



NSDL

ISO 27001 Certified



NSDL UPDATE

January 2011

NSDL - Trusted by more than One Crore account holders

Change in procedure for Transacting in Mutual Fund schemes through the Stock Exchange infrastructure

SEBI vide Circular No. CIR/IMD/DF/17/2010 dated November 9, 2010 has informed regarding facilitating transactions in Mutual Fund schemes through the Stock Exchange infrastructure. The procedure for subscription and redemption of MF units transacted through Stock Exchanges has undergone a change as mentioned below:

(a) Subscription:

- (i) Clients can subscribe to the Mutual Fund units through their Stock Brokers as per the existing process.
- (ii) The MF units will be credited by AMC / RTA into the Beneficiary Owner (BO) account of the AMC through credit corporate action. Subsequently, the AMC would transfer MF units from its BO account to its AMC/MF Clearing Member (CM) Pool account on or before 2.30 p.m. on the next day of the order date (*i.e. by T+1 day*) under market type "Normal Subscription" and "Mutual Fund - Subscription" in respect of NSCCL and BOISL respectively. AMC has to execute delivery out instructions from its CM Pool account or avail Auto DO facility of NSCCL / BOISL to deliver the MF units for Pay-in.
- (iii) Upon execution of Pay-in of securities as per the settlement schedule declared by NSCCL / BOISL, the CM Pool Account of the AMC will be debited through the usual settlement process.

- (iv) NSCCL and BOISL will credit the MF units into the respective subscriber CM's Pool accounts by Pay-out through usual settlement process.
- (v) The CM would transfer the MF units to the subscriber's beneficiary account.

(b) Redemption:

For redemption purpose, in addition to the Client placing order through its Stock Broker, the Client will have to submit DIS to its Participant to transfer the MF units to the designated CM Pool account of NSCCL / BOISL as per the existing process.

This has been informed to Participants vide Circular No. NSDL/POLICY/2010/0137 dated December 24, 2010, which is posted on NSDL website www.nsdl.co.in.

Procedure for opening Pool Account of Mutual Fund (MF)

SEBI vide Circular No. CIR/IMD/DF/17/2010 dated November 9, 2010 has informed regarding facilitating transactions in Mutual Fund schemes through the Stock Exchange infrastructure. As per the guidelines issued by the Stock Exchanges, AMC / Mutual Fund will be required to open a Pool Account with any Participant of NSDL.

Further details about this facility are mentioned in Circular No. NSDL/POLICY/2010/0129 dated December 11, 2010, which is posted on NSDL website www.nsdl.co.in.

Inside :

➤ Performance Summary

Investor Accounts	11,317,602
Participants	289
Participant service centres.....	12,378
Demat Custody	₹ 67,53,485 Cr.
Settlement	₹ 1,28,098 Cr.
Companies available for demat	8,675
Instruments	
❖ Debentures/Bonds.....	6,872
❖ Securitised Instruments	871
❖ Commercial Papers.....	1,172
❖ Certificates of Deposit	893

➤ New Participant Centres

➤ Securities Admitted For Dematerialisation

➤ Corporate Action Statistics

➤ Companies Name Change

➤ Calendar of Events

➤ Topic of Interest

For Private circulation only

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Activity schedule for Auction Session

SEBI vide Circular No. CIR/MRD/DP/ 39 /2010 dated December 28, 2010 has informed that as per extant practice, in case of default by the selling broker in a settlement, the security delivered short is bought in the auction session and is delivered to the buying broker on T+4 day.

In order to reduce the time involved in delivering the shares to the buying broker, in case of default, the auction will be conducted on the same day of the settlement, after the pay-in is completed. i.e. the auction for trades done on T day shall be conducted on T+2 day after pay-in is completed and shortfall is crystallized.

