

**Investor Awareness Programme with Vivek Vyaspeeth, an NGO in Sangli during January 2014.**



Take  
a look...

**Highlights during the month**

News Articles

Companies Name Change

Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Topic of Interest

Calendar of Events

**Performance Summary**



Investor Accounts

13,106,620



Participants

279



Participant Service Centres

14,410



Demat Custody

₹ 82,71,925 Cr.



Settlement

₹ 1,16,920 Cr.



Companies available for demat

11,884



**Instruments**

- ◆ Debentures/Bonds 9,168
- ◆ Securitised Instruments 990
- ◆ Commercial Paper 1,385
- ◆ Certificates of Deposit 1,372

**Did you know?**

The Reserve Bank of India has said that banknotes issued before 2005 will be completely phased out after March 31, 2014 and replaced with new ones, in a move that could counter black money and help weed out fake currency circulating through the system. Starting April 1, 2014 “the public will be required to approach banks for exchanging these notes”.



**Quote of the Month :**

If past history was all there was to the game, the richest people would be librarians  
- Warren Buffett



## News Articles

### Training Programmes for Participants:

#### ➤ **NCDO/NISM training / certification programmes for Participants**

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted two such training programmes in January 2014 at Mumbai and New Delhi which were attended by 72 officials of various Participants.

#### ➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In January 2014, NSDL conducted eight such training programmes at various locations viz., Ahmedabad, Chennai, Kolhapur, Kolkata, Mumbai and New Delhi which were attended by 284 officials of Participants.

### **Subscription to SPEED-e**

During January 2014, four more Participants have subscribed to the **SPEED-e** facility viz.,

- Navia Markets Limited (DP ID IN300378)
- Ankit Securities & Finance Co. Pvt. Ltd. (DP ID IN300999)
- Northeast Broking Services Limited (DP ID IN300669)
- Mangal Keshav Securities Limited (DP ID IN302783)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through **SPEED-e** facility.

This takes the total number of Participants who have subscribed to **SPEED-e** to 130.

### Investor Education initiatives undertaken by NSDL

- **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During January 2014, NSDL organised 11 such IDMs at Chinsura, Katwa & Midnapore in West Bengal, Mapusa and Vasco in Goa, Sirsi in Karnataka, Hindupur, Tadapatri and Anantapur in Andhra Pradesh, Deolali in Maharashtra, Gurgaon in Haryana which were attended by around 402 investors.

- **Joint Awareness Programmes with Indovision Securities Limited:** In order to reach out to masses spread across the country and to apprise them about the facilities available in NSDL depository system, NSDL conducted Joint Awareness Programme with Indovision Securities Limited (DP ID IN303930) at Arambagh, West Bengal in January 2014 which was attended by around 85 investors.
- **Participation in events conducted by Institutions:** In January 2014, NSDL sponsored an event “IIT Tech Fest”, Mumbai organised by Indian Institute of Technology, Bombay at Mumbai. Over 2,300 participants visited the NSDL stall. Various aspects on Depository related services were explained to these participants attending this event.
- **Training Programme organized by Institutions:** In January 2014, NSDL organized an Investor Awareness Programmes with Vivek Vyaspeeth, an NGO, in association with Bombay Stock Exchange (BSE) at Sangli for around 200 investors. NSDL also conducted a training programme for 30 students from Winter School in Financial Markets Practice organised by Financial Technologies Knowledge Management Company Limited. Various aspects on Depository related services were addressed to students & investors attending these programmes.

➤ **Events conducted by NSDL during January 2014:**

❖ **NSDL Brand Ambassador:**

NSDL has launched an initiative called “NSDL Brand Ambassador (NSDL BA)” for student community education to popularize demat products and related concepts amongst colleges. In January 2014, NSDL conducted two “NSDL Brand Ambassador Contest” for students of Kelkar Education Trust’s V.G.Vaze College of Arts, and Kirti M. Doongursee College, Mumbai. Around 255 students & three faculties’ participated in these Brand Ambassadorship programmes. Ms. Hasti Vora, Ms. Rugvedi Desai and Ms. Sanjana Jain from Kelkar Education Trust’s V.G.Vaze College of Arts and Ms. Manasi Mhatre and Ms. Ashwini Kasar from Kirti M. Doongursee College were selected as NSDL Brand Ambassadors after screening them through various rounds of this contest.

