Rajasthan, Kharagpur and Chandannagar in West Bengal, Mysore in Karnataka, Neyveli in Tamil Nadu and Vijayawada and Secunderabad in Andhra Pradesh which were attended by 365 investors.

- **Participation in events conducted by Institutions:** In December 2013, NSDL sponsored an event titled 'Chaos 2013' organised by Indian Institute of Management Ahmedabad at Ahmedabad which was attended by over 600 participants across India. Various aspects on Depository related services were explained to the students attending this event.
- **Training Programme organized by Institutions:** In December 2013, NSDL organized an Investor Awareness Programmes with Vivek Vyaspeeth, an NGO, in association with Bombay Stock Exchange (BSE) for 250 investors. Various aspects on Depository related services were addressed to students & investors attending these programmes.

#### List of Participant Service Centre:

- ❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

### List of Companies that have changed Names (During December 2013)

Sr. No.	Old Name	New Name
1	SREI Equipment Finance Private Limited	SREI Equipment Finance Limited
2	Titan Industries Limited	Titan Company Limited
3	Mehta Frozen Foods Carriers Private Limited	Kelvin Cold Chain Logistics Private Limited
4	Indocon Agro and Allied Activities Private Limited	Sahyadri Agro and Dairy Limited
5	Uni Tubes Limited	UTL Industries Limited
6	HCCA Business Services Private Limited	HGS Business Services Private Limited
7	Mahindra Forgings Limited	Mahindra CIE Automotive Limited
8	Archon Engicon Private Limited	Archon Engicon Limited
9	Kaiser Press Limited	Kaiser Corporation Limited
10	Religare Infotech Private Limited	RHC IT Solutions Private Limited
11	Spectacle Infotek Limited	Spectacle Ventures Limited
12	Goldcrest Finance (India) Limited	Goldcrest Corporation Limited
13	Ashika Global Securities Limited	Ashika Global Securities Private Limited
14	Smart Value Homes Limited	Tata Value Homes Limited
15	Infobahn Corporation Limited	Steadfast Corporation Limited
16	Enercon Wind Farms (India) Limited	Wind World Wind Farms (India) Limited
17	Gracious Software Private Limited	Gracious Software Limited

### Corporate Action Statistics

Sr. No.	Description	During December 2013		For F.Y. 2013 - 14 (Upto December 2013)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	523,919	8,010,484,924	5,521,132	72,486,452,967
2	Preference Shares	30	136,629,402	265,109	4,167,618,975
3	Bonds	28,193	21,550,418	204,298	1,030,374,273
4	Commercial Papers	632	1,498,595	4,669	10,652,536
5	Certificates of Deposit	647	8,254,245	4,328	47,384,675
6	Securitized Instruments	27	778,653,902	501	1,012,191,628
7	Mutual Fund Units	24,536	240,453,715	210,775	2,142,699,228
8	Government Securities	0	0	242	47,080,400
9	Warrants	15	295,578,000	38	332,818,000
10	Commodities	1	5	794	2,262,098
11	Mutual Fund Units (Trase)	12,586	144,041,138	101,823	734,208,386
	<b>Total</b>	<b>590,586</b>	<b>9,637,144,344</b>	<b>6,313,709</b>	<b>82,013,743,166</b>

## Performance Summary

### INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakh)
Dec-11	118.47
Dec-12	125.35
Dec-13	130.55

### PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Dec-11	282	13,886	1,528
Dec-12	283	14,435	1,562
Dec-13	280	14,349	1,557

### DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Dec-11	55,908	63,44,959
Dec-12	66,457	79,56,043
Dec-13	74,445	84,70,335

### SETTLEMENT

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Dec-11	370	64,627
Dec-12	757	1,28,202
Dec-13	586	1,10,959

(Single Side)

### EQUITY

Month - Year	No. of Companies Operational
Dec-11	9,453
Dec-12	10,463
Dec-13	11,749

### Companies

### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-11	590	7,414	9,54,690
Dec-12	697	8,625	11,85,993
Dec-13	828	9,088	13,96,310

### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-11	570	868	40,250
Dec-12	536	822	39,121
Dec-13	647	970	67,083

### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-11	234	1,642	1,35,255
Dec-12	231	1,539	1,86,276
Dec-13	195	1,307	1,59,565

### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-11	44	1,379	4,28,134
Dec-12	42	1,219	3,54,649
Dec-13	41	1,339	3,50,017