The activity schedule as under shall be applicable with effect from February 1, 2011:

Rolling settlement for T day trade

Sr. No.	Day	Description of activity
1	T	Trade Day
2	T+2	Pay-in/Pay-out of securities and funds

Auction settlement for T day trade

3	T+2	Auction session
4	T+3	Pay-in/pay-out and close-out of auction

However, as the bank and stock exchange holidays are not common there are days when multiple settlements are conducted on the working day immediately following the day(s) of the closure. On such days when multiple settlements (say S1 and S2) are conducted on the same day (say T_m) the auction session shall be as under:

1. The auction of first settlement (S1) shall be conducted on the same day (T_m) and settled the next day (T_m+1).
2. The auction for the second settlement (S2) shall be conducted on the next day (T_m+1) along with the shortages/ auction of that day. The settlement of the same shall happen on the subsequent day (T_m+2).

Further details about this facility are mentioned in Circular No. NSDL/POLICY/2010/0139 dated December 30, 2010, which are posted on NSDL website www.nsdl.co.in.

Acceptance of third party address as correspondence address

NSDL has informed all its Participants that SEBI vide Circular No. CIR/MRD/DP/37/2010 dated December 14, 2010 has received representations from Market Intermediaries seeking guidance and clarifications whether to accept and capture the address of some person (hereinafter referred to as "third party") other than the Beneficial Owner(BO) as a correspondence address in the details of the demat account of the BO.

In this regard, it is stated that SEBI has no objection to a BO authorizing the capture of an address of a third party as a correspondence address, provided that the Depository Participant (DP) ensures that all prescribed 'Know Your Client' norms are fulfilled for the third party also. The DP shall obtain proof of identity and proof of address for the third party.

Further details about this facility are mentioned in Circular No. NSDL/POLICY/2010/0132 dated December 15, 2010.

NCDO training / certification programmes for Participants

NSDL conducts training and certification programmes for Participants to increase the availability of trained personnel for depository operations. In December 2010, such programmes were conducted in Ahmedabad, Chandigarh, Gurgaon, Jaipur, Kolkata, Mumbai, Nagercoil (Tamil Nadu), Nagpur, New Delhi, Patna, Pune, Rajkot, Thoothukudi (Tamil Nadu) and Trichy (Tamil Nadu). In all, 805 persons attended the training programmes conducted at these locations.

Closure of Artha Broking Services Limited Participant Operations

NSDL has informed all its Participants that Artha Broking Services Limited (DP ID IN303671), a Participant of NSDL has informed NSDL about its decision to discontinue depository operations. Further, the Participant ID (DP ID IN303671) has been deleted from the Depository System.

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Dec-08	96.03
Dec-09	103.34
Dec-10	113.17

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Dec-08	268	8,552	924
Dec-09	285	10,654	1,125
Dec-10	289	12,378	1,394

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Dec-08	27,490	30,33,906
Dec-09	32,880	53,67,322
Dec-10	43,425	67,53,485

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Dec-08	470	68,010
Dec-09	615	1,08,043
Dec-10	711	1,28,098

(Single Side)

Companies

ISSUERS

Month - Year	No. of Companies Operational
Dec-08	7,760
Dec-09	7,953
Dec-10	8,675

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-08	480	6,765	5,33,940
Dec-09	526	6,621	6,76,953
Dec-10	574	6,872	8,25,785

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-08	809	1,480	99,108
Dec-09	585	925	58,626
Dec-10	582	871	42,769

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-08	126	551	44,912
Dec-09	206	883	99,854
Dec-10	194	1,172	91,296

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-08	45	474	1,67,574
Dec-09	47	666	2,68,429
Dec-10	42	893	3,53,099