**List of Participant Service Centre:**

- ❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

## List of Companies that have changed Names (During January 2014)

Sr. No.	Old Name	New Name
1	Virgo Valves & Controls Limited	Virgo Valves & Controls Private Limited
2	Aryan Mining & Trading Corporation Private Limited	Aryan Mining & Trading Corporation Limited
3	Zawar Cement Private Limited	Jaypee Cement Corporation Limited
4	Philips Electronics India Limited	Philips India Limited
5	RGAM Corporation Private Limited	RGAM Investment Advisers Private Limited
6	S Chand Digital Private Limited	Safari Digital Education Initiatives Private Limited
7	Development Credit Bank Limited	DCB Bank Limited
8	Diligent Power Private Limited	Diligent Power Private Limited
9	B.A.G. Infotainment Private Limited	Skyline Radio Network Limited
10	Vakrangee Softwares Limited	Vakrangee Limited
11	Edelweiss Financial Products & Solutions Limited	Edelweiss Global Wealth Management Limited
12	Moser Baer Clean Energy Limited	Hindustan Cleanenergy Limited
13	Sapa Profiles India Private Limited	Sapa Extrusion India Private Limited
14	Serve All Enterprise Solutions Limited	CES Limited
15	The Andhra Pradesh Paper Mills Limited	International Paper APPM Limited
16	DLF Pramerica Life Insurance Company Limited	DHFL Pramerica Life Insurance Company Limited
17	Shri Krishna Prasadam Private Limited	Shri Krishna Prasadam Limited
18	Moser Baer Electric Power Limited	Hindustan Electricpower Limited
19	Welspun Retail Limited	Welspun Global Brands Limited

## Corporate Action Statistics

Sr. No.	Description	During January 2014		For F.Y. 2013 - 14 (Upto January 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	35,762	5,985,684,517	5,556,894	78,472,137,484
2	Preference Shares	54	964,240,262	265,163	5,131,859,237
3	Bonds	78,055	94,798,295	282,353	1,125,172,568
4	Commercial Papers	534	1,363,752	5,203	12,016,288
5	Certificates of Deposit	499	5,972,732	4,827	53,357,407
6	Securitized Instruments	89	2,170,202,050	590	3,182,393,678
7	Mutual Fund Units	26,612	144,907,067	237,387	2,287,606,295
8	Government Securities	0	0	242	47,080,400
9	Warrants	0	0	38	332,818,000
10	Commodities	16	36,197,789	810	38,459,887
11	Mutual Fund Units (Trase)	12,861	68,986,608	114,684	803,194,994
	<b>Total</b>	<b>154,482</b>	<b>9,472,353,072</b>	<b>6,468,191</b>	<b>91,486,096,238</b>

## Performance Summary

### INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakh)
Jan-12	119.07
Jan-13	125.85
Jan-14	131.06

### PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Jan-12	283	13,916	1,532
Jan-13	282	14,596	1,573
Jan-14	279	14,410	1,563

### DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jan-12	56,416	68,58,900
Jan-13	67,418	80,15,790
Jan-14	75,813	82,71,925

### SETTLEMENT

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jan-12	585	93,504
Jan-13	748	1,33,396
Jan-14	579	1,16,920

(Single Side)

### EQUITY

Month - Year	No. of Companies Operational
Jan-12	9,531
Jan-13	10,585
Jan-14	11,884

### Companies

### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-12	597	7,514	9,76,187
Jan-13	711	8,746	12,05,861
Jan-14	843	9,168	14,13,810

### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-12	570	870	38,741
Jan-13	543	830	41,726
Jan-14	670	990	68,878

### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-12	245	1,693	1,55,103
Jan-13	237	1,674	2,12,706
Jan-14	202	1,385	1,79,325

### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jan-12	43	1,364	4,15,410
Jan-13	42	1,199	3,46,729
Jan-14	41	1,372	3,55,501