## Securities admitted for Dematerialisation during December 2013

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	A. F. Enterprises Limited	INE663P01015	48	Jitf Urban Infrastructure Limited	INE871P01014
2	A.R.S. Properties Private Limited	INE851P01016	49	Jodhana Developers Private Limited	INE845P01018
3	Akshara Manor Private Limited	INE801P01011	50	Kohinoor Planet Constructions Private Limited	INE917P01015
4	Alps Motor Finance Limited	INE729P01014	51	L & T Arunachal Hydropower Limited	INE912P01016
5	Ambika Infrabuild Private Limited	INE832P01016	52	L&T Himachal Hydropower Limited	INE914P01012
6	Amrapali Zodiac Developers Private Limited	INE850P01018	53	Lichen Metals Private Limited	INE844P01011
7	Amsons Apparels Limited	INE812P01018	54	Maa Mahamaya Industries Limited	INE898P01017
8	Angels Enterprises Limited	INE784P01019	55	Maharashtra Vidhyut Nigam Private Limited	INE830P01010
9	Ansys Software Private Limited	INE869P01018	56	Mahavir Fincon Private Limited	INE883P01019
10	Asis Logistics Limited	INE888E01012	57	Mahle IPL Limited	INE824P01013
11	Atlas Documentary Facilitators Company Private Limited	INE889P01016	58	Mangalore Energies Private Limited	INE893P01018
12	Autumn Builders Limited	INE733P01016	59	Matrix Power (Wind) Private Limited	INE891P01012
13	Axis Trustee Services Limited	INE859P01019	60	Mirah Hospitality & Food Solutions Private Limited	INE885P01014
14	Best Dwellers Private Limited	INE874P01018	61	Moonstone Projects Private Limited	INE842P01015
15	Bindas Realtors Private Limited	INE873P01010	62	MP Jaypee Coal Limited	INE894P01016
16	Calcutta Diagnostics Centre Private Limited	INE803P01017	63	MW Infra Developers Limited	INE892P01010
17	Canara Steel Limited	INE841P01017	64	NSL Wind Power Company (Kayathar) Private Limited	INE895P01013
18	Canary Hotels Private Limited	INE843P01013	65	Oceanaa Biotek Industries Limited	INE732P01018
19	Chimique (India) Limited	INE853P01012	66	Opul Constructions Private Limited	INE868P01010
20	Clean Wind Power (Satara) Private Limited	INE888P01018	67	Pioneer Power Limited	INE863P01011
21	Conros Steels Private Limited	INE823P01015	68	Polymac Thermoformers Limited	INE826P01018
22	D.Square Realtors Private Limited	INE778P01011	69	Punjab Expo Breweries Private Limited	INE783P01011
23	DDE Renewable Energy Private Limited	INE836P01017	70	Quant Broking Private Limited	INE762P01015
24	Dewas Bhopal Corridor Limited	INE796P01013	71	Quant Capital Finance and Investments Private Limited	INE806P01010
25	Directi Web Technology Private Limited	INE901P01019	72	Quant Commodity Broking Private Limited	INE807P01018
26	Dreamlight Plaza Pvt Ltd	INE833P01014	73	Quant Investment Services Private Limited	INE809P01014
27	DS Hotels & Resorts (India) Limited	INE797P01011	74	Quant Securities Private Limited	INE808P01016
28	Fermenta Biotech Limited	INE887P01010	75	R&H Spaces Private Limited	INE856P01015
29	Fortune Metals Limited	INE767P01014	76	Radhagobind Commercial Limited	INE792P01012
30	Foster Infin and Trading Private Limited	INE875P01015	77	Ramky Food Park Karnataka Limited	INE798P01019
31	Girishan Traders Private Limited	INE861P01015	78	Rock Spaces Private Limited	INE858P01011
32	Girnar Software Private Limited	INE731P01010	79	Sai Baba Investment and Commercial Enterprises Limited	INE706P01012
33	GMR Renewable Energy Limited	INE922P01015	80	Shaali Estates Private Limited	INE852P01014
34	Gracious Software Limited	INE757P01015	81	Shahuwadi Wind Energy Private Limited	INE827P01016
35	Grandeur Buildwell Private Limited	INE849P01010	82	Shubhra Leasing Finance and Investment Company Ltd.	INE878P01019
36	Grandeur Real Tech Developers Private Limited	INE847P01014	83	Siddarth Businesses Limited	INE857P01013
37	Greenchip Trexim Private Limited	INE802P01019	84	Sital Leasing and Finance Limited	INE341001011
38	GSEC Limited	INE825P01010	85	Sri Kavery Medical Care (Trichy) Limited	INE834P01012
39	Gujarat Petrosynthese Limited	INE636P01011	86	Sukhsagar Complexes Private Limited	INE846P01016
40	H.K. Trade International Limited	INE725P01012	87	Sumant Investments Private Limited	INE837P01015
41	Hillson Merchandise Pvt Ltd	INE800P01013	88	Suryakanta Hydro Energies Private Limited	INE882P01011
42	Indian Agro and Food Industries Limited	INE867P01012	89	Thomson Reuters India Services Private Limited	INE750P01010
43	Indraprastha Metropolitan Development Limited	INE862P01013	90	Transcon-Sheth Creators Private Limited	INE442001017
44	Jasper Industries Private Limited	INE886P01012	91	Triveni Enterprises Limited	INE916P01017
45	Jath Wind Energy Private Limited	INE828P01014	92	Ventura (India) Private Limited	INE884P01017
46	JDA Software Private Limited	INE805P01012	93	Vexcon Properties Private Limited	INE804P01015
47	JDS Finance Company Limited	INE751P01018	94	Vistas Complexes Private Limited	INE890P01014