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New Participant Centres

AGACAIM

Axis Bank Limited
Ange Marie, Malwara,
Ph.: 0832-2219222/ 333

AGARTALA

Axis Bank Limited
P.O. A D Nagar,
Ph.: 0381-2370254/255/207/290

AHMEDABAD

Amrapali Capital and Finance
Services Limited
Ashram Road,
Ph.: 079-26581329-30

ASE Capital Markets Limited
Navrangpura

Cholamandalam Securities Limited
Navrangpura, Ph.: 079-64500318/19

Kotak Mahindra Bank Limited
Navrangpura, Ph.: 079-65051553

AJMER

Kotak Mahindra Bank Limited
Kutchery Road, Ph.: 0145-5101115

ALDONA

HDFC Bank Limited
Near St.Thomas Girls School,
Ph.: 0832-2289033

BADAUN

Axis Bank Limited
Civil Lines, Ph.: 05832-228253 -57

BALAGHAT

Axis Bank Limited
Opp.-Keshav Plaza,
Ph.: 07632-244666/777

BANGALORE

Geojit BNP Paribas Financial
Services Limited
Hal Old Airport Road,
Ph.: 09341051088
Sector -7, HSR Layout,
Ph.: 09739906396

Oriental Bank Of Commerce
100 Feet Ring Road,
Ph.: 080-26688522

The Saraswat Co-Operative Bank
Limited
Pamadi Towers, Ph.: 080-22341151
WKP Road, Jayanagar

BAREILLY

HDFC Bank Limited
Priyadarshini Nagar,
Ph.: 0581-2311025

BEED

Axis Bank Limited
Shivaji Chowk,
Ph.: 02442-233530/1

BHUBANESHWAR

Ventura Securities Limited
Kharvela Nagar, Ph.: 0674-2500030

CHAIBASA

Axis Bank Limited
Opposite Hanuman Mandir,
Ph.: 06582-255400-04

CHANDIGARH

Ventura Securities Limited
Sector 9-D, Ph.: 0712-5005656

CHAPRA

Axis Bank Limited
Sahebganj, Ph.: 06152-242244-46

CHENNAI

HDFC Bank Limited
Ayyappanthangal,
Ph.: 044-43800515
T Nagar, Ph.: 044-42123168

R. K. Global Shares and Securities
Limited
Kutchery Road, Mylapore,
Ph.: 044-45939977

GANDHINAGAR

The Saraswat Co-Operative Bank
Limited
Padadi Towers, First Main Road,
Gandhinagar, Ph.: 080-22341151

GOA

The Saraswat Co-Operative Bank
Limited
Bicholim, Ph.: 0832-2981372 -73
Porvorim, Bardez,
Ph.: 0832-2412502 -03

HABRA

ICICI Bank Limited
24 Parganas North,
Ph.: 09333561120

INDORE

HDFC Bank Limited
Kanadiya Road, Ph.: 0731-2590266

JALNA

The Cosmos Co-Operative Bank
Limited
Bhokardan Naka Road,
Ph.: 02482-230765

JALORE

IDBI Bank Limited
Bagora Road, Ph.: 02982-231118

JALPAIGURI

ICICI Bank Limited
Ukilpara (E), Ph.: 03561-220071-72

KANKAVLI

The Saraswat Co-Operative Bank
Limited
M.K.G. Marg, Kankavli,
Ph.: 02367-230241

KOCHI

Geojit BNP Paribas Financial
Services Limited
Kaloor, Ph.: 09995800425

KOLKATA

Elbee Securities Pvt. Limited
R.N. Mukherjee Road,
Ph.: 033-22430710 / 22435519

ICICI Bank Limited
Barrackpore, Ph.: 033-64540416
Dum Dum Road, Ph.: 033-64991156

KOTTAYAM

Geojit BNP Paribas Financial
Services Limited
Mundakkayam, Ph.: 09995800111

MADHYAMGRAM

ICICI Bank Limited
Sodepore Road, Ph.: 033-64540150

MADIKERI

IDBI Bank Limited
No.7/13, College Road,
Ph.: 0827-2220245

MAU

HDFC Bank Limited
Maunath Bhanjan,
Ph.: 0547-2227645

MEDINIPUR

IDBI Bank Limited
P O -Tamluk, Ph.: 03228-266174

MUMBAI

Axis Bank Limited
Faridabad Mansion,
Ph.: 022-24464041-43

The Cosmos Co-Operative Bank
Limited
B.J. Patel Road, Malad (W)
Ph.: 022-28446591

The Saraswat Co-Operative Bank
Limited
Link Road, Goregaon (W)
Deal Depot Brokerage Private
Limited
Dalal Street, Fort
Ph.: 022-030431500