## Securities admitted for Dematerialisation during January 2014

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Achal Investments Limited	INE860P01017	39	IDFC Projects Limited	INE964P01017
2	Agrimony Commodities Limited	INE567P01018	40	IDFC Trustee Company Limited	INE963P01019
3	Amarnath Securities Limited	INE745P01010	41	India Alternatives Investment Advisors Private Limited	INE936P01015
4	Amravati Power Transmission Company Limited	INE938P01011	42	Indian Energy Exchange Limited	INE022Q01012
5	Anupam Rasayan India Limited	INE930P01018	43	ISSL Settlement & Transaction Services Limited	INE024Q01018
6	Appian Associates Infrastructure Private Limited	INE921P01017	44	Lentils Vincom Limited	INE974P01016
7	Ashapura Volclay Limited	INE906P01018	45	Mahindra Conveyor Systems Private Limited	INE951P01014
8	ATR Infrastructure Private Limited	INE698G01010	46	Mahindra Engineering Services Limited	INE952P01012
9	B. C. Power Controls Limited	INE905P01010	47	Mansarovar Heritage Inn Private Limited	INE986P01010
10	Baheti Metal and Ferro Alloys Limited	INE029Q01017	48	Micro Clinic India Private Limited	INE976P01011
11	Bhairaav Erectors Limited	INE969P01016	49	Micro Clinic Software India Private Limited	INE977P01019
12	Birdhi Chand Pannalal Agencies Limited	INE795P01015	50	Micro Precision Products Private Limited	INE979P01015
13	Boston Leasing and Finance Limited	INE962P01011	51	Monad Technologies Pvt Ltd	INE026Q01013
14	Century Metal Recycling Private Limited	INE989P01014	52	Monica Realators & Investments Private Limited	INE027Q01011
15	Chintamani Agrotech (India) Limited	INE944P01019	53	NSL SEZ (Chennai) Private Limited	INE910P01010
16	Chowringhee Residency Private Limited	INE956P01013	54	Ojas Asset Reconstruction Company Ltd	INE457P01012
17	Classic Global Finance and Capital Limited	INE854P01010	55	One Capitall Limited	INE970P01014
18	Clean City Estates Private Limited	INE915P01019	56	OPGS Power Gujarat Private Limited	INE987P01018
19	Creative Merchants Limited	INE896P01011	57	Oseaspre Consultants Limited	INE880P01015
20	Dankuni Steels Ltd.	INE946P01014	58	Parnav Sports Academy Limited	INE935P01017
21	Devhari Exports (India) Limited	INE553P01018	59	Peps Industries Private Limited	INE978P01017
22	Dhyana Finstock Limited	INE774P01010	60	Positive Electronics Limited	INE813P01016
23	Diksat Transworld Limited	INE942P01013	61	Prabhat Homes Private Limited	INE911P01018
24	Dr. Agarwal's Health Care Limited	INE943P01011	62	Pyramid Packaging Pvt Ltd	INE008Q01011
25	Dynamix Trading Technologies Limited	INE955P01015	63	Quasar India Limited	INE855P01017
26	Entertainment Television Network Private Limited	INE980P01013	64	Raghuvansh Agrofarm Limited	INE865P01016
27	Essel Vidyut Vitaran (Muzaffarpur) Limited	INE900P01011	65	Refex Energy Limited	INE899P01015
28	Fleming Laboratories Limited	INE658P01015	66	Ritika Private Limited	INE023Q01010
29	Ganga Industrial Corporation Limited	INE924P01011	67	Shri Krishna Prasadam Limited	INE009Q01019
30	Genus Paper & Boards Limited	INE949P01018	68	Sl. VI. Shipping Corporation Limited	INE920P01019
31	Graceworks Realty & Leisure Private Limited	INE947P01012	69	Sigma Corru Box Pvt Ltd	INE001Q01016
32	Greenvalue Agrofarm Limited	INE961P01013	70	Sinnar Power Transmission Company Limited	INE990P01012
33	GVR Nagaur Bikaner Tollway Private Limited	INE028Q01019	71	Starlit Power Systems Limited	INE909P01012
34	Horizon Packs Pvt Ltd	INE002Q01014	72	Super Cybertech Park Private Limited	INE913P01014
35	IDFC Alternatives Limited	INE981P01011	73	Supreme (India) Impex Limited	INE971P01012
36	IDFC Investment Advisors Limited	INE965P01014	74	Suranjan Holding and Estate Developers Private Limited	INE948P01010
37	IDFC Primary Dealership Company Limited	INE158001019	75	Technojet Consultants Limited	INE881P01013
38	IDFC Project Equity Company Limited	INE973P01018	76	Verifacts Services Pvt Limited	INE049Q01015



