

## Participant Services Circular

Circular No.: NSDL/POLICY/2024/0052 April 29, 2024

Subject: Standardization of File Formats.

Attention of Participants is invited to NSDL Circular nos. NSDL/POLICY/2023/178 dated December 21, 2023 and NSDL/POLICY/2024/0035 dated March 21, 2024 regarding 'Standardization of file formats' wherein the list of fields, standard values and ISO tags were defined.

In continuation of NSDL Circular no. NSDL/POLICY/2024/0041 dated April 01, 2024, Participants are hereby informed that the existing file formats shall continue to be available in parallel along with the new standardized files till **June 20, 2024**. Thereafter, the existing file formats shall be discontinued, and only new file formats will be applicable.

Participants are advised to take note of the above and make necessary changes in their back-office system.

For and on behalf of National Securities Depository Limited

## Rakesh Mehta Vice President

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 <sup>th</sup> of the following month	Through e-PASS	Para 20 of NSDL Master Circular for Participants on 'Grievance Redressal' chapter.     Circular No.: NSDL/POLICY/2023/0161 dated November 13, 2023
Artificial Intelligence /Machine Learning Reporting Form (if offering or using such technologies as defined) (Annually)	Within three months of the end of the financial year	Through e-PASS	Circular No.: NSDL/POLICY/2024/0003 dated January 05, 2024
Risk based Supervision of Participants (Half yearly)	By April 30 <sup>th</sup>	Through e-PASS	Para 7 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter.
Tariff Sheet ( <b>Yearly</b> )	By April 30 <sup>th</sup>	Through e-PASS	Circular No.: NSDL/POLICY/2023/0158 dated November 09, 2023
Internal/ Concurrent Audit Report (Half yearly)	By May 15 <sup>th</sup>	Through e-PASS	Para 20.4 of NSDL Master Circular for Participants on 'Internal Controls/Reporting to NSDL/SEBI' chapter and Circular No.: NSDL/POLICY/2024/0042 dated April 08, 2024