NAVI MUMBAI

ICICI Bank Limited
Koparkhairane, Ph.: 022-67932945

The Saraswat Co-Operative Bank
Limited
Sanpada, Ph.: 022-27615292

NEW DELHI

Axis Bank Limited,
Ph.: 011-26451096 -98

ING Vysya Bank Limited
West Patel Nagar,
Ph.: 011-25885883/84, 25885210

Kotak Mahindra Bank Limited
Vasant Kunj, Ph.: 011-41078101

Kotak Securities Limited
Karkarduma, Ph.: 011-66313131

PALAMPUR

HDFC Bank Limited
Verma Complex, Ph.: 01894-232651

PATNA

Cholamandalam Securities Limited
Exhibition Road, Ph.: 0612-2500008

PUNE

Cholamandalam Securities Limited
J P Trivedi Path, Shivaji Nagar,
Ph.: 020-30225432 -34

PURNIA

HDFC Bank Limited
Near Rajendra Bal Udhyan,
Ph.: 06454-243760

RAJKOT

HDFC Bank Limited
Kishan Gate, Metoda,
Ph.: 02827-287787

SIOLIM

HDFC Bank Limited
Bamon Vaddo, Ph.: 0832-2272422

SIRSI

NKGSB Co-Op Bank Ltd.
C. P. Bazar, Ph.: 08384-225677

SOLAPUR

The Cosmos Co-Operative Bank
Limited
Sat Rasta, Ph.: 0217-2310030

SRINAGAR

ICICI Bank Limited
Badrianth Road,
Ph.: 09219470190, 0921947096

SURAT

Axis Bank Limited
Puna Kumbhariya Road,
Ph.: 0261-2649506/7

TARAKESWAR

Axis Bank Limited
Joykrishna Bazaar,
Ph.: 03212-277179/251/253

THIRUVARUR

Coimbatore Capital Limited
South Street, Ph.: 04366-326070

TRICHY

HDFC Bank Limited
Thiruverumbur, Ph.: 0431-2531876

VASNA

Axis Bank Limited
Near Dream Land Party Plot,
Ph.: 0792-6640761/86

WARANGAL

IDBI Bank Limited
Sikhwadi, Kishanpura,
Hanamkonda, Ph.: 0870-2550023

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Securities admitted for Dematerialisation during December 2010