## TOPIC OF INTEREST

### Know more about Rajiv Gandhi Equity Savings Scheme (RGESS)

**1. What is RGESS?**

**Ans.** Rajiv Gandhi Equity Savings Scheme (RGESS), is a tax saving scheme announced in the Union Budget 2012-13 (para 35) and further expanded vide Union Budget 2013-14 (para 61 & 144). The scheme is designed exclusively for the first time individual investors in securities market, whose gross total income for the year is below a certain limit. In 2013-14, the income ceiling of the beneficiaries was raised to ₹ 12 lakh from ₹ 10 lakh specified in 2012-13. The investor would get under Section 80CCG of the Income Tax Act, a 50% deduction of the amount invested during the year, upto a maximum investment of ₹ 50,000 per financial year, from his/her taxable income for that year, for three consecutive assessment years.

**2. What is the objective of the Scheme?**

**Ans.** As announced in the Union Budget 2012-13, the objective of the Scheme is to encourage the flow of savings and to improve the depth of domestic capital markets. This would help in promoting an 'equity culture' in India. The Scheme aims at widening the retail investor base in the Indian securities markets and also furthers the goal of financial stability and financial inclusion.

**3. What is the legal provision for RGESS?**

**Ans.** A new section 80CCG in the Income tax Act, 1961 on 'Deduction in respect of investment under an equity savings scheme' was introduced vide Finance Act, 2012 and amended vide Finance Act, 2013, to give tax benefits to 'New Retail Investors' whose gross annual income is less than or equal to ₹ 12 Lakhs, for investments in 'Eligible Securities' up to ₹ 50,000 in a single financial year, for three consecutive assessment years.

The details of the RGESS Scheme were first notified on 23 November 2012 (Section No. 2777(E); Notification No. 51) and vide subsequent corrigendum dated 5 December 2012 (Section No. 2835(E); Notification No. 53) by Department of Revenue. The operational guidelines were issued by SEBI on 6 December 2012. Subsequent to the Union Budget 2013-14, Section 80CCG was amended vide Finance Act, 2013, to expand the scope of the Scheme. The notification dated 23 November, 2012 was accordingly amended vide Notification dated 18 December 2013 (Section No. 3693 (E); Notification No.94).

**4. Would first time investors not lose money in the equity market? Would it be too dangerous for them to invest in it?**

**Ans.** The investors in the RGESS run the risk of losing money in the equity market, like any other investor in the securities market. The Scheme does not provide any guarantee of assured returns. Therefore, investors under RGESS are advised to do due diligence before making any investments in the equity market.

However, while designing the Scheme, safeguards like, restricting the investments to select large cap stocks, lock-in period with enough flexibility to take benefits of the positive market movements etc. have been provided to protect the interests of the first time investors.

To give the benefit of diversification and consequent risk minimization, investments into Exchange Traded Funds (ETFs) or Mutual funds, set up as per the criteria laid down in the Scheme, are also allowed under the Scheme.

*to be continued in next issue...*

## NSDL Calendar of Events

### INVESTOR DEPOSITORY MEETS

March 6, 2014	Sivakasi, Tamil Nadu	March 15, 2014	Rajnandgaon, Chhattisgarh
March 7, 2014	Sivagangai, Tamil Nadu	March 20, 2014	Chiplun, Maharashtra
March 8, 2014	Ramanathapuram, Tamil Nadu	March 21, 2014	Ratnagiri, Maharashtra
March 13, 2014	Sibsagar, Assam	March 22, 2014	Malvan, Maharashtra
March 14, 2014	Tinsukia, Assam	March 28, 2014	Faridabad, Haryana
March 14, 2014	Durg, Chhattisgarh		

### COMPLIANCE REQUIREMENT

Sr.No.	Particulars	Reference	Due date	Mode of sending
1.	Charge Structure.	(i) Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. (ii) Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.	April 30th every year.	By email at dpfees@nsdl.co.in

## 'KNOWLEDGE WINS' Contest

### What is Rajiv Gandhi Equity Savings Scheme (RGESS)?

Send your replies providing your contact details (Name, address and contact number) with the subject 'Knowledge Wins Contest - February 2014' to [info@nsdl.co.in](mailto:info@nsdl.co.in)

**Lucky 25  
Winners will  
Win Free  
Goodies**

#### Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these Terms or which tampers with the entry process
- NSDL reserved the right to discontinue the contest at any given point of time without prior information
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

## NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
Fax: (022) 24976351  
Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Programme

**Officer-In-Charge,**  
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