## TOPIC OF INTEREST

### Know more about e-Insurance Account (eIA)

(Continued from December 2013 issue)

#### 1. How to open an e-Insurance Account (eIA)?

**Ans.** eIA can be opened by filling the eIA application form, form available at [www.nir.ndml.in](http://www.nir.ndml.in) or submitting the details online at <https://nironline.ndml.in/NIR/onlineEiaApplicationHome.html> or [www.nir.ndml.in](http://www.nir.ndml.in) and submitting it to your nearest Approved Person alongwith the requisite KYC documents.

#### 2. Who is an Authorised Representative?

**Ans.** An Authorised Representative is like a trustee to the e-Insurance Account (eIA) and has to be deputed by eIA holder. An Authorised Representative is a person appointed by eIA holder who can access eIA in the event of the eIA holder's demise or in his incapacity to access the eIA. The Authorised Representative can only access the e-Insurance Account and know the portfolio of insurance policies. The Authorised Representative may be different from the nominee. The eIA holder has the right to change the Authorised Representative during the term of eIA. eIA holder should change the Authorised Representative on his/her demise. Where an eIA is operated by an Authorized Representative of eIA holder, NIR may block the eIA for any further transactions. In such case, every transaction shall be routed through the respective insurers.

*For Further details, please visit <http://nir.ndml.in> or get in touch with us at [helpdesk.nir@nsdl.co.in](mailto:helpdesk.nir@nsdl.co.in) / 022 – 49142631.*

*Concluded*

## NSDL Calendar of Events

### INVESTOR DEPOSITORY MEETS

February 6, 2014	Suryapet, Andhra Pradesh	February 13, 2014	Muzaffarnagar, Uttar Pradesh
February 6, 2014	Bihar sharif, Bihar	February 14, 2014	Dewas, Madhya Pradesh
February 7, 2014	Bhadrachalam, Andhra Pradesh	February 14, 2014	Bijnor, Uttar Pradesh
February 7, 2014	Gaya, Bihar	February 15, 2014	Dhar, Madhya Pradesh
February 8, 2014	Khammam, Andhra Pradesh	February 15, 2014	Bulandshahar, Uttar Pradesh
February 13, 2014	Burhanpur, Madhya Pradesh	February 22, 2014	Wai, Maharashtra

## COMPLIANCE REQUIREMENT

Sr.No.	Particulars	Reference	Due date	Mode of sending
1.	Charge Structure.	(i) Circular No. NSDL/ POLICY/2006/0064 dated December 26, 2006.  (ii) Circular No. NSDL/ POLICY/2007/0003 dated January 8, 2007.	By 10th of the following month.	By email at dp_igreport@nsdl.co.in. Alternatively, by hard copy to the Investor Relationship Cell.

## 'KNOWLEDGE WINS' Contest

## How to open an e-Insurance Account (eIA)?

Send your replies providing your contact details  
(Name, address and contact number) with the subject  
'Knowledge Wins Contest - January 2014' to [info@nsdl.co.in](mailto:info@nsdl.co.in)

Lucky 25  
Winners will  
Win Free  
Goodies

## Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these Terms or which tampers with the entry process
- NSDL reserved the right to discontinue the contest at any given point of time without prior information
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

## NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

## Investor Relationship Cell

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
Fax: (022) 24976351  
Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

## NSDL Certification Programme

**Officer-In-Charge,**  
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For more information, email us at [info@nsdl.co.in](mailto:info@nsdl.co.in)