COMPANY	ISIN	COMPANY	ISIN
Ananya Finance for Inclusive Growth Private Limited	INE774L01019	Muthoot Finance Limited	INE414G01012
Arihant Leasing And Holding Limited	INE364F01011	Narayan Hari Enterprises Private Limited	INE751L01017
Asra Plantations Private Limited	INE719L01014	North Malabar Expressway Limited	INE775L01016
Betex India Ltd.	INE765L01017	NSL Renewable Power Private Limited	INE779L01018
Cades Digitech Private Limited	INE780L01016	Orphic Resorts Limited	INE747L01015
Carbo-Ceramics Limited	INE711L01011	Paramount Printpackaging Limited	INE702L01010
Chanakya Holdings Limited	INE726L01019	Parsvnath Landmark Developers Pvt. Limited	INE712L01019
Coconada Clean Power Private Limited	INE778L01010	Quadromatic Engineering Private Limited	INE761L01016
CR Retail Malls (India) Limited	INE700L01014	R. S. Infra-Transmission Limited	INE658L01014
De Core Science And Technologies Limited	INE738L01014	Raghu Trading And Investment Company Private Limited	INE721L01010
Devendra Merchandise Private Limited	INE757L01014	Ramdev Chemical Private Limited	INE752L01015
Dynacons Technologies Limited	INE741L01018	Ramky Enviro Engineers Limited	INE701L01012
Eastern Resin & Allied Products Limited	INE717L01018	Rayalseema Expressway Limited	INE758L01012
Essar Bulk Terminal Paradip Limited	INE794L01017	Reliance Universal Enterprises Limited	INE699L01018
Five X Finance & Investment Limited	INE750L01019	Reliance World Trade Private Limited	INE704L01016
Fun Multiplex Private Limited	INE735L01010	Renaissance Asset Management Company Private Ltd.	INE720L01012
Global Innovative Solutions Private Ltd.	INE773L01011	Renaissance Stocks Limited	INE715L01012
Hari Investments Private Limited	INE723L01016	Riverside Infrastructure (India) Private Limited	INE722L01018
Heaven Star Realty Private Limited	INE755L01018	Sanco Industries Ltd.	INE782L01012
Hematic Motors Private Limited	INE762L01014	Shree Shaleen Textiles Limited	INE703L01018
Hilltop Metals Limited	INE716L01010	Shukra Bullions Limited	INE561E01015
India Windpower Limited	INE781L01014	SJK Powergen Limited	INE706L01011
Integrated Health And Healthcare Services India Pvt. Ltd.	INE724L01014	South Malabar Expressway Private Limited	INE776L01014
Jafza Chennai Business Park (India) Private Limited	INE698L01010	Srimauli Infrastructure Private Limited	INE777L01012
Jafza Mumbai Business Park (India) Private Limited	INE697L01012	Sunglow Agricultural Farms Private Limited	INE714L01015
Jagannathpur Steel Limited	INE763L01012	Times Academy Limited	INE736L01018
Karma Wind Power Limited	INE725L01011	Torrent Energy Limited	INE739L01012
Katha Mediatix India Limited	INE708L01017	Tribhovandas Bhimji Zaveri Limited	INE760L01018
Khed Economic Infrastructure Private Limited	INE754L01011	TRN Energy Private Limited	INE748L01013
Kiran Syntex Limited	INE293D01017	Utkal Investments Limited	INE718L01016
MSK Projects (Himmatnagar Bypass) Private Limited	INE753L01013	Valdel Xtent Outsourcing Solutions Private Limited	INE713L01017
MSK Projects (Kim Mandvi Corridor) Private Limited	INE756L01016	West Asia Maritime Limited	INE737L01016

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Corporate Action Statistics

Sr. No.	Description	During December 2010		For F.Y. 2010 - 2011 (upto December 2010)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	850,759	5,745,029,175	9,015,106	68,478,387,339
2	Preference Shares	15	13,222,057	25,298	2,247,095,965
3	Bonds	52,300	89,544,788	246,289	1,030,731,858
4	Commercial Papers	327	578,860	2,913	5,678,226
5	Certificates of Deposit	1,488	15,692,473	4,799	55,144,452
6	Securitized Instruments	5	328,450	274	7,531,269
7	Mutual Fund Units	7,738	217,984,722	99,824	1,663,321,633
8	Warrants	1,931	18,866,980	7,221	184,471,826
9	Commodities	218	1,006,791	1,751	1,724,880
10	Indian Depository Receipt (IDR)	0	0	29,809	217,310,293
11	Mutual Fund Units (Trase)	3,472	2,082,627	23,143	70,029,821
Total		918,253	6,104,336,923	9,456,427	73,961,427,562

List of Companies that have changed Names

(During December 2010)

Sr. No.	Old Name	New Name
1	GMR Industries Limited	Parrys Sugar Industries Limited
2	Krishna Lifestyle Technologies Ltd.	K-Lifestyle & Industries Limited
3	Abhijeet Infrastructure Capital Private Limited	Abhijeet Power Limited
4	Midpoint Software and Electro Systems Limited	NHC Foods Limited
5	Educomp Infrastructure Pvt. Ltd.	Educomp Infrastructure & School Management Limited
6	Ajel infotech Limited	Ajel Limited
7	Maharishi Housing Development	Religare Housing Development Finance Corporation Limited
8	Dagger-Forst Tools Limited	Birla Machining & Toolings Limited
9	Stresscrete India Limited	Vardhman Concrete Limited
10	Spring Infradev Private Limited	Spring Infradev Limited
11	Lokmat Media Private Limited	Lokmat Media Limited
12	Thathi Infin Lease Services Limited	Thathi Infin Lease Services Private Limited
13	Leasemen Fin-Invest(India) Limited	Leasemen Fin-Invest India Private Limited
14	NCJ International Limited	Nimbus Projects Limited
15	ACE(India) Limited	ACE EDUTREND Limited
16	Lanco Amarkantak Power Private Ltd.	Lanco Power Limited
17	Spectacle Industries Limited	Spectacle Infoteck Limited
18	Poddar Heritage Corp. Limited	Adventz Securities Enterprises Limited
19	Indofil Organic Industries Limited	Indofil Industries Limited
20	GMR Ferro Alloys & Industries Ltd.	Cronimet Alloys India Limited
21	Athena Chhattisgarh Power Pvt. Ltd.	Athena Chhattisgarh Power Limited
22	Birla Insurance Advisory & Broking Services Ltd.	Aditya Birla Insurance Brokers Limited
23	Kamadgiri Synthetics Limited	Kamadgiri Fashion Limited

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NSDL Calendar of Events

Investor Depository Meets

February 3, 2011	Kaithal, Haryana	February 18, 2011	Palakkad, Kerala
February 4, 2011	Kurukshetra, Haryana	February 19, 2011	Kanjirapally, Kerala
February 5, 2011	Panchkula, Haryana	February 24, 2011	Moga, Punjab
February 10, 2011	Silchar, Assam	February 25, 2011	Ferozpur, Punjab
February 12, 2011	Shillong, Meghalaya	February 26, 2011	Bhatinda, Punjab
February 17, 2011	Malappuram, Kerala		

Business Partner Training

NSDL's Certification in Depository Operations (NCDO)

January 29, 2011	Raipur	February 26, 2011	Kochi	May 7, 2011	Lucknow
January 29, 2011	Coimbatore	March 5, 2011	Bangalore	May 14, 2011	Jaipur
February 5, 2011	Delhi	March 12, 2011	Hyderabad	May 21, 2011	Madurai
February 12, 2011	Chennai	March 26, 2011	Ahmedabad	May 28, 2011	Indore
February 19, 2011	Kolkata	April 9, 2011	Vizag	June 4, 2011	Pune
February 19, 2011	Mumbai	April 30, 2011	Chandigarh		

NSDL Offices

Head Office

Mumbai
4th Floor,
A Wing,
Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
Tel: (022) 24994200 /
40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad
Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd., Above Vijay Sales Stores,
C. G. Road, Near Panchvati Circle,
Ahmedabad - 380006
Tel: (079) 26461376, Fax: (079) 26461375

Kolkata
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
Acharya Jagdish Chandra Bose Road,
Kolkata - 700020
Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai
6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

New Delhi
409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, A Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, A Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

TOPIC OF INTEREST

Avail free SMS Alert Facility on Your Mobile Phone

1. What is SMS Alert Facility?

Ans: NSDL has launched SMS Alert facility for demat account holders whereby investors can receive alerts for debits & credits (transfers), credits in respect of IPOs, sub-division & bonus, failed debit instructions, overdue instructions, change of mobile number, change of address, credit/debit of Mutual Fund units and invocation of pledged securities to pledgor clients.

2. How do I register for SMS Alert facility?

Ans: You can register for SMS Alert facility by submitting a written request to your DP alongwith your mobile number for availing this facility. This facility is not available to investors who have registered mobile numbers originating outside India.

3. What are the benefits of SMS Alert facility?

Ans: Following are the benefits of SMS Alert facility:

- You will get SMS directly from NSDL for:
 - (1) All Debits and Credits (transfers)
 - (2) Credits for IPO, bonus & sub-division
 - (3) Failed instructions
 - (4) Overdue instructions
 - (5) Change of mobile number
 - (6) Change of address
 - (7) Credit / debit of Mutual Fund Units
 - (8) Alerts upon invocation of pledged securities to Pledgor Clients
- You need not wait for receiving transaction statements from your DP to know about such debits and credits.
- In case of any discrepancy, you can approach your DP for clarification sooner.

to be continued in next issue ...